

Groups:  $(\mathbb{Z}, +)$ ,  $(\mathbb{R}, +)$ ,  $\mathbb{R}^*$ ,  $\mathbb{C}$ ,  $\text{Perm}(X)$ ,  $GL(2, \mathbb{R})$ ,  $SU(2)$ , ...

A group is a set with a multiplication  $G \times G \rightarrow G$  which is...

(a) associative:  $g(g'g'') = (gg')g''$

(b) has identities:  $g e = e g = g$

(c) has inverses:  $g g^{-1} = g^{-1}g = e$

(you should check that inverses and the identity are unique).

Groups where  $g g' = g' g$  are called Abelian and the multiplication is traditionally "+" and the identity is traditionally denoted "0".

Groups come up all the time because every "persistent object" in the colloquial sense ( ) gives a group. Also any object  $A$  in the categorical sense gives a group

$\text{Aut}(A) \equiv$  group of isomorphisms of  
 $A$  with composition as groups  
multiplication.

ex. In set,  $\text{Aut}(A)$  is the group of permutations of  $A$ .

To make a category, we need the "right" morphisms to go with this structure. The answer is

$$G \xrightarrow{\gamma} H \quad \gamma(gg') = \gamma(g) \cdot \gamma(g')$$

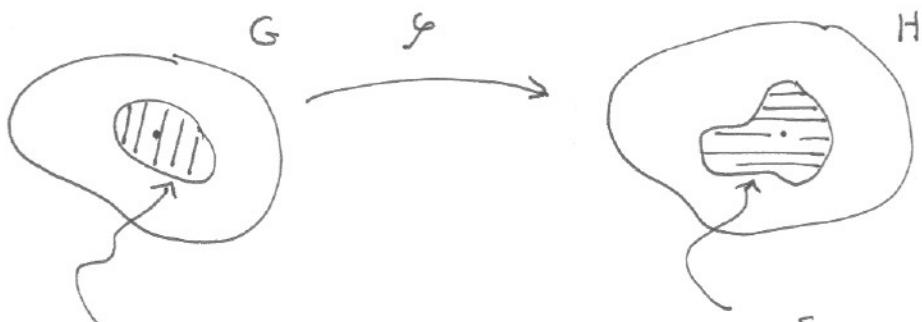
"group homomorphisms." Check that this is a category.

Basic facts about morphisms in group.  $G \xrightarrow{\varphi} H$

$$\varphi(e_G) = e_H \quad [\text{because } \varphi(e_G)\varphi(e_G) = \varphi(e_G)]$$

$$\varphi(g^{-1}) = \varphi(g)^{-1} \quad [\text{because } \varphi(g)\varphi(g^{-1}) = \varphi(gg^{-1}) = e_H]$$

$\varphi: g \mapsto e_H$  is always a group homomorphism.



$$\text{Ker } \varphi = \{g : \varphi(g) = e_H\} \quad \text{Im } \varphi = \{\varphi(g) : g \in G\}$$

... both are subgroups.

Naturally occurring morphisms ~~examples~~

$$L_g : x \mapsto gx \quad \text{"left multiplication"}$$

This is actually only a function in general. However it clearly has an inverse and you can check that

$$g \mapsto L_g$$

is a group homomorphism from  $G$  to  $\text{Perm } G$ . This is also clearly monic, so we have proved that

"every group is a subgroup of the permutation group on some set." (Geroch).

Given any group  $G$ , we can make a large supply of morphisms by defining "conjugations"

$$c_g : x \mapsto g x g^{-1} \quad G \rightarrow G$$

You can check that this is a morphism (because  $(g g')^{-1} \circ g^{-1} g' = g'^{-1}$ ). Notice also that the  $c_g$  are closed under composition and have inverses.

$$\{c_g : g \in G\} \hookrightarrow \text{Aut } G$$

are called the group of "inner automorphisms". These are also known as "similarity transformations" in the Physics literature.

From the first lecture, we have several natural categorical questions:

Which morphisms are monic/epic/iso?

Is there a direct product?

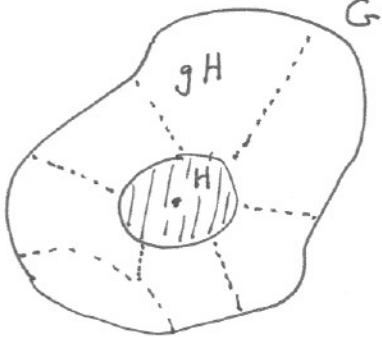
Is there a direct sum?

Plus one more new categorical concept

"Free construction"

First, however, let's analyze subgroups...

## Subgroups



How does subgroup  $H$  interact with the rest of  $G$ ?

$$gH = \{gh : h \in H\}$$

a "left coset" of  $H$ .

Theorem: Each  $g \in G$  is in exactly one left coset of  $H$ .

Proof: Clearly  $g \in gH$ . If  $g \in g'H$  also, then  $g = g'h$  for some  $h \in H \Rightarrow g'H = g'hH = gH$ .

Can we make the left cosets of  $H$  into a group?

Try  $(gH) \cdot (g'H) = gHg'H$

but this might not be a left coset.

Suppose that  $H$  has the property that  $Hg' = g'H$

for all  $g' \in G$ . Then we're OK,

$$(gH) \cdot (g'H) = gHg'H = \cancel{gg'H}H = (gg'H)H$$

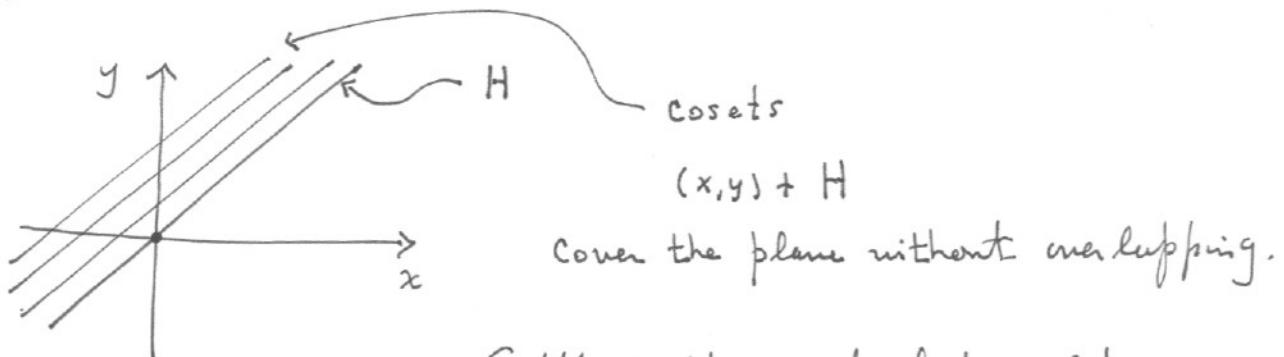
another left coset.

We say that  $H$  is a "normal subgroup" if it has this property. The cosets " $G/H$ " are a group with identity  $eH$  and inverse of  $gH$

[Why not just define  $(gH) \cdot (g'H) = gg'H$ ?]

$$g^{-1}H$$

For example,  $G = \mathbb{R}^2$  is a group with addition of vectors in  $\mathbb{R}^2$



$G/H$  is the group of translations perpendicular to  $H$ .

$G/H$  is a quotient, a categorical concept that will occur repeatedly. Consider

$$\begin{array}{ccc} G & \xrightarrow{\alpha} & G/H \\ & \searrow \varphi & \downarrow \bar{\varphi} \\ & & K \end{array}$$

$\alpha: g \mapsto gH$  is the natural map (epi).

Given any group homomorphism  $\varphi$ , can we "extend" it to  $G/H \xrightarrow{\bar{\varphi}} K$ ?

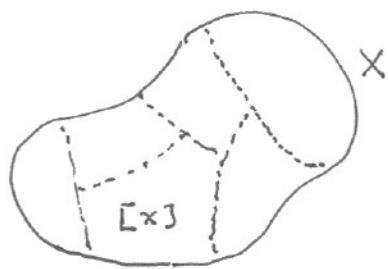
$$\begin{array}{ccc} g & \mapsto & gH \\ & \searrow & \downarrow \\ & & g(g) \end{array}$$

$\Rightarrow \bar{\varphi}(gH) \equiv \varphi(g)$  is the only possibility. The only issue is whether  $\bar{\varphi}$  as defined is a group homomorphism.

For  $\bar{\varphi}$  to even be a function, we must have  $gH = g'H \Rightarrow \bar{\varphi}(gH) = \bar{\varphi}(g'H)$ . This is guaranteed if  $\varphi(h) = e$  for any  $h \in H$ . We also then know that  $\bar{\varphi}(gHg'H) = \bar{\varphi}(gg'H) = \varphi(gg') = \bar{\varphi}(gH)\bar{\varphi}(g'H)$  is a group homomorphism. ~~Not necessarily the unique~~

Notice how very similar group and set are in this respect

Set  $X$  with equivalence relation  $E$ .



Equivalence classes cover  $X$  without overlapping.

$X/E$  is a set.

Any  $X \xrightarrow{g} Y$  which "respects the structure"

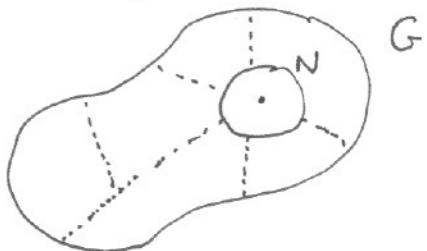
$$xEx' \Rightarrow g(x) = g(x')$$

$\Rightarrow$

$$\begin{array}{ccc} X & \xrightarrow{x \mapsto [x]} & X/E \\ & \searrow g & \downarrow \bar{g} \\ & Y & \end{array}$$

$g$  extends to  $\bar{g}$  causing the diagram to commute.

Group  $G$  with normal subgroup  $N$ .



Cosets cover  $G$  without overlapping.

$G/N$  is a group.

Any  $G \xrightarrow{g} H$  which "respects the structure"

$$g, g' \text{ in the same coset} \Rightarrow g(g) = g(g')$$

$\Rightarrow$

$$\begin{array}{ccc} G & \xrightarrow{g \mapsto gN} & G/N \\ & \searrow g & \downarrow \bar{g} \\ & H & \end{array}$$

$g$  extends to  $\bar{g}$  causing the diagram to commute.

Which morphisms in group are monic/epi/iso?

(a surprisingly hard issue)

Theorem: A group homomorphism  $G \xrightarrow{g} H$  is monic iff it is 1-1.

Proof: If  $g$  is 1-1, it is clearly monic in group as well as in set. Conversely, suppose that  $g$  is monic and  $g(g) = g(g')$ . Then

$$\left\{ (gg')^k : k \in \mathbb{Z} \right\} \xrightarrow{\begin{matrix} g \mapsto g \\ g \mapsto e \end{matrix}} G \xrightarrow{g} H$$

commutes  $\Rightarrow gg'^{-1} = e \Rightarrow g = g' \Rightarrow g$  is 1-1.

Theorem: A group homomorphism  $G \xrightarrow{g} H$  is epi iff it is onto.

(Problem #43 in Gerach).

$\text{Ker } \varphi$  and  $\text{Im } \varphi$  are nice ways to characterize monic/epi also.

Fact:  $G \xrightarrow{\varphi} H$  is monic iff  $\text{Ker } \varphi = \{e\}$ .

Proof: Suppose that  $\text{Ker } \varphi$  contains non-identity  $g \Rightarrow \varphi(g) = \varphi(e) = e$  and  $\varphi$  is not 1-1  $\Rightarrow$  not monic. Conversely, suppose  $\text{Ker } \varphi = \{e\}$  and  $\varphi(g) = \varphi(g') \Rightarrow \varphi(gg'^{-1}) = e \Rightarrow gg'^{-1} = e \Rightarrow \varphi$  is 1-1  $\Rightarrow \varphi$  is monic.

Clearly  $\varphi$  is epic iff  $\text{Im } \varphi = H$ .

Fact: In the category of groups,  $\varphi$  is iso iff  $\varphi$  is both monic and epic.

Proof. iso  $\Rightarrow$  monic and epic in all categories. Suppose that  $\varphi$  is both monic and epic  $\Rightarrow$  it has an inverse  $\varphi^{-1}$  as a function. We need to show that  $\varphi^{-1}$  is a morphism in group.

$$\begin{aligned}\varphi^{-1}(h \cdot h') &= \varphi^{-1}(\varphi(g)\varphi(g')) \text{ for some } g, g', \text{ since } \varphi \text{ is onto,} \\ &= \varphi^{-1}(\varphi(gg')) = gg' = \varphi^{-1}(h) \cdot \varphi^{-1}(h') \Rightarrow \varphi^{-1} \text{ is a group hom.}\end{aligned}$$

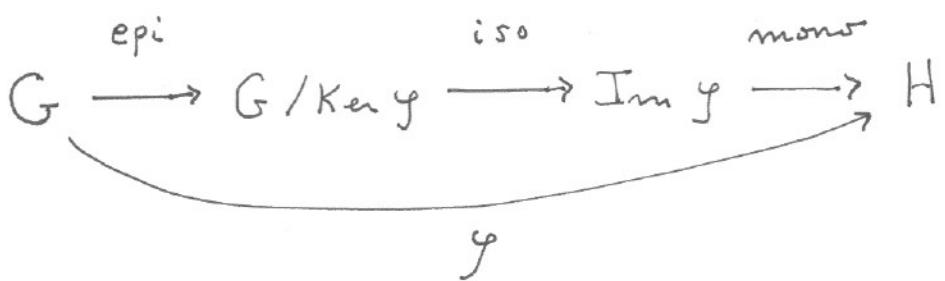
Summary  $G \xrightarrow{\varphi} H$  is

$$\text{monic} \Leftrightarrow 1\text{-1} \Leftrightarrow \text{Ker } \varphi = \{e\}$$

$$\text{epic} \Leftrightarrow \text{onto} \Leftrightarrow \text{Im } \varphi = H$$

$$\text{iso} \Leftrightarrow \text{both 1-1 and onto} \Leftrightarrow \text{Ker } \varphi = \{e\} \text{ and } \text{Im } \varphi = H.$$

## Anatomy of a group homomorphism $G \xrightarrow{g} H$



$$g \longmapsto g\text{Ker } g \longmapsto g(g) \longmapsto g(g)$$

You should verify

- That  $\text{Ker } g$  is a normal subgroup
- That  $g \mapsto g\text{Ker } g$  is an epimorphism
- That  $g\text{Ker } g \mapsto g(g)$  is an isomorphism

Again, there is a very similar construction in set.  $X \xrightarrow{g} Y$

$$\text{let } E_g = \{(x, x') : g(x) = g(x')\}$$

$$\begin{array}{ccccc}
 & \text{epi} & \text{iso} & \text{mono} & \\
 X & \longrightarrow & X/E_g & \longrightarrow & \text{Im } g \longrightarrow Y \\
 x & \longmapsto & [x] & \longmapsto & g(x) \longmapsto g(x)
 \end{array}$$

## Direct Products and Sums

$$\begin{array}{ccccc}
 & & G \times H & & \\
 & \alpha & \swarrow \downarrow \gamma & \searrow & \beta \\
 G & & & & H
 \end{array}$$

guess  $G \times H = \text{cartesian product with}$   
 $(g, h) \cdot (g', h') = (gg', hh')$   
 $\Rightarrow$  a group.

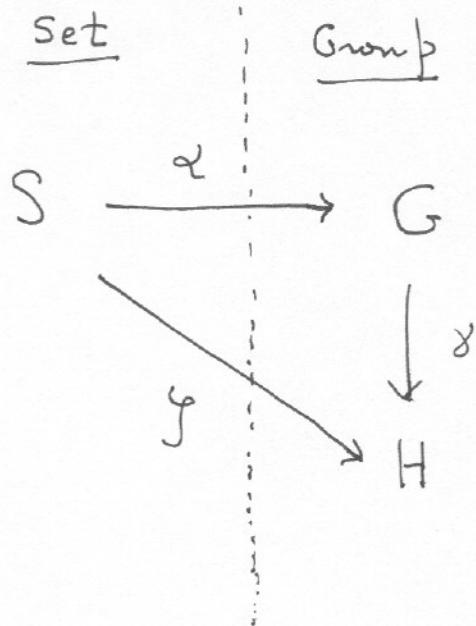
From set, we already know that the diagram commutes  
for the unique function  $\delta: X \mapsto (\gamma(x), \psi(x))$ . The only issue  
is whether  $\delta$  is a group homomorphism.

$\delta_{xy}$

$$\begin{aligned}
 \delta(xy) &= (\gamma(xy), \psi(xy)) = (\gamma(x)\gamma(y), \psi(x)\psi(y)) \\
 &= (\gamma(x), \psi(x))(\gamma(y), \psi(y)) = \delta(x)\delta(y).
 \end{aligned}$$

Direct Sum is harder: done as one of the homework  
problems,

Free groups are is a categorical concept for crossing category boundaries in a systematic way.



Let  $G$  be simply lists of elements from  $S$  with primes to indicate inverses.

$$S = \{a, b, c, \dots\} \quad a c b' b c a a' \in G$$

where  $a a' = a' a = ''$   
 $b b' = b' b = ''$   
etc.

This is a group with concatenation as multiplication and the empty list as the identity.

$$\text{e.g. } (a c b' b c a a')^{-1} = a' a c' b' b c a'$$

This will seem less arbitrary if you realize that...

Theorem: Free groups are unique.

Proof: Similar to direct product uniqueness proof.

Proof. Suppose that both  $S \xrightarrow{\alpha} V$  and  $S \xrightarrow{\alpha'} V'$  are free groups on  $S$ .

$$\begin{array}{ccc}
 S & \xrightarrow{\alpha} & V \\
 i_S \downarrow & & \downarrow \gamma \\
 S & \xrightarrow{\alpha'} & V' \\
 i_S \downarrow & & \downarrow \gamma' \\
 S & \xrightarrow{\alpha} & V
 \end{array}
 \left. \begin{array}{l} \text{commutes} \\ \text{commutes} \end{array} \right\}$$

$$\Rightarrow \begin{array}{ccc}
 S & \xrightarrow{\alpha} & V \\
 i_S \downarrow & & \downarrow \gamma' \circ \gamma \text{ commutes} \\
 S & \xrightarrow{\alpha} & V
 \end{array} \Rightarrow \left. \begin{array}{l} \gamma' \circ \gamma = i_V \\ \gamma \circ \gamma' = i_{V'} \end{array} \right\} \Rightarrow V \cong V'$$

## Applications

Many familiar functions are group morphisms, e.g.

$$\exp: \mathbb{R} \rightarrow \mathbb{R}^{>0} \quad \log: \mathbb{R}^{>0} \rightarrow \mathbb{R}$$

$$\text{abs}: \mathbb{R}^{\neq 0} \rightarrow \mathbb{R}^{>0} \quad \text{sign}: \mathbb{R}^{\neq 0} \rightarrow \{-1, +1\}$$

$$\text{fraction}: \mathbb{R} \rightarrow (-1, +1) \quad \text{mod}_2: \mathbb{Z} \rightarrow \mathbb{Z}/2$$

$$\det: GL(n) \rightarrow \mathbb{R}^{\neq 0} \quad \text{Re}: \mathbb{C} \rightarrow \mathbb{R}$$

Just recognizing a morphism can imply a lot

$$\begin{array}{ccc} O(3) & \xrightarrow{\det} & \mathbb{R}^{\neq 0} & \xrightarrow{\text{sign}} & \{-1, +1\} \\ & \searrow & & & \swarrow \\ & & \cong g & & \end{array}$$

Implies...

$Ker g = SO(3)$  is a normal subgroup of  $O(3)$ .

$SO(3)$  has exactly one coset  $\equiv SO(3)^-$  "Inversions"

$SO(3) \cong SO(3)^-$  as a set.

If  $g \in SO(3)$ ,  $x g x^{-1} \in SO(3)$  for any  $x \in O(3)$ .

$$SO(3) \cdot SO(3) = SO(3)$$

$$SO(3) \cdot SO(3)^- = SO(3)^-$$

$$SO(3)^- \cdot SO(3) = SO(3)^-$$

$$SO(3)^- \cdot SO(3)^- = SO(3)$$

In general  $G \rightarrow \{-1, +1\}$   
gives even/odd structure.

... Just from the existence of morphism  $g = \text{sign} \circ \det$ .

The decomposition of  $G \xrightarrow{\gamma} H$

$$\begin{array}{ccccccc} & & & & & & \\ & \text{epi} & & \text{iso} & & \text{mono} & \\ G & \longrightarrow & G/\text{Ker } \gamma & \longrightarrow & \text{Im } \gamma & \longrightarrow & H \\ & & \searrow \gamma & & & & \nearrow \\ & & & & & & \end{array}$$

gives great tricks for answering questions like what is  $\mathbb{R}^{\neq 0}/\{-1, +1\}$

- Try to guess a morphism  $\mathbb{R}^{\neq 0} \xrightarrow{\varphi} ?$  so that  $\text{Ker } \varphi = \{-1, +1\}$ .

$$\begin{array}{ccc} \mathbb{R}^{\neq 0} & \longrightarrow & \mathbb{R}^{\neq 0}/\text{Ker (abs)} \\ & \searrow \text{abs} & \downarrow \text{abs} \\ & & \mathbb{R}^{>0} \end{array}$$

$\text{Ker (abs)} = \{-1, +1\}$

By the decomposition, abs is monic. Since abs is also epi,  
 $\text{abs}$  is epi as well  $\Rightarrow$  iso  $\Rightarrow \mathbb{R}^{\neq 0}/\{-1, +1\} \cong \mathbb{R}^{>0}$ .

Further reading

Group Theory and Physics, Shlomo Sternberg.

Elements of Group Theory for Physicists, A.W. Joshi,

Representation Theory: A First Course, Fulton and Harris.

\* A really wonderful book.