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Exact diagonalization methods

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Representation of states in the computer

- bit representation and operations for $S=1/2$ spins

Using basis states incorporating conservation laws (symmetries)

- magnetization conservation, momentum states, parity, spin inversion
- discussion without group theory
 - only basic quantum mechanics and common sense needed

Lanczos diagonalization (ground state, low excitations)

Numerical diagonalization of the hamiltonian

To find the ground state (maybe excitations, $T>0$ properties)
of the Heisenberg $S=1/2$ chain

$$\begin{aligned} H &= J \sum_{i=1}^N \mathbf{S}_i \cdot \mathbf{S}_{i+1} = J \sum_{i=1}^N [S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + S_i^z S_{i+1}^z], \\ &= J \sum_{i=1}^N [S_i^z S_{i+1}^z + \frac{1}{2}(S_i^+ S_{i+1}^- + S_i^- S_{i+1}^+)] \end{aligned}$$

Simplest way computationally; enumerate the states

- construct the hamiltonian matrix using **bit-representation** of integers

$$|0\rangle = |\downarrow, \downarrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 000)$$

$$|1\rangle = |\uparrow, \downarrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 001)$$

$$|2\rangle = |\downarrow, \uparrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 010)$$

$$|3\rangle = |\uparrow, \uparrow, \downarrow, \dots, \downarrow\rangle \quad (= 0 \dots 011)$$

$$H_{ij} = \langle i | H | j \rangle$$

$$i, j = 0, \dots, 2^N - 1$$

bit representation perfect for $S=1/2$ systems

- use >1 bit/spin for $S>1/2$, or integer vector
- construct H by examining/flipping bits

spin-state manipulations with bit operations

Let **a[i]** refer to the **i:th** bit of an **integer a**

- In Fortran 90 the bit-level function **ieor(a,2**i)** can be used to flip bit i of a
- bits i and j can be flipped using **ieor(a,2**i+2**j)**

				j	i			
a								
$2^i + 2^j$								
$\text{ieor}(a, 2^i + 2^j)$								

0	1	0	1	0	0	1	1
0	0	0	1	1	0	0	0
0	1	0	0	1	0	1	1

Other Fortran 90 functions

ishftc(a,-1,N)

- shifts N bits to the “left”

btest(a,b)

- checks (T or F) bit b of a

ibset(a,b), ibclr(a,b)

- sets to 1 or 1 bit b of a

Translations and reflections of states

r		T^r		T^r_P
0	27	00011011	216	11011000
1	54	00110110	177	10110001
2	108	01101100	99	01100011
3	216	11011000	198	11000110
4	177	10110001	141	10001101
5	99	01100011	27	00011011
6	198	11000110	54	00110110
7	141	10001101	108	01101100

The $S=1/2$ Heisenberg chain hamiltonian
can be constructed according to:

```
do  $a = 0, 2^N - 1$ 
  do  $i = 0, N - 1$ 
     $j = \text{mod}(i + 1, N)$ 
    if ( $a[i] = a[j]$ ) then
       $H(a, a) = H(a, a) + \frac{1}{4}$ 
    else
       $H(a, a) = H(a, a) - \frac{1}{4}$ 
       $b = \text{flip}(a, i, j); H(a, b) = \frac{1}{2}$ 
    endif
  enddo
enddo
```

j is the “right” nearest-neighbor of i

- periodic boundary conditions

Diagonalizing the hamiltonian matrix

- on the computer
- gives the eigenvalues and eigenvectors

If U is the matrix whose columns are the eigenvectors of H , then

$$\langle n|A|n\rangle = [U^{T*}AU]_{nn}$$

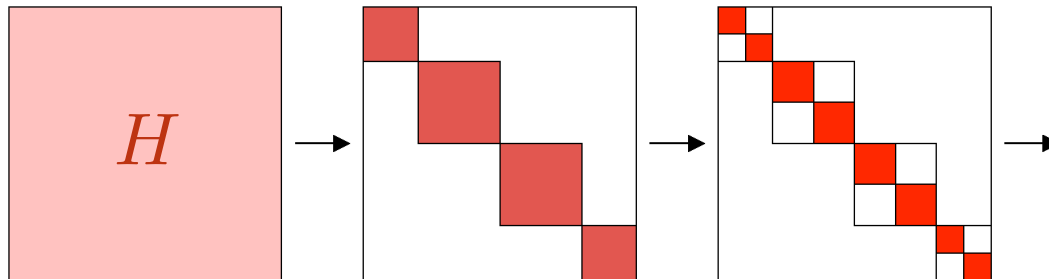
is the expectation value of some operator A in the n :th eigenstate

Problem: Matrix size $M=2^N$ becomes too large quickly

- maximum number of spins in practice; $N \approx 20$
- M^2 matrix elements to store, time to diagonalize $\propto M^3$

Using conservation laws (symmetries) for block-diagonalization

We can choose the basis in such a way that the H becomes block-diagonal



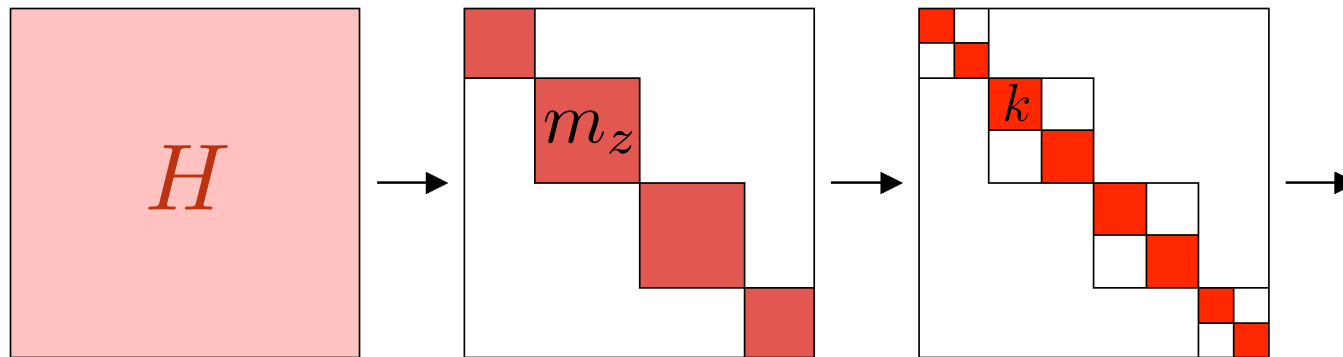
- the blocks can be diagonalized individually
- we can reach larger N (but not much larger, $N \approx 40$ is max)

Simplest example; magnetization conservation

$$m_z = \sum_{i=1}^N S_i^z$$

- blocks correspond to fixed values of m_z
- no H matrix elements between states of different m_z
- A block is constructed by only including states with a given m_z
 - corresponds to ordering the states in a particular way

Number of states in the largest block ($m_z = 0$): $N! / [(N/2)!]^2$



Other symmetries (conserved quantum numbers)

- can be used to further split the blocks
- but more complicated
 - basis states have to be constructed to obey symmetries
 - e.g., momentum states (using translational invariance)

Pseudocode: using magnetization conservation

Constructing the basis in the block of $n_{\uparrow}$ spins $\uparrow$

Store state-integers in ordered list $\mathbf{s}_a$, $a=1,\dots,M$

```
do  $s = 0, 2^N - 1$   
  if  $(\sum_i s[i] = n_{\uparrow})$  then  $a = a + 1$ ;  $s_a = s$  endif  
enddo  
 $M = a$ 
```

Example; $N=4$, $n_{\uparrow}=2$

$s_1=3$ (0011)

$s_2=5$ (0101)

$s_3=6$ (0110)

$s_4=9$ (1001)

$s_5=10$ (1010)

$s_6=12$ (1100)

How to locate a state (given integer s) in the list?

- stored map $s \rightarrow a$ may be too big for $s=0,\dots,2^N-1$
- instead, we search the list s_a (here simplest way)

```
subroutine findstate( $s, b$ )  
 $b_{\min} = 1$ ;  $b_{\max} = M$   
do  
   $b = b_{\min} + (b_{\max} - b_{\min})/2$   
  if  $(s < s_b)$  then  
     $b_{\max} = b - 1$   
  elseif  $(s > s_b)$  then  
     $b_{\min} = b + 1$   
  else  
    exit  
  endif  
enddo
```

Finding the location b

of a state-integer s in the list

- using bisection in the ordered list

Pseudocode; hamiltonian construction

- recall: states labeled $a=1, \dots, M$
- corresponding state-integers (bit representation) stored as s_a
- bit i , $s_a[i]$, corresponds to S^z_i

```
do  $a = 1, M$ 
  do  $i = 0, N - 1$ 
     $j = \text{mod}(i + 1, N)$ 
    if  $(s_a[i] = s_a[j])$  then
       $H(a, a) = H(a, a) + \frac{1}{4}$ 
    else
       $H(a, a) = H(a, a) - \frac{1}{4}$ 
       $s = \text{flip}(s_a, i, j)$ 
      call findstate( $s, b$ )
       $H(a, b) = H(a, b) + \frac{1}{2}$ 
    endif
  enddo
enddo
```

loop over states

loop over sites

check bits of state-integers

state with bits i and j flipped

Magnetization expectation value

$$m_z = \sum_{i=1}^N S_i^z$$

when we have diagonalized H, we have its eigenvectors

- stored as the columns of the diagonalizing matrix $U(i,n)=\text{vec}(i,n)$
- $\text{vec}(i,n)$ is the i :th component of eigenvector n

$$|n\rangle_{\text{eigen}} = \sum_{i=1}^M \phi_i |i\rangle \quad (M = 2^N)$$

To calculate $\langle n|m_z|n\rangle$ (or any observable diagonal in the spin-z basis):

$$\begin{aligned} \langle n|m_z|n\rangle &= \sum_{i,j} \phi_i \phi_j \langle j|m_z|i\rangle \\ &= \sum_i \phi_i^2 \langle i|m_z|i\rangle \\ &= \sum_i \phi_i^2 m_z(i) \end{aligned}$$

Momentum states (translationally invariant systems)

A periodic chain (ring), translationally invariant

- the eigenstates have a momentum (crystal momentum) k

$$T|n\rangle = e^{ik}|n\rangle \quad k = m\frac{2\pi}{N}, \quad m = 0, \dots, N-1,$$

The operator T translates the state by one lattice spacing

- for a spin basis state

$$T|S_1^z, S_2^z, \dots, S_N^z\rangle = |S_N^z, S_1^z, \dots, S_{N-1}^z\rangle$$

$[T, H]=0 \rightarrow$ momentum blocks of H

- can use eigenstates of T with given k as basis

A momentum state can be constructed from any **representative** state

$$|a(k)\rangle = \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^r |a\rangle, \quad |a\rangle = |S_1^z, \dots, S_N^z\rangle$$

Construct ordered list of representatives

If $|a\rangle$ and $|b\rangle$ are representatives, then

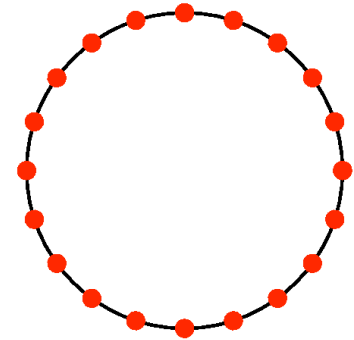
$$T^r |a\rangle \neq |b\rangle \quad r \in \{1, \dots, N-1\}$$

4-site examples

(**0011**) $\rightarrow$ (0110), (1100), (1001)

(**0101**) $\rightarrow$ (1010)

Convention: the representative is the one corresponding to the smallest integer



$$|a(k)\rangle = \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^r |a\rangle, \quad |a\rangle = |S_1^z, \dots, S_N^z\rangle \quad k = m \frac{2\pi}{N}$$

The sum can contain several copies of the same state

- if $T^R |a\rangle = |a\rangle$ for some $R < N$
- the total weight for this component is

$$1 + e^{-ikR} + e^{-i2kR} + \dots + e^{-ik(N-R)}$$

- vanishes (state incompatible with k) unless $kR = n2\pi$
- the total weight of the representative is then N/R

$$kR = n2\pi \rightarrow \frac{mR}{N} = n \rightarrow m = n \frac{N}{R} \rightarrow \text{mod}(m, N/R) = 0$$

Normalization of a state $|a(k)\rangle$ with periodicity R_a

$$\langle a(k) | a(k) \rangle = \frac{1}{N_a} \times R_a \times \left(\frac{N}{R_a} \right)^2 = 1 \rightarrow N_a = \frac{N^2}{R_a}$$

Basis construction: find all allowed representatives and their periodicities

$(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3, \dots, \mathbf{a}_M)$
 $(\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3, \dots, \mathbf{R}_M)$

The block size $\mathbf{M}$ is initially not known

- approximately $1/N$ of total size of fixed m_z block
- depends on the periodicity constraint for given k

Basis construction: find all allowed representatives and their periodicities

$(a_1, a_2, a_3, \dots, a_M)$
 $(R_1, R_2, R_3, \dots, R_M)$

The block size **M** is initially not known

- approximately $1/N$ of total size of fixed m_z block
- depends on the periodicity constraint for given k

```
do  $s = 0, 2^N - 1$ 
  call checkstate( $s, R$ )
  if  $R \geq 0$  then  $a = a + 1$ ;  $s_a = s$ ;  $R_a = R$  endif
enddo
 $M = a$ 
```

M = size of
the H-block

Uses a subroutine **checkstate**(s, R)

- R = periodicity if integer s is a new representative
- $R = -1$ if
 - the magnetization is not the one considered
 - some translation of $|s\rangle$ gives an integer $< s$
 - $|s\rangle$ is not compatible with the momentum

r	T^r								
0	27	0	0	0	1	1	0	1	1
1	54	0	0	1	1	0	1	1	0
2	108	0	1	1	0	1	1	0	0
3	216	1	1	0	1	1	0	0	0
4	177	1	0	1	1	0	0	0	1
5	99	0	1	1	0	0	0	1	1
6	198	1	1	0	0	0	1	1	0
7	141	1	0	0	0	1	1	0	1

Translations of the representative; cyclic permutation

Define function **cyclebits**(t, N)

- cyclic permutations of first N bits of integer t
- F90 function `ishiftc( $t, -1, N$ )`

The representative has the lowest state-integer among all its translations

Pseudocode; **checkstate()** subroutine

```
subroutine checkstate( $s, R$ )  
   $R = -1$   
  if ( $\sum_i s[i] \neq n_{\uparrow}$ ) return  
   $t = s$   
  do  $i = 1, N$   
     $t = \text{cyclebits}(t, N)$   
    if ( $t < s$ ) then  
      return  
    elseif ( $t = s$ ) then  
      if ( $\text{mod}(k, N/i) \neq 0$ ) return  
       $R = i$ ; return  
    endif  
  enddo
```

check the magnetization

check if translated state has
lower integer representation

check momentum compatibility

- k is the integer corresponding to the momentum; $k=0, \dots, N-1$
- momentum = $k2\pi/N$

The Hamiltonian matrix. Write $S = 1/2$ chain hamiltonian as

$$H_0 = \sum_{j=1}^N S_j^z S_{j+1}^z, \quad H_j = \frac{1}{2}(S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+), \quad j = 1, \dots, N$$

Act with H on a momentum state

$$H|a(k)\rangle = \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^r H|a\rangle = \frac{1}{\sqrt{N_a}} \sum_{j=0}^N \sum_{r=0}^{N-1} e^{-ikr} T^r H_j|a\rangle,$$

$H_j|a\rangle$ is related to some representative: $H_j|a\rangle = h_a^j T^{-l_j} |b_j\rangle$

$$H|a(k)\rangle = \sum_{j=0}^N \frac{h_a^j}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^{(r-l_j)} |b_j\rangle$$

Shift summation index r and use definition of momentum state

$$H|a(k)\rangle = \sum_{j=0}^N h_a^j e^{-ikl_j} \sqrt{\frac{N_{b_j}}{N_a}} |b_j(k)\rangle \quad \rightarrow \text{matrix elements}$$

$$\langle a(k)|H_0|a(k)\rangle = \sum_{j=1}^N S_j^z S_j^z,$$

$$\langle b_j(k)|H_{j>0}|a(k)\rangle = e^{-ikl_j} \frac{1}{2} \sqrt{\frac{R_a}{R_{b_j}}}, \quad |b_j\rangle \propto T^{-l_j} H_j|a\rangle,$$

Pseudocode; hamiltonian construction

First, some elements needed; recall

$$H_j|a\rangle = h_a^j T^{-l_j} |b_j\rangle \quad |b_j\rangle \propto T^{l_j} H_j|a\rangle$$

Finding the representative r of a state-integer s

- lowest integer among all translations

```
subroutine representative( $s, r, l$ )  
   $r = s; t = s; l = 0$   
  do  $i = 1, N - 1$   
     $t = \text{cyclebits}(t, N)$   
    if ( $t < r$ ) then  $r = t; l = i$  endif  
  enddo
```

$$|r\rangle = T^l |s\rangle$$

Finding the location of the representative in the state list

- may not be there, if the new state is incompatible with k
- **b=-1** for not found in list

```
subroutine findstate( $s, b$ )  
   $b_{\min} = 1; b_{\max} = M$   
  do  
     $b = b_{\min} + (b_{\max} - b_{\min})/2$   
    if ( $s < s_b$ ) then  
       $b_{\max} = b - 1$   
    elseif ( $s > s_b$ ) then  
       $b_{\min} = b + 1$   
    else  
      exit  
    endif  
    if ( $b_{\min} > b_{\max}$ ) then  
       $b = -1$ ; exit  
    endif  
  enddo
```

Construct all the matrix elements

```
do  $a = 1, M$ 
  do  $i = 0, N - 1$ 
     $j = \text{mod}(i + 1, N)$ 
    if ( $s_a[i] = s_a[j]$ ) then
       $H(a, a) = H(a, a) + \frac{1}{4}$ 
    else
       $H(a, a) = H(a, a) - \frac{1}{4}$ 
       $s = \text{flip}(s_a, i, j)$ 
      call representative( $s, r, l$ )
      call findstate( $r, b$ )
      if ( $b \geq 0$ ) then
         $H(a, b) = H(a, b) + \frac{1}{2} \sqrt{R_a / R_b} e^{i2\pi kl / N}$ 
      endif
    endif
  enddo
enddo
```

Reflection symmetry (parity) Define a reflection (parity) operator

$$P|S_1^z, S_2^z, \dots, S_N^z\rangle = |S_N^z, \dots, S_2^z, S_1^z\rangle$$

Consider a hamiltonian for which $[H, P]=0$ and $[H, T]=0$; but note that $[P, T]\neq 0$

Can we still exploit both P and T at the same time? Consider the state

$$|a(k, p)\rangle = \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^r (1 + pP)|a\rangle, \quad p = \pm 1$$

This state has momentum k, but does it have parity p? Act with P

$$\begin{aligned} P|a(k, p)\rangle &= \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{-ikr} T^{-r} (P + p)|a\rangle \\ &= p \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} e^{ikr} T^r (1 + pP)|a\rangle = p|a(k, p)\rangle \text{ if } k = 0 \text{ or } k = \pi \end{aligned}$$

k=0,π momentum blocks are split into p=+1 and p=-1 sub-blocks

- $[T, P]=0$ in the k=0,π blocks
- physically clear because -k=k on the lattice for k=0,π
- we can exploit parity in a different way for other k →
- **semi-momentum states**

Semi-momentum states

Mix momenta $+k$ and $-k$ for $k \neq 0, \pi$

$$|a^\sigma(k)\rangle = \frac{1}{\sqrt{N_a}} \sum_{r=0}^{N-1} C_k^\sigma(r) T^r |a\rangle \quad C_k^\sigma(r) = \begin{cases} \cos(kr), & \sigma = +1 \\ \sin(kr), & \sigma = -1. \end{cases}$$

$$k = m \frac{2\pi}{N}, \quad m = 1, \dots, N/2 - 1, \quad \sigma = \pm 1$$

States with same k , different σ are orthogonal

Semi-momentum states with parity

This state has definite parity with $p=+1$ or $p=-1$ for any k

$$|a^\sigma(k, p)\rangle = \frac{1}{\sqrt{N_a^\sigma}} \sum_{r=0}^{N-1} C_k^\sigma(r) (1 + pP) T^r |a\rangle.$$

- $(k, -1)$ and $(k, +1)$ blocks
- the basis is of the same size as the original k -blocks
- but these states are real, not complex $\Rightarrow$ computational advantage
- For $k \neq 0, \pi$, the $p=-1$ and $p=+1$ states are degenerate

Spin-inversion symmetry

Spin inversion operator: $Z|S_1^z, S_2^z, \dots, S_N^z\rangle = | - S_1^z, -S_2^z, \dots, -S_N^z\rangle$

In the magnetization block $m^z=0$ we can use eigenstates of Z

$$|a^\sigma(k, p, z)\rangle = \frac{1}{\sqrt{N_a^\sigma}} \sum_{r=0}^{N-1} C_k^\sigma(r) (1 + pP)(1 + zZ) T^r |a\rangle,$$

$$Z|a^\sigma(k, p, z)\rangle = z|a^\sigma(k, p, z)\rangle, \quad z = \pm 1$$

Example: block sizes

$m_z=0, k=0$ (largest momentum block)

$(p = \pm 1, z = \pm 1)$

N	$(+1, +1)$	$(+1, -1)$	$(-1, +1)$	$(-1, -1)$
8	7	1	0	2
12	35	15	9	21
16	257	183	158	212
20	2518	2234	2136	2364
24	28968	27854	27482	28416
28	361270	356876	355458	359256
32	4707969	4690551	4685150	4700500

Total spin $\mathbf{S}$ conservation

- difficult to exploit
- complicated basis states
- calculate $\mathbf{S}$ using $\mathbf{S}^2 = \mathbf{S}(\mathbf{S}+1)$

$$\begin{aligned} \mathbf{S}^2 &= \sum_{i=1}^N \sum_{j=1}^N \mathbf{S}_i \cdot \mathbf{S}_j \\ &= 2 \sum_{i < j} \mathbf{S}_i \cdot \mathbf{S}_j + \frac{3}{4}N \end{aligned}$$

Example: Thermodynamics

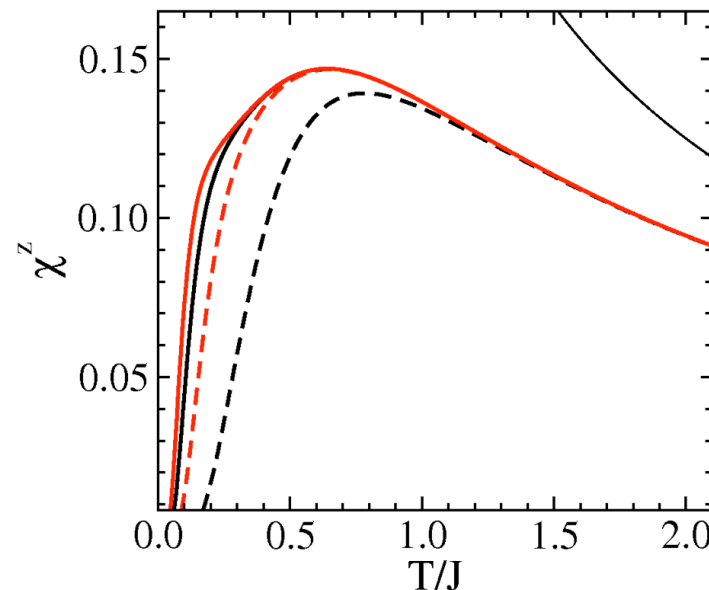
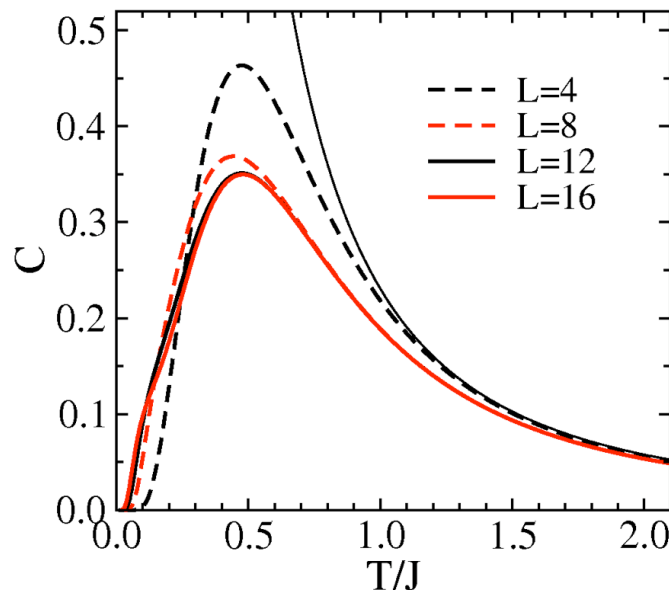
some quantities can be computed using only the magnetization $m_z=0$ sector

- spin-inversion symmetry can be used, smallest blocks
- spin-S state is **(2S+1)**-fold degenerate (no magnetix field) → weight factor
- possible spin dependence of expectation value → average over **$m_z=-S, \dots, S$**

$$C = \frac{d\langle H \rangle}{dt} = \frac{1}{T^2} (\langle H^2 \rangle - \langle H \rangle^2)$$

$$\chi^z = \frac{d\langle m_z \rangle}{dh_z} = \frac{1}{T} (\langle m_z^2 \rangle - \langle m_z \rangle^2)$$

$$\langle m_z \rangle = 0, \quad \langle m_z^2 \rangle = \frac{\langle m_x^2 + m_y^2 + m_z^2 \rangle}{3} = \frac{\langle S^2 \rangle}{3} = \frac{S(S+1)}{3}$$



Compared with leading high-T forms
 $\chi = (1/4)/T$
 $C = (3/13)/T^2$

The Lanczos method

If we need only the ground state and a small number of excitations

- can use “Krylov space” methods, which work for much larger matrices
- basis states with 10^7 states or more can be easily handled (30-40 spins)

The Krylov space and “projecting out” the ground state

Start with an arbitrary state $|\Psi\rangle$

- it has an expansion in eigenstates of H ; act with a high power Λ of H

$$H^\Lambda |\Psi\rangle = \sum_n c_n E_n^\Lambda |n\rangle = E_0^\Lambda \left(c_0 |0\rangle + c_1 \left(\frac{E_1}{E_0} \right)^\Lambda |1\rangle + \dots \right)$$

For large Λ , if the state with largest $|E_n|$ dominates the sum

- one may have to subtract a constant, using $H - C$, to ensure ground state
- even better to use linear combination of states generated for different Λ

$$|\psi_a\rangle = \sum_{m=0}^{\Lambda} \psi_a(m) H^m |\Psi\rangle, \quad a = 0, \dots, \Lambda$$

- diagonalize H in this basis

In the **Lanczos basis**, H is tridiagonal, convenient to generate and use

- Normally $M=50-200$ basis states is enough; easy to diagonalize H

Constructing the Lanczos basis

First: construct **orthogonal but not normalized basis** $\{f_m\}$. Define

$$N_m = \langle f_m | f_m \rangle, \quad H_{mm} = \langle f_m | H | f_m \rangle$$

The first state $|f_0\rangle$ is arbitrary, e.g., random. The next one is

$$|f_1\rangle = H|f_0\rangle - a_0|f_0\rangle$$

Demand orthogonality

$$\langle f_1 | f_0 \rangle = \langle f_0 | H | f_0 \rangle - a_0 \langle f_0 | f_0 \rangle = H_{00} - a_0 N_0 \rightarrow a_0 = H_{00}/N_0$$

All subsequent states are constructed according to

$$|f_{m+1}\rangle = H|f_m\rangle - a_m|f_m\rangle - b_{m-1}|f_{m-1}\rangle$$

$$a_m = H_{mm}/N_m, \quad b_{m-1} = N_m/N_{m-1}$$

Easy to prove orthogonality of all these states ($\langle f_{m+1} | f_m \rangle = 0$ is enough)

The hamiltonian in the Lanczos basis

Rewrite the state generation formula

$$H|f_m\rangle = |f_{m+1}\rangle + a_m|f_m\rangle + b_{m-1}|f_{m-1}\rangle$$

Because of the orthogonality, the only non-0 matrix elements are

$$\langle f_{m-1}|H|f_m\rangle = b_{m-1}N_{m-1} = N_m$$

$$\langle f_m|H|f_m\rangle = a_mN_m$$

$$\langle f_{m+1}|H|f_m\rangle = N_{m+1}$$

But the f-states are not normalized. The normalized states are:

$$|\phi_m\rangle = \frac{1}{\sqrt{N_m}}|f_m\rangle$$

In this basis the H-matrix is

$$\langle \phi_{m-1}|H|\phi_m\rangle = \sqrt{b_{m-1}}$$

$$\langle \phi_m|H|\phi_m\rangle = a_m$$

$$\langle \phi_{m+1}|H|\phi_m\rangle = \sqrt{b_m}$$

Alternative way

generate the normalized basis directly

- start with $|\phi_0\rangle$ arbitrary, normalized, and then

$$|\phi_1\rangle = \frac{1}{N_1} (H|\phi_0\rangle - a_0|\phi_0\rangle)$$

$$|\phi_{m+1}\rangle = \frac{1}{N_{m+1}} (H|\phi_m\rangle - a_m|\phi_m\rangle - N_m|\phi_{m-1}\rangle) = \frac{|\gamma_{m+1}\rangle}{N_{m+1}}$$

The definition of N_m is different, and no b_m :

$$\begin{aligned} a_m &= \langle \phi_m | H | \phi_m \rangle \\ N_m &= \langle \gamma_m | \gamma_m \rangle^{-1/2} \end{aligned}$$

Generate $|\gamma_m\rangle$ first, normalize to get N_{m+1}

The H-matrix is

$$\begin{aligned} \langle \phi_{m-1} | H | \phi_m \rangle &= N_m \\ \langle \phi_m | H | \phi_m \rangle &= a_m \\ \langle \phi_{m+1} | H | \phi_m \rangle &= N_{m+1} \end{aligned}$$

Operator expectation values

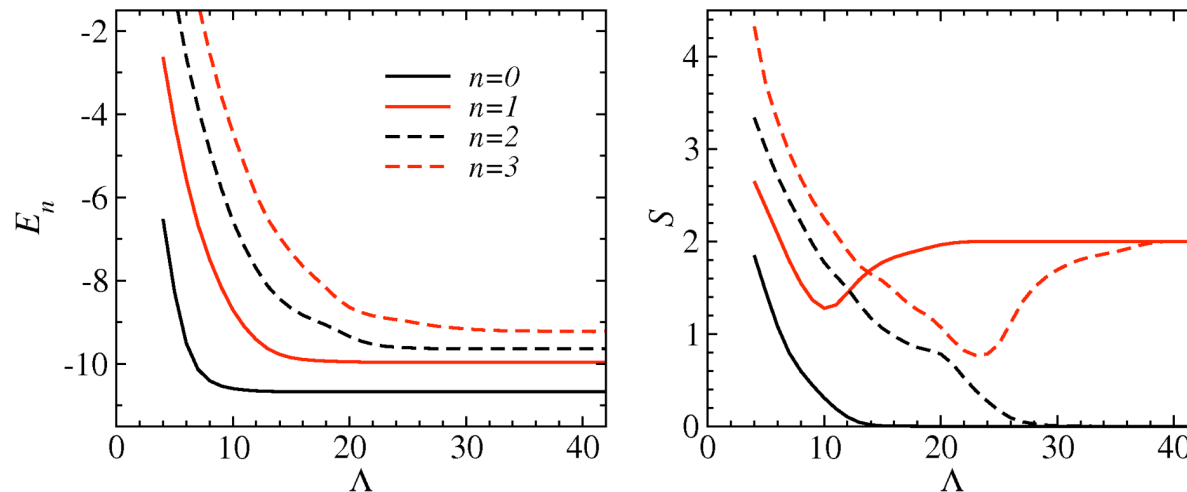
Diagonalizing the tri-diagonal matrix $\rightarrow$ eigenstates in the Lanczos basis

- eigenvectors $\mathbf{v}_n$, energies E_n
- only some number of low-energy states ($\ll \Lambda$) are correct eigenstates of H

To compute expectation values we go back to the original basis

$$\psi_n(a) = \sum_{m=0}^{\Lambda} v_n(m) \phi_m(a), \quad a = 1, \dots, M$$

Convergence properties of the Lanczos method



Example; 24-site chain
 $m_z = 0, k = 0, p = 1, z = 1$
block size $M=28416$

Total spin S extracted
assuming that

$$\langle S^2 \rangle = S(S + 1)$$

Ground state converges first, then successively excited states

Spin correlations in the Heisenberg chain

Let's look at the (staggered) spin correlation function

$$C(r) = \langle \mathbf{S}_i \cdot \mathbf{S}_{i+r} \rangle (-1)^r$$

versus the distance r and at $r=N/2$ versus system size N

Theory (bosonization conformal field theory) predicts (for large r , N)

$$C(r) \propto \frac{\ln^{1/2}(r/r_0)}{r}$$

Plausible based on N up to 32

- other methods for larger N

Power-law correlations are a sign of a “critical” state; at the boundary between

- ordered (antiferromagnetic)
- disordered (spin liquid)

