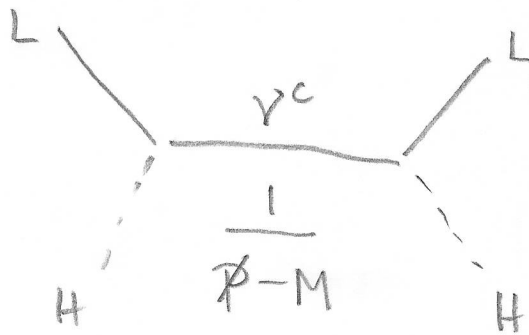


Effective Field Theory perspective

$M \gg \text{TeV} \Rightarrow$ integrate out ν^c

$$\mathcal{L} = -\frac{M}{2} \nu^c \nu^c - \lambda_\nu \nu^c (H L)$$



$SU(2)_{\text{weak}}$ indices contracted

at energies $E \ll M$, approximate $\frac{1}{p-M} \approx -\frac{1}{M}$

the effect of this interaction is reproduced by the effective Lagrangian

$$\mathcal{L}_{\text{eff}} = -\frac{1}{2} \lambda_\nu (H L) \frac{-1}{M} \lambda_\nu (H L) = \frac{1}{2} \lambda_\nu \frac{1}{M} \lambda_\nu (H L)^2$$

$$H = \frac{v}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \Rightarrow \frac{1}{2} m_0 \frac{1}{M} m_0 \nu \nu \Rightarrow m_\nu = \frac{m_0^2}{M}$$

Higher dimensional operators (couplings)

Mass dimensions of fields follow from kinetic terms

$[.] \sim$ mass dimension notation

eg. $[M] = 1$, $[I] = 0$, $[E] = [p] = 1$, $[x] = -1$, $[dx] = -1$

$[Action] = 0$ Action = $\int d^4x \mathcal{L}$

$\Rightarrow [\mathcal{L}] = 4$

$\mathcal{L} \sim (\partial_\mu \phi)^2 + (\partial_\mu A_\nu - \partial_\nu A_\mu)^2 + \psi^\dagger \sigma \cdot \partial \psi + \dots$

$\Rightarrow [\phi] = 1$ $\Rightarrow [A_\mu] = 1$ $\Rightarrow [\psi] = 3/2$

interactions: $[\phi^4] = 4 \Rightarrow \lambda \phi^4$
 \uparrow dimensionless

$D_\mu = \partial_\mu - ig A_\mu$, $\lambda^E \underbrace{E^C H L}_4$
 \uparrow \uparrow \uparrow \uparrow
 1 0 1 0

\Rightarrow SM couplings are all dimensionless!

couplings with more powers of fields have $\frac{1}{\text{mass}}$ couplings.

Unique "dimension 5" operator in SM is $[(LH)^2] = 5$.

Note: we arrived at $(HL)^2$ coupling from "integrating out" ν^c , but we could have just not had ν^c from the start and written

$$\mathcal{L} = - \frac{\lambda_{\text{eff}}}{2\Lambda} (HL)^2$$

$$\langle H \rangle \Rightarrow m_\nu = \lambda_{\text{eff}} \frac{v^2}{2\Lambda}$$

This is the simplest way of adding neutrino masses in the SM (no ν^c), just write $(HL)^2$ coupling.

\Rightarrow 2 equally good paradigms in SM

① $U(1)_L$ preserved

$$\lambda_\nu \nu^c HL$$

$$\Rightarrow m_\nu = \lambda_\nu \frac{v}{\sqrt{2}}$$

② $U(1)_L$ broken to \mathbb{Z}_2

$$- \frac{\lambda}{2\Lambda} (LH)^2$$

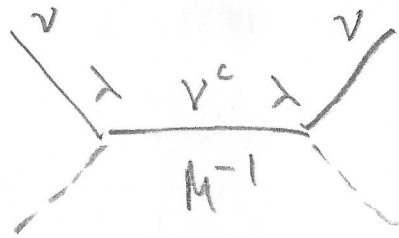
$$\Rightarrow m_\nu = \frac{\lambda v^2}{2\Lambda}$$

$$\left[\begin{array}{l} \text{or the more} \\ \text{elaborate} \\ \lambda \nu^c HL + \frac{M}{2} \nu \nu^c \end{array} \right]$$

lepton # not conserved

\Rightarrow can have $nn \rightarrow p^+ e^- p^+ e^-$

3 generations



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$$m_\nu = -m^T M^{-1} m$$

or leaving out ν^c :

$$-\frac{\lambda_{ij}}{2\Lambda} (HL_i)(HL_j) \Rightarrow m_{\nu ij} = \frac{\lambda_{ij} v^2}{2\Lambda}$$

in either case m_ν is not diagonal, it is symmetric, complex

$$\Rightarrow \text{can be written as } m_\nu = V_\nu^T \begin{pmatrix} m_1 \\ m_2 \\ m_3 \end{pmatrix} V_\nu$$

$$\text{redefine } \nu' = V_\nu \nu \Rightarrow V_{PMNS} = V_\nu V_E^+$$

as in the Dirac case.

back to single generation, with ν^c , $M \gg m_D$

24.

$$(\nu \nu^c) \begin{pmatrix} 0 & m_D \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu \\ \nu^c \end{pmatrix}$$

ν has weak interactions, "active"

ν^c has no " " " " "sterile"

$$\nu = \cos\theta \nu_L + \sin\theta \nu_H$$

$$\nu^c = -\sin\theta \nu_L^c + \cos\theta \nu_H^c$$

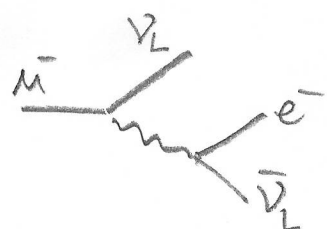
ν_L, ν_H mass eigenstates

$$\theta \sim \frac{m_D}{M}$$

W couplings:

$$\frac{g}{\sqrt{2}} \nu^\dagger \sigma^\mu W_\mu^+ E^- \rightarrow \frac{g}{\sqrt{2}} \cos\theta \nu_L^\dagger \sigma^\mu W_\mu^+ E^-$$

\Rightarrow W-couplings are suppressed by $\cos\theta$ due to active-sterile mixing

e.g. μ^- decay  $\sim (g \cos\theta_\mu)^2 (g \cos\theta_e)^2$

experiment: $g g \lesssim 10^{-4} \Rightarrow \theta \lesssim 10^{-2}$

Neutrino Mass Review:

A. Lepton # preserved L

Requires "right-handed" neutrino

$$\lambda^\nu \nu^c H L \rightarrow m^\nu \nu^c \nu$$

↑
 10^{-10}

Dirac mass

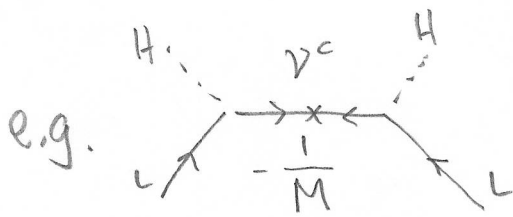
B. Lepton # violated \cancel{L}

can write $(HL)^i_\alpha \epsilon^{\alpha\beta} (HL)^i_\beta \frac{K_{ij}}{\Lambda} \rightarrow \frac{m_{ij}^\nu}{2} \nu_i \nu_j$ Majorana mass

↑
spinor space

3x3 flavor

this is a dimension 5 operator \Rightarrow New Physics at scale $\lesssim \Lambda$



"right-handed" neutrino with large Majorana mass

$$\sim -\frac{1}{2\Lambda} (\lambda_\nu^\top \frac{1}{M} \lambda_\nu)_{ij} (HL)^i (HL)^j$$

$$\Rightarrow \frac{K_\nu}{\Lambda} = -\lambda_\nu^\top M^{-1} \lambda_\nu$$

example $\lambda_\nu \sim \lambda_\tau \sim 10^{-2} \Rightarrow M \sim 10^{11} \text{ GeV}$ 3 light neutrinos
3 super-heavy "

assume super-heavy ν 's irrelevant $M \gg \text{TeV}$

Majorana mass $\frac{1}{2} M_{\nu}^{ij} \nu^i \nu^j$

diagonalize $U_{\nu}^T M_{\nu} U_{\nu} = \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix}$

$$\Rightarrow \frac{1}{2} \nu^T M_{\nu} \nu = \frac{1}{2} \underbrace{\nu^T U_{\nu}^{\dagger}}_{\nu'^T} \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} \underbrace{U_{\nu} \nu}_{\nu'}$$

$$\Rightarrow \nu = U_{\nu} \nu'$$

plug into W-couplings: $e^{\dagger} \bar{\sigma}^{\mu} W_{\mu} \nu \rightarrow e^{\dagger} \underbrace{U_e^{\dagger} U_{\nu}}_{\tilde{V}_{\text{PMNS}}} \bar{\sigma}^{\mu} W_{\mu} \nu'$
 $\equiv \tilde{V}_{\text{PMNS}}$

parameters in \tilde{V} ?

			real	imag
total parameters	K^e	18	9	9
	K^{ν}	12	6	6
- symmetries (all broken)	$(U(3))^2$	9+9	3+3	6+6
<hr/>				
physical parameters		12	9	3
			6 masses 3 angles	3 phases!

extra phases ^{relative to Dirac case} because cannot redefine phases of ν_i without making m_i^2 complex.

$$\tilde{V}_{\text{PMNS}} = V_{\text{PMNS}} P \left(\begin{array}{c} e^{i\eta_1} \\ e^{i\eta_2} \\ 1 \end{array} \right)$$

\uparrow
 3 angles, ϕ
 like CKM

\leftarrow
 hard to observe

experiments?

$$\pi^- \rightarrow \mu^- \bar{\nu} \quad |\vec{P}_\mu| = \frac{1}{2m_\pi} \left(m_\pi^2 - m_\mu^2 - m_\nu^2 \frac{m_\pi^2 + m_\mu^2}{m_\pi^2 - m_\mu^2} + O(m_\nu^4) \right)$$

mass difference of
initial to final $Q \approx m_\pi - m_\mu \approx 30 \text{ MeV}$

sensitivity ^{to m_ν^2} proportional to $\frac{m_\pi^2 + m_\mu^2}{m_\pi^2 - m_\mu^2} \approx \frac{2m_\pi}{Q}$

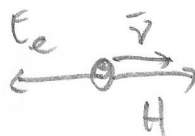
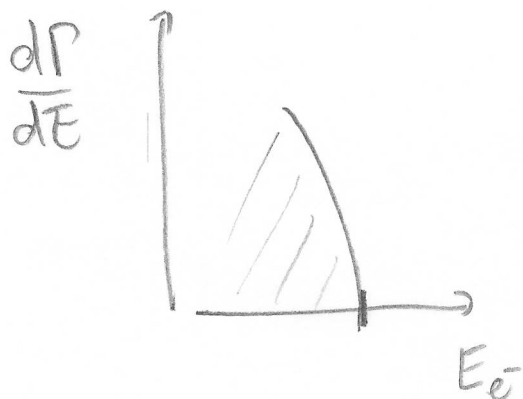
$$\text{bound: } m_{\nu_\mu} \lesssim 190 \text{ keV}$$

$$\tau \rightarrow \nu_\tau + 5\pi \quad m_{\nu_\tau} \lesssim 18.2 \text{ MeV}$$

$${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu} \quad m_{\nu_e} \lesssim 1.1 \text{ eV}$$

$$\text{Tritium } Q \approx 18.6 \text{ eV}$$

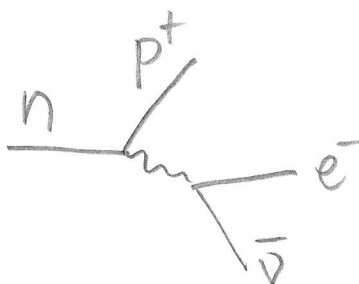
look for end point of e^- spectrum



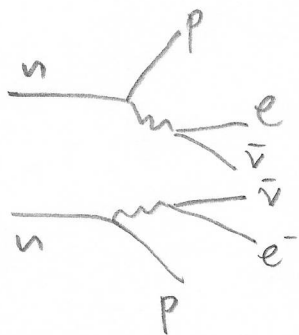
KATRIN experiment, target ~ 0.2 eV sensitivity

V-less double β decay: $nn \rightarrow pp + ee$

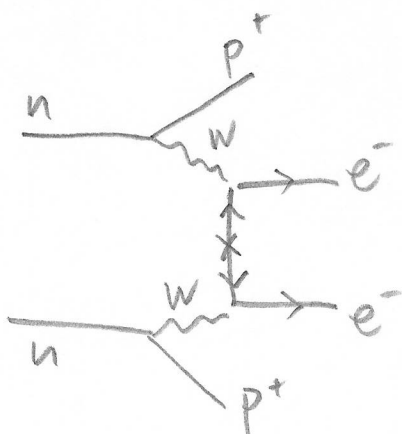
β decay



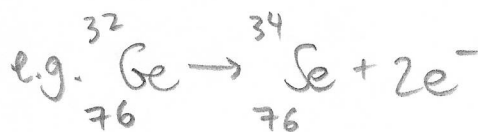
double β :



neutrino less $\beta\beta$



only exists when χ proportional to majorana mass m_{ν}^{ee} in amplitude



$$m_{\nu}^{ee} \lesssim 0.34 \text{ eV}$$

significant nuclear physics uncertainty

ee-component

m_ν^{ee} in charged lepton mass basis:

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$$(\nu_e \nu_\mu \nu_\tau) (m_\nu) \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

transform to neutrino mass basis $\tilde{V}_{PMNS}^* \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$

$$\Rightarrow (\nu_1 \nu_2 \nu_3) \underbrace{\tilde{V}^\dagger (m_\nu) \tilde{V}^*}_{\begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$\Rightarrow m_\nu^{ee} = \left[\tilde{V} \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} \tilde{V}^\dagger \right]^{ee} = \sum_i m_i \tilde{V}_{ei}^2$$

↑
contains the
Majorana phases
 η_1, η_2

future SNO+ (eg. arXiv 1904.01418)

^{130}Te double β decay $Q \sim 2.5 \text{ MeV}$

$m_{ee} \lesssim 0.04 \sim 0.1$ target