

## Momentum Space RG: $\epsilon$ -expansion

①

We are now in position to do some RG for the  $\phi^4$  theory...

The key idea is to do this perturbatively by introducing a small parameter  $\epsilon = d - 4$  (in fractional dimension)

This is a "formal" perturbation theory... One can view it as an analytic continuation..

$$\bar{H}_\Lambda^p = U_p \int d^d \vec{x} \phi^p(\vec{x}) \quad p=4 \text{ is } \phi^4 \text{ theory}$$

The full Hamiltonian is

$$\bar{H}_\Lambda = \bar{H}_{0,\Lambda} + \bar{H}_\Lambda^p$$

where 
$$\bar{H}_{0,\Lambda} = \frac{1}{2} \int_0^\Lambda \frac{d^d \vec{q}}{(2\pi)^d} (r + c|q|^2) |\phi(\vec{q})|^2 + V_0$$

In momentum space

$$\bar{H}_\Lambda^p = U_p \int_{\vec{q}_1, \dots, \vec{q}_{p-1}} \frac{d^d \vec{q}_1}{(2\pi)^d} \frac{d^d \vec{q}_2}{(2\pi)^d} \dots \frac{d^d \vec{q}_{p-1}}{(2\pi)^d} \phi(\vec{q}_1) \phi(\vec{q}_2) \dots \phi(-\vec{q}_1 - \vec{q}_2 \dots - \vec{q}_{p-1})$$

How does  $U_p$  scale near the Gaussian fixed point

replace  $\phi(\vec{q})$  by  $\phi'(\vec{q})$

Rescale  $\vec{q}' = b\vec{q}$   $\phi(\frac{\vec{q}'}{b}) = \int \phi'(\vec{q}')$

$$H_{\Lambda}^b = U_p \int \frac{d^d q'_1}{(2\pi)^d} \dots \frac{d^d q'_{p-1}}{(2\pi)^d} \int b^{-(p-1)d} \phi'(q'_1) \dots \phi'(q'_{p-1}) \phi(\frac{\vec{q}'_1 \dots \vec{q}'_{p-1}}{b})$$

$$\Rightarrow U'_p = U_p \int b^{-(p-1)d} = b^{[-(p-2)d + 2p]/2} U_p = b^{\lambda_p} U_p$$

$$\lambda_p = \frac{[2p - (p-2)d]}{2} \quad \text{for } p = \frac{(d+2)}{2}$$

Now consider  $p=4$

$$\lambda_p = \frac{[8 - 2d]}{2}$$

We see  $d_c = 4$  is critical dimension

for  $d > 4$   $\lambda_p < 0$  (flows to zero)

$d < 4$   $\lambda_p > 0$  flows to non-zero

General

$$d > d_c(p) = \frac{2p}{p-2} \quad \text{for } p > 2$$

We could also consider a more general interaction...

$$H^4 = \int d\vec{x}_1 \dots d\vec{x}_4 U(\vec{x}_1, \vec{x}_2, \vec{x}_3, \vec{x}_4) \phi(\vec{x}_1) \phi(\vec{x}_2) \phi(\vec{x}_3) \phi(\vec{x}_4) \quad (3)$$

In Fourier transform language we have

$$\tilde{U}(\vec{q}_1, \vec{q}_2, \vec{q}_3, \vec{q}_4) = \int \tilde{U}(\vec{q}_1, \vec{q}_2, \vec{q}_3) (2\pi)^d \delta(\vec{q}_1 + \vec{q}_2 + \vec{q}_3 + \vec{q}_4)$$

Notice we can expand in Taylor series.

$$U(\vec{q}_1, \vec{q}_2, \vec{q}_3) = U + \mathcal{O}(|\vec{q}|^2) \quad \left( \begin{array}{l} \text{From translation} \\ \text{Symmetry} \\ \text{and isotropic} \\ \text{interactions} \end{array} \right)$$

$$= U + \underbrace{U_2 |\vec{q}|^2}_{\sim b^{-4}} + \dots$$

Notice under rescaling it comes with extra power of  $b^{-2}$  when written in terms of  $\vec{q}' = b\vec{q}$

So is more irrelevant than  $U$

For this reason we can consider constant  $U$  if we are interested in the long distance physics.

The key realization is that since

$$U \rightarrow b^{[4-d]} U \quad \text{if } \epsilon = 4-d$$

is small then  $U$  can be made sufficiently small to do perturbation theory when  $\epsilon$  is small enough.

Let us write  $\bar{H}_\Lambda = \underbrace{\bar{H}_{0,\Lambda}}_{\text{Gaussian}} + \bar{H}_\Lambda^4 \leftarrow \phi^4 \text{ part}$  (4)

So let us now do a perturbative calculation of RG in  $\epsilon$

As before we write  $\phi(\vec{q}) = \phi^<(\vec{q}) + \phi^>(\vec{q})$

$$\phi^<(\vec{q}) = \begin{cases} \phi(\vec{q}) & \text{if } 0 < |q| < \frac{\Lambda}{b} \\ 0 & \text{if } \frac{\Lambda}{b} < |q| < \Lambda \end{cases}$$

$$\phi^>(\vec{q}) = \begin{cases} 0 & \text{if } 0 < |q| < \frac{\Lambda}{b} \\ \phi(\vec{q}) & \text{if } \frac{\Lambda}{b} < |q| < \Lambda \end{cases}$$

Then we can write

$$\bar{H}_{\Lambda/b} = \int d^d x \left[ \frac{1}{2} r^< (\phi^<)^2 + \frac{1}{2} c^< (\nabla \phi^<)^2 + v^< (\phi^<)^4 \right]$$

where

$$\int D\phi_c e^{-\bar{H}_{\Lambda/b}[\phi^<]} = \int D\phi_c e^{-\bar{H}_{0,\Lambda}^<[\phi^<]} \left[ \int D\phi^> e^{-\bar{H}_{0,\Lambda}^>[\phi^>]} e^{-\bar{H}_\Lambda^>[\phi^<+\phi^>]} \right]$$

$$\Rightarrow e^{-\bar{H}_{\Lambda/b}[\phi^<]} = e^{-\bar{H}_{0,\Lambda}^<[\phi^<]} \langle e^{\bar{H}_\Lambda^>[\phi^<+\phi^>]} \rangle_{\phi^>}$$

expectation value of moment generating function

$\Rightarrow$  Better to work in cumulants

$$e^{\log \langle e^{\bar{H}_\Lambda^>[\phi^<+\phi^>]} \rangle}$$

cumulant generating function..

This implies that

$$e^{-H_{\Lambda}[\phi^{\leftarrow}]} = e^{-H_{0,\Lambda}[\phi^{\leftarrow}]} e^{-\left(\langle \bar{H}_{\Lambda}^4 \rangle + \frac{1}{2} [\langle \bar{H}_{\Lambda}^4 \rangle^2 - \langle \bar{H}_{\Lambda}^4 \rangle^2] + \dots\right)}$$

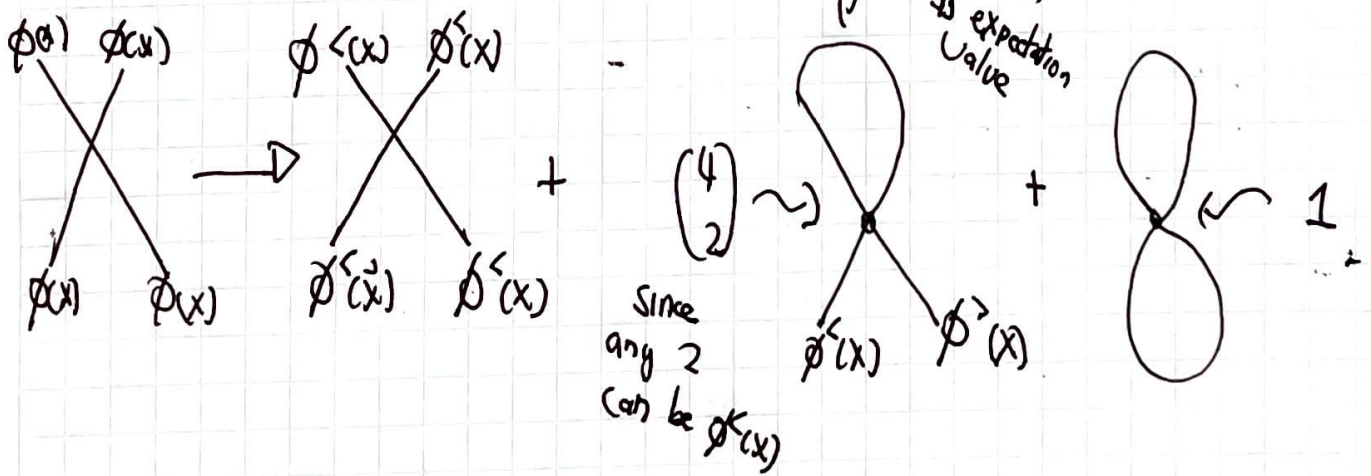
So we just have to calculate cumulants of  
(mean)  $\langle \bar{H}_{\Lambda}^4 \rangle$

(Variance)  $\langle \bar{H}_{\Lambda}^4 \rangle^2 - \langle \bar{H}_{\Lambda}^4 \rangle^2$

So let us consider first term

$$\langle \bar{H}_{\Lambda}^4 \rangle = U \int d^d x (\phi^{\leftarrow}(x))^4 + 6U \int d^d x (\phi^{\leftarrow}(x))^2 \langle \phi^{\rightarrow}(x) \rangle^2 + U \int d^d x \langle (\phi^{\rightarrow}(x))^4 \rangle$$

In terms of diagrams



Notice  ~~$\langle \phi^{\leftarrow}(x) \rangle^4$~~  terms proportional to  $[\phi^{\leftarrow}(x)]^2$

From  $H_{0,\Lambda}^{\leftarrow}$

$$\frac{1}{2} \left[ r + 12U \langle \phi^{\rightarrow}(q) \rangle^2 \right] [\phi^{\leftarrow}(x)]^2$$

$r <$

Note that

(6)

$H_{b,\Lambda}[\phi^>]$  is "Gaussian"

$$= \int d^d x \frac{1}{2} r [\phi^>]^2 + \frac{1}{2} [\nabla \phi^>]^2$$

$$= \int \frac{d^d q}{(2\pi)^d} \frac{1}{2} r [(\phi^>(\vec{q}))^2 + |q|^2 (\phi^>(q))^2]$$
$$\stackrel{\Lambda}{\underset{b}{\int}} \frac{d^d q}{(2\pi)^d} \frac{1}{2} (r + |q|^2) [\phi^>(q)]^2$$

This implies that

$$\langle (\phi^>(\vec{x}))^2 \rangle = \int \frac{d^d q}{(2\pi)^d} \frac{1}{r^2 + |q|^2} \int_{\vec{q}} G_0(q)$$

So the renormalized coupling

$$r' = \underbrace{b^{-d}}_{\text{usual scaling}} \left[ r + 12u \int_{\vec{q}} G_0(\vec{q}) \right]$$

Now we can do something similar for  $u \dots$

Actually need to go to second order

$$\dots \langle (H_{\Lambda}^4)^2 \rangle - \langle H_{\Lambda}^4 \rangle^2$$

$$\langle \overline{H}_\Delta^4 \rangle, \quad \langle \overline{H}_\Lambda^4 \rangle, \quad \langle \overline{H}_\Lambda^4 \rangle_\Delta \quad (7)$$

$$X \cdot X = (X + \text{loop} + \text{figure-eight}) (X + \text{loop} + \text{figure-eight})$$

Don't contribute because looking at cumulant

$$+ \underbrace{\binom{4}{2} \binom{4}{2}}_{36} \text{diagram} + \text{diagram} \quad \left. \vphantom{\text{diagram}} \right\} \text{Second order correction to } \rho_0$$

since proportional to  $[\phi(x)]^2$

$$+ \text{diagram} + \text{diagram} \quad \left. \vphantom{\text{diagram}} \right\} \text{constant}$$

+  $\downarrow$  partial irreducible do not contribute but irrelevant for our purposes..

$$U'_< = U - 36U^2 \int_q G_0(q)^2 \quad \text{Two propagators}$$

$$\Rightarrow U' = b^{-3d} \int^4 [U - 36U^2 \int_q G_0(q)^2]$$

usual scaling



To proceed as usual we can write

$$b = (1 + \delta l)$$

and use RG equation

$$r' = b^{-d} \zeta^2 [r + 12U \int_{\vec{q}} G_0(\vec{q})]$$

$$U' = b^{-3d} \zeta^4 [U - 36U^2 \int_{\vec{q}} G_0^2(\vec{q})]$$

Plugging in  $\zeta^2 = b^{d+2}$

$\Rightarrow$

$$r' = b^2 [r + 12U \int_{\vec{q}} G_0(\vec{q})]$$

$$U' = \underbrace{b^{-d+4}}_{b^\epsilon} [U - 36U^2 \int_{\vec{q}} G_0(\vec{q})]$$

$$\int_{\Delta} d^d q G_0(q) = \frac{d\ell}{\Delta + r^2}$$

Let us measure units of length in  $\Delta^{-1}$  so that  $\Delta \Rightarrow$

$\Rightarrow$

$$\frac{dr}{d\ell} = 2r(\ell) + 12U \overbrace{K_d}^{\text{Volume}} \frac{U}{\Delta + r^2}$$

$$\frac{dU}{d\ell} = \epsilon U - 36U^2 \frac{K_d U^2}{\Delta + r^2}$$

$\Rightarrow$  Fixed points are  $U^* = 0$   $r^* = 0$  (Gaussian)

(called "Heisenberg Fixed Point")

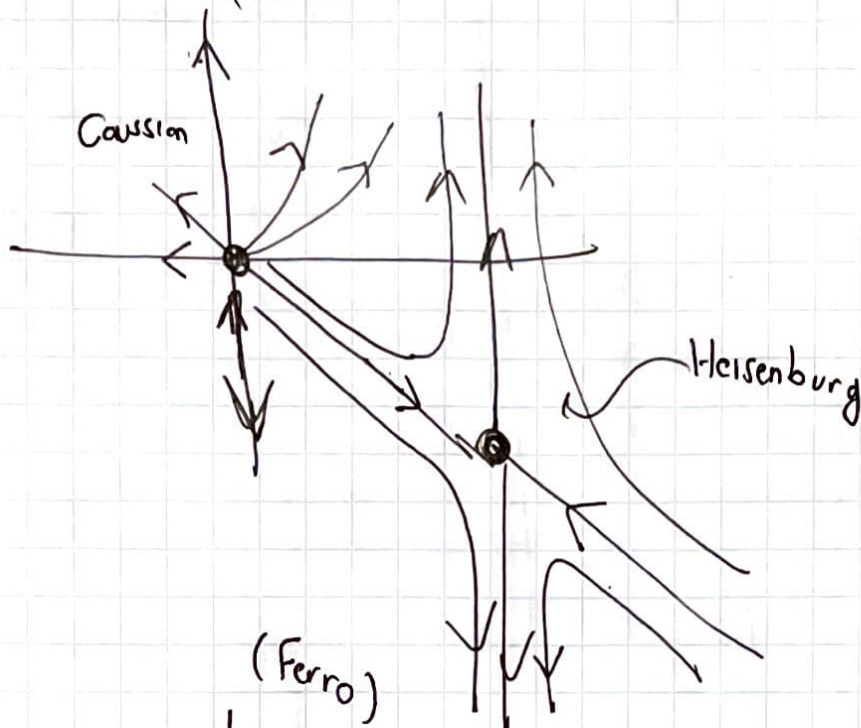
$$U^* = \frac{\epsilon}{36K_d} + \mathcal{O}(\epsilon^2)$$

$$r^* = -\frac{1}{6}\epsilon + \mathcal{O}(\epsilon^2)$$

New fixed point!

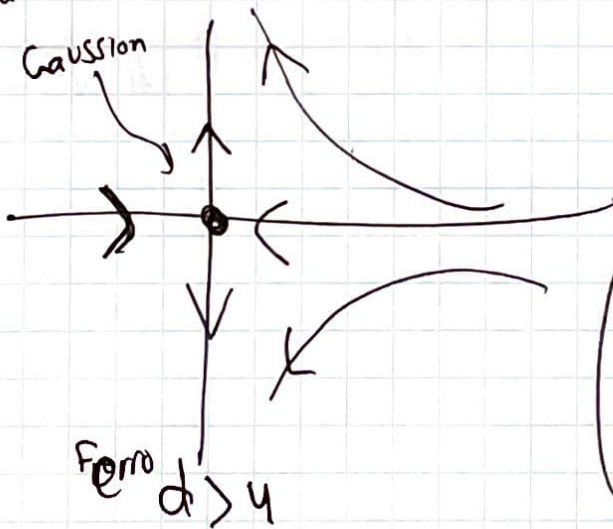


This means that the flows look like  
(Ferro)



$d < 4$   
Ferro

(paramagnet)



Ferro  $d > 4$

We can also calculate scaling dimensions by linearizing RG equations around  $u^*, r^*$  (Heisenburg)

$$\begin{pmatrix} \frac{dg}{de} \\ \frac{du}{de} \end{pmatrix} = \begin{pmatrix} 2 - \frac{1}{3}\epsilon & \frac{12Kd}{1+r^*} \\ 0 & -\epsilon \end{pmatrix} \begin{pmatrix} \delta g \\ \delta u \end{pmatrix}$$

$$\lambda_t = \frac{1}{\nu} = 2 - \frac{\epsilon}{3} = 0 \Rightarrow \nu = \frac{3}{6-\epsilon}$$

Not M.F.!!

$$\lambda_u = -\epsilon$$

... We have found qualitative behavior we wanted...

We can compare for  $d=3$  the critical exponents (10)

Exponent	Landau	Ising $\mathcal{O}(\epsilon)$	Numerical
$\alpha$	Jump	$\frac{\epsilon}{6} = 0.17$	0.110
$\beta$	$\frac{1}{2}$	$\frac{1}{2} - \frac{\epsilon}{6} = 0.33$	0.326
$\gamma$	1	$1 + \frac{\epsilon}{6} = 1.17$	1.24
$\delta$	3	$3 + \epsilon = 4$	4.79
$\nu$	$\frac{1}{2}$	$\frac{1}{2} + \frac{\epsilon}{12} = 0.58$	0.630
$\eta$	0	$\mathcal{O}(\epsilon^2)$	0.036

So pretty good!!