

## Topology (S.Y., Feb 2000).

A set  $X$  with "open" subsets

- i) Containing both  $X$  and  $\emptyset$
- ii) Containing  $\bigcup_{\lambda} O_{\lambda}$  for open  $O_{\lambda}$  ( $\lambda \in \Lambda$ ).
- iii) Containing  $O \cap O'$  if  $O, O'$  are open.

is called a topological space. A particular collection of open sets on  $X$  is called a topology on  $X$ .

The objects in this category are topological spaces. The morphisms are continuous functions  $X \xrightarrow{g} Y$  i.e. functions satisfying  $g^{-1}[O_Y]$  is open for every open  $O_Y \subset Y$ .

monomorphism  $\Leftrightarrow$  one-to-one continuous maps

epimorphism  $\Leftrightarrow$  onto continuous map

isomorphism  $\Leftrightarrow$  one-to-one, onto continuous map whose inverse is continuous.

This category has both direct sums and products, subobjects and quotients.

### examples

$X, \emptyset$  only : The "indiscrete" topology

$\wp(X)$ : all subsets of  $X$  : The "discrete" topology

$\mathbb{R}$  : open sets are subsets  $O \subset \mathbb{R}$  such that for any  $x \in O$ , there is an  $\epsilon > 0$  s.t.  $|x' - x| < \epsilon \Rightarrow x' \in O$ .

Any metric space.

Any normed vector space.

$$\begin{aligned} m(v+v') &\leq m(v) + m(v') \\ m(av) &= a m(v) \\ m(v) &= 0 \text{ iff } v = 0 \end{aligned} \quad \left. \begin{array}{l} \text{normed vector} \\ \text{space} \end{array} \right\}$$

## Characterizing open sets

Theorem: A set  $A \subset X$  is open iff for every  $x \in A$ ,  
there is an open  $\mathcal{O}_x$  such that  $x \in \mathcal{O}_x \subset A$ .

Proof. Suppose that  $A$  is open. Then for any  $x \in A$ ,  $A \subset A$ .  
Conversely, if there are open  $\mathcal{O}_x \ni x$ ,  $\mathcal{O}_x \subset A$  for each  $x \in A$ ,  
then  $A = \bigcup \mathcal{O}_x$  is open.

Definitions:

$\text{Int}(A)$  "interior" is the union of all open subsets of  $A$ .

$\text{Cl}(A)$  "closure" is the intersection of closed subsets of  $A$ .

$\text{Boundary}(A)$  "boundary" is  $\text{Cl}(A) - \text{Int}(A)$ .

Theorem (Gersch):

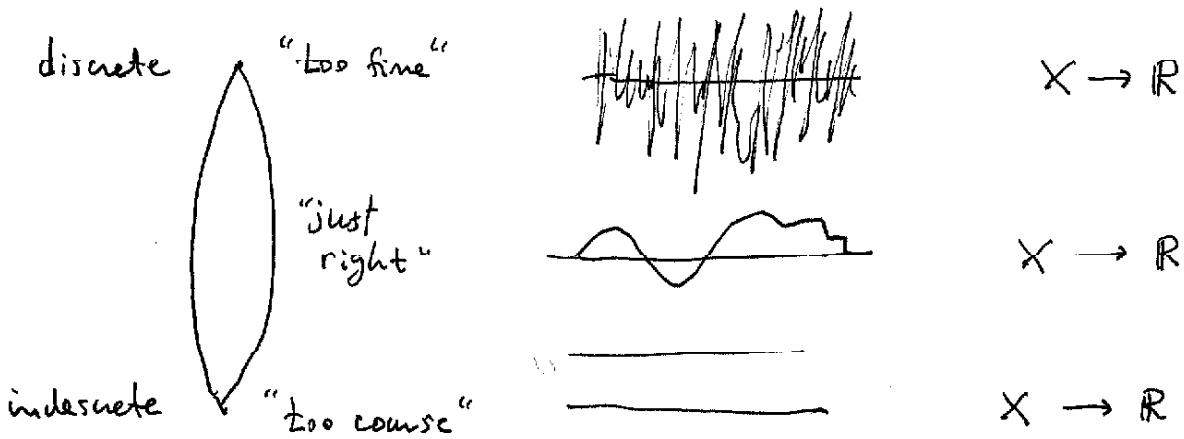
$x \in \text{Int}(A)$  iff some open neighborhood of  $x$  is a subset of  $A$ .

$x \in \text{Cl}(A)$  iff every open neighborhood of  $x$  intersects  $A$ .

$x \in \text{Boundary}(A)$  iff every open neighborhood of  $x$  intersects both  $A, A^c$ .



Consider topologies on a fixed set  $X$ . These are subsets of  $\mathcal{P}(X)$ , so we can order topologies by inclusion.



Suppose that  $\mathcal{T}$  and  $\mathcal{T}'$  are topologies on  $X$ . Then  $\mathcal{T} \cap \mathcal{T}'$  is also a topology.

Proof,

- i)  $\emptyset$  and  $X$  are both in  $\mathcal{T} \cap \mathcal{T}'$
- ii)  $\bigcup \mathcal{O}_\alpha$  is in  $\mathcal{T} \cap \mathcal{T}'$  if  $\mathcal{O}_\alpha$  are in  $\mathcal{T} \cap \mathcal{T}'$ .
- iii)  $\mathcal{O} \cap \mathcal{O}'$  is in  $\mathcal{T} \cap \mathcal{T}'$  if  $\mathcal{O}, \mathcal{O}'$  are in  $\mathcal{T} \cap \mathcal{T}'$ .

Exercise: Extend this result to arbitrary intersections of topologies.

We can use this to define topologies generated by subsets.

Let  $A$  be a collection of subsets of  $X$ . The topology generated by  $A$  is the intersection of all topologies containing  $A$  as a collection of open sets. Since the discrete topology contains  $A$ , the intersection is not empty.

e.g. Theorem: The real line is the topology generated by open intervals.

Proof. Every open set in  $\mathbb{R}$  is the union of open balls (intervals). The topology generated by intervals includes all such unions  $\Rightarrow$  is  $\mathbb{R}$ .

## What about morphisms?

Theorem. Let  $g: X \rightarrow Y$  be a mapping.  $g$  is continuous iff for any  $x \in X$  and any open neighborhood  $\theta \ni g(x)$ , there is an open  $\theta_x \ni x$  s.t.  $g[\theta_x] \subset \theta$ .

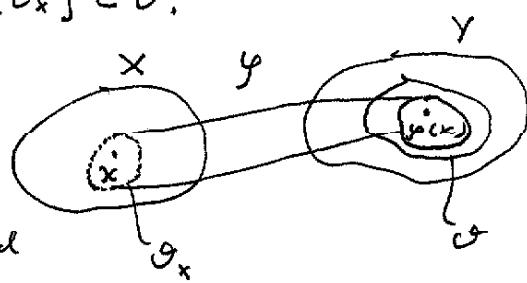
Proof. Suppose that  $g$  is continuous.

Given any  $x \in X$ ,  $\theta \ni g(x)$  open in  $Y$ , clearly  $g^{-1}[\theta]$  is an open neighborhood of  $x$  satisfying  $g[g^{-1}[\theta]] = \theta \subset \theta$ .

Conversely, suppose that  $\theta$  is open in  $Y$ . Then, for any  $x \in g^{-1}[\theta]$ , there is an open  $\theta_x \ni x$  such that  $g[\theta_x] \subset \theta$ .

Since  $\theta_x \subset g^{-1}[\theta]$  for each  $x$ ,  $g^{-1}[\theta] = \bigcup_x \theta_x$  is open.

We'll do more of this when we discuss "Nets".

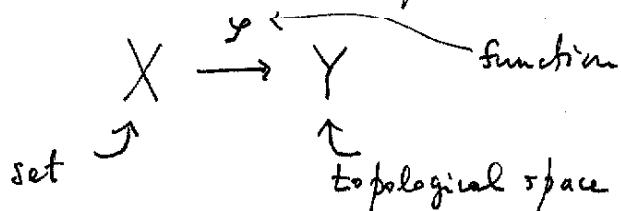


## Making topologies from existing topologies.

Let  $A$  be any subset of topological space  $X$ . I claim that the collection of sets  $A \cap \mathcal{O}$  with  $\mathcal{O}$  open in  $X$  is a topology on  $A$ .

- Proof.
- i) Both  $A = A \cap X$  and  $\emptyset = A \cap \emptyset$  are open.
  - ii) If  $A \cap \mathcal{O}_\lambda$  are open, then  $\bigcup_\lambda (A \cap \mathcal{O}_\lambda) = A \cap (\bigcup \mathcal{O}_\lambda)$  is also open.
  - iii) If  $A \cap \mathcal{O}$  and  $A \cap \mathcal{O}'$  are open, so is  $(A \cap \mathcal{O}) \cap (A \cap \mathcal{O}') = A \cap (\mathcal{O} \cap \mathcal{O}')$ .

You can "induce" topologies with an arbitrary function,



Let open sets in  $X$  be  $g^{-1}(\mathcal{O}_y)$  for open  $\mathcal{O}_y$  in  $Y$ .

- i)  $X = g^{-1}[Y]$  and  $\emptyset = g^{-1}[\emptyset]$  are open
- ii) If  $g^{-1}(\mathcal{O}_\lambda)$  are open, then so is  $\bigcup_\lambda g^{-1}(\mathcal{O}_\lambda) = g^{-1}\left[\bigcup_\lambda \mathcal{O}_\lambda\right]$ .
- iii) If  $g^{-1}(\mathcal{O})$  and  $g^{-1}(\mathcal{O}')$  are open, then so is  $g^{-1}(\mathcal{O}) \cap g^{-1}(\mathcal{O}') = g^{-1}(\mathcal{O} \cap \mathcal{O}')$ .

exercise: show that a similar trick can be used to induce a topology on  $Y$  if  $X$  is a topological space.

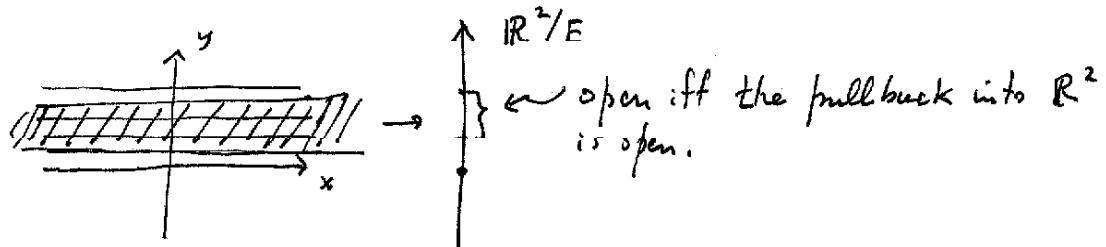
## Quotient Topologies

Let  $X$  be a topological space with equivalence relation  $E$ . Then if

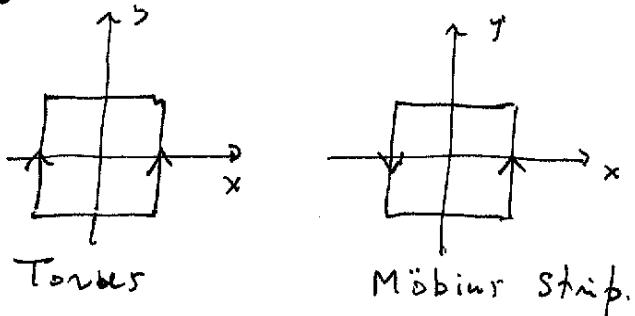
$$X \xrightarrow{\alpha} X/E \quad \alpha: x \mapsto [x]$$

is the natural ~~surjection~~ mapping,  $X/E$  with the topology induced by  $\alpha$  is called the quotient topology.

ex: Let  $(x,y)E(x',y')$  iff  $x=x'$  be an equivalence relation on  $\mathbb{R}^2$ .



e.g.



## Direct product topologies

Naturally, the first guess for the direct product of topological spaces  $X$  and  $Y$  is the cartesian product.

$$X \xleftarrow{\alpha} X \times Y \xrightarrow{\beta} Y \quad \begin{aligned} \alpha: (x, y) &\mapsto x \\ \beta: (x, y) &\mapsto y \end{aligned}$$

What topology do we put on  $X \times Y$ ?

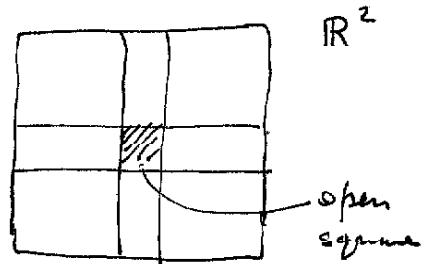
→ Since  $\alpha, \beta$  are supposed to be morphisms, we definitely require  $\alpha^{-1}[\mathcal{O}_x]$  and  $\beta^{-1}[\mathcal{O}_y]$  to be open for open  $\mathcal{O}_x, \mathcal{O}_y$ . Try the topology generated by these sets only.

In general, the generated topology has open sets which are arbitrary unions of  $\alpha^{-1}[\mathcal{O}_x] \cap \beta^{-1}[\mathcal{O}_y]$ .

Consider

$$X \xleftarrow{\alpha} X \times Y \xrightarrow{\beta} Y$$

$$\gamma \uparrow \delta \quad z \quad \psi$$



From the set category, there is a unique  $\gamma$  that causes this to commute.. The only question is whether it's continuous.

$$\begin{aligned} \gamma^{-1}[\alpha^{-1}[\mathcal{O}_x] \cap \beta^{-1}[\mathcal{O}_y]] &= \gamma^{-1}[\alpha^{-1}[\mathcal{O}_x]] \cap \gamma^{-1}[\beta^{-1}[\mathcal{O}_y]] \\ &= (\alpha \circ \gamma)^{-1}[\mathcal{O}_x] \cap (\beta \circ \gamma)^{-1}[\mathcal{O}_y] = \gamma^{-1}[\mathcal{O}_x] \cap \psi^{-1}[\mathcal{O}_y] \text{ is open.} \end{aligned}$$

→  $\gamma$  is continuous.

Any Questions....? I have one...

Why are unions of the form  $\alpha^{-1}(\mathcal{O}_x) \cap \beta^{-1}(\mathcal{O}_y)$  sufficient?

This wasn't clear to me when I read Geroch, but you can think about it this way:

The general situation is having a mapping  $g: X \rightarrow Y$  where  $Y$  has a topology generated by some subsets  $A \subseteq \mathcal{P}(Y)$ . It would be convenient if the pullback of subsets in  $A$  implied that  $g$  was continuous. However, this is not good enough because, roughly,  $g$  is unconstrained in regions of  $Y$  not covered by a subset in  $A$ .

However, let's suppose that  $A$  includes  $Y$  and  $\emptyset$  and that  $A$  is closed under intersection. Then it is easy to prove:

Proposition: Arbitrary unions of subsets in  $A$  is the topology generated by  $A$ .

Proof. First prove that we have a topology.

- i)  $Y$  and  $\emptyset$  are both in  $A$  by decree
- ii) If  $\cup_{\alpha} U_{\alpha}$  are arbitrary unions of elements in  $A$ , then  $\cup_{\alpha} U_{\alpha}$  is also an arbitrary union.

- iii) If  $\cup_{\alpha} A_{\alpha}$  and  $\cup_{\beta} B_{\beta}$  are open, then

$$(\cup_{\alpha} A_{\alpha}) \cap (\cup_{\beta} B_{\beta}) = \cup_{\alpha} (A_{\alpha} \cap \cup_{\beta} B_{\beta}) = \cup_{\alpha} (\cup_{\beta} (A_{\alpha} \cap B_{\beta}))$$

is also an arbitrary union since  $A_{\alpha} \cap B_{\beta}$  is in  $A$ .

Finally any topology generated from  $A$  must include all these open sets and therefore this is the topology generated by  $A$ .

Finally applying this to our direct product situation, notice that the collection of subsets

$$\alpha^{-1}[\mathcal{O}_x] \cap \beta^{-1}[\mathcal{O}_y] \quad \text{with } \mathcal{O}_x \text{ open in } X, \mathcal{O}_y \text{ open in } Y$$

includes  $X \times Y$ ,  $\emptyset$  and is closed under intersection. Therefore the open sets in the generated topology are arbitrary unions of these, just as Borsch ~~stated~~ states.

Let's also polish off the direct sum.

$$X \xrightarrow{\alpha} X \cup_d Y \xleftarrow{\beta} Y \quad \begin{aligned} \alpha: x &\mapsto (x, 1) \\ \beta: y &\mapsto (y, 2) \end{aligned}$$

A subset  $\mathcal{O}$  of  $X \cup_d Y$  is open iff  $\alpha^{-1}[\mathcal{O}]$  and  $\beta^{-1}[\mathcal{O}]$  are both open.

It's then easy to see that this is a topology. Consider the diagram

$$\begin{array}{ccccc} X & \xrightarrow{\alpha} & X \cup_d Y & \xleftarrow{\beta} & Y \\ & \searrow \gamma & \downarrow \delta & \swarrow \eta & \\ & Z & & & \end{array}$$

As with the product, there is a unique mapping  $\delta$  causing the diagram to commutes (from the Category of Sets).

The only issue is whether  $\delta$  is continuous..

$$\delta^{-1}[\delta^{-1}[\mathcal{O}_2]] = (\delta \circ \alpha)^{-1}[\mathcal{O}_2] = \gamma^{-1}[\mathcal{O}_2]$$

$$\delta^{-1}[\delta^{-1}[\mathcal{O}_2]] = (\delta \circ \beta)^{-1}[\mathcal{O}_2] = \eta^{-1}[\mathcal{O}_2]$$

so  $\delta^{-1}[\mathcal{O}_2]$  is open.

## Nets

A directed set is a partially ordered set  $\Delta$  where for every  $\delta, \delta'$  in  $\Delta$ , there is a  $\delta'' \in \Delta$  such that  $\delta \leq \delta''$  and  $\delta' \leq \delta''$ .

Given a topological space  $X$ , a net in  $X$  is a mapping  $f: \Delta \rightarrow X$ , typically denoted  $x_\delta$  for  $f(\delta)$ .

A net ~~consists~~  $x_\delta$  converges to  $x$  if, for every open  $\mathcal{O} \ni x$ , there is a  $\delta \in \Delta$  such that  $\delta' \geq \delta \Rightarrow x_{\delta'} \in \mathcal{O}$ .

Theorem: Let  $X$  be a Hausdorff topological space. Then if a net in  $X$  has a limit, it is unique.

Theorem: Let  $A$  be a subset of  $X$ . The closure of  $A$  is exactly the ~~a~~ limit points of nets in  $A$ .

Proof. Suppose that  $x$  is a limit point of  $x_\delta$ . Given any open neighbourhood of  $x$ , there is an  $x_\delta$  inside this neighbourhood  $\Rightarrow x$  is in the closure of  $A$ .

Conversely, suppose that  $x$  is in the closure of  $A$ . This means that every open neighbourhood of  $x$  overlaps  $A$ . Let  $\Delta$  be the directed set of such neighbourhoods and for each  $\mathcal{O} \in \Delta$ , choose  $x_\delta$  in  $A \cap \mathcal{O}$ . Then, for any open neighbourhood  $\mathcal{O}$  of  $x$ ,  $\delta \leq \delta' \Rightarrow x_{\delta'} \in \mathcal{O} \Rightarrow x_{\delta'} \in \mathcal{O} \Rightarrow x_\delta$  converges to  $x$ .

You can see how fine-tuned these definitions are if you realize that the previous theorem is false if you use sequences instead of nets.

## Compactness

A topological space is compact if every open cover has a finite subcover.

examples: The discrete topology on  $\mathbb{R}$  is not compact because the cover consisting of individual points has no finite subcover.

The indiscrete topology is compact since every open cover must include the whole space.

$(0,1) \subset \mathbb{R}$  is not compact. For example,  $(\frac{1}{m}, 1 - \frac{1}{m})$  for  $m \geq 3$  covers  $(0,1)$  but has no finite subcover.

On the other hand,  $[0,1]$  is compact (actually any closed, bounded subset of  $\mathbb{R}^n$  is compact by the Heine-Borel theorem).

To understand why  $(0,1)$  and  $[0,1]$  are different, first consider sequences of ordered open or closed intervals

$$\mathcal{O}_1 \supset \mathcal{O}_2 \supset \mathcal{O}_3 \supset \dots \quad \leftarrow \text{open intervals}$$

$$\mathcal{C}_1 \supset \mathcal{C}_2 \supset \mathcal{C}_3 \supset \dots \quad \leftarrow \text{closed intervals}$$

The difference key difference in these two situations is that  $\bigcap \mathcal{O}_i$  may be empty, but  $\bigcap \mathcal{C}_i$  is nonempty. For example,

$$\bigcap_m (0, 1/m) = \emptyset \quad \text{but} \quad \bigcap_m [0, 1/m] = \{0\}.$$

To prove this for a general sequence  $\mathbb{R}^{RR, RR} [l_i, h_i] \supset [l_2, h_2] \supset [l_3, h_3] \supset \dots$ , let  $h$  be the greatest lower bound of  $h_1, h_2, \dots$ ,  $l$  be the least upper bound of  $l_1, l_2, \dots$ . Then  $l \leq h$  and  $[l, h] \subset \bigcap [l_i, h_i] \neq \emptyset$ .

Theorem. Let  $X \xrightarrow{g} Y$  be a mapping.  $g$  is continuous iff for every net  $x_s$  converging to  $x$  in  $X$ , the net  $g(x_s)$  converges to  $g(x)$  in  $Y$ .

Proof. First suppose that  $g$  is continuous. Choose any open  $\mathcal{O} \ni g(x)$ . There is an open  $\mathcal{O}_x \ni x$  s.t.  $g[\mathcal{O}_x] \subset \mathcal{O}$  and a  $\delta$  s.t.  $s \geq \delta \Rightarrow x_s \in \mathcal{O}_x \Rightarrow g(x_s) \in \mathcal{O} \Rightarrow g(x_s)$  converges to  $g(x)$ .

Conversely, suppose that the condition is not satisfied but that  $g$  is not continuous. Then there must be an  $x \in X$ , ~~and~~ an open  $\mathcal{O} \ni g(x)$  such that  $g[\mathcal{O}_x] \not\subset \mathcal{O}$  for every open  $\mathcal{O}_x \ni x$ . For each such  $\mathcal{O}_x$ , choose  $x_{\mathcal{O}_x} \in g[\mathcal{O}_x] - \mathcal{O}$ . Then  $x_{\mathcal{O}_x}$  converges to  $x$  but  $g(x_{\mathcal{O}_x})$  does not converge to  $g(x)$ .

One more definition. A net  $x_s$  is said to have an accumulating point if, for any  $\delta$ , there exists a  $\delta' \geq \delta$  such that

A net  $x_s$  is said to accumulate at  $x$  if, given any open  $\mathcal{O}_x \ni x$ ,  $s \in S$ , there exists a  $\delta' \geq \delta$  s.t.  $x_{s'} \in \mathcal{O}_x$ .

Then we can argue, as Gausch does, that if  $[0, 1]$  is covered but has no finite <sup>sub</sup>cover, then either  $[0, \frac{1}{2}]$  or  $[\frac{1}{2}, 1]$  <sup>must</sup> have no finite subcover. Iterating this argument we have an ordered sequence of closed subsets of  $[0, 1]$ ,  $C_1 \supset C_2 \supset \dots$  where none of the  $C_i$  have a finite subcover. This sequence must converge to some  $a \in [0, 1]$  which must be contained by some  $\mathcal{O}_\lambda$  in the cover  $\Rightarrow \Leftarrow$ .

Theorem (Gausch) : A topological space  $X$  is compact if and only if every net in  $X$  has an accumulation point.

Theorem : A closed subset of a compact topological space is compact.

Theorem : A compact subset of a Hausdorff space is closed.

Theorem : If  $f : X \rightarrow Y$  is continuous,  $C$  a compact subset of  $X$ , then  $f[C]$  is compact in  $Y$ .

Proof. Suppose  $\mathcal{O}_\lambda$  covers  $f[C]$ . Then  $f^{-1}[\mathcal{O}_\lambda]$  covers  $C$ .

A finite number of these  $f^{-1}[\mathcal{O}_1], f^{-1}[\mathcal{O}_2], \dots, f^{-1}[\mathcal{O}_n]$  cover  $C$ .

$\Rightarrow \mathcal{O}_1, \mathcal{O}_2, \dots, \mathcal{O}_n$  cover  $f[C]$ .