

# Super-Kamiokande: The Road to Neutrino Oscillations

James Stone

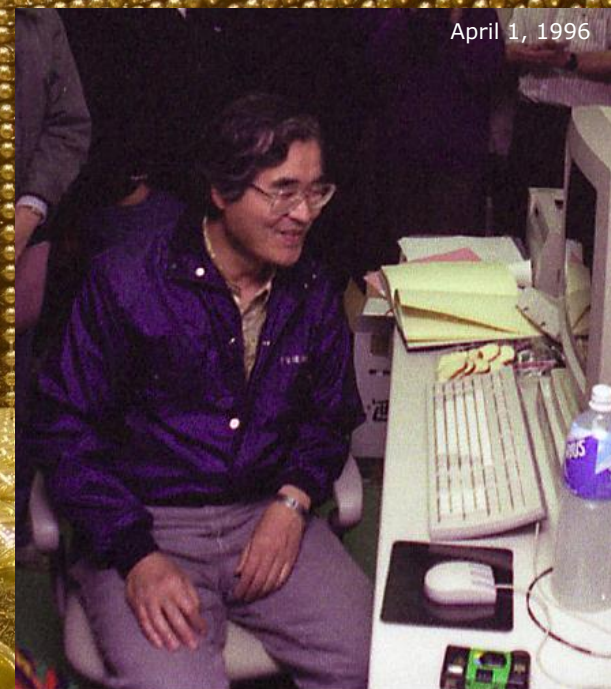
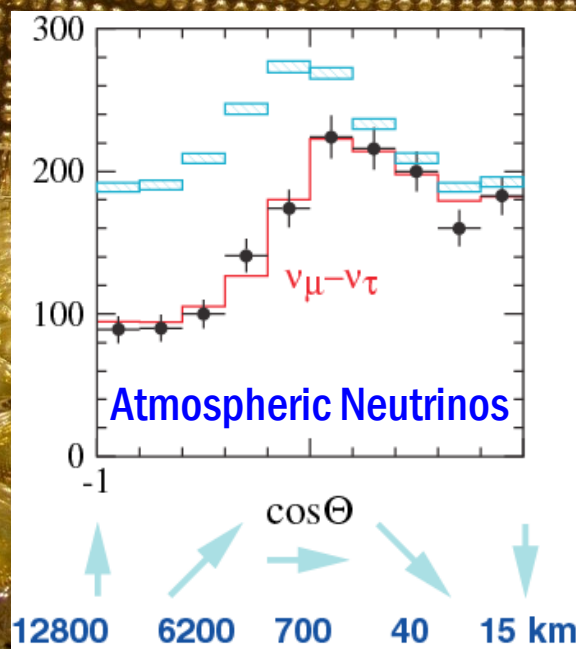
Boston University

A Symposium on the Occasion of the  
**Benjamin Franklin Medal** for the Experimental  
Discovery of Neutrino Oscillations

April 25, 2007 Philadelphia

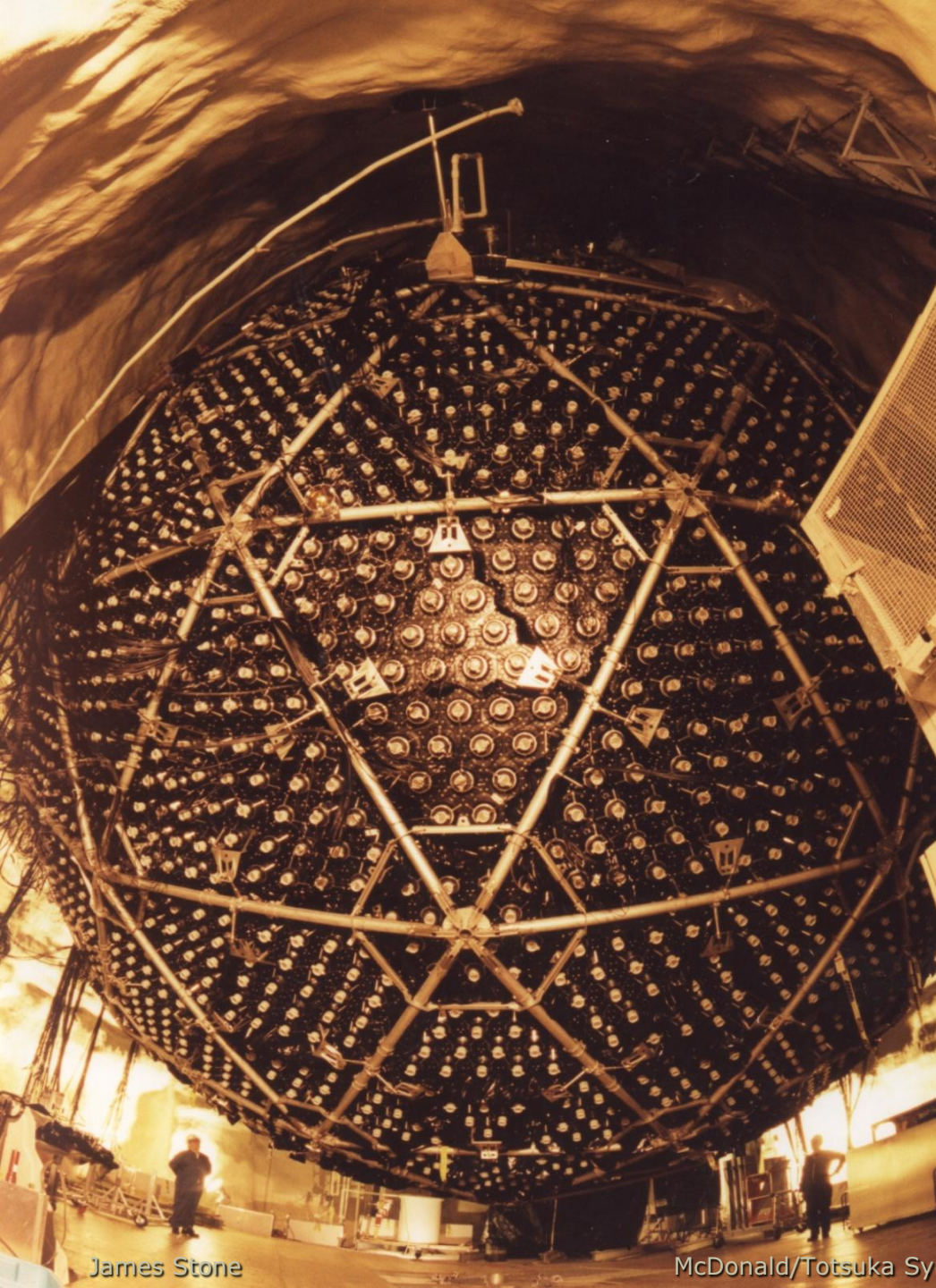


April 1, 1996



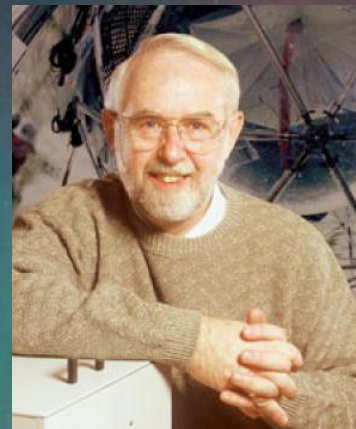
Yoji Totsuka





James Stone

McDonald/Totsuka Symposium

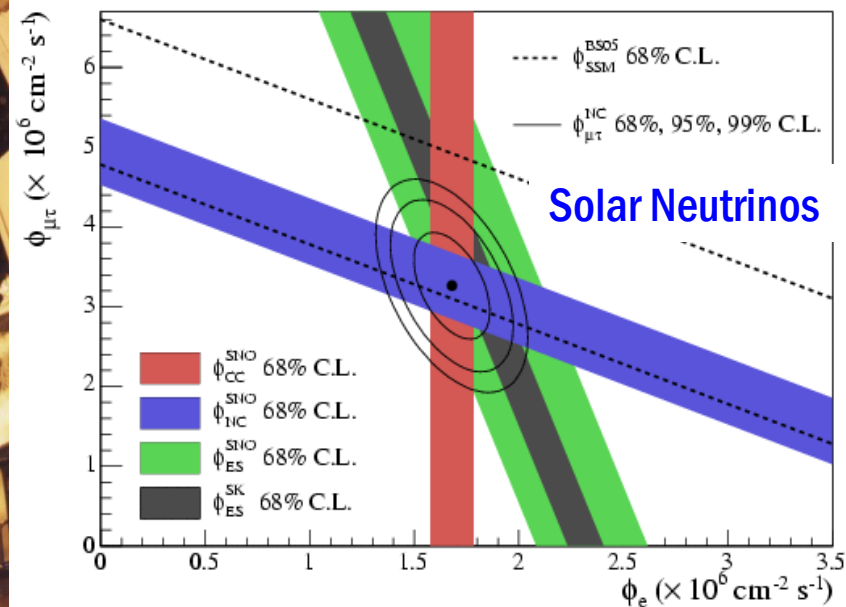


Art McDonald

Fluxes ( $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )

- $\nu_e$  : 1.76(11)
- $\nu_{\mu, \tau}$  : 3.41(66)
- $\nu_{\text{TOTAL}}$  : 5.09(64)
- $\nu_{\text{SSM}}$  : 5.05

$$\Phi(\nu_e) < \Phi(\nu_e + \nu_\mu + \nu_\tau) \approx \Phi(\text{SSM})$$



# Plan for Today's Talk

- Focus on the role of atmospheric neutrinos in revealing neutrino oscillations.
- Tell the story of large water Cherenkov detectors and why we built them.
- Give details of the initial discovery of neutrino oscillations and the current data.
- Present additional checks and confirmations.
- Preview the future for precision measurements in neutrino physics.

I'll start with some historical milestones ...



# Discovery of the Free Neutrino, 1956

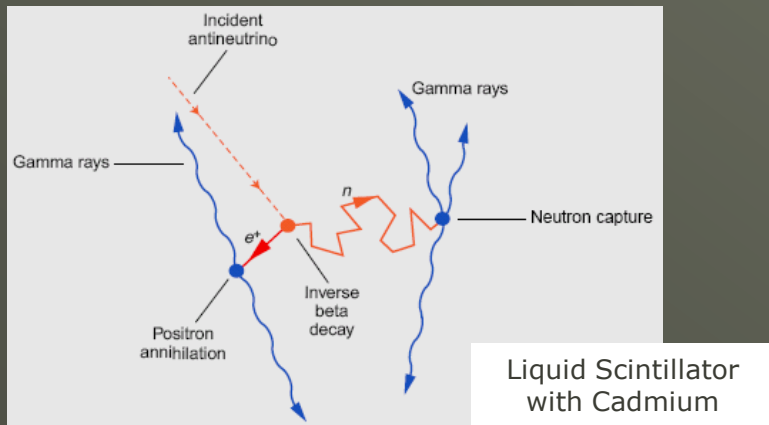
"Project Poltergeist"

Hanford and Savannah River Reactors



Fred Reines

Clyde Cowan

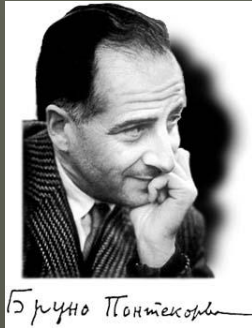


*Phys. Rev. Lett.* 92:330 (1953)  
*Science* 124(3201):103 (1956) "... A Confirmation"  
*Phys. Rev.* 117(1) :159 (1960)



# Oscillations and Neutrino Flavor

**Bruno Pontecorvo** first suggested the possibility of neutrino oscillations if they had a small mass. Since only one neutrino was known, he was thinking that analogous to  $K^0 - \bar{K}^0$  mixing:



$$\nu \leftrightarrow \bar{\nu}$$

*J.Exp.Theor.Phys.* 33 549 (1957)

*J.Exp.Theor.Phys.* 34 247 (1957)

In 1962, **Lederman, Schwartz, Steinberger, et al.** published evidence for the **muon neutrino** the their BNL/AGS experiment.



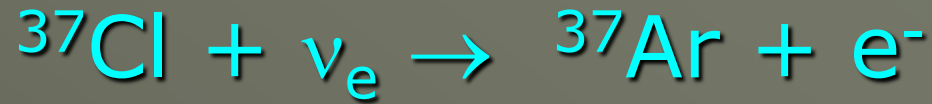
Neutrinos from **pion decays make muons but not electrons**. This could not be the same neutrino of nuclear beta decay that Reines and Cowan had seen.  $\pi \rightarrow \mu + (\nu / \bar{\nu})$   $\nu_{\mu} \neq \nu_e$

**Z. Maki, M. Nakagawa, S. Sakata, "Remarks on the unified model of elementary particles", *Prog.Theor.Phys.*, 28, 870 (1962).**



Late 1960's

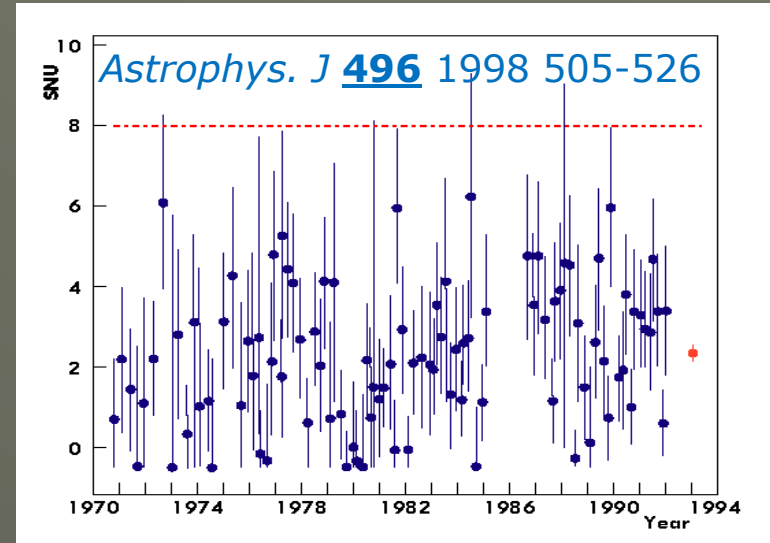
# Neutrinos From the Sun



100,000 gals  $\text{C}_2\text{Cl}_4$



Davis and Bahcall in Homestake Mine



**Ray Davis** builds the Chlorine detector in Homestake Mine.

**John Bahcall** generates the Standard Solar Model with solar  $\nu$  flux predictions.

V. Gribov and B. Pontecorvo, *Phys.Lett.* **28B** 463 (1969). Suggested that Davis measurement could be explained by neutrino flavor oscillations.

$$\frac{R(\text{Measured})}{R(\text{Predicted})} = 0.34 \pm 0.03(\text{exp}) \pm 0.05(\text{th})$$

First evidence for neutrinos coming from the Sun.

First evidence for a deficit in the solar neutrino flux.

**We have a Solar Neutrino Problem**



$p + N \rightarrow \pi\text{'s and } K\text{'s}$

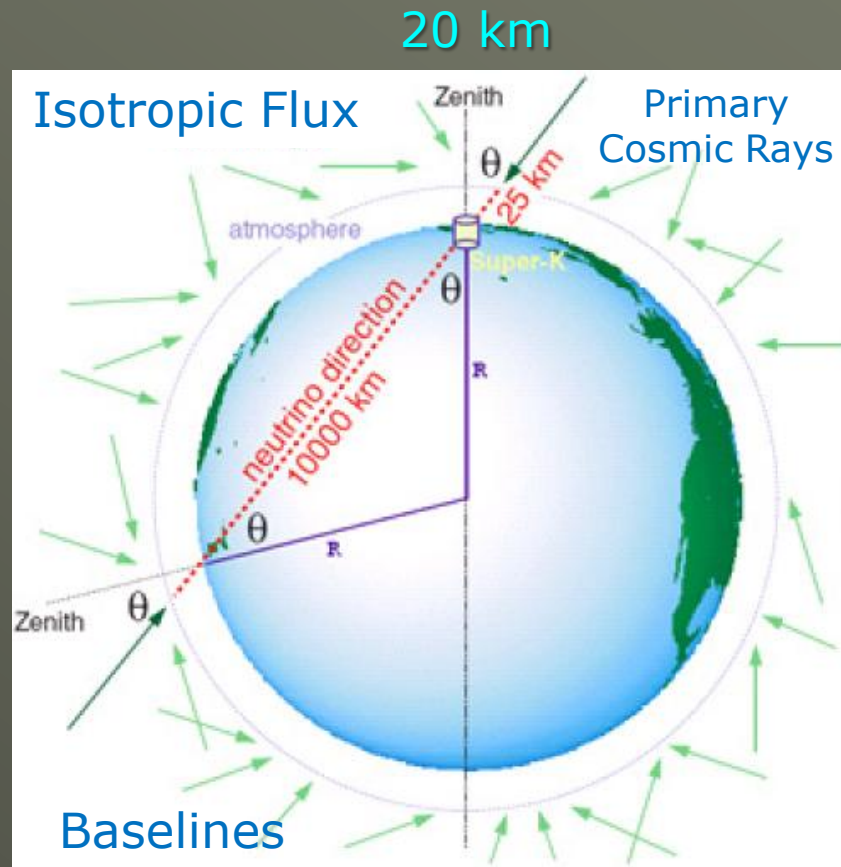
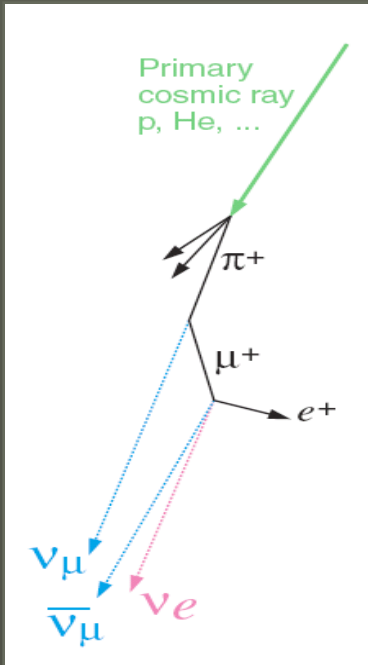
$\pi^\pm \rightarrow \mu^\pm + \nu_\mu (\bar{\nu}_\mu)$

$K^\pm \rightarrow \mu^\pm + \bar{\nu}_\mu (\nu_\mu)$

$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$

$\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$

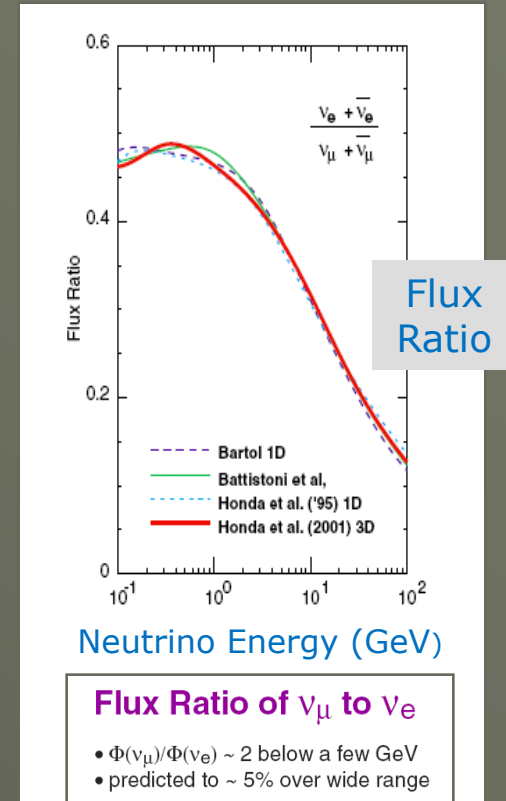
# Atmospheric Neutrinos



$$\frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} = 2$$

**2:1 Flavor Ratio**

Gaisser and Honda,  
Ann.Rev.Nuc.Part.Sci.52(2002)

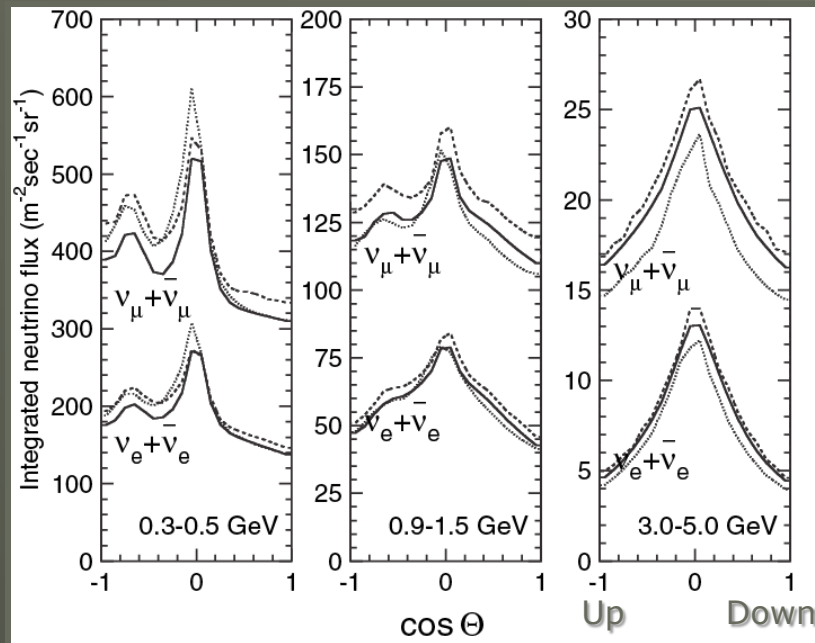


The absolute flux is uncertain by 10 – 20 %, but the **flux ratio** of  $\nu_\mu$  to  $\nu_e$  is predicted to  $\sim 5\%$  over a wide range of neutrino energy.

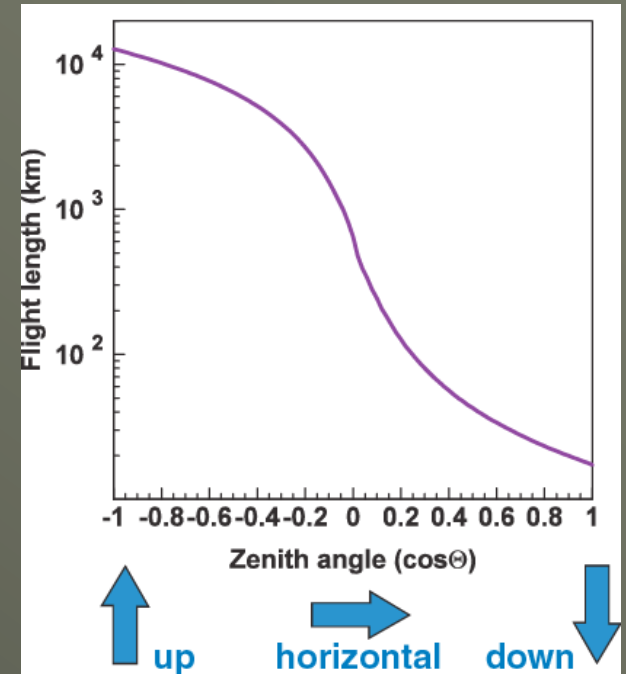


# Atmospheric Neutrinos

## Flux Characteristics



## Pathlength Characteristics



Up-Down Symmetric  $E_\nu > \text{few GeV}$

Up - Down Asymmetry

$$A \equiv \frac{(U - D)}{(U + D)}$$

$$R \equiv \frac{\left( \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \right)_{\text{Measured}}}{\left( \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \right)_{\text{Calculated}}}$$

Up - Down Event #s

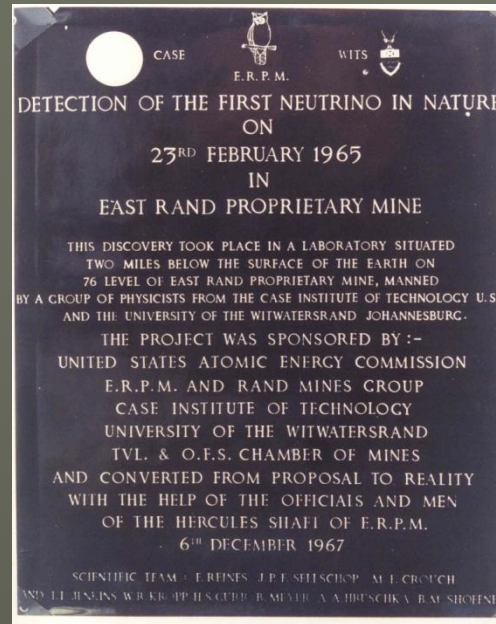
$$\frac{\text{Up}}{\text{Down}} = 1$$

if symmetric

# Neutrinos From Earth's Atmosphere



2 Miles Deep



Bill Kropp  
has detected more  
atmospheric neutrinos  
than any other person?

The first atmospheric neutrinos were detected in the mid-1960's. This is the Reines experiment in the East Rand Proprietary Mine in South Africa.

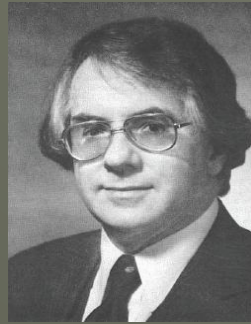
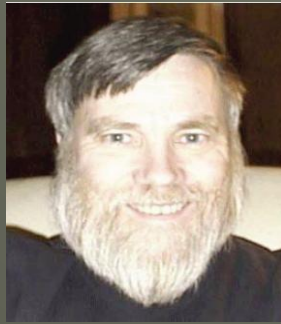
Another Japan-India experiment at the Kolar Gold Fields Mine also claims to have been first.

SA+IMB+SK



The 1970's

# Grand Unified Theories ...



A group of theorists H. Georgi, S. Glashow, J. Pati, A. Salam and Others ...

Grand Unification Theory called SU(5)  $p \rightarrow e^+ \pi^0$

Proton Lifetime predicted to be  $\sim 10^{29} \pm 1.7$  years

Large H<sub>2</sub>O Cherenkov detectors are born:

Kamioka Nucleon Decay Experiment = KamiokaNDE

Irvine, Michigan, Brookhaven = IMB

Several groups around the world knew that this lifetime could be measured by watching a few thousand tons of matter for a few years. They started building various kinds of detectors in underground mines and tunnels.

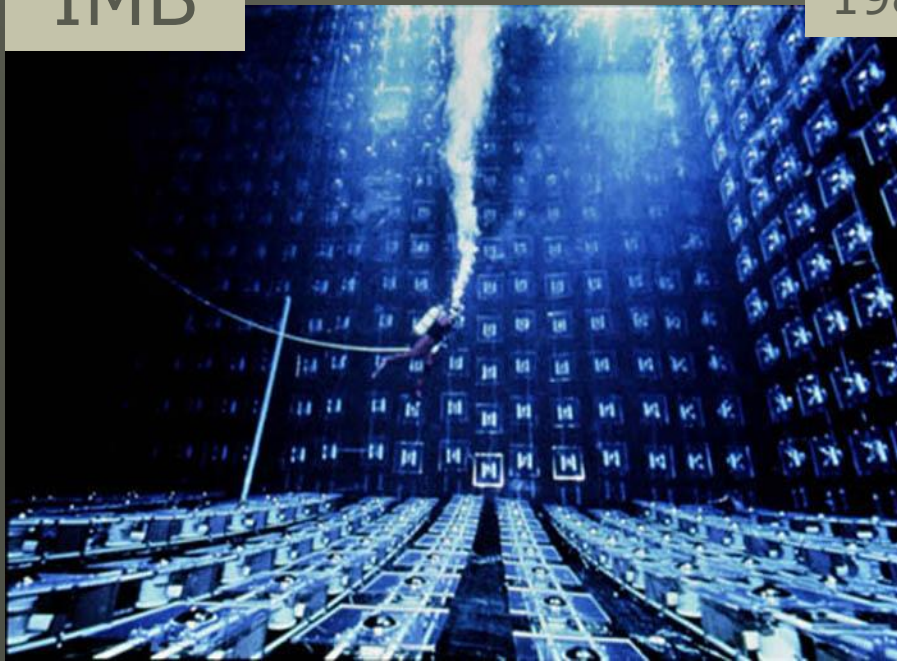


IMB

1980's



KamiokaNDE



Begins era of large water Cherenkov detectors in deep underground caverns.



# Water Cherenkov Detector Fact Sheets

## IMB

- ◆ 8 kton total mass
- ◆ 3.3 kton fiducial mass
- ◆ 2018 5" PMTs (IMB-I), 8" PMTs + WLS plates (IMB-III)
- ◆ 2% then  $\sim 8\%$  photocathode
- ◆ Electronics records Q and T
- ◆ Data taking 1982 - 1991



James Stone



McDonald/Totsuka Symposium

## Kamiokande

- ◆ 3 kton total mass
- ◆ 1 kton fiducial mass
- ◆ 1000 50 cm diameter PMTs
- ◆ 20% photocathode coverage
- ◆ Electronics records Q (Kam-I), then Q and T (Kam-II)
- ◆ Data taking 1983 - 1994



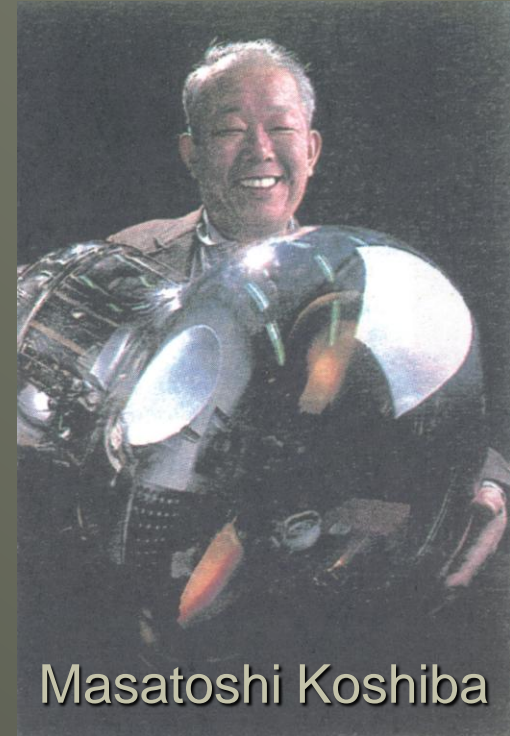
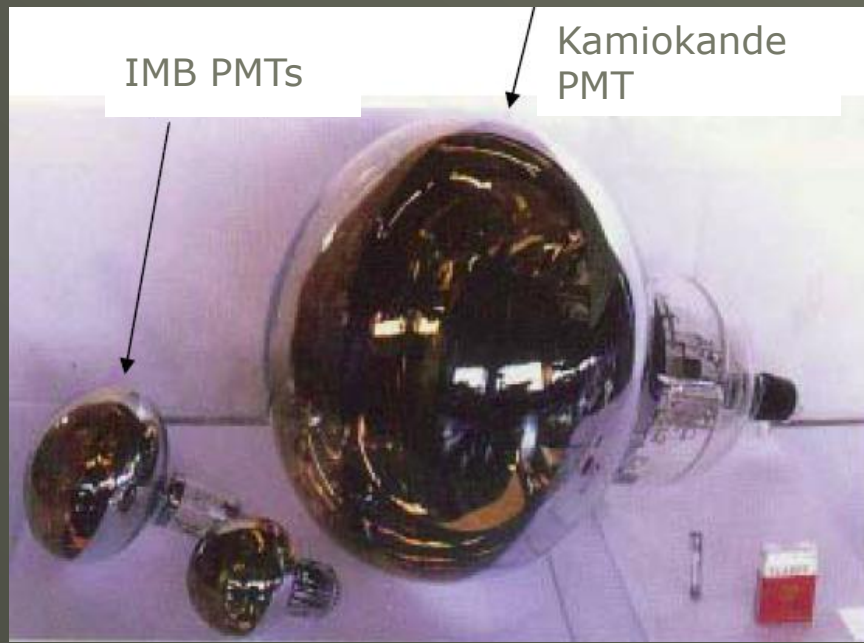
Installation of bottom anti-counters.

Takaaki Kajita

Kamiokande-II

**Large Water Cherenkov Detectors** required good light collection: Large PMTs with good timing, good QE at 480 nm, strong glass housings.

The initial large hemispherical PMT, 50 cm diameter, was developed by ICRR, U. of Tokyo and **Hamamatsu Photonics K.K.**

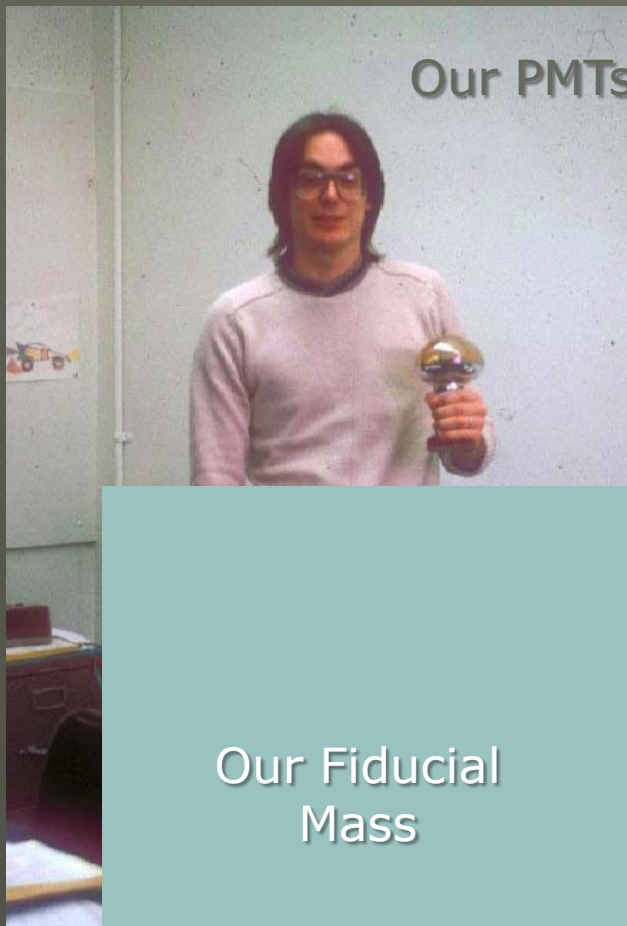


IMB – Kamiokande-I difference boils down to small light collection with T + Q electronics and large light collection and with Q electronics only.



# IMB Version

Our PMTs



Our Fiducial  
Mass

Their PMTs

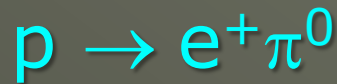


Theirs

Michigan graduate students  
Eric Shumard and Hye-Sook Park

# Goal was Proton Decay

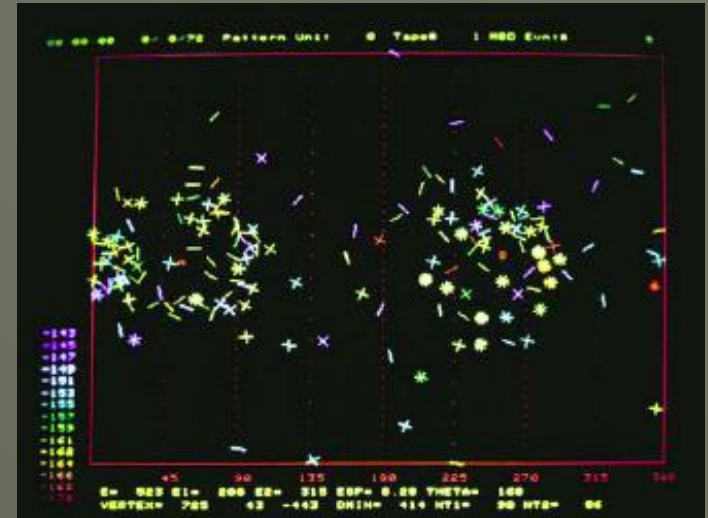
SU(5) ??



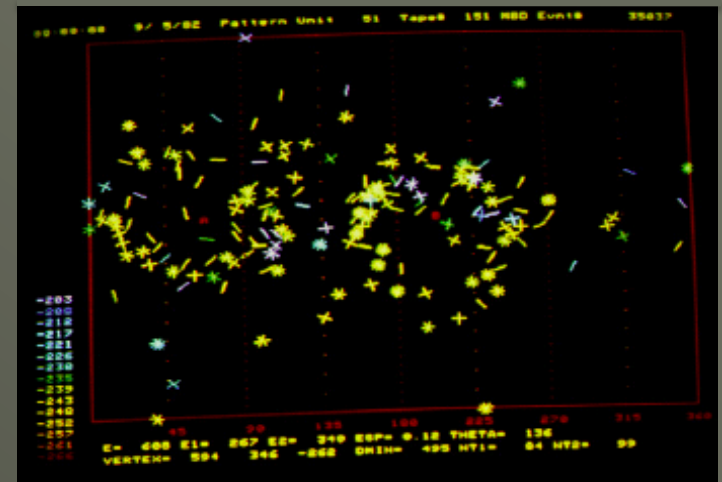
For this decay mode, two nearly back-to-back showering rings are expected with no associated muon decays.

IMB's two best examples are shown.

No proton decay events are found.



Top event has a muon decay.



Event has too much energy.  
Not enough opening angle.



# Proton Lifetime Exceeds $10^{31}$ Years

VOLUME 51, NUMBER 1

PHYSICAL REVIEW LETTERS

4 JULY 1983

## Search for Proton Decay into $e^+\pi^0$

R. M. Bionta, G. Blewitt, C. B. Bratton, B. G. Cortez,<sup>(a)</sup> S. Errede, G. W. Forster,<sup>(a)</sup> W. Gajewski, M. Goldhaber, J. Greenberg, T. J. Haines, T. W. Jones, D. Kielczewska,<sup>(b)</sup> W. R. Kropp, J. G. Learned, E. Lehmann, J. M. LoSecco, P. V. Ramana Murthy,<sup>(c)</sup> H. S. Park, F. Reines, J. Schultz, E. Shumard, D. Sinclair, D. W. Smith,<sup>(d)</sup> H. W. Sobel, J. L. Stone, L. R. Sulak, R. Svoboda, J. C. van der Velde, and C. Wuest  
*The University of California at Irvine, Irvine, California 92717, and The University of Michigan, Ann Arbor, Michigan 48109, and Brookhaven National Laboratory, Upton, New York 11973, and California Institute of Technology, Pasadena, California 91125, and Cleveland State University, Cleveland, Ohio 44115, and The University of Hawaii, Honolulu, Hawaii 96822, and University College, London WC1E 6BT, United Kingdom*

(Received 13 April 1983)

Observations were made 1570 metric-ton water Cherenkov detector with the decay  $p \rightarrow e^+\pi^0$  were found in that the limit on the lifetime for baryon ratio is  $\tau/B > 6.5 \times 10^{31}$  yr; for free dence). Observed cosmic-ray muon

PACS numbers: 13.30.Eg, 11.30.Ly

Journal of the Physical Society of Japan  
Vol. 54, No. 9, September, 1985, pp. 3213–3216

LETTERS

## Search for Nucleon Decay into Charged Lepton + Mesons

Katsushi ARISAKA, Takaaki KAJITA, Masatoshi KOSHIBA, Masayuki NAKAHATA, Yuichi OYAMA, Atsuto SUZUKI, Masato TAKITA, Yoji TOTSUKA, Tadashi KIFUNE,<sup>†</sup> Teruhiro SUDA,<sup>†</sup> Kasuke TAKAHASHI<sup>††</sup> and Kazumasa MIYANO<sup>†††</sup>

*Department of Physics and ICEPP, University of Tokyo, Tokyo 113*

*<sup>†</sup>Institute for Cosmic Ray Research, University of Tokyo, Tokyo 188*

*<sup>††</sup>KEK, National Laboratory for High Energy Physics, Ibaraki 305*

*<sup>†††</sup>Department of Physics, University of Niigata, Niigata 950–21*

(Received July 19, 1985)

With a 3000 ton water Cerenkov detector operated 2700 m.w.e. underground, 103 fully contained events were observed during a live time of 343 days. Most of the events are well interpreted as due to  $\nu$  interactions. Four multi-ring events survive after applying criteria for nucleon decay. The lower limits on  $\tau/B$  obtained from these data exceed  $10^{31}$  yr (90% C.L.) for most of the possible decay modes.

IMB

~~SU(5)~~

No  
Proton Decay

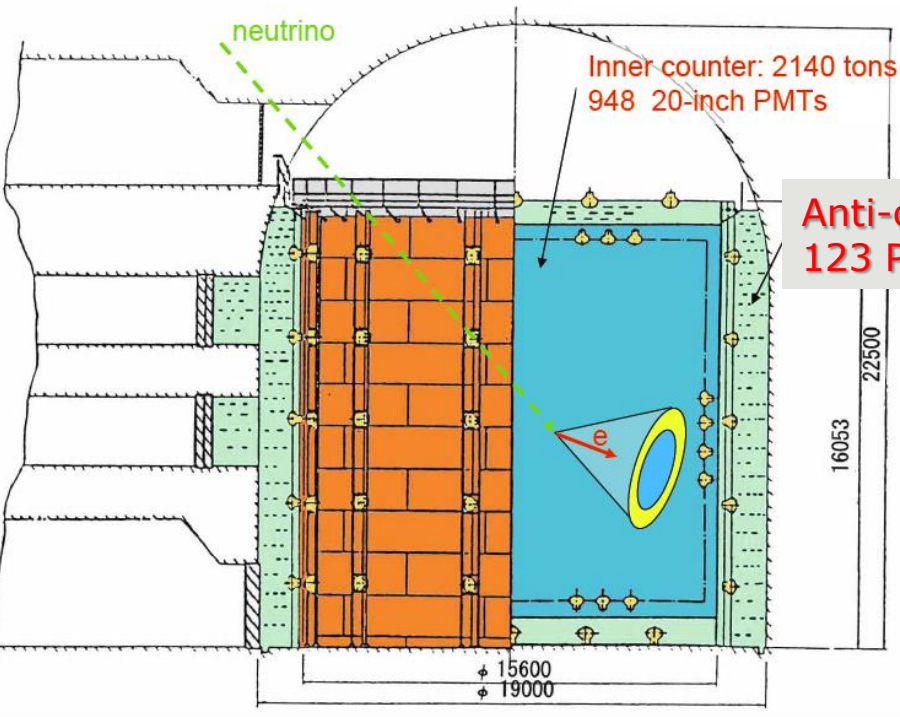
Kamiokande

What to do now?

# Kamiokande Reconfigures

## An Innovation for Water Cherenkov Detectors

### Kamiokande-II detector



- U. Penn. group joins Kam-II
- Timing added to Inner PMTs
- Lowers energy threshold
- Outer PMTs for anti-counter

Anti-counter  
123 PMTs

Bruce Cortez Al Mann

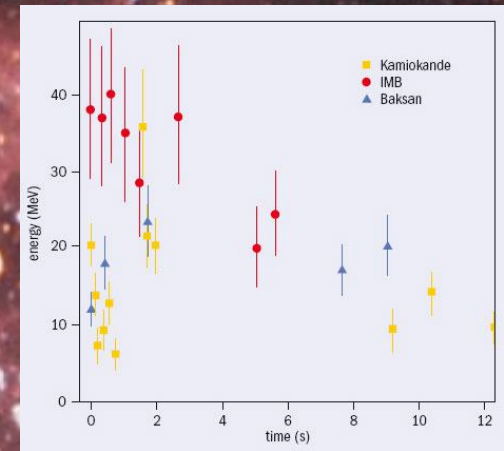


Feb. 1984 KamiokaNDE-II started.

$E_\nu > 7 \text{ MeV}$   
Solar Neutrinos  
Supernovae Neutrinos  
+ Atmospheric Neutrinos

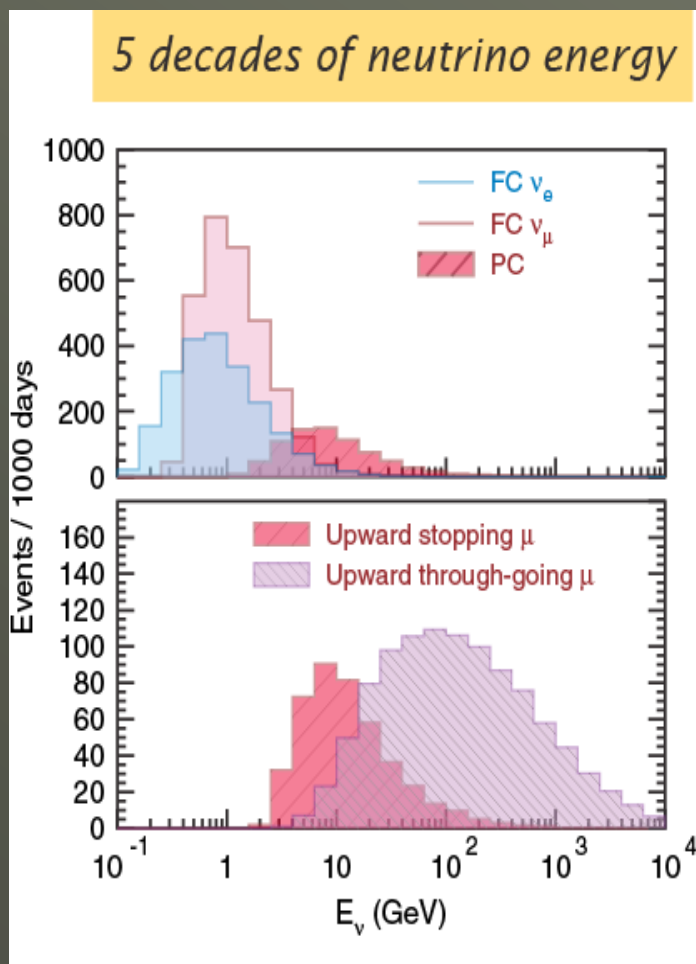


Supernova in the Large Magellanic Cloud, February 23, 1987  
**SN1987A**. Kamiokande (11) and IMB (8) observe burst of 19  
neutrinos a few hours prior to the reported optical signal.

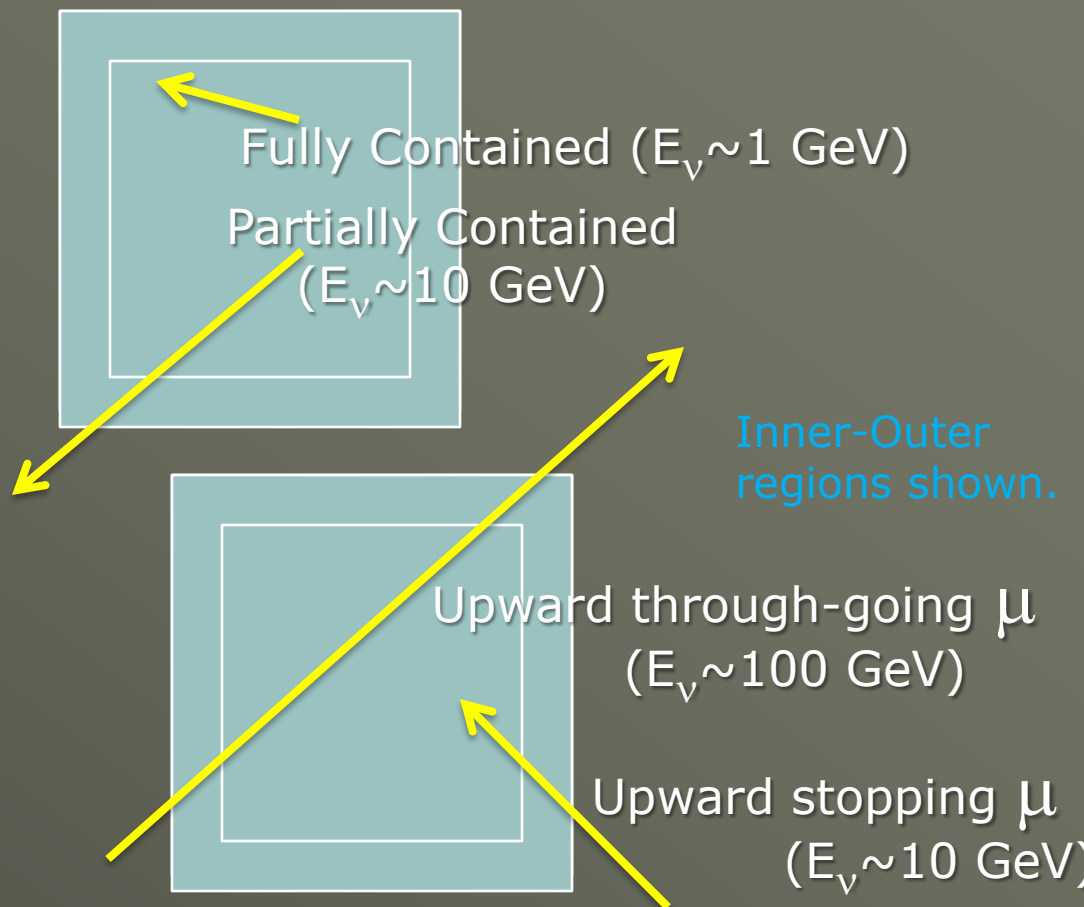


*A star dies...*

# Atmospheric Neutrinos Energy Characteristics



## Event Type Terminology

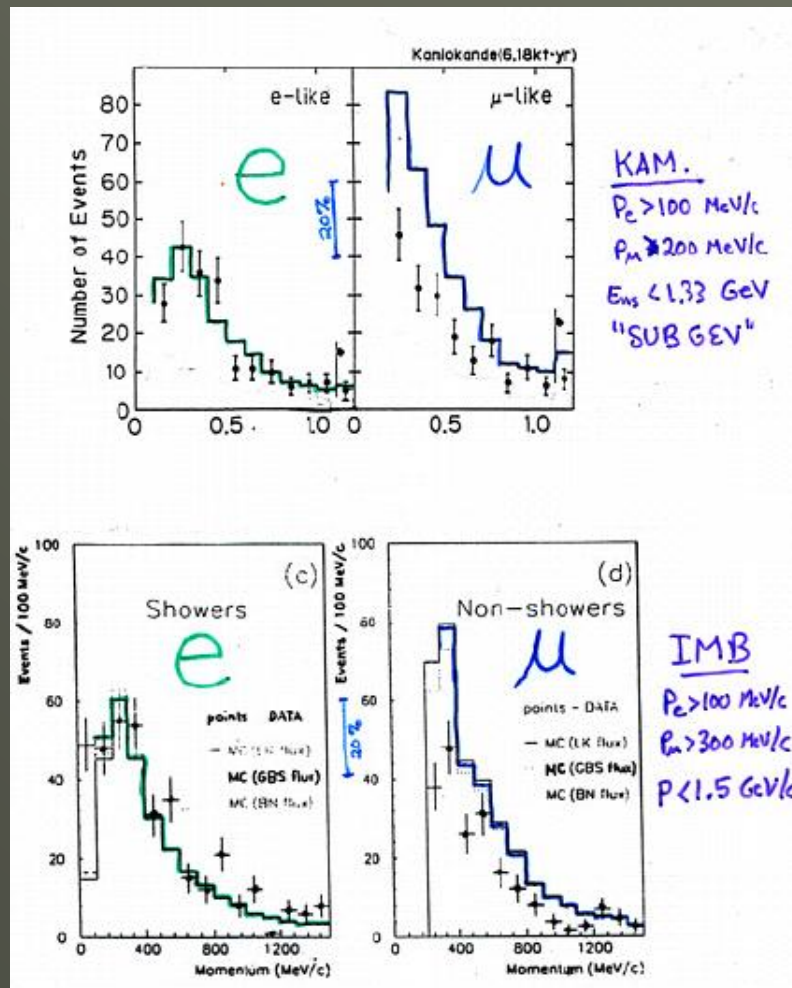


Subdivide the data into bins of Sub-GeV or Multi-GeV FC; e-like or mu-like, partially contained, upgoing muons, upgoing-stopping ...

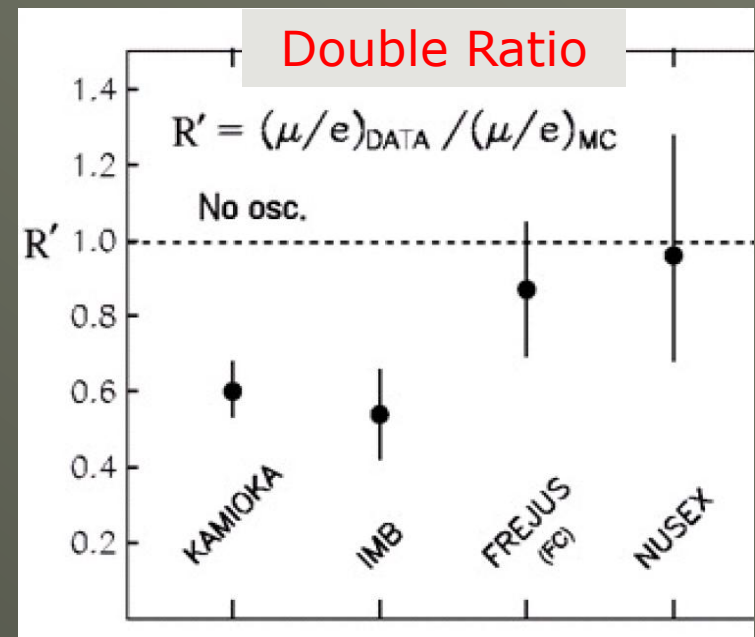


# An Atmospheric Neutrino Puzzle

## Comparison of Kamiokande and IMB Sub-GeV Data



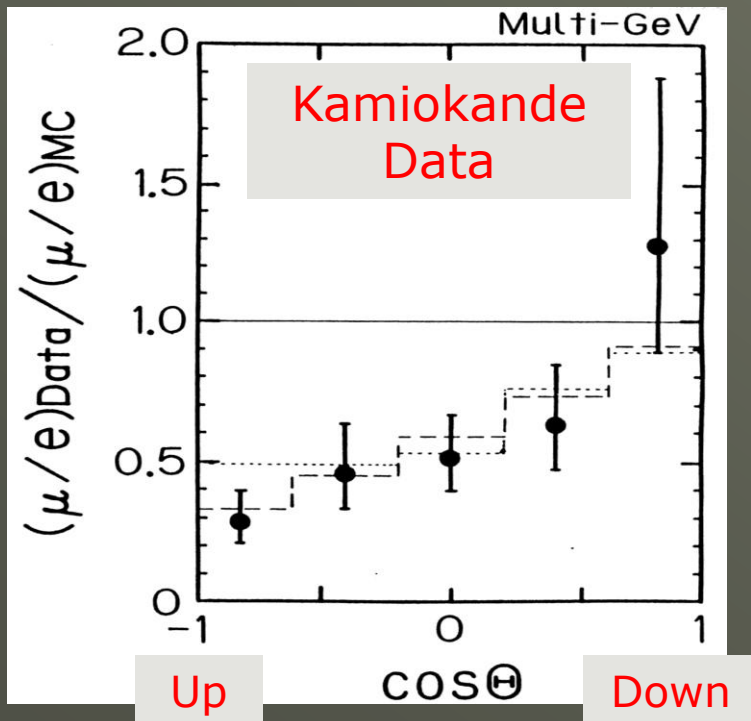
The **double ratio** is used to cancel uncertainties in the atmospheric neutrino flux and cross-sections. If measured and expected agree, then  $R = 1$ .



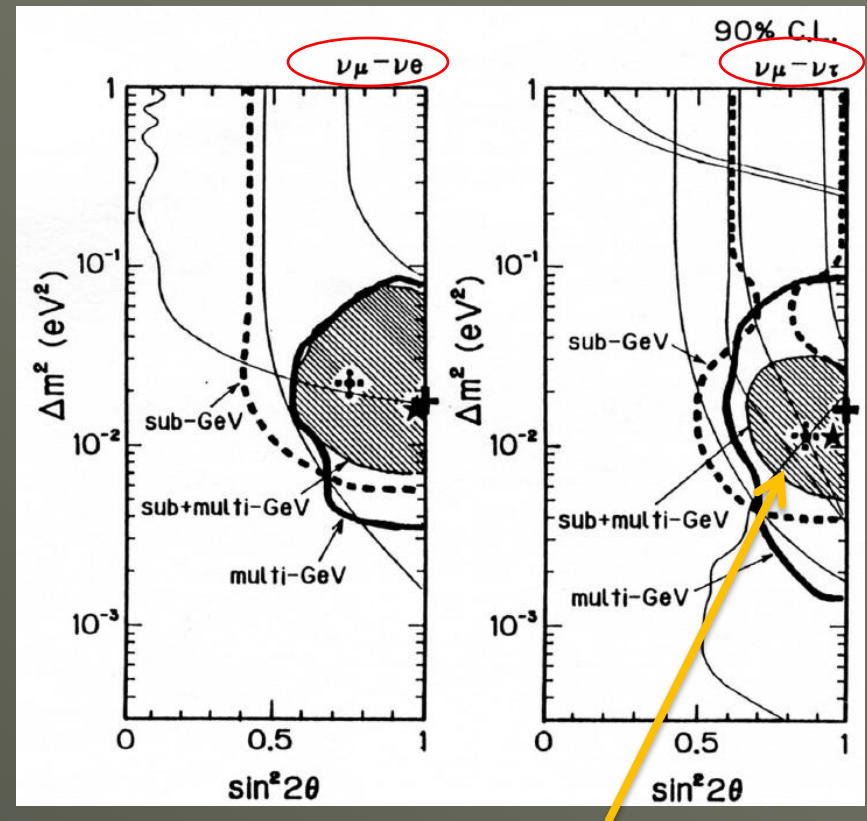
Water Cherenkov – Muon Deficit  
 Iron Trackers – No Deficit

# Kamiokande Allowed Regions

Using multi-GeV events including partially contained, Kamiokande-II finds a **zenith angle variation** of the  $\mu/e$  double ratio at high-energy.



$\nu_\mu \rightarrow \nu_e$  oscillations are ruled out by the Chooz Experiment. (Not Shown)



Best Fit for  $\nu_\mu \rightarrow \nu_\tau$   
 $\Delta m^2 = 1.6 \times 10^{-2} \text{ eV}^2$   
 $\sin^2 2\theta = 1.0$

Outer-detector region of Kam-II allows PC events.



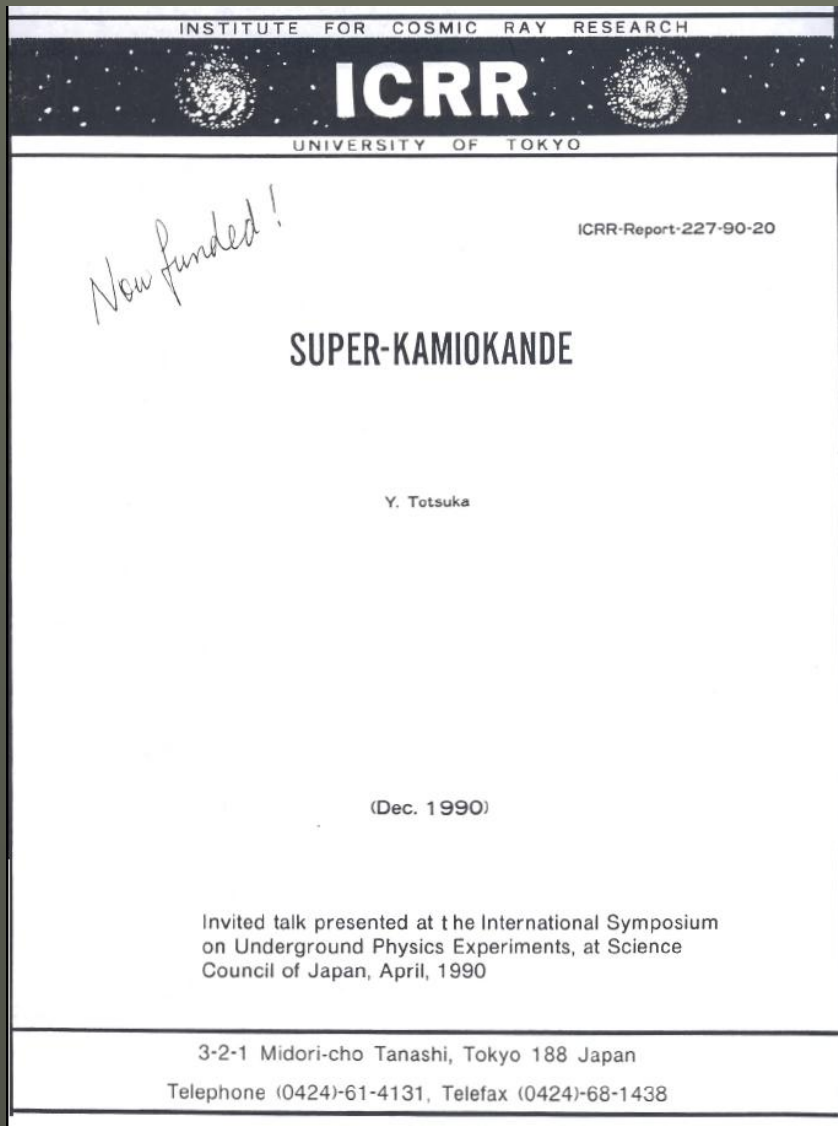
# Two Major Puzzles with Neutrinos ~1990

Solar neutrino flux measured by multiple experiments is in disagreement with expectations of the Standard Solar Model.

Atmospheric muon neutrino flux measured by multiple experiments is in disagreement with calculations AND Kamiokande sees strong evidence for an up-down asymmetry in the double ratio of muon/electron neutrinos.

Low energy threshold and active anti-counter have become important to study these effects. IMB's small PMTs were not competitive. What to do?

Spring 1991, IMB tank developed a leak that caused much damage to the detector. IMB had to be stopped. The 2000 PMTs were recovered.



"Super-Kamiokande project has changed greatly since **neutrinos from the Large Magellanic Clouds** were successfully detected."

"**Reliable data on solar neutrinos** has emerged ... posed a serious problem called the **solar neutrino problem**."

"It is now widely believed that it could be solved by **finite neutrino masses** ..."

"The anti-counter layers that surround the sensitive volume of the detector were found essential to eliminate backgrounds ( $\gamma$ 's and neutrons) for low-energy neutrinos."

**Y. Totsuka**

**IMB group had >2000 PMTs and WLS plates ready for water deployment, HV, electronics, etc.**



# IMB Group Joins Super-Kamiokande

At ICRR in spring of 1992, our agreement to work together on Super-Kamiokande is celebrated. The IMB group would build the outer detector.




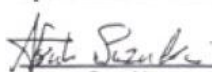

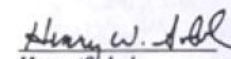
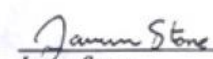
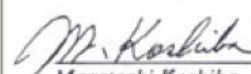
Collaboration Agreement  
Signed October 18, 1992 in  
Takayama

**SuperKamiokande Collaboration  
Agreement Between the Collaborating Groups**

**Purpose**

The purpose of this document is to define the terms and conditions under which the collaborating groups (at present Japanese and American) agree to work together in building and operating a 50,000 ton water Cherenkov detector at the Kamioka mine. The experiment shall be known as SuperKamiokande. The goals of the experiment include a search for nucleon decay, atmospheric neutrino studies, solar neutrinos, and studies of/searches for other astrophysical and particle physics phenomena. It is agreed that all collaborating groups are free to participate in all aspects of the experiment.

**Signatures**

His ma Aft Jap des	 Yoji Totsuka Institute for Cosmic Ray Research - University of Tokyo Spokesman for the SuperKamiokande Collaboration	10/18/92 Date
SK Co	 Atsuto Suzuki KEK	10/18/92 Date
199 to r of t	 Kenzo Nakamura Institute of Cosmic Ray Research University of Tokyo	10/18/92 Date
Org	 Henry Spbel University of California - Irvine	10/18/92 Date
	 James Stone Boston University	10/18/92 Date
	 Masatoshi Koshiba Tokai University	Oct. 18, 92 Date

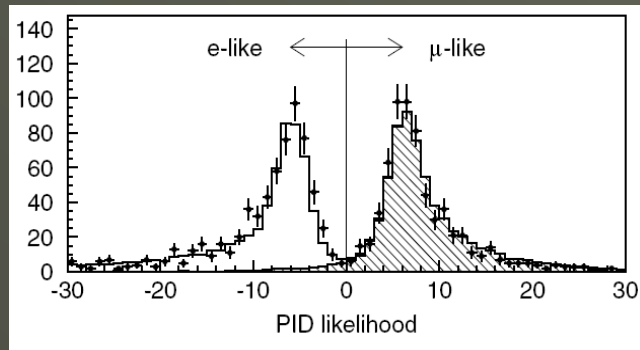
et al.

Members of the Executive Committee

# The first project performed together as a Collaboration was the KEK Beam Test

1993 - 95

Using KEK PS charged particle beams, these test runs checked the particle identification algorithms of the 50 cm PMTs used in Kamiokande and 8 inch PMTs used in IMB.



Particle ID methods for separating e-like and  $\mu$ -like events verified.



# Super-Kamiokande Detector

41 m height x 39 m diameter  
50,000 ton total mass  
22,000 ton fiducial volume  
11,146 50 cm PMTs Inner  
1,885 20 cm PMTs Outer  
2 ns timing resolution  
40% photocathode coverage  
1000 m minimum depth

4.5 MeV Trigger threshold  
E Res.  $16\%/E^{1/2}$  @ 10 MeV  
Position  $\sim 50$  cm @ 10 MeV  
Angular  $\sim 30^\circ$  @ 10 MeV  
Muon decay  $\sim 95\%$   
Electron - Muon ID  $\sim 99\%$

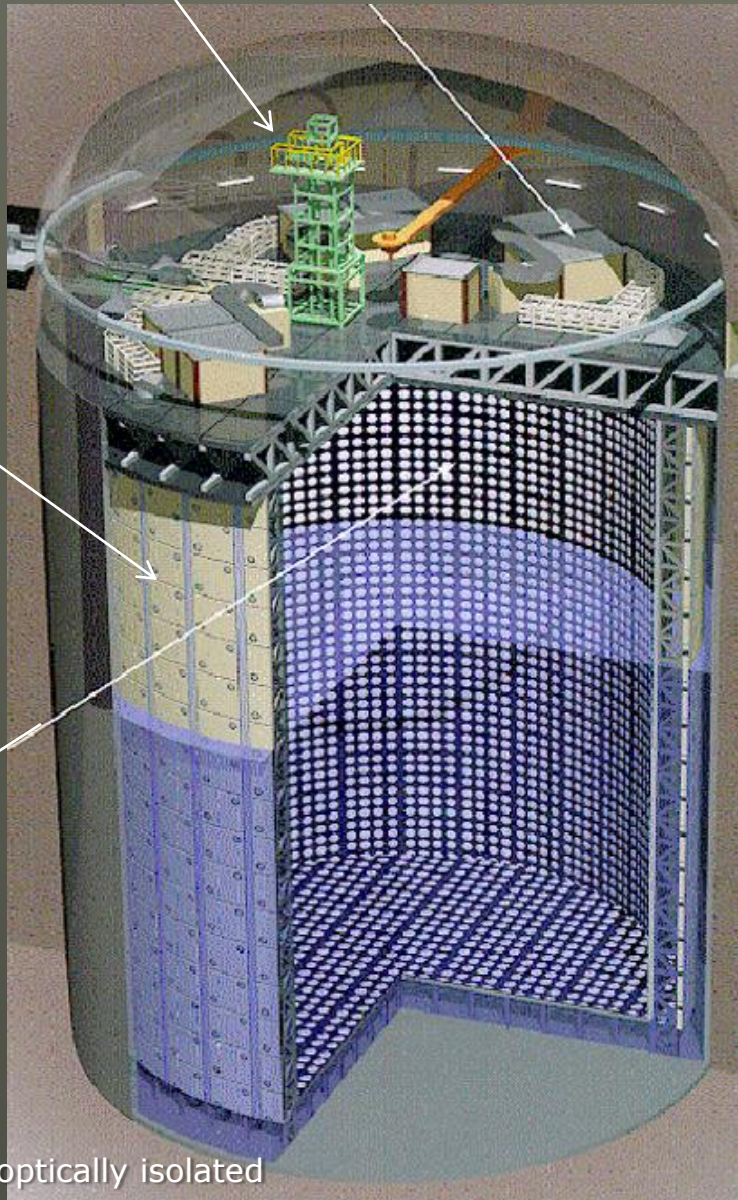
LINAC Tower

Electronics Hut

Outer Detector  
PMTs + WLS

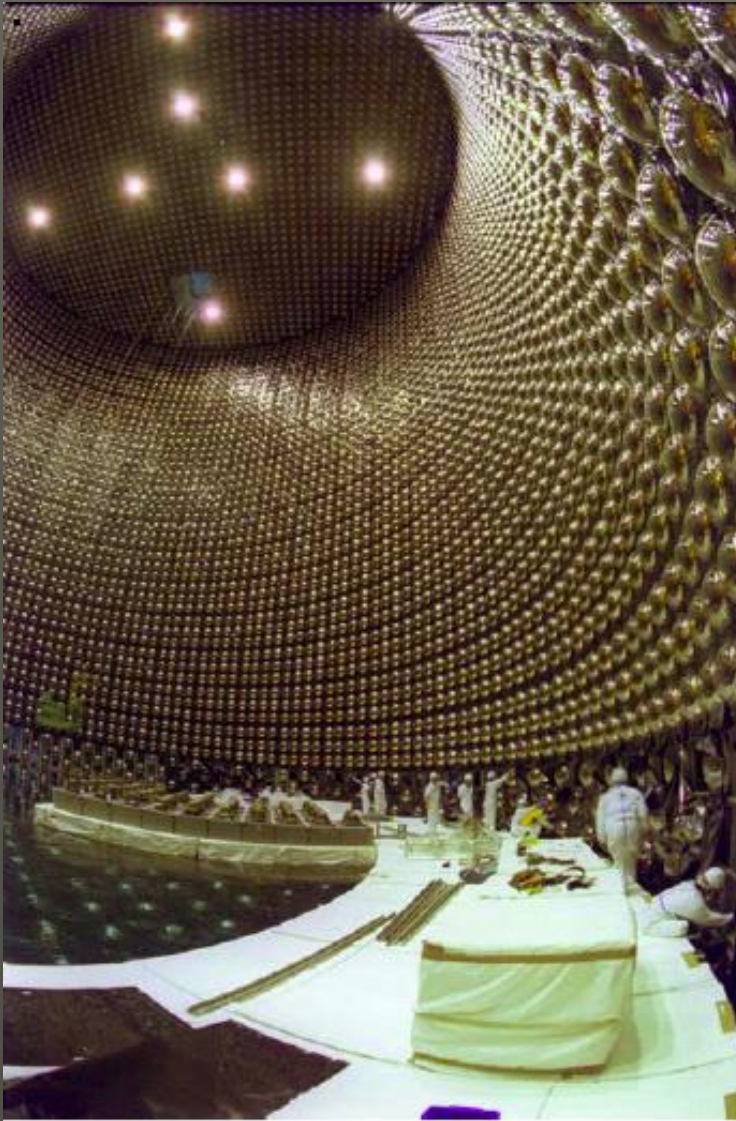
Inner Detector  
PMTs

Outer detector optically isolated





# Inner Space – Outer Space



Super-K Inner Detector Construction



Looking up in the Super-K Outer Detector



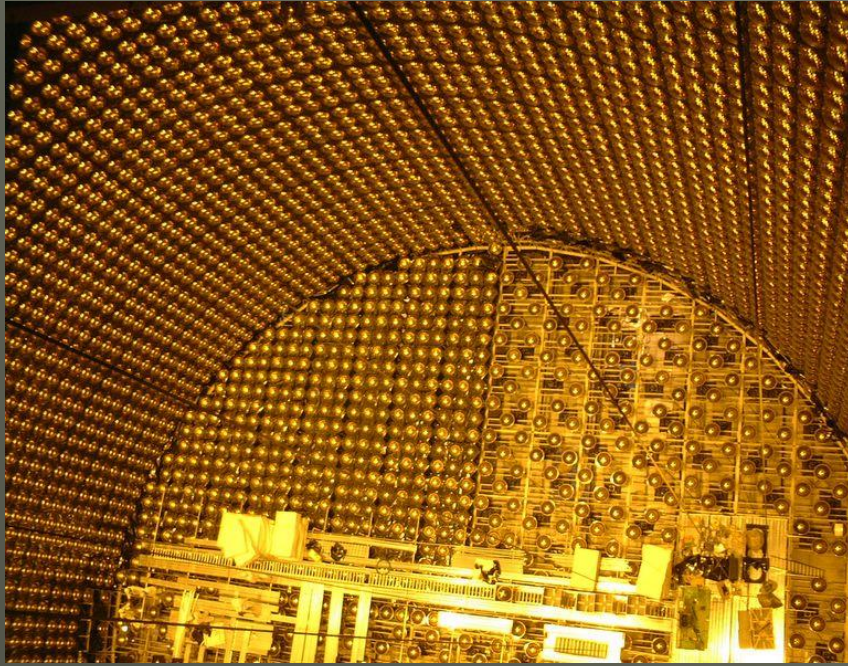
Hank Sobel



Current Spokesman Yoichiro Suzuki  
greeting the Emperor and Empress  
of Japan.



# Construction Work in the Super-K Tank ...





# Physics Objectives of Super-Kamiokande

## Search for Nucleon Decay

$\tau/\text{BR} > 10^{33} - 10^{34}$  years

## Study Atmospheric Neutrino Interactions

$> 3000$  events/year

## Measurement of the $^8\text{B}$ Solar Neutrino Flux

$\sim 15$  events/day for  $E_\nu > 5$  MeV

## Watch for Supernovae Neutrinos

$> 7000$  events for Type II SN @ 10 Kpc

## Study Upward-going Muons

WIMP dark matter, Atmospheric  $\nu$ 's

## Studies of Long Baseline Neutrinos from KEK

$\sim 200$  CC events w/ $10^{20}$  pot

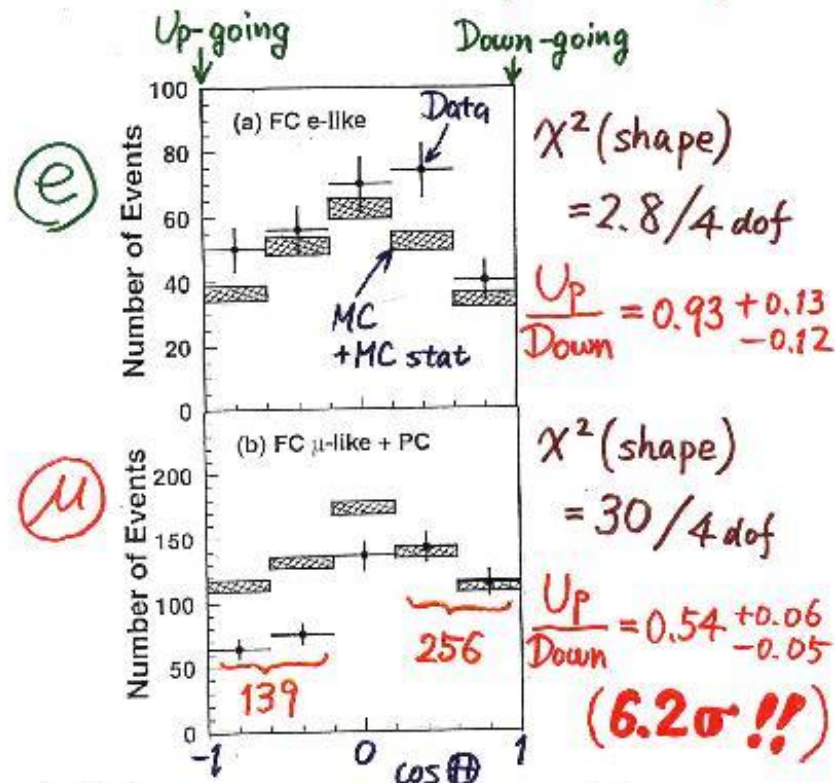
Detector built, calibrated, operated, analyzed, many meetings etc. ...



535 Days

# 1998 Announcement

Zenith angle dependence  
(Multi-GeV)



\* Up/Down syst. error for  $\mu$ -like

Prediction (flux calculation .....  $\lesssim 1\%$   
1km rock above SK .... 1.5% ) 1.8%

Data (Energy calib. for  $\uparrow\downarrow$  .... 0.7%  
Non  $\nu$  Background ..... < 2% ) 2.1%

From Talk by T. Kajita  
Neutrino '98  
Takayama, Japan

**Electron Neutrinos are as Expected**

**Up/Down Event Ratio**

**Muon Neutrinos Show Deficit from Other Side of Earth!**

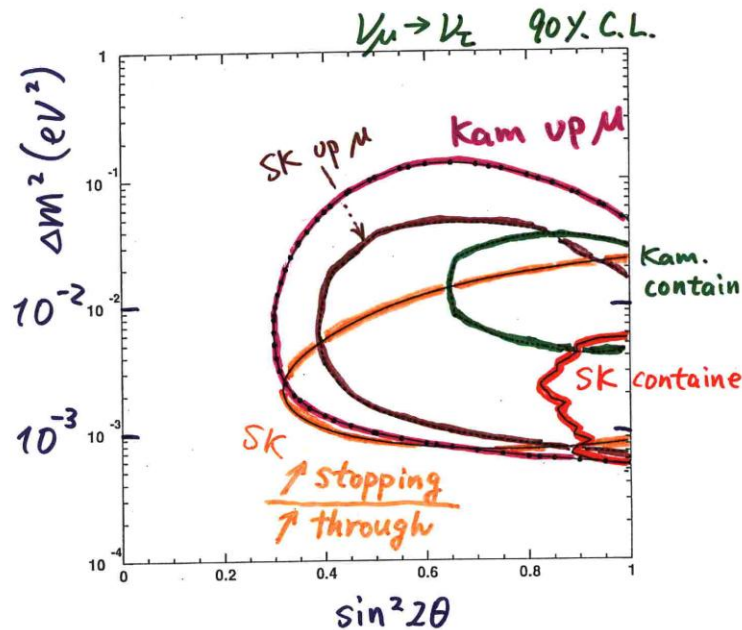
Strong Evidence that Neutrinos change from one flavor to another.

**Neutrinos Have Mass**

# Evidence for $\nu_\mu$ Oscillations

## Summary

### Evidence for $\nu_\mu$ oscillations



- $\begin{cases} \sin^2 2\theta > 0.8 \\ \Delta m^2 \sim 10^{-3} \sim 10^{-2} \end{cases}$

( $\nu_\mu \rightarrow \nu_e$  or  $\nu_\mu \rightarrow \nu_s$  ?)

From Talk by T. Kajita  
Neutrino '98  
Takayama, Japan

Super-K Up-muon zenith angle data confirms evidence.

Super-K Up-muon stopping to through going double ratio confirms evidence.

Kamiokande Up-muon zenith angle data consistent with evidence.



# "Evidence for Oscillation of Atmospheric Neutrinos"

## Phys.Rev.Lett.81:1562-1567,1998

VOLUME 81, NUMBER 8

PHYSICAL REVIEW LETTERS

24 AUGUST 1998

### Evidence for Oscillation of Atmospheric Neutrinos

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R. J. Wilkes,<sup>23</sup> and K. K. Young<sup>23</sup>  
(Super-Kamiokande Collaboration)

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(Received 6 July 1998)

We present an analysis of atmospheric neutrino data from a 33.0 kton yr (535-day) exposure of the Super-Kamiokande detector. The data exhibit a zenith angle dependent deficit of muon neutrinos which is inconsistent with expectations based on calculations of the atmospheric neutrino flux. Experimental biases and uncertainties in the prediction of neutrino fluxes and cross sections are unable to explain our observation. The data are consistent, however, with two-flavor  $\nu_\mu \rightarrow \nu_\tau$  oscillations with  $\sin^2 2\theta > 0.82$  and  $5 \times 10^{-4} < \Delta m^2 < 6 \times 10^{-3} \text{ eV}^2$  at 90% confidence level. [S0031-9007(98)06975-0]



One of many front page articles in the newspapers of the world following Kajita's talk in Takayama in 1998.

This PRL is the most cited paper in experimental high energy physics as tracked by the SPIRES database.

## More evidence that it is oscillations:

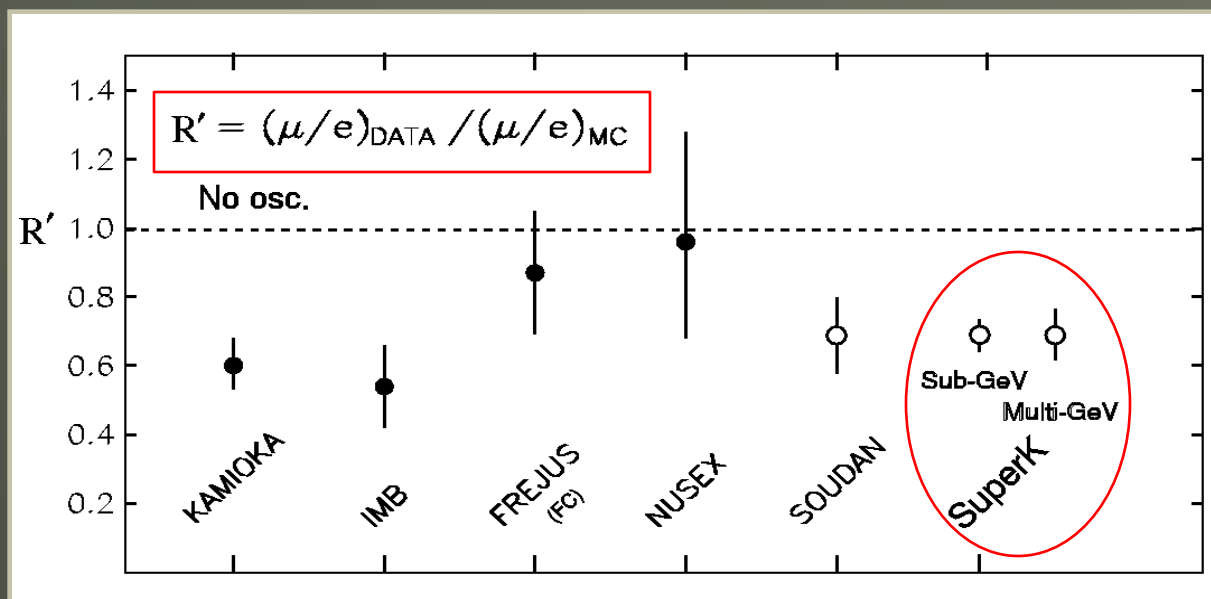
The 1998 values of the **double ratio** from Super-Kamiokande are given:

Sub-GeV Data:  $R = 0.61 \pm 0.03(stat) \pm 0.05(sys)$

Multi-GeV Data:  $R = 0.66 \pm 0.06(stat) \pm 0.08(sys)$

*Phys.Lett.B433*, 9-18 (1998)

Soudan 2:  $R = 0.68 \pm 0.12(total)$



No Oscillations

5 Yes  
2 No



## Another way of looking:

The **up – down asymmetry** parameter,  $A$ , as a function of momentum is presented in the “evidence” paper:

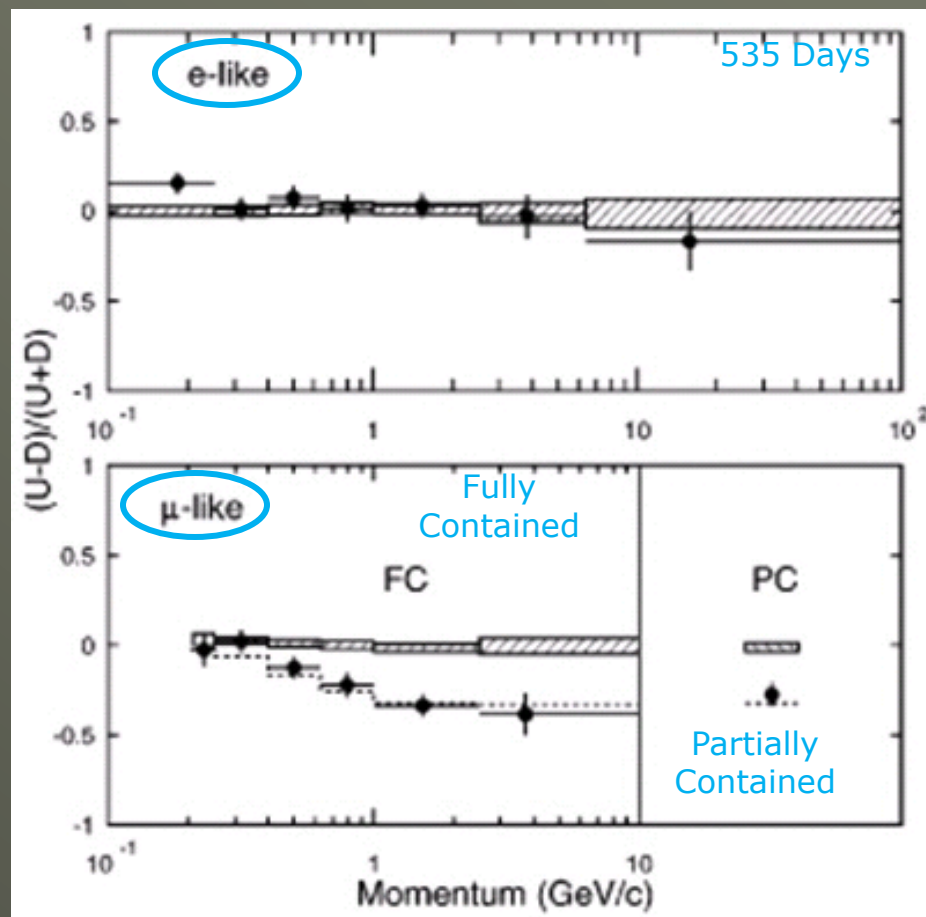
*Phys.Rev.Lett.*81, 1562-1567 (1998)

$$A \equiv \frac{(U - D)}{(U + D)}$$

Due to the isotropic nature of cosmic rays,  $A = 0$ , **absent of oscillations.**

Electron-like events agree with expectation (shaded) for no oscillations.

The strong suppression of upgoing muon-like events is consistent with oscillations (dotted line).



Deviation from zero  $> 6\sigma$

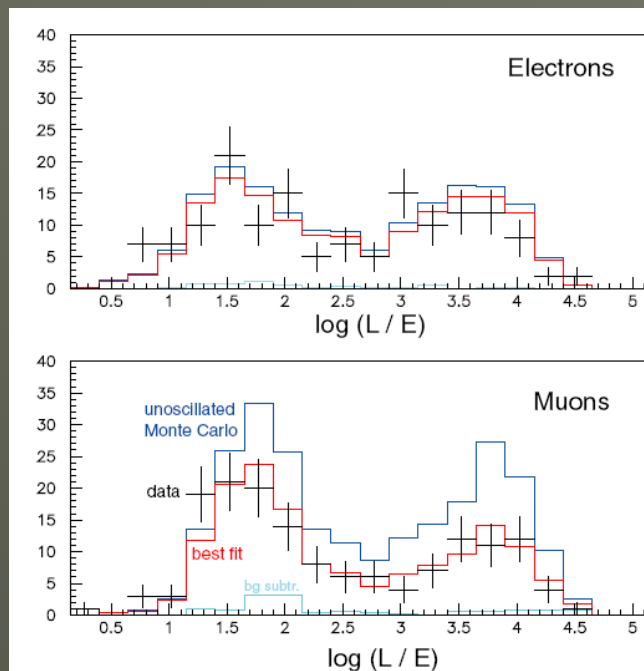
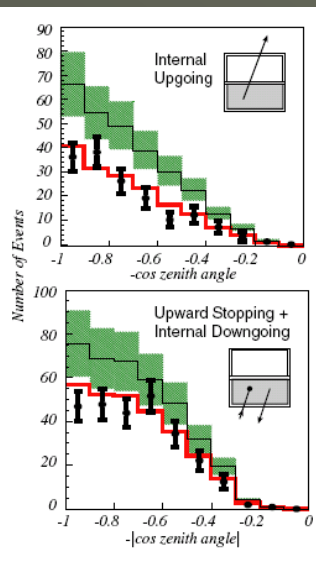
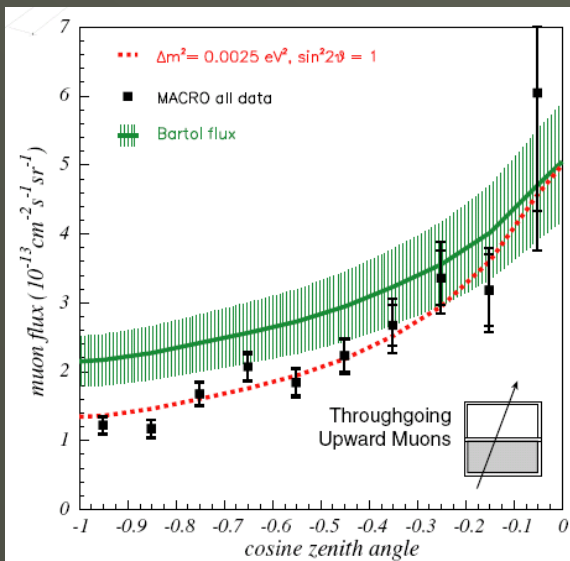
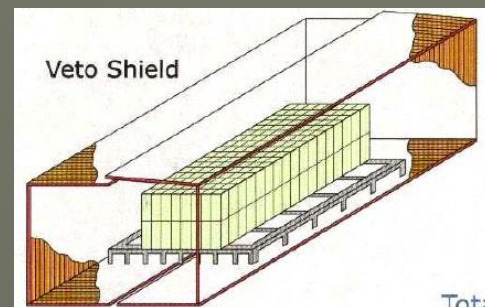
# MACRO and Soudan 2 Experiments

## Confirmation

Very soon after the 1998 announcement, MACRO and Soudan 2 groups reported results consistent with the Super-K oscillation parameters.

MACRO Experiment  
Up-going muons

Soudan 2 Experiment  
High resolution events





# Super-Kamiokande Running Periods

## SK-I 1996 – 2001

K2K  
Run

- 22.5 kton fiducial mass, 2 m from PMT plane
- 11,134 50-cm photomultiplier tubes inner detector
- 40% photocathode coverage of detector wall
- 1885 20-cm PMTs + WLS plates in outer detector

## SK-II December 2002 – September 2005

K2K  
Run

- Recovered from November 12, 2001 PMT accident
- Inner detector PMTs reduced to half, now with FRP+Lucite shields
- 20% photocathode coverage of detector wall
- Outer detector fully restored

## SK-III June 2006 – Now Running

- Full restoration of inner detector PMTs
- 40% photocathode coverage of detector wall

## 2009

- Start running with T2K long baseline beam from Tokai

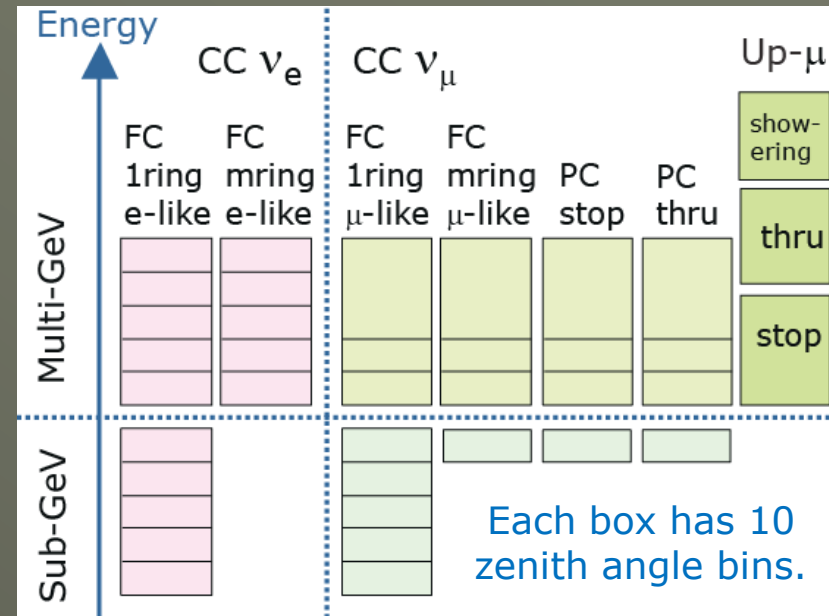


# Recent Super-K Atmospheric Neutrino Data

For a two flavor oscillation analysis, the survival probability is given by:

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 L}{E} \right)$$

We study  $\Delta m^2$  and  $\sin^2 2\theta$  by binning the real and simulated data in  $\cos \theta$  and  $p$ , then minimizing  $\chi^2$  to find the best fit values.



380 ( $p, \theta$ ) bins and 70 systematic terms used in fit.

The Fit

number of  $p, \theta$  bins

$$\chi^2 = \sum_{i=1}^{380} \frac{\left( N_i^{obs} - N_i^{exp} \left( 1 + \sum_{j=1}^{70} f_j^i \cdot \epsilon_j \right) \right)^2}{\sigma_i^2} + \sum_{j=1}^{65} \left( \frac{\epsilon_j}{\sigma_j} \right)^2$$

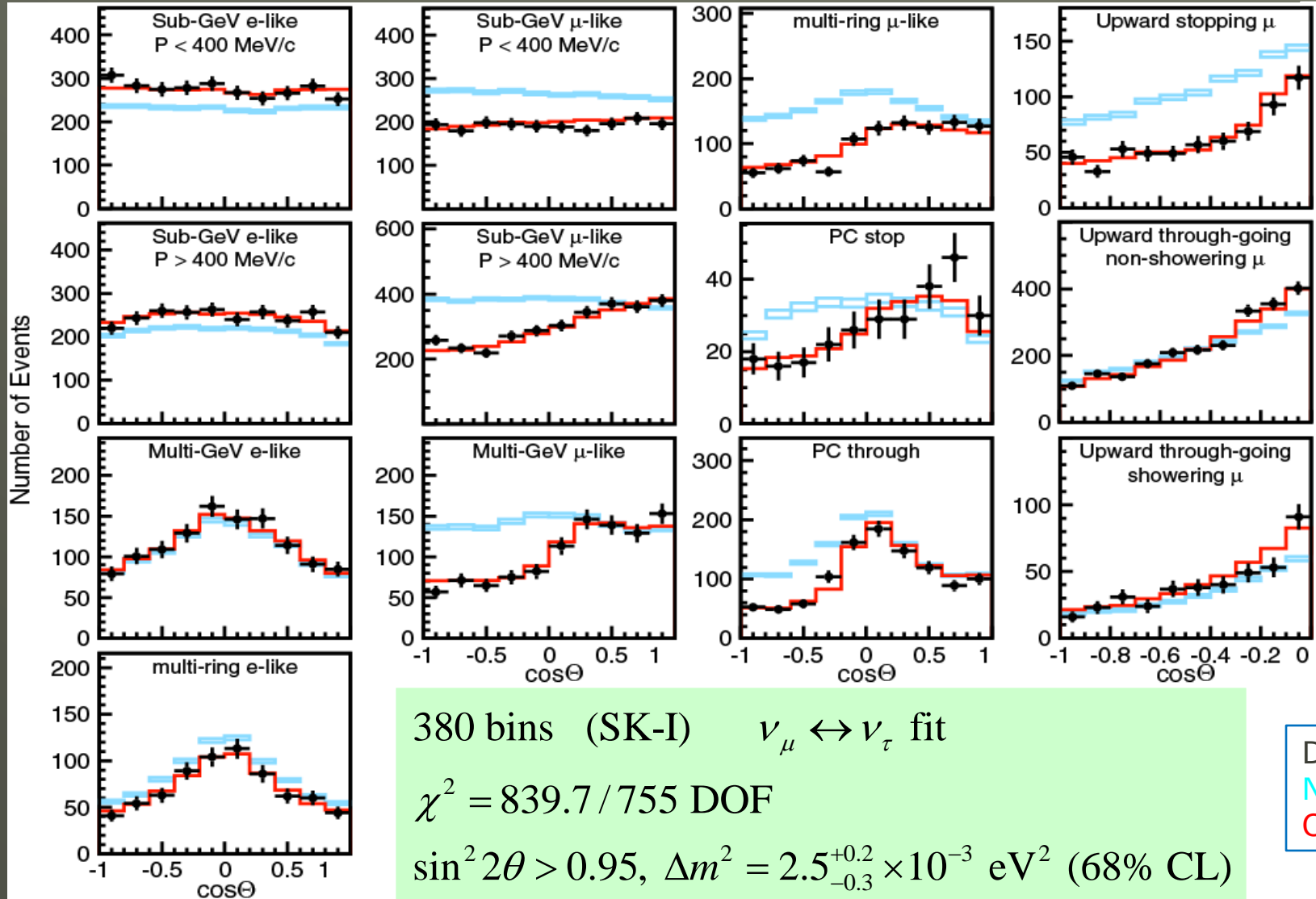
number of sys. effects (some norms. are free)

$$N_i^{exp} = N_i^0 \cdot P(\nu_\alpha \rightarrow \nu_\beta) \cdot \left( 1 + \sum_{j=1}^{39} f_j^i \cdot \epsilon_j \right)$$

fractional change in predicted event rate due to variation in systematic parameter  $\epsilon$

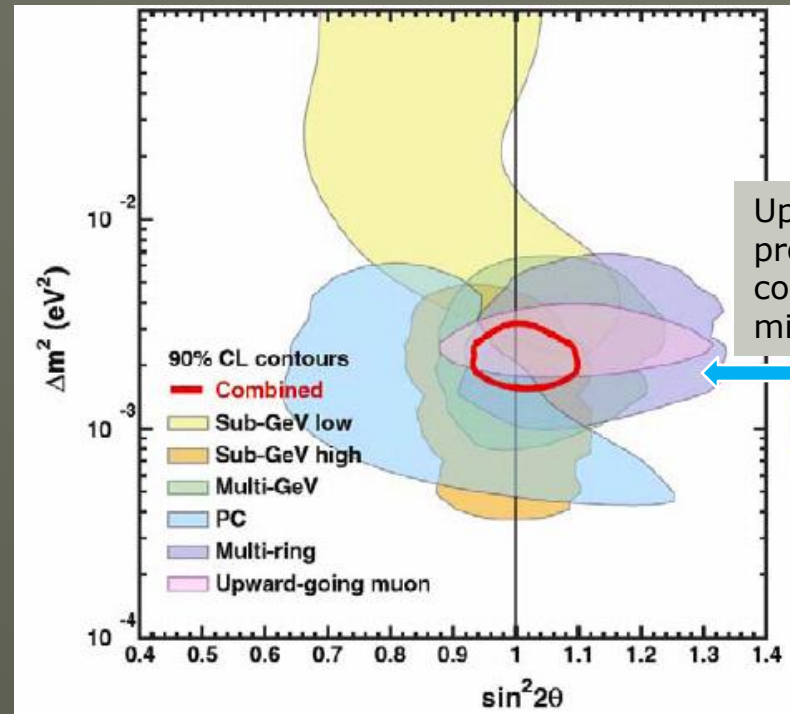
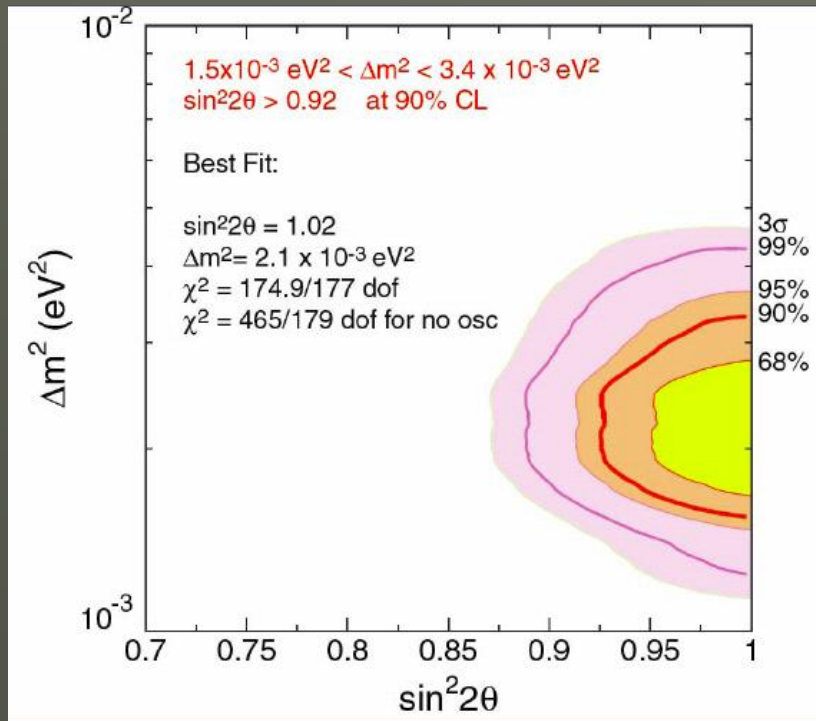


# Zenith Angle Spectra



The multiple event types and the statistics for fine binning provide powerful constraints on the allowed range of oscillation parameters.

# Contours for SK-I and SK-II



Upward muons provide strong constraint on minimum  $\Delta m^2$ .

$$1.5 \times 10^{-3} \text{ eV}^2 < \Delta m_{23}^2 < 3.4 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta_{23} > 0.92 \quad 90\% \text{ C.L.}$$

## Results

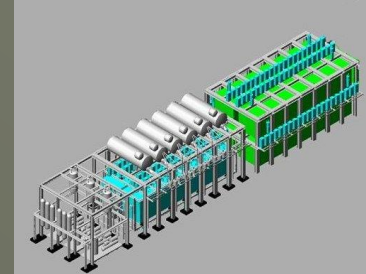
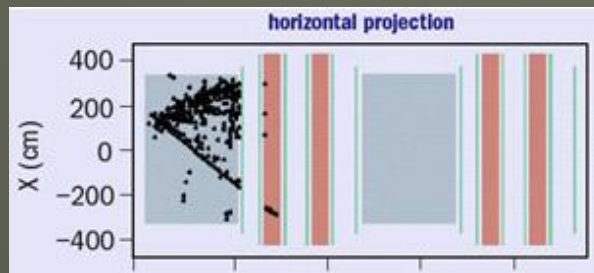
Each sub-sample looks at different ranges of energy, so individually they give different allowed regions. All are consistent with the global fit.



# Has Super-K seen other effects that are expected with oscillations?

## $\nu_\tau$ Appearance

- goal of the CERN to Gran Sasso program
- CNGS – OPERA, ICARUS



## $\nu_e$ Appearance

- goal of the JPARC and NuMI off-axis programs
- T2K, Tokai to Kamioka (Super-K) 250 km
- NOvA, Fermilab to Minnesota, 795 km
- **Difficult for Super-K with atmospheric neutrinos**

## Oscillation Pattern

- goal of nearly all long baseline experiments
- find the characteristic dip in the L/E distribution

# Have $\nu_\tau$ interactions been seen?

Generate expected distributions in  $\sim 6$  variables using the  $\tau$  MC and the  $\nu$  MC.



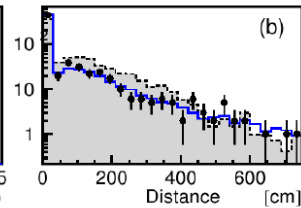
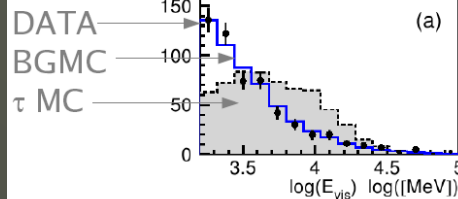
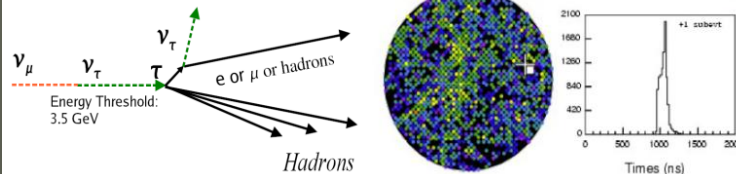
## MC Tau Event

Super-Kamiokande

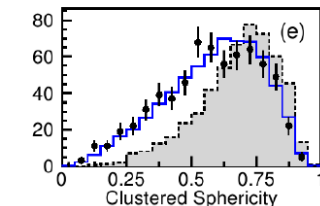
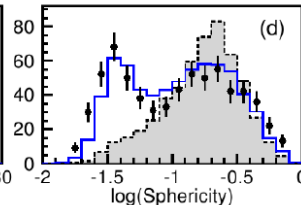
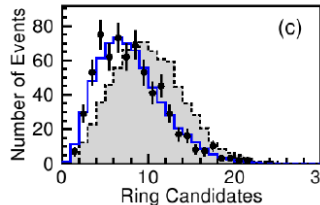
Run 999999 Sub 293 Ev 41  
03-10-19:02:40:18  
Inmed: 9973 hits, 81231 pE  
Output: 2 hits, 1 pE (12-time)  
Trigger ID: 0003  
B Hall: 523.8 cm  
Full: Contained Mode

Charge (pE)

• >26.7  
• 23.3-26.7  
• 20.0-23.3  
• 17.7-20.0  
• 14.4-17.7  
• 12.3-14.4  
• 10.0-12.3  
• 8.0-10.0  
• 6.2-8.0  
• 4.7-6.2  
• 3.3-4.7  
• 2.2-3.3  
• 1.3-2.2  
• 0.7-1.3  
• 0.2-0.7  
• <0.2



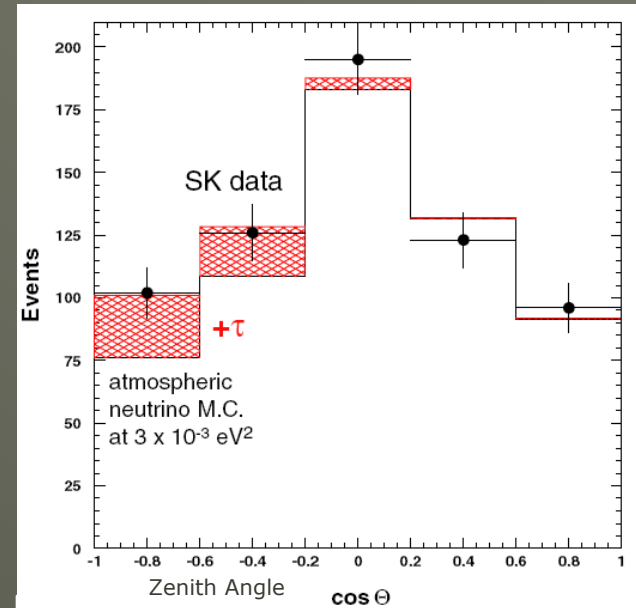
There should be no tau events in the down-going data.



### Variables used:

- Visible Energy
- Decay electron distance from vertex
- Number of ring fragment candidates
- Sphericity in lab frame
- Clustered sphericity in COM frame

Use a neural network weighting function to assign a probability to each event as being  $\tau$ -like or non- $\tau$ -like.



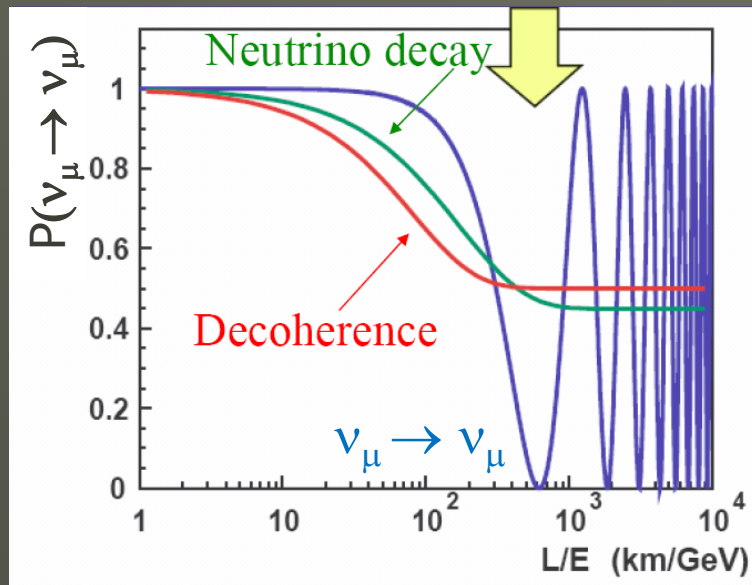
$134 \pm 48^{+16}_{-27}$  excess events  
 $78 \pm 27$  excess events expected  
 $\sim 2.4 \sigma$  effect

**Data Consistent with Tau Interactions**



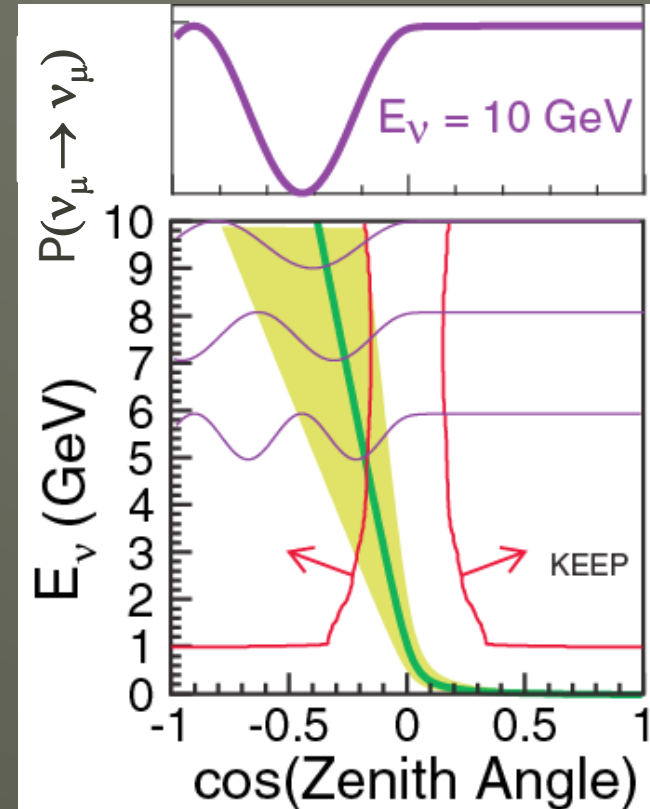
# What about the oscillation pattern?

Look for oscillation minimum  
before averaging.



Expand Fiducial Volume (26.4 kt) to  
contain muons and gain statistics.

Keep events with good L/E resolution  
by isolating PC muons that stop in  
the outer detector. Use FC, Multi-ring,  
PC (stop, thru) events.

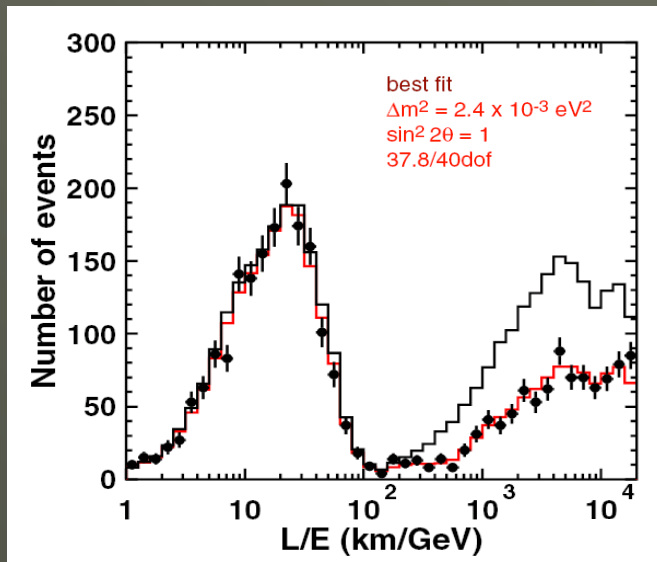


Eliminate:

- low energy events
- events near horizon

Green line is oscillation minimum  
with band to half maximum.

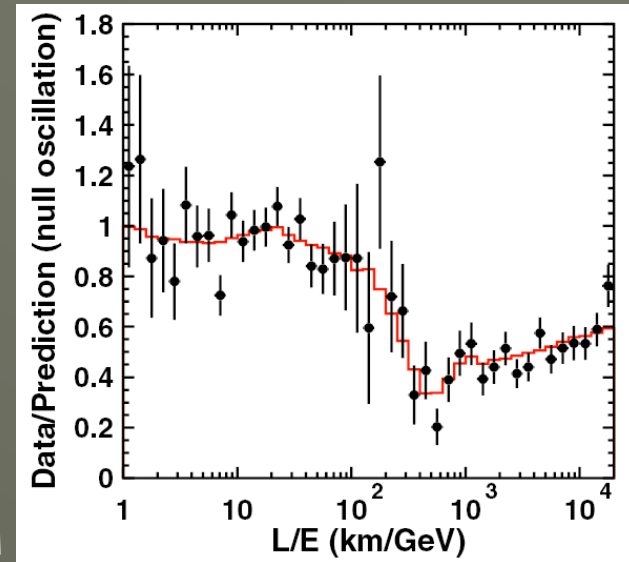
# Super-Kamiokande-I L/E Results



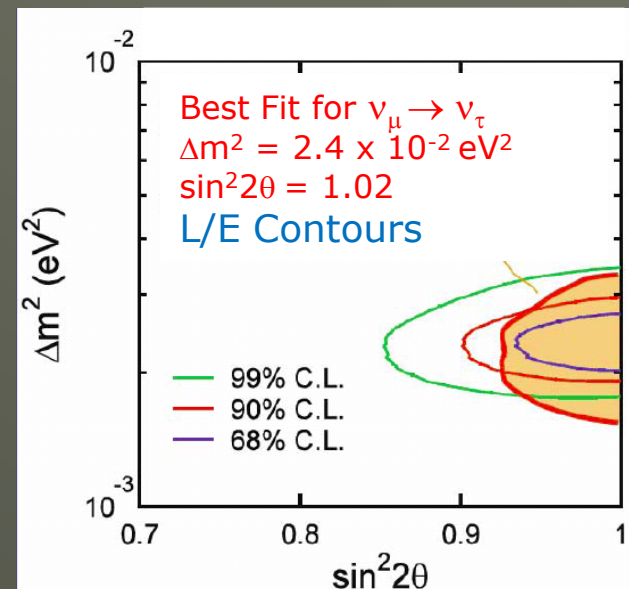
High L/E resolution  $\mu$ -like data sample.

The ratio (Data/Predicted) equals one for no oscillations.

The **red histogram** is the best fit to  $\nu_\mu \rightarrow \nu_\tau$  oscillations.



Oscillation dip seen at  $\sim 500$  km/GeV



Full SK-I Data Set Shaded



# Long Baseline Experiment KEK to Kamioka

A brief step  
back in  
time.

Neutrino mass measurements and study of its  
origin by neutrino oscillation

Feb.14,1995

Revised Feb.18,1995

Submitted to

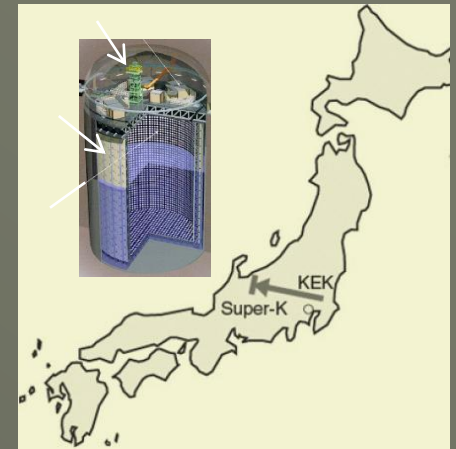
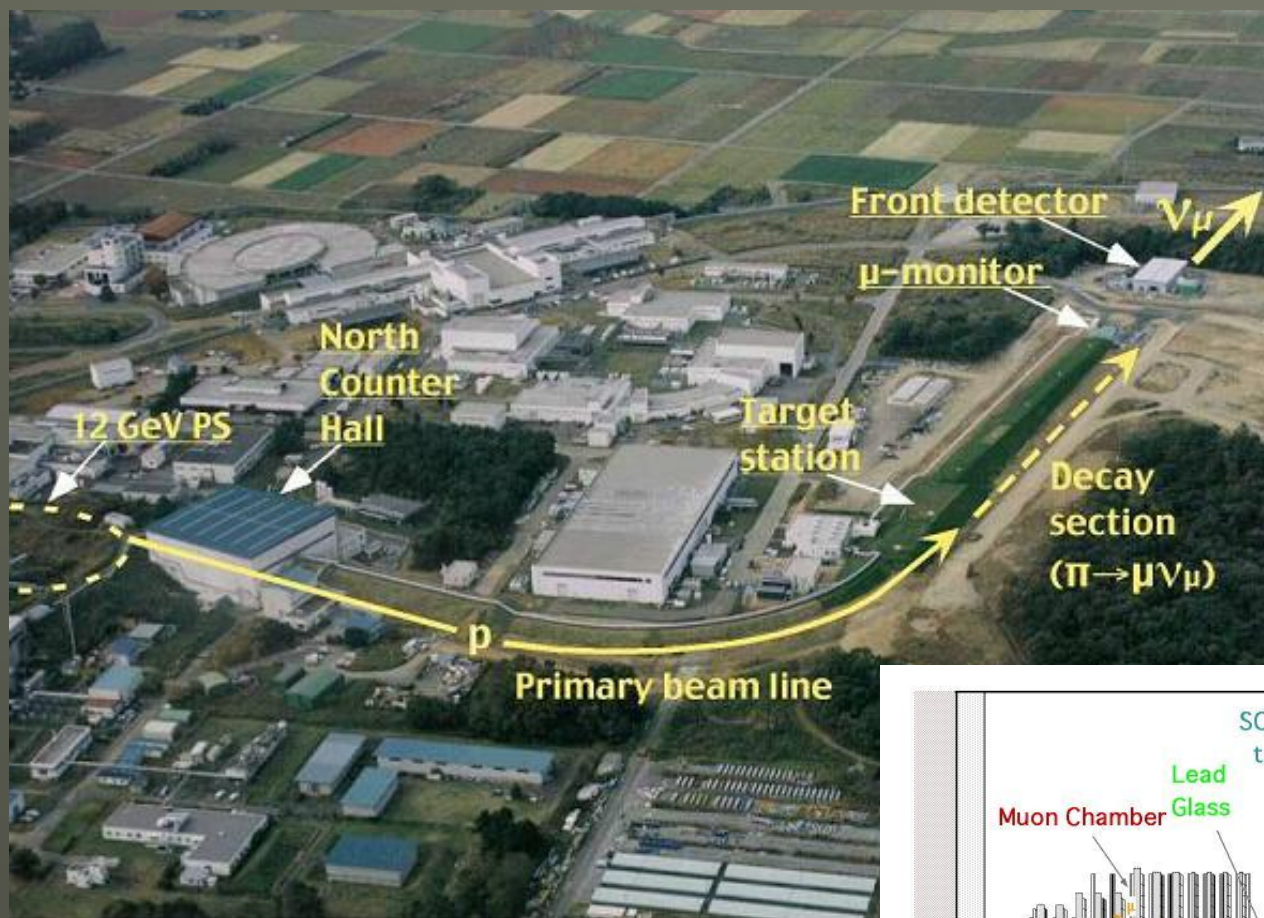
Proposal for  
a Long Baseline Neutrino Oscillation Experiment,  
using KEK-PS and Super-Kamiokande

Nov.3, 1994 (a review)

The neutrinos run through the  
by the SuperK detector to  
a precise measurement  
and its origin.

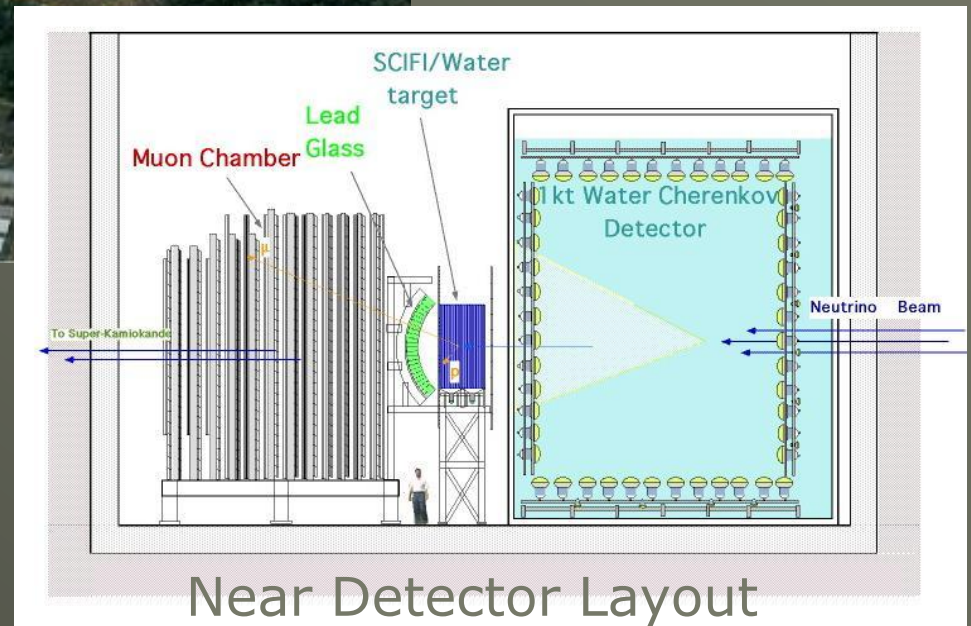
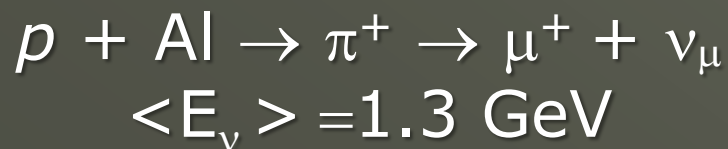
## Abstract

We propose an experiment to draw a definite conclusion on the possibilities of neutrino oscillations with squared mass differences  $\Delta m^2$  around  $10^{-2} \text{ eV}^2$  which has been indicated by the Kamiokande group and by other underground experiments (IMB, SOUDAN-II) analyzing atmospheric neutrinos. The experiment uses a well-defined muon neutrino ( $\nu_\mu$ ) beam produced at the KEK-PS and three detectors, including the existing Super-Kamiokande detector. The experiment will be sensitive to the  $\nu_\mu \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_\tau$  oscillations,  $\Delta m^2 > 3 \times 10^{-3} \text{ eV}^2$  and  $\sin^2 2\theta > 0.1$ , at more than the  $3\sigma$  confidence level. The experimental methods, accelerator modification, schedule and cost estimates are described.



250 km to SK

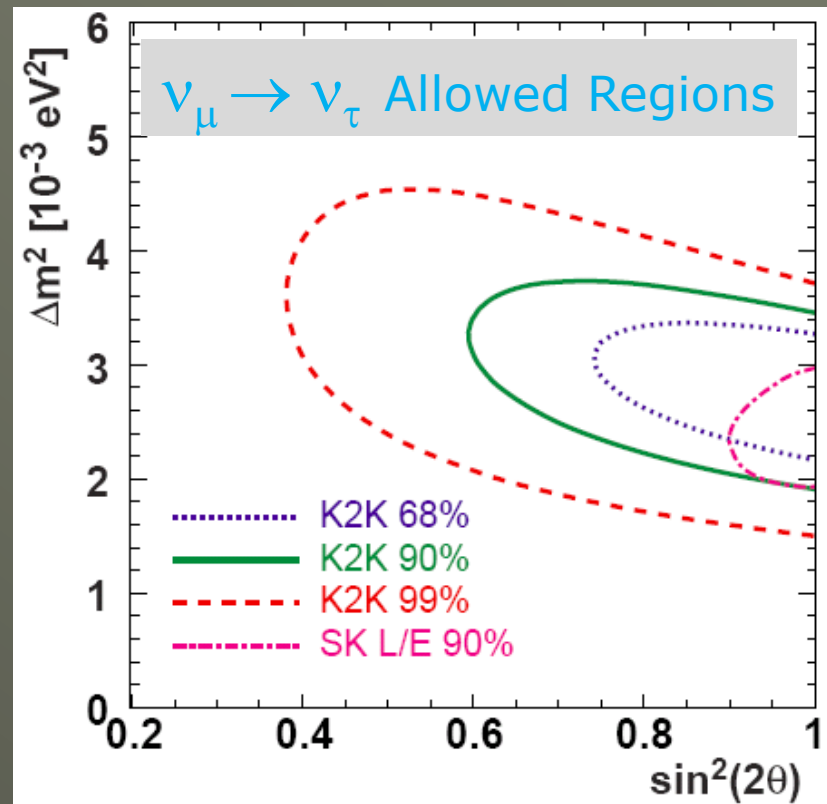
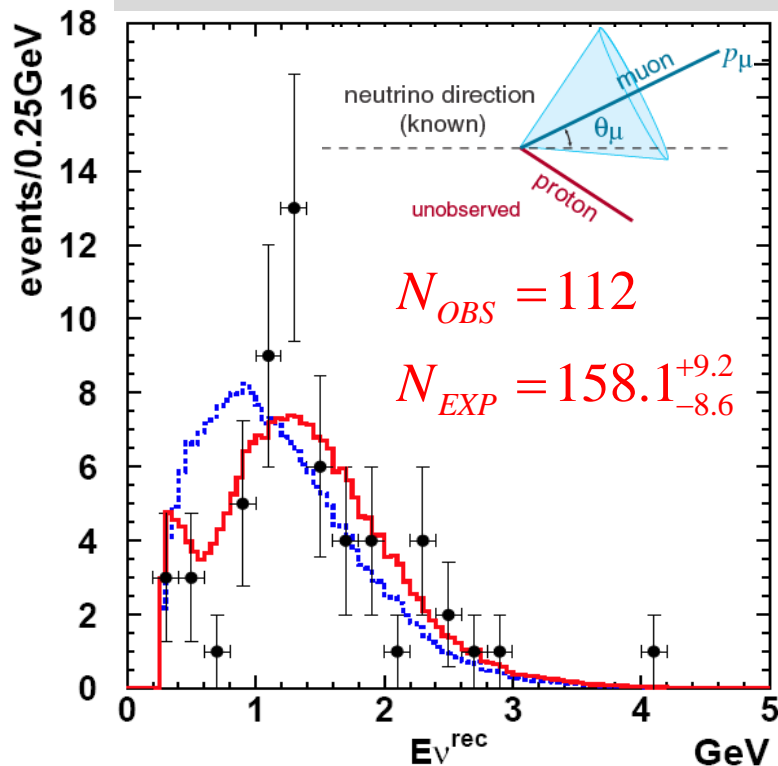
# KEK to Kamioka K2K





# K2K Results

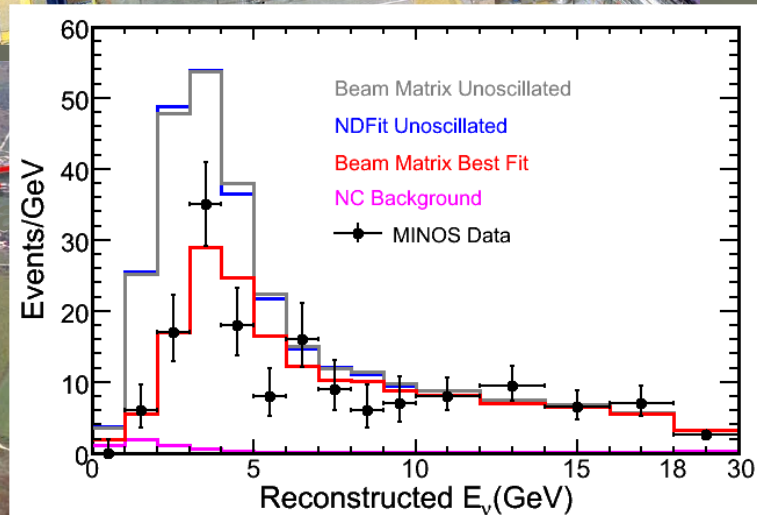
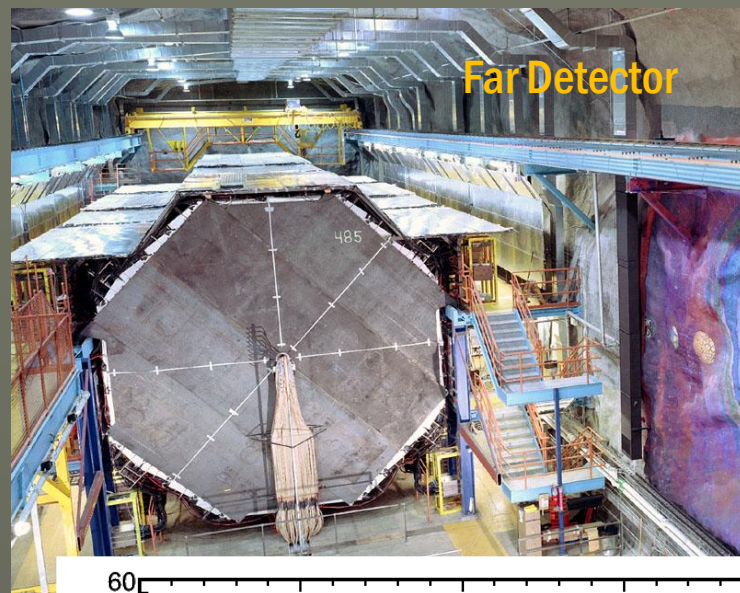
## Energy Spectrum Distortion



>  $4\sigma$  confirmation of atmospheric neutrino mixing

# MINOS Experiment

Fermilab – Soudan  
 $E_\nu \sim 3 \text{ GeV}$   
 $L = 735 \text{ km}$   
 Started 2005



$$|\Delta m_{32}^2| = 2.74^{+0.44}_{-0.26} \text{ (stat + syst)} \times 10^{-3} \text{ eV}^2$$

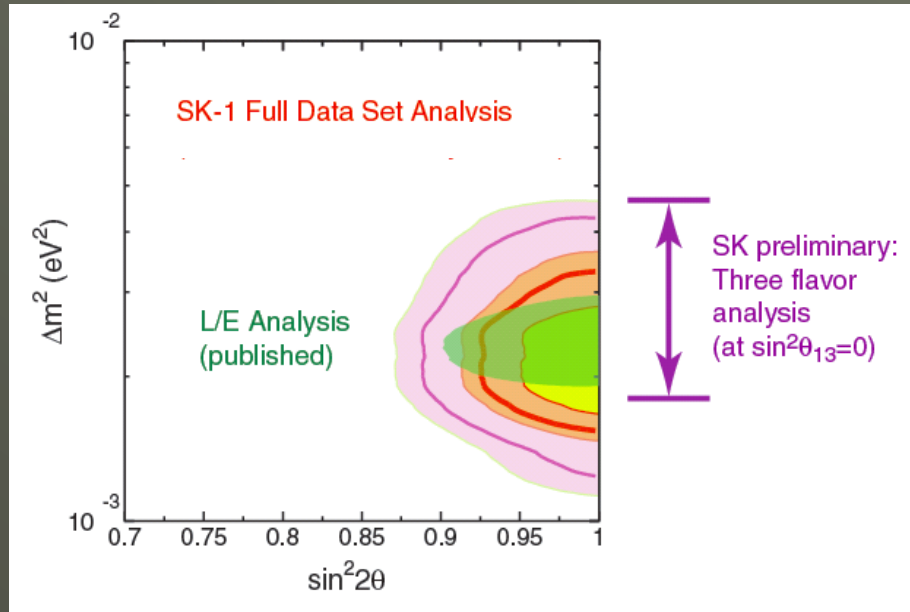
$$\sin^2 2\theta_{23} = 1.00_{-0.13} \text{ (stat + syst)}$$

$$\text{Normalization} = 0.98$$



$$\nu_{\mu} \rightarrow \nu_{\tau}$$

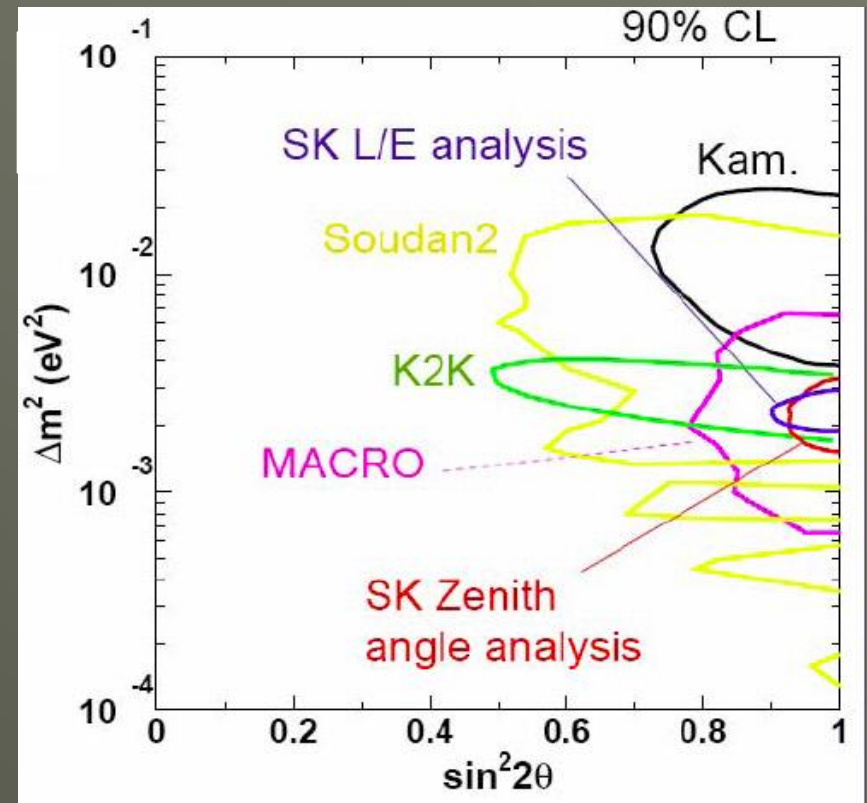
## Super-K Summary



$$1.5 \times 10^{-3} \text{ eV}^2 < \Delta m_{23}^2 < 3.4 \times 10^{-3} \text{ eV}^2$$

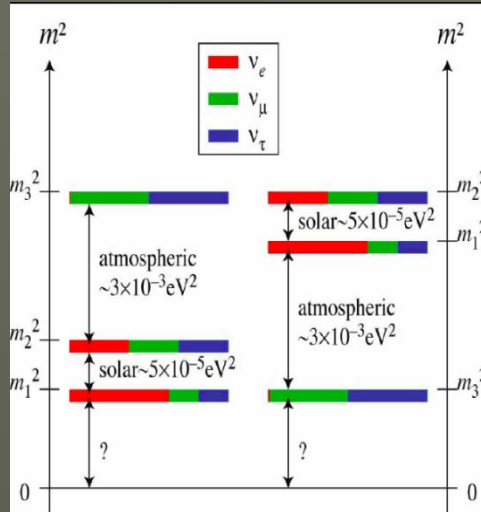
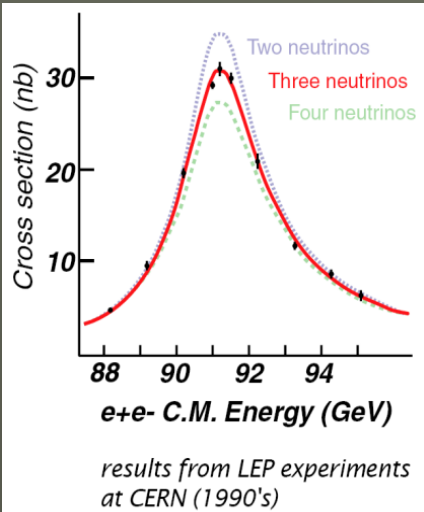
$$\sin^2 2\theta_{23} > 0.92 \quad 90\% \text{ C.L.}$$

## Global Summary



~~LSND~~

# Number of Neutrinos is Three



The solar neutrino problem (next speaker) and the atmospheric neutrino puzzle are soundly resolved by neutrino oscillations.

But what about the other terms of the MNSP mixing matrix?

$$U_{li} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

Maki-Nakagawa-Sakata-Pontecorvo Matrix

$$= \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\delta} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & e^{i\alpha_3/2+i\delta} \end{pmatrix}$$

Solar

Atmospheric

CP Phase

Reactor...

Majorana Phases

(Double  $\beta$  Decay)

where  $c_{ij} = \cos \theta_{ij}$ , and  $s_{ij} = \sin \theta_{ij}$  Three Component Flavor Mixing

Appearance Expt?

Mass Hierarchy?

Value of  $\theta_{13}$ ?

Violate CP?

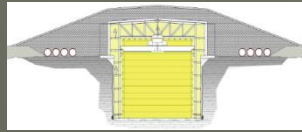
Majorana or Dirac?

Absolute Mass?

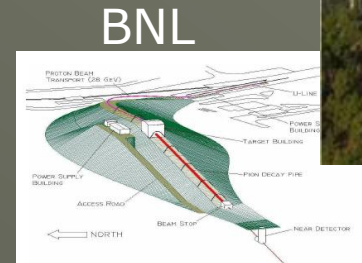


# The Road Ahead

Bigger detectors, more intense beams and longer baselines



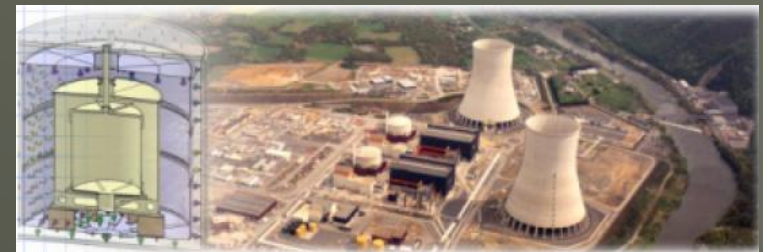
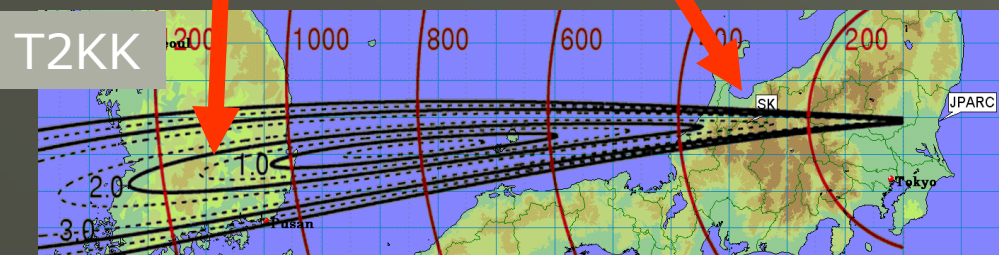
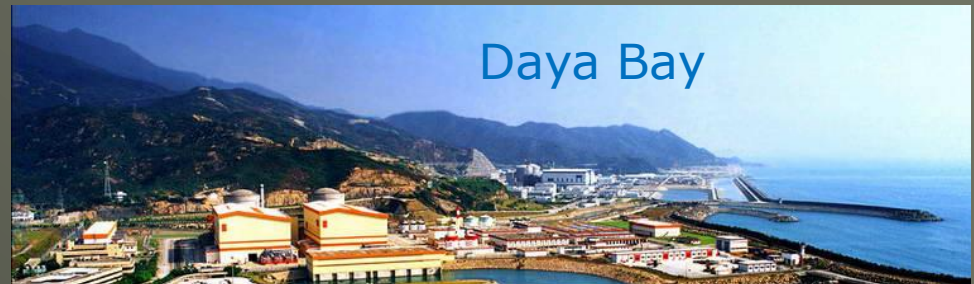
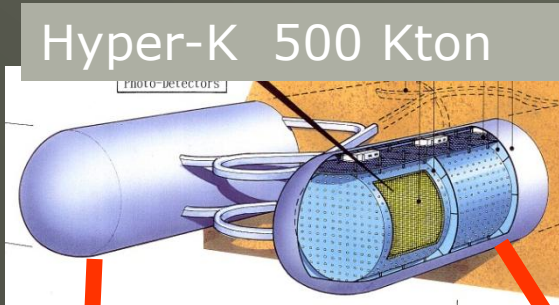
NOvA



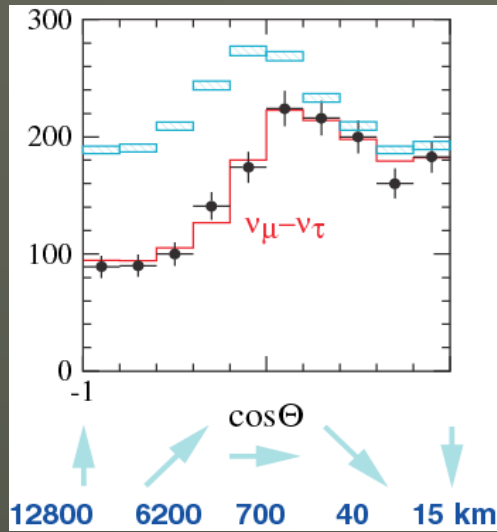
BNL



DUSEL?



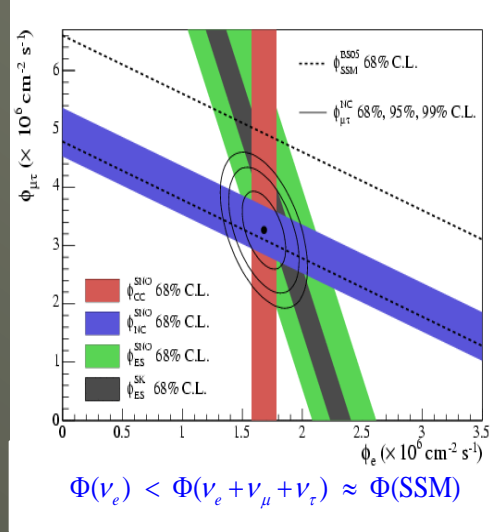
# Atmospheric Neutrinos



Confirmed by MACRO, Soudan 2  
Started by Kamiokande and IMB



# Solar Neutrinos



Also important: Super-K,  
Kamiokande, SAGE, Gallex/GNO,  
Homestake





