

$$D_\mu = \partial_\mu + i g_2 W_\mu^a T_{SU(2)}^a + i g_3 G_\mu^a T_{SU(3)}^a + i g_1 B_\mu Q_Y$$

normalization?

$$\text{Tr}(T^a T^b) = \frac{1}{2} \delta^{ab}$$

normalization? e_R^- charge = -1

what is the equivalent normalization if $U(1)_Y$ comes from non-Abelian group

Example 1, $U(1)$ inside $SU(2)_W$
isospin

$$T^a = \frac{\sigma^a}{2}, \quad \frac{\sigma^3}{2} \text{ is the diagonal generator}$$

$$i g_2 W_\mu^3 \frac{\sigma^3}{2} \begin{pmatrix} u \\ d \end{pmatrix} \Rightarrow \text{charges are } \pm \frac{1}{2}$$

Example 2: $U(1)$'s inside $SU(3)$.

2 diagonal generators: $T_3 = \begin{pmatrix} \sigma_3/2 & \\ & 0 \end{pmatrix}$

$$T_8 = \frac{1}{\sqrt{12}} \begin{pmatrix} 1 & & \\ & 1 & \\ & & -2 \end{pmatrix}$$

acting on triplets: $i g_3 G_\mu^3 T^3 = i g_3 G_\mu \begin{pmatrix} 1/2 & & \\ & -1/2 & \\ & & 0 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix}$
charges $\pm 1/2$

$$i g_3 G_\mu^8 T^8 = i g_3 G_\mu \frac{1}{\sqrt{12}} \begin{pmatrix} 1 & & \\ & 1 & \\ & & -2 \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix}$$

charges $1/\sqrt{12}, -1/\sqrt{3}$

Lesson $U(1)$ normalization not unique, depends on how $U(1)$ is embedded in a non-Abelian group.

\Rightarrow need to specify unification group before proceeding.

Example 3: $SU(5)$:

want unification group that contains $SU(3)_c \times SU(2)_w \times U(1)_y$

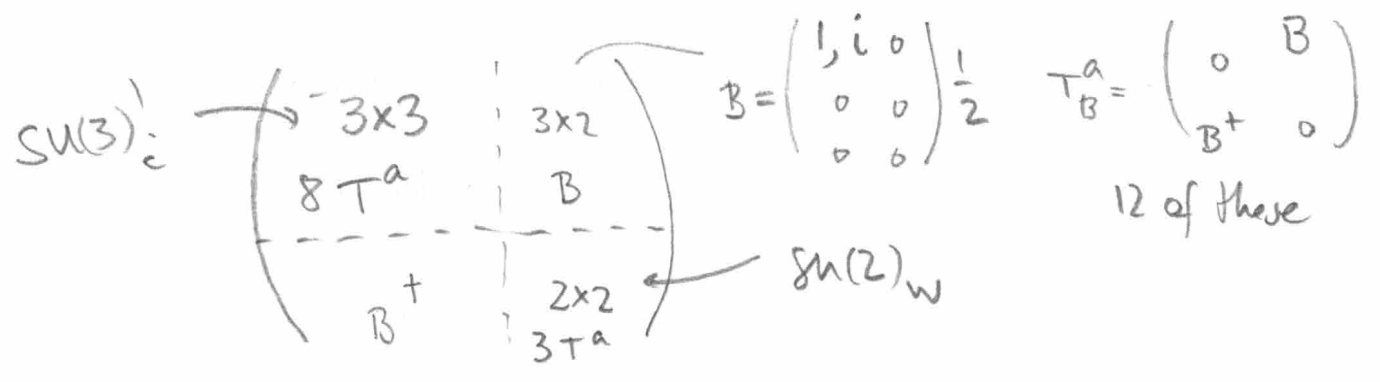
$SU(5)$ ^{special} unitary 5x5 matrices

$$U = e^{i \alpha_a T^a}$$

\swarrow
5x5 herm.

$$\text{tr}(T^a T^b) = \frac{1}{2} \delta^{ab}$$

basis: $T^a, a = 1 \dots 24$



\Rightarrow have 23 generators, T_B^a do not commute with $SU(3), SU(2)$

\Rightarrow cannot be $U(1)_y$

Missing one generator, look at diagonal ones.

There should be a total of $5-1=4$.
 ↑ traceless

Have T_3, T_8 inside $SU(3)$, T_3 inside $SU(2)_W$

$$\begin{pmatrix} 1 & & \\ & -1 & \\ & & 0 \end{pmatrix} \quad \begin{pmatrix} 1 & & \\ & -2 & \\ & & 1 \end{pmatrix} \quad \begin{pmatrix} & & \\ & & \\ & & 1 \\ & & & -1 \end{pmatrix}$$

missing!

something that commutes with $SU(3)$ & $SU(2)$ must be

$$\begin{pmatrix} a & & & \\ & a & & \\ & & a & \\ & & & b & b \end{pmatrix}, \text{ traceless} \quad \begin{pmatrix} -\frac{1}{3} & & & \\ & -\frac{1}{3} & & \\ & & -\frac{1}{3} & \\ & & & \frac{1}{2} & \frac{1}{2} \end{pmatrix} N = T_{24}$$

normalization $\text{Tr}(T_{24}T_{24}) = \frac{1}{2} = (3 \cdot \frac{1}{9} + 2 \cdot \frac{1}{4}) N^2 = N^2 \frac{5}{6}$

$$\Rightarrow N = \sqrt{3/5}$$

How do fermions couple? 5-plets of fermions

$$5 = \begin{pmatrix} \\ \\ \\ \dots \\ \end{pmatrix} \leftarrow \begin{matrix} 3 \text{ of color } SU(3) \\ 2 \text{ of weak } SU(2) \end{matrix}$$

$$ig_5 T^a A_\mu^a \left(\dots \right) \Rightarrow \text{upper components triplet of } SU(3)_c$$

on triplet $\Rightarrow \left(ig_5 T_3^a G_\mu^a + \underbrace{ig_5 \sqrt{3/5}}_{g_Y} \underbrace{(-1/3)}_{Q_Y} B_\mu \right) \begin{pmatrix} \vdots \\ \vdots \end{pmatrix} \quad (3, 1)_{-1/3}$

on doublet $\left(ig_5 T_2^a W_\mu^a + \underbrace{ig_5 \sqrt{3/5}}_{g_Y} \underbrace{1/2}_{Q_Y} B_\mu \right) \begin{pmatrix} \vdots \\ \vdots \end{pmatrix} \quad (1, 2)_{1/2}$

note that $\bar{5}$ transforms like $(\bar{3}, 1)_{1/3} + (1, 2)_{-1/2}$
 \uparrow
 complex conjugate of 5

Recap
RGEs:

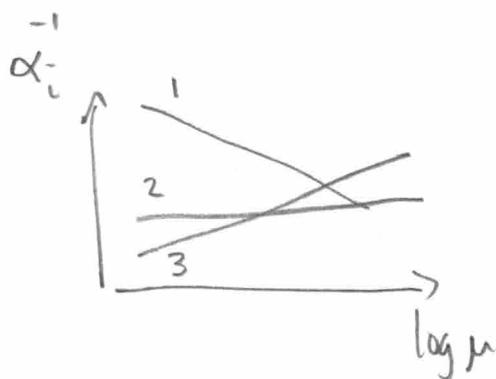
$$\dot{\alpha}_i = -\frac{\alpha_i^2}{2\pi} b_i$$

$$b_i^{SM} = \left(-\frac{41}{6}, \frac{19}{6}, 7\right)$$

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U(1) SU(2) SU(3)

Solution



normalization of coupling of U(1)?

$$\partial_\mu + ig A_\mu^a T^a + ig_1 B_\mu Q_Y$$

$$\uparrow \text{tr}(T^a T^b) = \frac{1}{2} \delta^{ab}$$

$$\uparrow Q_{EM}(e^-) = -1$$

need to embed U(1) into non-abelian group to understand normalization.

SU(5)

$$T^a = \begin{pmatrix} 8 \times T_{SU(3)}^a & \begin{matrix} 1, i \\ 12 \end{matrix} \\ \hline \begin{matrix} 1, -i \\ 12 \end{matrix} & 3 \times T_{SU(2)}^a \end{pmatrix}$$

$$\text{tr} T^a T^b = \frac{1}{2} \delta^{ab}$$

$$T^{24} = \sqrt{\frac{3}{5}} \begin{pmatrix} -\frac{1}{3} & & & & \\ & -\frac{1}{3} & & & \\ & & -\frac{1}{3} & & \\ & & & \frac{1}{2} & \\ & & & & \frac{1}{2} \end{pmatrix}$$

commutes with
SU(3) & SU(2)

How do fermions couple?

guess that they are in a 5-dim representation i.e. $5 = \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \left. \vphantom{\begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix}} \right\} \begin{array}{l} 5 \text{ comp.} \\ \text{transform} \\ \text{under} \\ \text{SU}(5) \end{array}$

$\begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \leftarrow \text{SU}(3) \Rightarrow \text{color triplet!}$

$\begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \leftarrow \text{SU}(2) \Rightarrow \text{SU}(2)_W \text{ doublet!}$

$$ig_5 T^a A_\mu^a \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix}$$

on triplet get: $ig_5 T_{\text{SU}(3)}^a G_\mu^a + ig_5 \sqrt{3/5} (-1/3) B_\mu \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} (3, 1)_{-1/3}$

on doublet $ig_5 T_{\text{SU}(2)}^a W_\mu^a + ig_5 \sqrt{3/5} \frac{1}{2} B_\mu \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} (1, 2)_{1/2}$

$\underbrace{\hspace{10em}}_{= g_Y Q_Y}$

notation
"direct sum" $5 = (3, 1)_{-1/3} \oplus (1, 2)_{1/2}$

↑
absorb $\sqrt{3/5}$ into
def. of coupling to get
"normal looking" hypercharges

note: $\bar{5} = (\bar{3}, 1)_{1/3} \oplus (1, 2)_{-1/2}$
 $\bar{2} = 2$

$$\text{SM fermions} \quad Q = (3, 2)_{1/6}$$

$$U^c = (\bar{3}, 1)_{-2/3}$$

$$D^c = (\bar{3}, 1)_{1/3}$$

$$L = (1, 2)_{-1/2}$$

$$E^c = (1, 1)_1$$

$\Rightarrow D^c, L$ have just the right Q#s to unify into $\bar{5}$

normalizations of couplings: unification predicts

$$g_2 = g_3 = g_5$$

$$g_Y = \sqrt{3/5} g_5$$

\Rightarrow should expect unification of $g_2, g_3, \sqrt{5/3} g_Y$

$$\Leftrightarrow \alpha_2^{-1}, \alpha_3^{-1}, \alpha_1^{-1} \frac{3}{5}$$

\rightarrow Mathematica notebook

"unification" scale	SM	$10^{14}, 10^{15}, 10^{17}$ GeV	GUT 25
	susy	$2 \cdot 10^{16}$ GeV	

What about the other fermions?

$$5 = (3 \ 1)_{-1/3} + (1 \ 2)_{1/2}$$

$$\bar{5} = (\bar{3} \ 1)_{1/3} + (1 \ 2)_{-1/2}$$

other representations example SU(2): $\frac{1}{2} \times \frac{1}{2} = 0 + 1$
 $\frac{1}{2} \times \frac{1}{2} \times \frac{1}{2} = (0+1) \times \frac{1}{2}$
 $= \frac{1}{2} + (\frac{1}{2} + \frac{3}{2})$

in our notation $2 \times 2 = 1 + 3$
 $2 \times 2 \times 2 = (1+3) \times 2$
 $= 2 + (2+4)$

SU(3): $3 \times \bar{3} = 1 + 8$
 $\uparrow \quad \nwarrow$
 singlet gluons

$3 \times 3 = \bar{3} + 6$
 $\uparrow \quad \nwarrow$
 antisym symmetric

$$SU(5): \quad 5 \times \bar{5} = 1 + 24$$

↑
singlet

↑

gauge bosons
recall 24 generators of $SU(5)$

$$5 \times 5 = 10 + 15$$

AS S

$$10 = 5 \times 5 \Big|_A = \left[\left((3, 1)_{-1/3} + (1, 2)_{1/2} \right) \times \left((3, 1)_{-1/3} + (1, 2)_{1/2} \right) \right]_A$$

$$= (\bar{3}, 1)_{-2/3} + (1, 1)_1 + (3, 2)_{+1/6}$$

$$= U^c + E^c + Q \quad !!!$$

$$\text{One generation of SM} = \underbrace{D^c \quad L}_{\bar{5}} \quad \underbrace{U^c \quad E^c \quad Q}_{10}$$

predictions?

unification of couplings

GUT 27

- gauge couplings

- Yukawa couplings

$QU^c H$	$QD^c \tilde{H}$	$E^c L \tilde{H}$
$10 10 5$	$10 \bar{5} \bar{5}$	$10 \bar{5} \bar{5}$

$\Rightarrow H$ is in a 5 rep $\Rightarrow (\bar{3}, 1)_{1/3} \begin{pmatrix} H_3 \\ \dots \\ H_2 \end{pmatrix}$ colored partner of Higgs.
 $(1, 2)_{1/2}$

H_3 mass must be at GUT-scale in order

for our RGE calculations to be valid

(we assumed only a Higgs doublet)

Also, Higgs triplet mediates proton decay

$$\begin{array}{c}
 q \quad \quad q^* \\
 \diagdown \quad \diagup \\
 \text{---} \\
 \diagup \quad \diagdown \\
 q \quad \quad e
 \end{array}
 \sim \frac{1}{M_{H_3}} \Rightarrow \text{need } H_3 \text{ at GUT scale.}$$

Recap

$SU(5)$ contains $SU(3) \times SU(2) \times U(1)_Y$ as subgroup

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generators $SU(5)$: Hermitian traceless 5×5

$$A_\mu = A_\mu^a T^a \sim \left(\begin{array}{c|c} G_\mu & XY_\mu^+ \\ \hline XY_\mu & W_\mu \end{array} \right) + \frac{\sqrt{3}}{5} B_\mu \begin{pmatrix} -1/3 & \\ & +1/2 \end{pmatrix}$$

i.e. $24 \rightarrow (8, 1)_0 + (1, 3)_0 + (1, 1)_0 + (3, 2)_{2/3} + (\bar{3}, 2)_{-2/3}$

what is hypercharge of XY ?

recall $5 \rightarrow (3, 1)_{-1/3} + (1, 2)_{1/2}$

$\bar{5} \rightarrow (\bar{3}, 1)_{1/3} + (1, 2)_{-1/2}$

$\Rightarrow 5 \times \bar{5} = ((3, 1)_{-1/3} + (1, 2)_{1/2}) \times ((\bar{3}, 1)_{1/3} + (1, 2)_{-1/2})$

$= (1, 1)_0 + (8, 1)_0 + (1, 1)_0 + (1, 3)_0 + (3, 2)_{-5/6} + (\bar{3}, 2)_{5/6}$

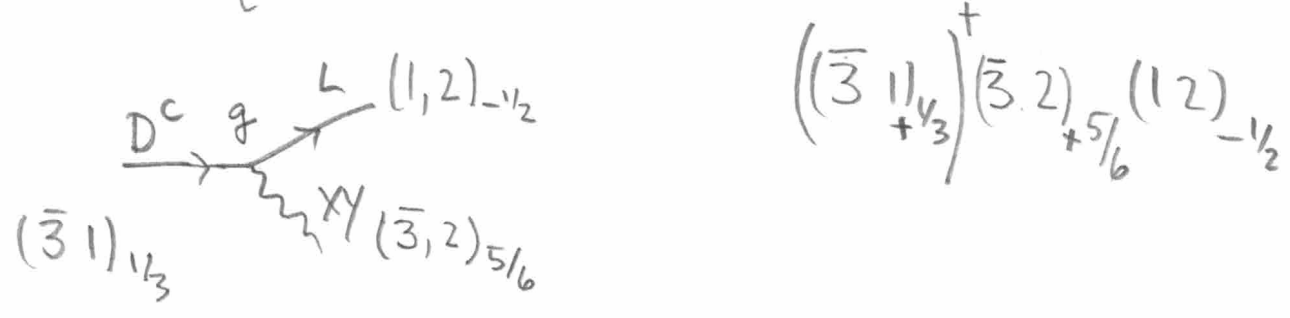
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Vector boson: $(\bar{3}, 2)_{+5/6} \xrightarrow{\text{SU}(2) \times \text{U}(1) \text{ breaks}} 3_{+4/3} + 3_{+1/3}$

What does it couple to?

$$\bar{5}^+ i \sigma^M D_\mu 5 \rightarrow \bar{5}^+ \sigma^M g A_\mu 5 \quad \begin{matrix} \uparrow \\ (D^c \\ L) \end{matrix}$$

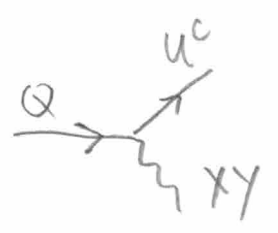
$$\Rightarrow g \left[D^{c+} \bar{\sigma} \cdot G D^c + L^+ \bar{\sigma} \cdot W L + (D^{c+} \bar{\sigma} \cdot XY L + \text{h.c.}) + \text{hypercharge} \right]$$



couples to 10?

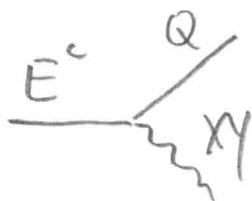
$$10 = 5 \times 5_A = (\bar{3}, 1)_{-2/3} + (1, 1)_1 + (3, 2)_{1/6}$$

$$10^+ \bar{\sigma}^M A_\mu 10 \rightarrow \begin{matrix} (\bar{3}, 2)_{-1/6} & (\bar{3}, 2)_{5/6} & (\bar{3}, 1)_{-2/3} \\ Q^+ & XY & U^c \end{matrix}$$

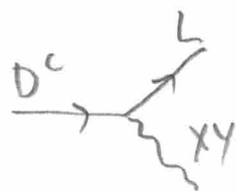


$$(1\ 1)_{-1} \quad (\bar{3}\ 2)_{5/6} \quad (3\ 2)_{1/6}$$

$$E^c \quad XY \quad Q$$



What is Baryon and Lepton # of XY?



\Rightarrow

$$B = -1/3 \quad L = -1$$

$$B-L = 2/3$$



\Rightarrow

$$B = -1/3 \quad L = -1$$

$$B-L = 2/3$$



\Rightarrow

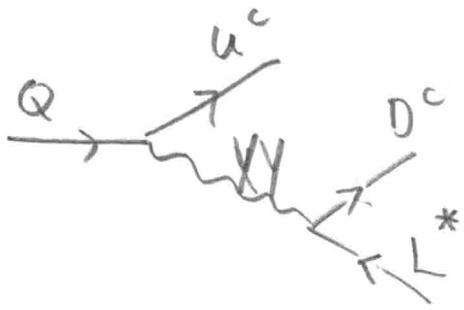
$$B = 2/3 \quad L = 0$$

$$B-L = 2/3$$

\Rightarrow no consistent assignment of B & L possible

\Rightarrow Baryon # and L # violation!

But B-L conserved. \Rightarrow processes which
 preserve B-L allowed



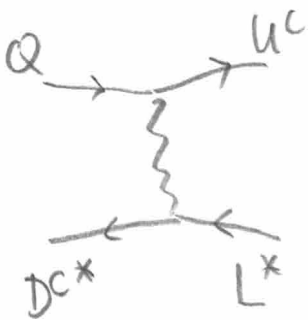
$$M \sim \frac{g^2}{M_{XY}^2} Q^\dagger \bar{\sigma}_\mu u^c D^c \bar{\sigma}_\mu L^\dagger$$

$$q \rightarrow \bar{q} \bar{q} \bar{l}$$

B-L OK
 $\Delta B = -1 \quad \Delta L = -1$

~~$uud \rightarrow \bar{u} \bar{d} e^+ u d$~~

or $qq \rightarrow \bar{q} \bar{l}$



$$uud \xrightarrow{X} uu^c e^+ \quad \pi^0 e^+$$

$$\quad \quad \quad \searrow Y \quad ud^c \bar{\nu} \quad \pi^+ \bar{\nu}_e$$

Rate ?

$$M \sim \frac{g_5^2}{M_{XY}^2} \Rightarrow \Gamma \sim \frac{m_p^5}{8\pi} \frac{g_5^4}{M_{XY}^4}$$

g_5 ? unified coupling

M_{xy} ? unification scale

$$g_5 \sim 1, \quad \Gamma \sim \frac{\text{GeV}^5}{8\pi} \frac{1}{(2 \cdot 10^{16} \text{ GeV})^4} \sim 10^{-67} \text{ GeV}$$

$$\frac{\text{GeV} \cdot 10^{-15} \text{ m}}{10^9 \text{ m/s}} = \text{GeV} \cdot 10^{-24} \text{ s}$$

$$\tau \sim 10^{67} \cdot 10^{-24} \text{ s} \sim 10^{43} \text{ s} \sim 10^{36} \text{ yrs}$$

Yukawa couplings

$$\begin{array}{c}
 10 \quad 10 \quad 5 \\
 \quad \quad \quad \swarrow H \rightarrow \\
 10 \quad \bar{5} \quad \bar{5} \swarrow H^c
 \end{array}
 \begin{array}{c}
 \left(\begin{array}{c} (3 \ 1)_{-1/3} \\ (1 \ 2)_{2/3} \end{array} \right) \\
 \leftarrow H_2
 \end{array}
 \begin{array}{c}
 H_3 \\
 \\
 \leftarrow H_2
 \end{array}$$

H_3 also mediates proton decay

$$M \sim \frac{\lambda^2}{M_{H_3}^2} \Rightarrow M_{H_3} \text{ also large.}$$

