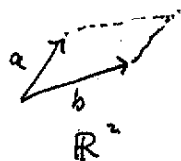


S. Y., Feb 2000

In vector space applications one often deals with functions which are not morphisms. For example...

$$\text{dot}(v, v') = v_x v'_x + v_y v'_y + v_z v'_z \quad \text{euclidean metric}$$

$$g(v, v') = v_x v'_x + v_y v'_y + v_z v'_z - v_t v'_t \quad \text{lorentzian metric}$$



area:  $(a, b) \mapsto$  area in the dotted lines

$\frac{1}{2} x^T M x$  "covariance matrix" in probability

These have in common that they are "bilinear" mappings.

In general, a bilinear map  $\mu: V \times W \rightarrow Z$  is linear in both arguments separately

$$\mu(v + av', w) = \mu(v, w) + a\mu(v', w)$$

for all  $a \in \mathbb{R}$

$$v, v' \in V$$

$$\mu(v, w + aw') = \mu(v, w) + a\mu(v, w')$$

$$w, w' \in W$$

There is an obvious generalization of this to "multilinear" functions.

Of course,  $V \times W$  is a set and we can extend  $\mu$  into a morphism using the free construction

$$V \times W \xrightarrow{\alpha} F$$

$F$  is the free vector space

$$\begin{array}{ccc} & & \downarrow \bar{\mu} \\ & \searrow \mu & \\ & & Z \end{array}$$

on  $V \times W$ .

However, we have not yet made use of  $\mu$ 's special properties and, as a result,  $F$  is bigger than it has to be.

[ $F$  is actually infinite dimensional, but this is no problem for us.]

As usual, to simplify  $F$  and  $\bar{\mu}$ , we want to find a subspace of  $F$ , take the quotient and use the standard quotient triangle diagram to extend  $\bar{\mu}$  to the quotient space. For the quotient construction to work, we want  $\bar{\mu}$  to zero the subspace. To make  $F/A$  as simple as possible, we want the largest such subspace.

Let  $\bar{A}$  be the set of elements in  $F$

$$\alpha(v+av', w) - \alpha(v, w) - a\alpha(v', w)$$

$$\alpha(v, w+aw') - \alpha(v, w) - a\alpha(v, w')$$

for  $a \in \mathbb{R}$ ,  $v, v' \in V$ ,  $w, w' \in W$ . Let  $A$  be the subspace generated by  $\bar{A}$ . You can easily check that  $\bar{\mu}(a) = 0$  for any  $a \in \bar{A}$  and, therefore, for any  $a \in A$  since  $\bar{\mu}$  is linear.

Thus

$$\begin{array}{ccc}
 V \times W & \xrightarrow{\alpha} & F & \xrightarrow{\beta} & F/A \\
 & \searrow \mu & \downarrow \bar{\mu} & \swarrow \bar{\mu} & \\
 & & Z & & 
 \end{array}$$

$\beta: f \mapsto f + A$   
 $A \subset \text{Ker } \bar{\mu}$   
 $\bar{\mu}$  is unique

natural insertion (mono)

Commutates for a unique  $\bar{\mu}$  and so  $(\beta \circ \alpha, F/A)$  is a tensor product as Geroch has defined it. This is conventionally renamed

$$V \times W \xrightarrow{\otimes} V \otimes W$$

You can easily check that  $\otimes$  is bilinear.

Geroch also shows that  $V \otimes W$  is the free vector space on  $S \times T$  where  $S$  is a basis of  $V$  and  $T$  is a basis of  $W$ . For example,  $\dim(V \otimes W) = \dim(V) \cdot \dim(W)$  for finite dimensional spaces.

Of course this applies to all vector spaces, so you can form, for example  $V \otimes V^*$ . Sometimes these come with "natural" mappings i.e.

$$(v, f) \mapsto f(v) \quad V \times V^* \rightarrow \mathbb{R}$$

This mapping is bilinear and so extends uniquely to  $V \otimes V^* \rightarrow \mathbb{R}$ . It's called a "contraction" and provides a map from, e.g.

$$A \otimes B \otimes V \otimes V^* \otimes C \rightarrow A \otimes B \otimes C$$

In old-fashioned tensor terminology the dualled vector spaces are called "covariant" and the undualled spaces are called "contravariant".

For example, if  $V = \mathbb{R}^4$ ,  $(f_x: (x, y, z, t) \mapsto x) \in V^*$  etc.,

$$f_x \otimes f_x + f_y \otimes f_y + f_z \otimes f_z - f_t \otimes f_t \in V^* \otimes V^*$$

is the Lorentz metric tensor. "Type  $\begin{pmatrix} 0 \\ 2 \end{pmatrix}$ "

Here's another "natural" mapping. This time from  $V \times V^* \rightarrow \text{End}(V)$ .

$$(v, f) \mapsto (v' \mapsto f(v')v)$$

[  $\text{End}(V) \equiv \text{Map}(V, V) \equiv \text{Lin}(V; V)$ , "endomorphisms" ]

This one is also bilinear and so extends to a unique  $V \otimes V^* \xrightarrow{\varphi} \text{End}(V)$  as in

$$\begin{array}{ccc} V \times V^* & \xrightarrow{\otimes} & V \otimes V^* \\ & \searrow & \downarrow \varphi \\ & & \text{End}(V). \end{array}$$

For example, pick some  $v \otimes f + v' \otimes f' \in V \otimes V^*$ . Then

$$\begin{aligned} \varphi(v \otimes f + v' \otimes f')(u) &= \varphi(v \otimes f)(u) + \varphi(v' \otimes f')(u) \\ &= f(u)v + f'(u)v' \text{ using linearity of } \varphi \text{ and the diagram.} \end{aligned}$$

This is often just abbreviated

$$(v \otimes f + v' \otimes f')(u) = f(u)v + f'(u)v'$$

leaving out the " $\varphi$ " for convenience. Here's another example!

$\text{End}(\mathbb{R}^2)$  includes rotations, e.g.

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

This can be written as the tensor

$$\cos \theta x \otimes f_x - \sin \theta y \otimes f_x + \sin \theta x \otimes f_y + \cos \theta y \otimes f_y \in V^* \otimes V$$

Is every  $\psi \in \text{End}(V)$  a tensor in  $V \otimes V^*$ ?

The answer is no, in general, but for finite dimensional vector spaces  $\text{End}(V) \cong V \otimes V^*$ . In any case, we can show that  $\gamma$  in the diagram above is a monomorphism. For practice, let's do this by putting in some explicit bases for  $V$  and  $V^*$ .

As usual, the convenient way to show that  $\gamma$  is mono is to show that  $\text{Ker } \gamma = \{0\}$ , i.e. that  $\gamma(x) = 0 \Rightarrow x = 0$ .

Let

$$x = \sum_{j,k} a_{j,k} v_j \otimes f_k \quad \text{where } v_j \in B \text{ (some basis for } V) \\ f_k \in C \text{ (some basis for } V^*)$$

$$\gamma(x) = \sum_{j,k} a_{j,k} \gamma(v_j \otimes f_k) \quad \text{since } \gamma \text{ is linear}$$

$$= \sum_{j,k} a_{j,k} (v' \mapsto f_k(v') v_j) \quad \text{using the diagram}$$

"0" in  $\text{End}(V)$  is the map  $(v' \mapsto 0)$ , so  $\gamma(x) = 0$

$$\Rightarrow \sum_{j,k} a_{j,k} (v' \mapsto f_k(v') v_j) = (v' \mapsto 0) = (v' \mapsto \sum_{j,k} a_{j,k} f_k(v') v_j)$$

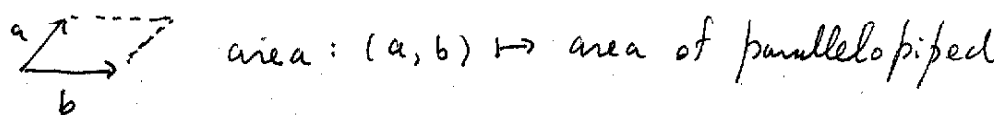
$$\Rightarrow \sum_{j,k} a_{j,k} f_k(v') v_j = 0 \quad \text{for all } v' \in V$$

$$\Rightarrow \sum_j \left( \sum_k a_{j,k} f_k(v') \right) v_j = 0 \Rightarrow \sum_k a_{j,k} f_k(v') = 0 \quad \text{for all } v', j$$

$$\Rightarrow \sum_k a_{j,k} f_k = (v' \mapsto 0) \Rightarrow a_{j,k} = 0 \text{ for all } j, k \Rightarrow x = 0 \Rightarrow \gamma \text{ is mono.}$$

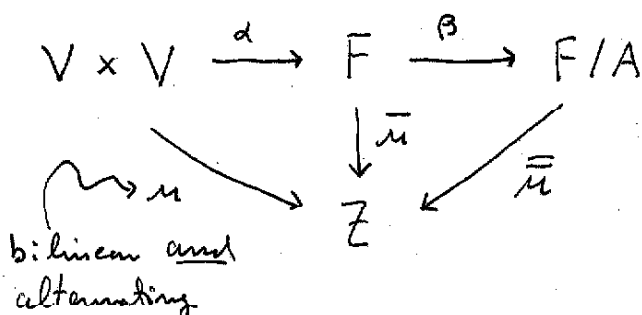
You can always put in the bases if you really want to.

One of the starting examples



is actually more than just bilinear. We also know that  $\text{area}(x, x) = 0$  for all  $x \in \mathbb{R}^2$  and this means that  $\text{area}(a, b) = -\text{area}(b, a)$  [look at  $\text{area}(a+b, a+b)$ ]. area is thus "alternating" as well as bilinear. This means that  $\bar{\mu}$  zeros more elements of  $F$  than we thought and we can take the quotient with a bigger subspace and simplify things even further.

Let



$\bar{A} = \bar{A}$  from before  $U$

$\alpha(v, v') + \alpha(v', v)$  etc.

$A =$  subspace generated by the new, larger  $\bar{A}$

$A \subset \text{Ker } \bar{\mu} \Rightarrow \bar{\mu}$  exists and is unique.

In this case  $(\beta \circ \alpha, F/A)$  is called a "wedge product" and is conventionally renamed

$$V \times V \xrightarrow{\wedge} V \wedge V$$

" $\wedge$ " is both bilinear and alternating, so,  $(v+av') \wedge v'' = v \wedge v'' + a v' \wedge v''$ ,  $v \wedge v' = -v' \wedge v$ ,  $v \wedge v = 0$  etc.

This has an obvious generalization to  $V \wedge V \wedge V$  etc. where  $v \wedge v' \wedge v''$  is alternating if any pair are swapped etc.

Wedge products are simpler than tensors and are very useful for, e.g. integration, since they represent volume elements.

For example suppose that  $V$  is  $n$ -dimensional and consider

$$V \wedge V \wedge V \wedge \dots \wedge V \equiv \text{"}\Lambda^n V\text{"}$$

$\longleftarrow$   
n times

Given an element of  $\Lambda^n V$  like  $v_1 \wedge v_2 \wedge \dots \wedge v_n$ , we can expand each of the  $v_j$  in some basis  $e_1, \dots, e_n$  of  $V$ .

Thus, any element of  $\Lambda^n V$  can be expressed as a linear combination of  $n$ -wedges of just the basis vectors.

Since such a wedge is zero if any basis vector repeats, any element of  $\Lambda^n V$  can be written as

$$t = k e_1 \wedge e_2 \wedge \dots \wedge e_n \quad \text{for some } k \in \mathbb{R}$$

$$t \in \Lambda^n V$$

Notice that the mapping  $t \mapsto k$  is an isomorphism

[Proof:  $k=0 \Rightarrow t=0$ , so it's mono. It's also clearly epi].

$\Rightarrow \Lambda^n V \cong \mathbb{R}$  it's a one dimensional vector space.

Now we get to do a new neat trick...

Given  $\varphi \in \text{End}(V)$ , consider

$$v_1 \wedge v_2 \wedge \dots \wedge v_n \mapsto \varphi(v_1) \wedge \varphi(v_2) \wedge \dots \wedge \varphi(v_n)$$

Since  $\wedge^n V$  is one dimensional,

$$\varphi(v_1) \wedge \varphi(v_2) \wedge \dots \wedge \varphi(v_n) = k v_1 \wedge v_2 \wedge \dots \wedge v_n$$

for some  $k \in \mathbb{R}$ . You can easily see that this same factor  $k$  occurs independent of  $v_1 \wedge v_2 \wedge \dots \wedge v_n$ .

Proof. Any  $w_1 \wedge w_2 \wedge \dots \wedge w_n = a v_1 \wedge v_2 \wedge v_3 \wedge \dots \wedge v_n$  for some  $a \in \mathbb{R}$ .  
 $\varphi(av_1) \wedge \varphi(v_2) \wedge \dots \wedge \varphi(v_n) = k a v_1 \wedge v_2 \wedge \dots \wedge v_n = k w_1 \wedge w_2 \wedge \dots \wedge w_n$ .

$k$  has a special name. It's called the determinant of  $\varphi$ .

Intuitively, you can see that  $\det(\varphi)$  is just the factor by which the volume element of  $V$  changes under the mapping  $\varphi$ . In particular,  $\det(\varphi) = 1$  mappings are volume preserving.

The properties of the determinant are now obvious, e.g.

$$\det(\varphi \circ \psi) = \det(\varphi) \cdot \det(\psi).$$

This means, by the way, that  $\text{Aut}(V) \xrightarrow{\det} \mathbb{R}^*$  is a group homomorphism from  $\text{Aut}(V)$  to the multiplicative group of nonzero reals. For example  $\text{Ker}(\det)$  is a volume preserving subgroup of  $\text{Aut}(V)$ .



You can also easily show

Theorem:  $\varphi \in \text{End}(V)$  is an isomorphism iff  $\det(\varphi) \neq 0$ .

Proof. If  $\varphi$  is an isomorphism,  $i_V = \varphi^{-1} \circ \varphi$ ,  $\det(i_V) = 1 = \det(\varphi) \det(\varphi^{-1}) \Rightarrow \det(\varphi) \neq 0$ .

Conversely, if  $\varphi$  is not an isomorphism, there must be a nonzero  $v$  in the kernel of  $\varphi$ . Choose a basis including  $v$  so that  $v \wedge b_2 \wedge \dots \wedge b_n$  is a basis of  $\Lambda^n V$ . But  $\varphi(v) \wedge \varphi(b_2) \wedge \dots \wedge \varphi(b_n) = 0 = \det \varphi \cdot v \wedge b_2 \wedge \dots \wedge b_n \Rightarrow \det \varphi = 0$ .

Here's a sample application. The most general eigenvalue problem is this: Given  $\varphi \in \text{End}(V)$ , find nonzero  $v_\lambda$  and  $\lambda \in \mathbb{R}$  s.t.

$$\varphi(v_\lambda) = \lambda v_\lambda$$

However  $\varphi(v_\lambda) = \lambda v_\lambda$  iff  $(\varphi - \lambda i_V)(v_\lambda) = 0$ . Since nonzero  $v_\lambda$  is in the kernel of  $\varphi - \lambda i_V$ ,  $\varphi - \lambda i_V$  is not an isomorphism,  $\Rightarrow \det(\varphi - \lambda i_V) = 0!$