



# Selected topics in High-energy QCD physics

Bernd Surrow



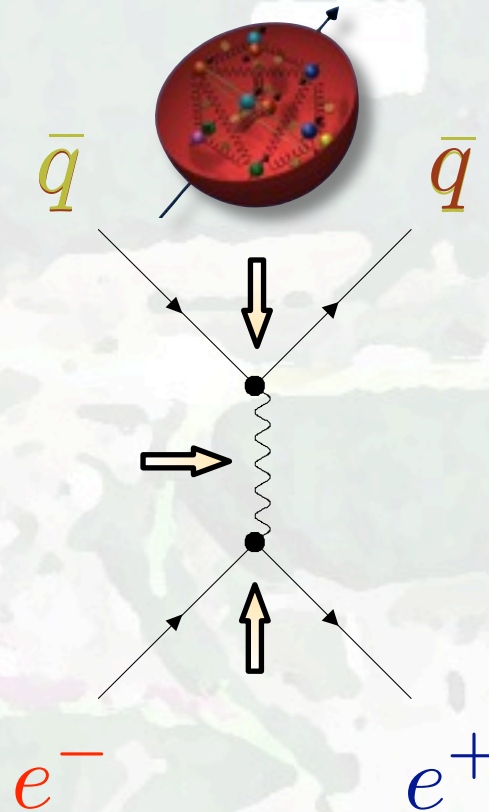
Massachusetts  
Institute of  
Technology





# Outline

- Recent results in high-energy QCD physics



- QCD - Theoretical Foundation

- QCD Features

- Summary and Outlook



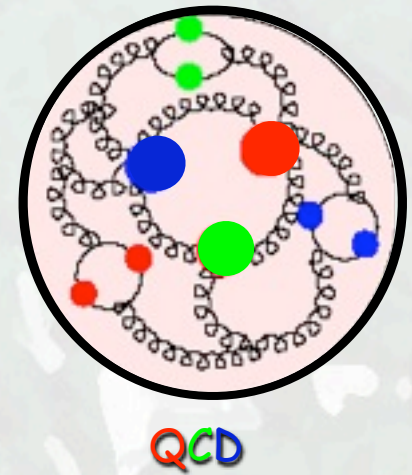
# QCD Features

## □ QCD - Features

$$\mathcal{L}_{QCD} = \bar{\psi} [i\gamma^\mu \partial_\mu - m] \psi - g_s \bar{\psi} \gamma^\mu G_\mu^a \frac{\lambda_a}{2} \psi - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g_s f_{bc}^a G_\mu^b G_\nu^c$$

- Interactions arise from fundamental symmetry principles:  $SU(3)_c$
- Visible phenomena (e.g. proton) emerge through complex structure of the vacuum (e.g formation of Hadrons from quarks/gluons)
- Fundamental differences to QED:
  - Self-interacting: highly nonlinear
  - Interaction increases at large distances: Confinement
  - Interaction decreases at small distances: Asymptotic freedom
  - Strong coupling:  $\alpha_s \gg \alpha_{em}$
  - Topological excitations





# QCD Features

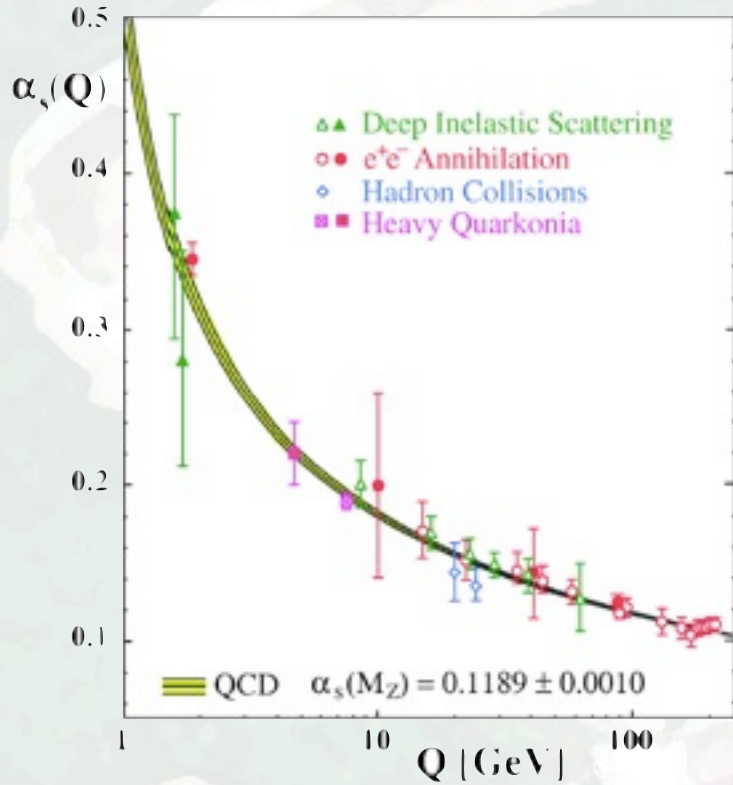
- QCD - Profound differences between hadrons and other many-body systems
  - **Atoms, molecules, nuclei,...:**
    - **Constituents** can be **removed**
    - **Exchanged boson** generating interaction may be subsumed into **static potential** (e.g. photon into Coulomb potential)
    - **Most of mass** from **fermion constituents**
  - **Nucleons:**
    - **Quarks** are **confined**
    - **Gluons** are **essential degrees of freedom**
    - Most of **mass generated by interactions** (~99%)
  - **Exploration of QCD:** **Analytical** (e.g. perturbative) / **non-perturbative** (e.g. Lattice QCD - Numerical solution on space-time lattice) **methods** in comparison to experimental results

**Force-  
dominated  
matter  
matters**

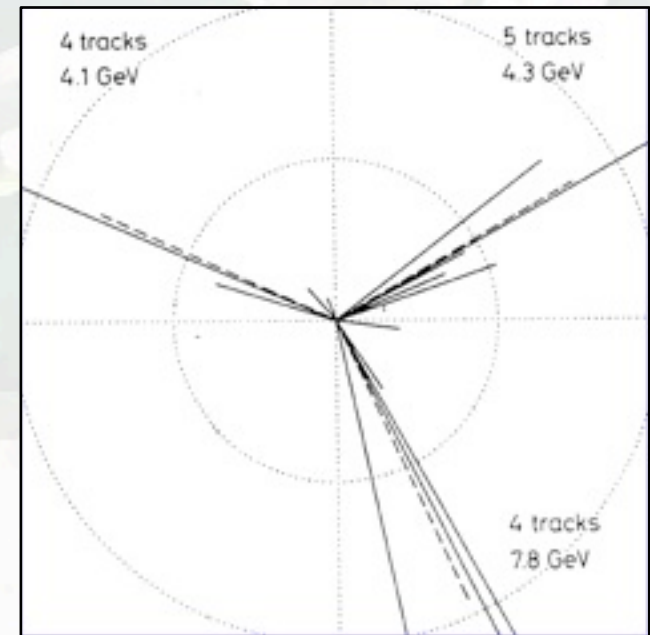
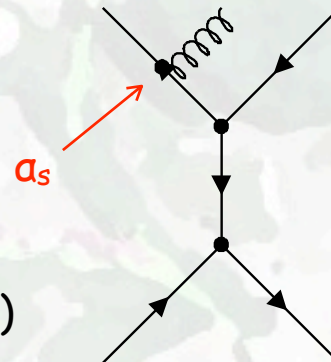


# QCD Features

## QCD - Perturbative Side



Discovery of asymptotic freedom in the theory of strong interaction (Quantum Chromodynamics): Nobel prize in physics 2004



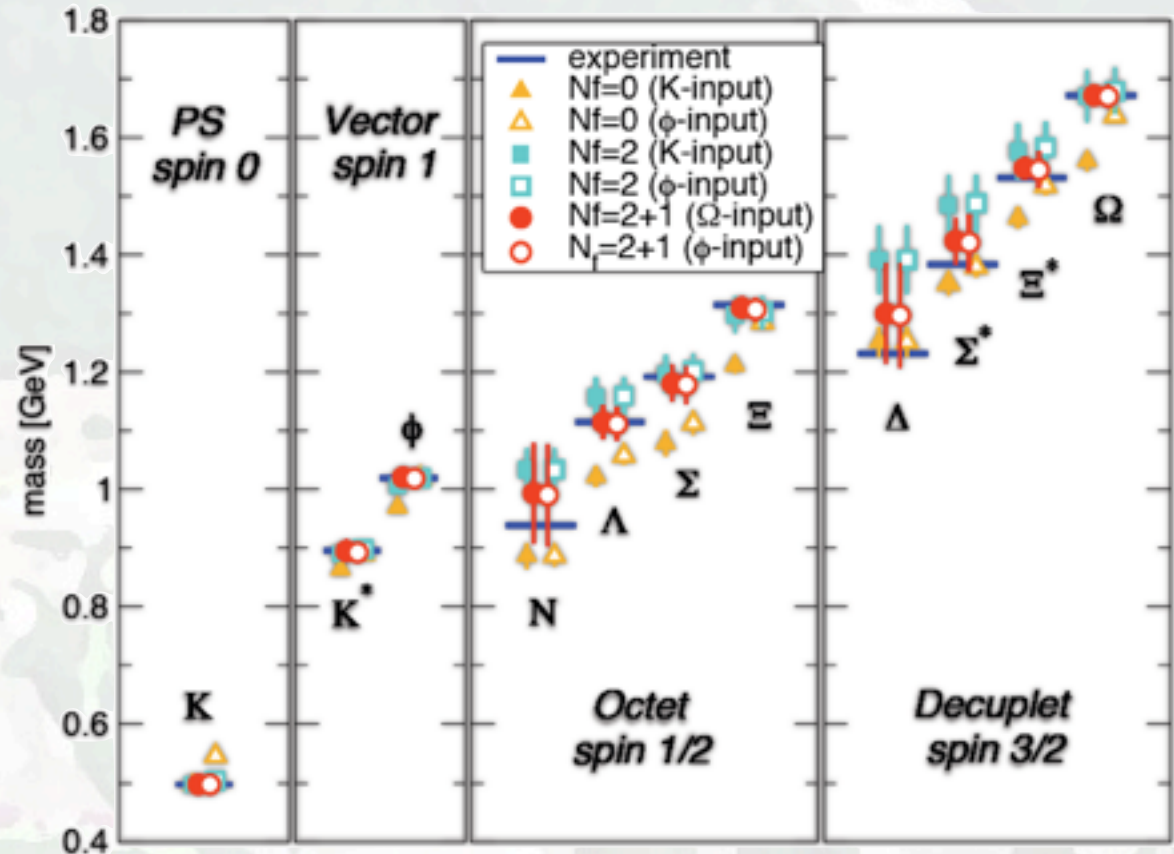
TASSO Collaboration, R. Brandelik et al., Phys. Lett. B 86 (1979) 243.

- $\alpha_s$  large at large distances (low energy)
- $\alpha_s$  small at small distances (high energy)



# QCD Features

- QCD - Non-Perturbative Side
  - Lattice QCD: Numerical solution of path integrals on space-time lattice
  - Successful description of various hadron properties (e.g. mass spectrum in the context of lattice QCD calculations)
  - For a large class of problems (e.g. hadron formation from quarks), phenomenological methods and modeling are indispensable

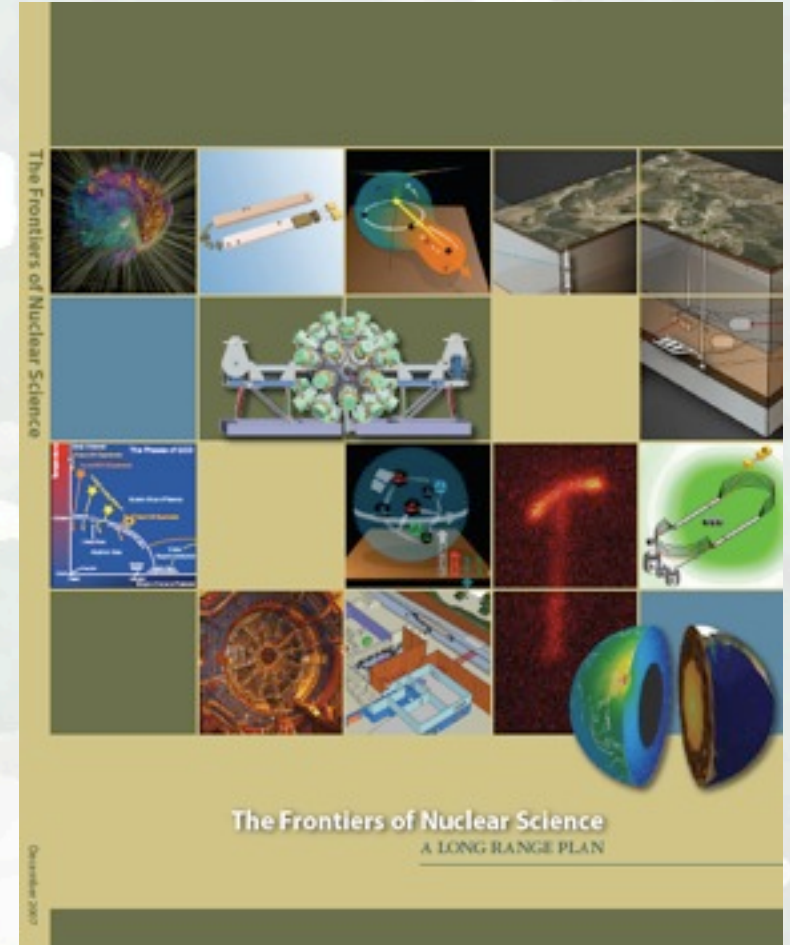


Y. Kuramashi, Lattice 2007, PACS-CS Collaboration



# QCD Features

- QCD - Questions (The Frontiers of Nuclear Science - Long Range Plan 2007)
  - What is the **internal landscape** of the **nucleons**?
  - What does QCD predict for the **properties of strongly interacting matter**?
  - What governs the **transition of quarks and gluons into hadrons**?
  - What is the **role of gluons and gluon self-interactions in nucleons and nuclei**?
  - What are the **phases of strongly interacting matter**?
  - What determines the **key features of QCD**?

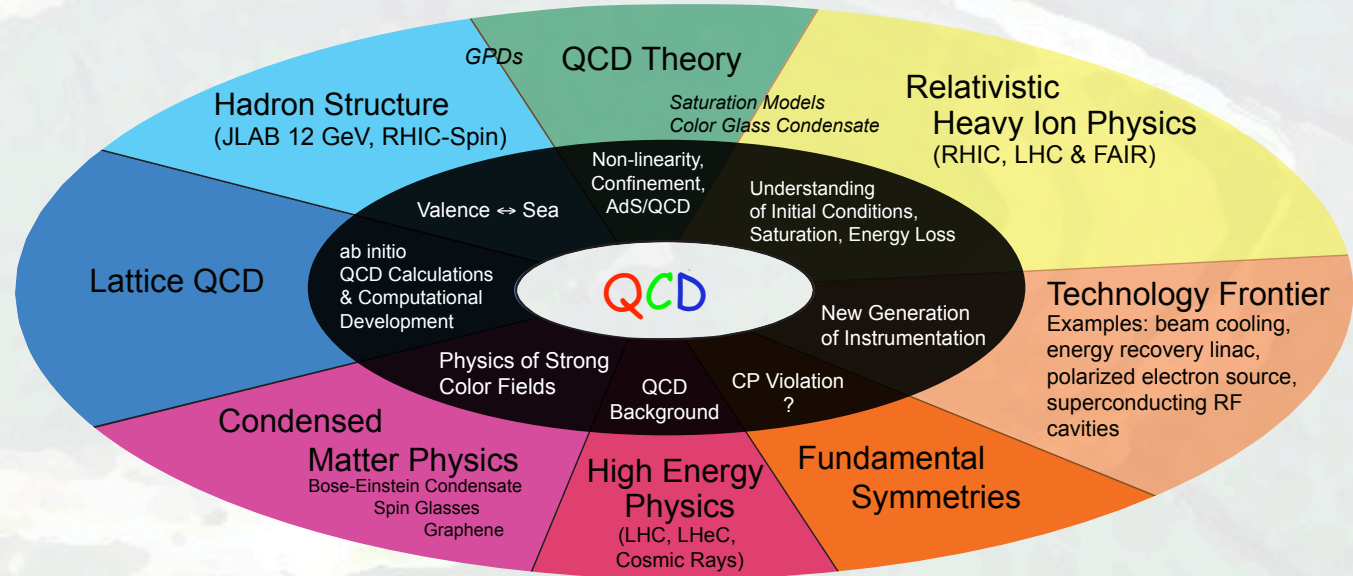
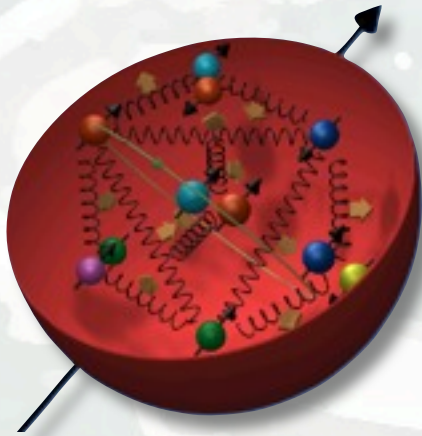


<http://www.er.doe.gov/np/nsac/docs/Nuclear-Science.Low-Res.pdf>



# QCD Features

- Exploring the proton structure and dynamics



Structure and dynamics of proton (mass) (→ visible universe) originates from QCD-interactions!

What about spin as another fundamental quantum number?

Synergy of experimental progress and theory (Lattice QCD / Phenomenology incl.

phenomenological fits / Modeling) critical!





# QCD Features

## □ Mass in QCD

Quote from [Nobel prize lecture](#) in physics, 2004, given by [Professor Frank Wilczek](#) (MIT):

Stated as  $m=E/c^2$ : Possibility of explaining mass in terms of energy.

Einstein's original paper does not contain the equation  $E=mc^2$ , but rather  $m=E/c^2$ :

"Does the Inertia of a Body Depend Upon its Energy Content?" (A. Einstein, *Annalen der Physik*, 18 (1905) 639.)"

**Modern QCD:** Mass of ordinary matter derives almost entirely from energy - the energy of massless gluons and nearly massless quarks, which are the ingredients from which protons, neutrons, and atomic nuclei are made.



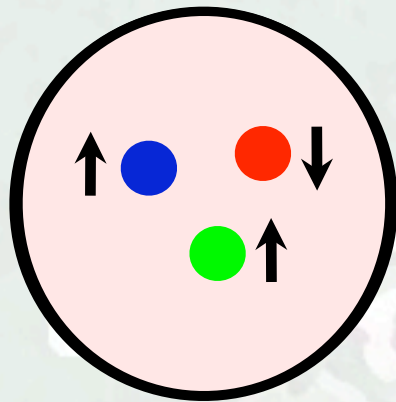
# QCD Features

## □ Spin in QCD

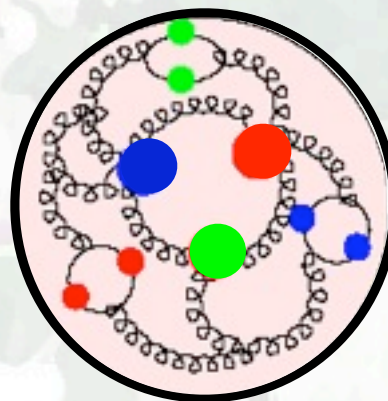
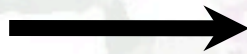


- Traditional way to introduce spin in QM textbooks: *Stern-Gerlach experiment* (1922)
- *Concept of spin*: Long and tedious battle to understand splitting patterns and separations in line spectra
- *Anomalous magnetic moment of proton* by Stern et al. (1933)

Proposal of *self-rotating electron* by Goudsmit and Uhlenbeck (1925):

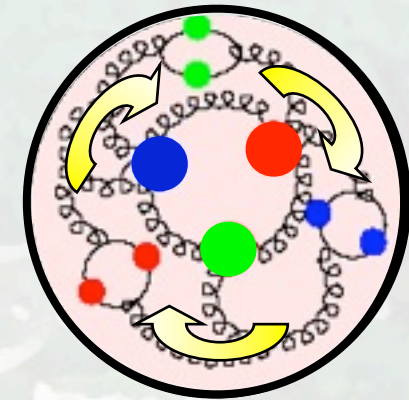


Quark Model



QCD

+

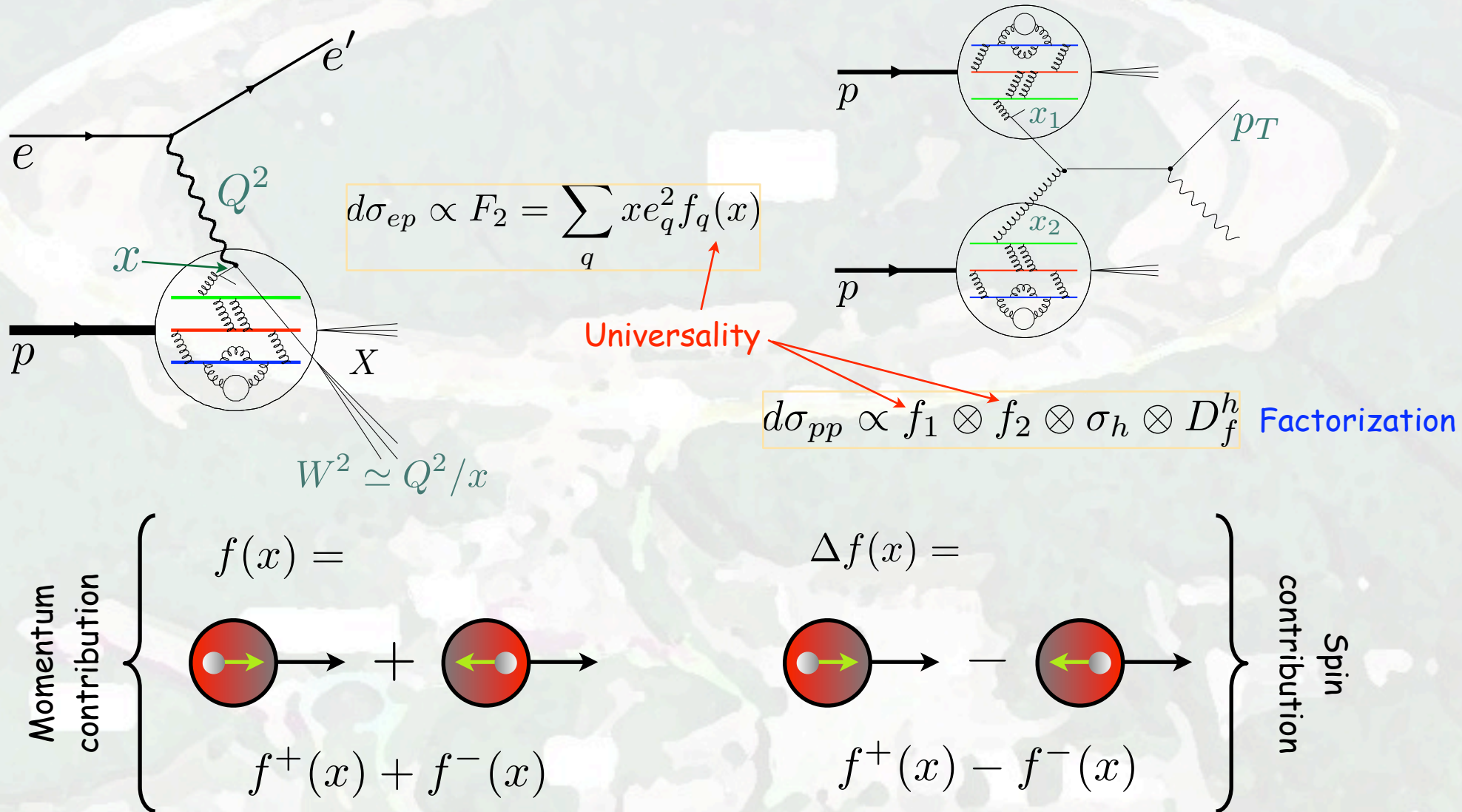


QCD + Orbital motion



# QCD - Theoretical foundation

- How do we probe the structure and dynamics of matter in ep / pp scattering?





# QCD - Theoretical foundation

## □ Fundamental QCD ingredients

### ○ Asymptotic freedom:

$\alpha_s \rightarrow 0$  at **short** distances:

$\Rightarrow$  perturbative QCD

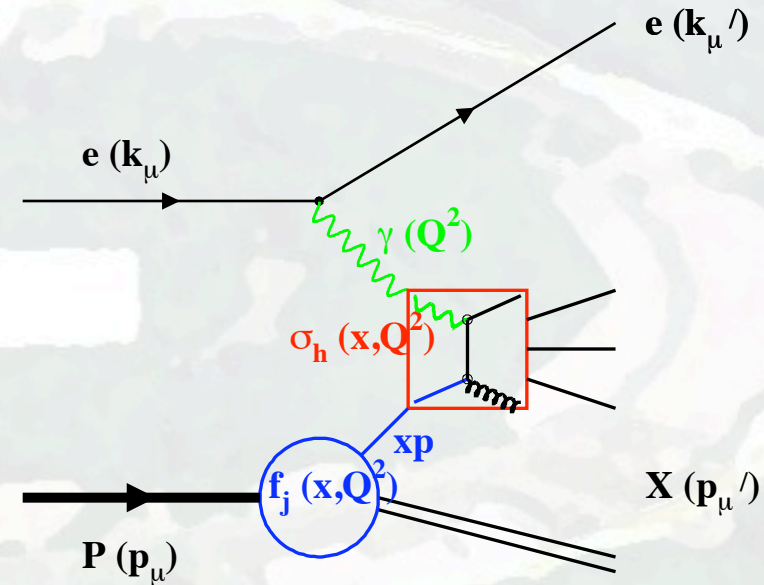
$\alpha_s$  large at **long** distances:

$\Rightarrow$  non-perturbative QCD

### ○ Factorization: hard scale $Q^2, m_c, m_b$

$$\sigma^{ep} = \gamma(x, Q^2) \otimes f_j(x, Q^2) \otimes \hat{\sigma}(x, Q^2)$$

$\hat{\sigma}(x, Q^2)$  non-perturbative part



### ○ Evolution:

- Beyond Quark-Parton model, Parton densities become functions of  $Q^2$
- Predict  $Q^2$  dependence of parton distribution functions (DGLAP evolution equations)



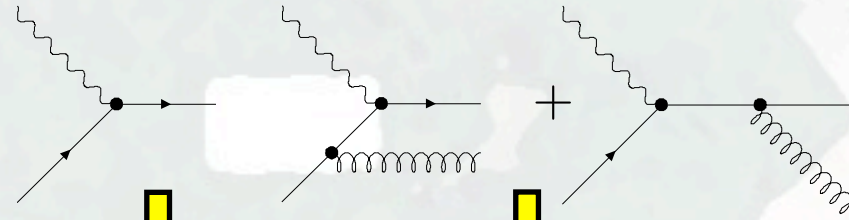
# QCD - Theoretical foundation

## □ Evolution

- The presence of QCD related diagrams leads to a modification of  $F_2$

$$F_2 = \nu W_2$$

$$\nu = \frac{p \cdot q}{m_p}$$



$$\frac{F_2(x, Q^2)}{x} = \sum_i Q_i^2 \int_0^1 \left( \frac{dy}{y} \right) q(y) \left( \underbrace{\delta \left( 1 - \frac{x}{y} \right)}_{\text{Parton model}} + \underbrace{\left( \frac{\alpha_s}{2\pi} \right) P_{qq} \left( \frac{x}{y} \right) \log \frac{Q^2}{\mu^2}}_{\text{Gluon radiation}} \right)$$

Logarithmic violation of scaling

$$q(y) \equiv f_q(y)$$

$$\frac{F_2(x, Q^2)}{x} = \sum_i Q_i^2 \int_0^1 \left( \frac{dy}{y} \right) (q(y) + \Delta q(y, Q^2)) \delta \left( 1 - \frac{x}{y} \right) =$$

$$\sum_i Q_i^2 (q(x) + \Delta q(x, Q^2))$$

Quark densities depend on  $x$  and  $Q^2$ :

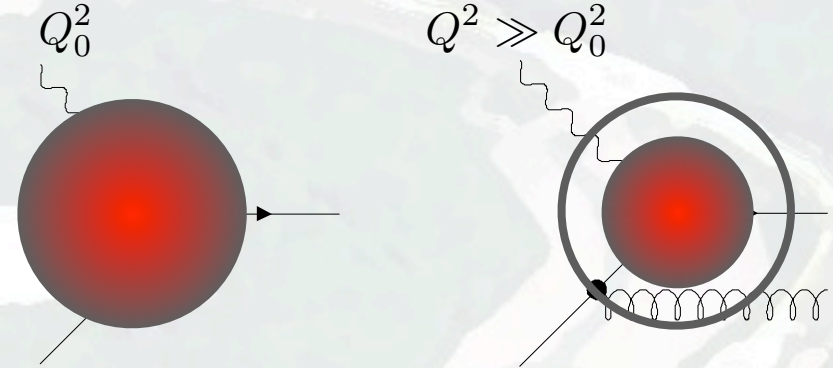
$$\longrightarrow \Delta q(x, Q^2) = \left( \frac{\alpha_s}{2\pi} \right) \log \left( \frac{Q^2}{\mu^2} \right) \int_x^1 \left( \frac{dy}{y} \right) q(y) P_{qq} \left( \frac{x}{y} \right)$$



# QCD - Theoretical foundation

## □ Evolution of parton distribution functions (1)

- Consider the change of the quark density  $\Delta q(x, Q^2)$  over an interval of  $\Delta \log Q^2$
- General including other types of splitting functions:



$$\frac{d}{d \log Q^2} q(x, Q^2) = \left( \frac{\alpha_s}{2\pi} \right) \int_0^1 \left( \frac{dy}{y} \right) q(y, Q^2) P_{qq} \left( \frac{x}{y} \right)$$

Probability of finding a parton of type  $i$  with momentum fraction  $x$  which originated from parton  $j$  having momentum fraction  $y$ !

Singlet distribution

$$\Sigma(x, Q^2) = \sum_{i=1}^{n_f} [q_i(x, Q^2) + \bar{q}_i(x, Q^2)]$$

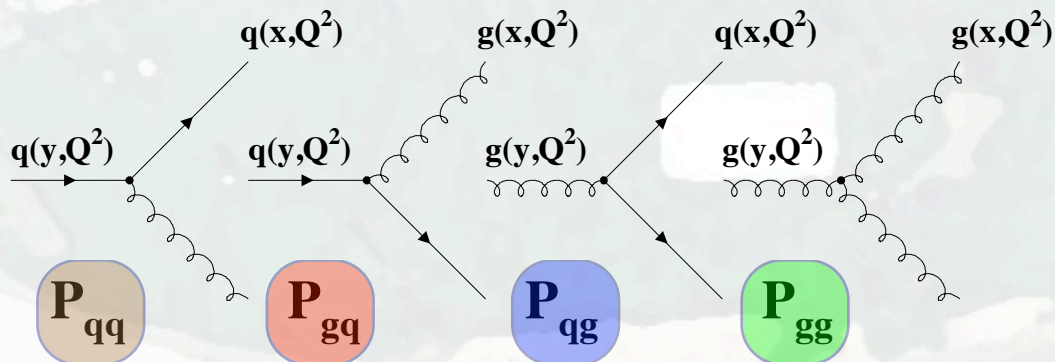
Gluon distribution

$$g(x, Q^2)$$

$$P_{ij} \left( \frac{x}{y} \right)$$

# QCD - Theoretical foundation

- Evolution of parton distribution functions (2)
  - Types of splitting functions



Probability of finding a parton of type  $i$  with momentum fraction  $x$  which originated from parton  $j$  having momentum fraction  $y$ !

$$\frac{d\Sigma(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[ P_{qq}\left(\frac{x}{z}\right) \Sigma(z, Q^2) + P_{qg}\left(\frac{x}{z}\right) g(z, Q^2) \right]$$

$$\frac{dg(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[ P_{gq}\left(\frac{x}{z}\right) \Sigma(z, Q^2) + P_{gg}\left(\frac{x}{z}\right) g(z, Q^2) \right]$$

**DGLAP evolution equations:**

G. Altarelli and G. Parisi, Nucl. Phys. B 126 (1977) 298; V. Gribov and L.N. Lipatov, Soc. J. Nucl. Phys. 15 (1972)

438; L.N. Lipatov, Soc. J. Nucl. Phys. 20 (1975) 96; Y.L. Dokshitzer, Soc. Phys. JETP 46 (1977) 641.

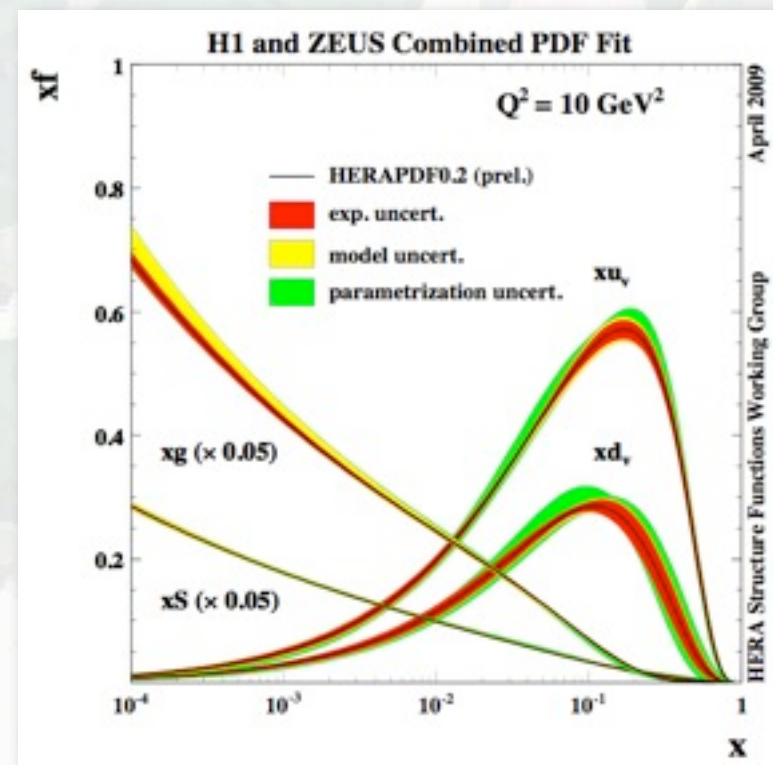
$$P_{ij} \left( \frac{x}{y} \right)$$

# QCD - Theoretical foundation

## □ Global fits

- Determine  $F_2^{\text{QCD}}$  in terms of parton distribution functions
- Evolve  $F_2^{\text{QCD}}$  through parton distribution functions based on evolution equations
- Minimize  $\chi^2$  in terms of  $F_2^{\text{QCD}}$  and  $F_2^{\text{data}}$  by adjusting parameters in  $xf_i(x, Q^2)$
- Net result: QCD prediction for  $xf_i(x, Q^2)$  and therefore  $F_2(x, Q^2)$
- Various global pdf analysis:
  - GRV
  - CTEQ
  - MRST

$$xf_i(x, Q_0^2) = A_i x^{-\lambda_i} (1-x)^{\eta_i} F(x)$$



Low  $x$ :  $\lambda_i$

High  $x$ :  $\eta_i$

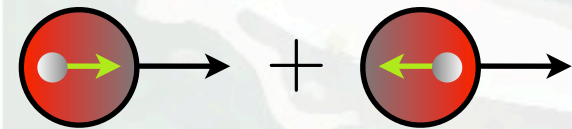


# QCD - Theoretical foundation

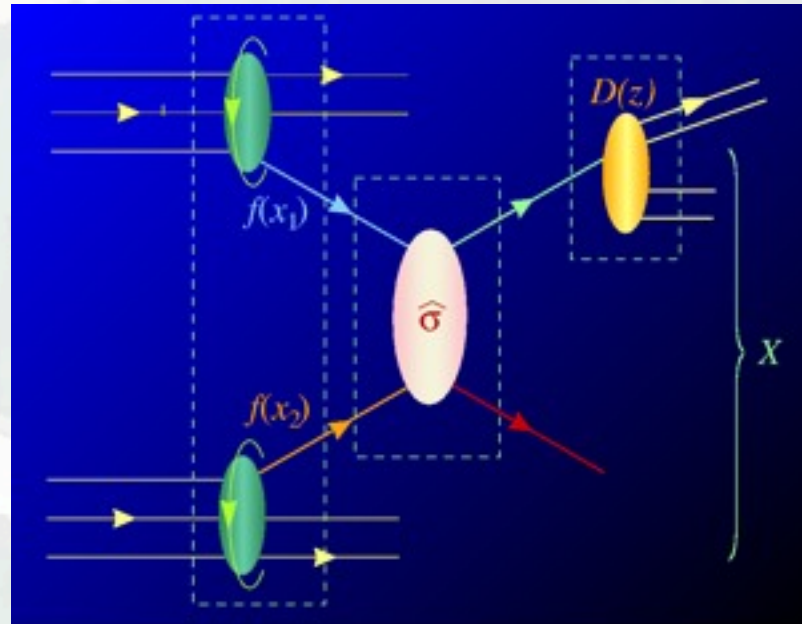
## Factorization

### Unpolarized proton structure:

$$f(x) =$$



$$f^+(x) + f^-(x)$$



long-range

short-range

long-range

$$\sigma_{pp \rightarrow \pi X} = \sum_{f_1, f_2} f_1 \otimes f_2 \otimes \hat{\sigma} \otimes D_f^\pi$$

### Three step process:

- Partons (quarks/gluons) in initial state: Long distance (**non-perturbative QCD** domain)

⇒ Parton (quarks/gluons) distribution functions

- Hard interaction:** Small distances (high energies) (**perturbative QCD** domain)

⇒ **Cross-section prediction** (LO, NLO, NNLO)

- Quarks in final state:** Long distance (**non-perturbative QCD** domain):

⇒ Quarks **fragment** into observable hadrons described by **fragmentation functions**

# Recent results in high-energy QCD physics

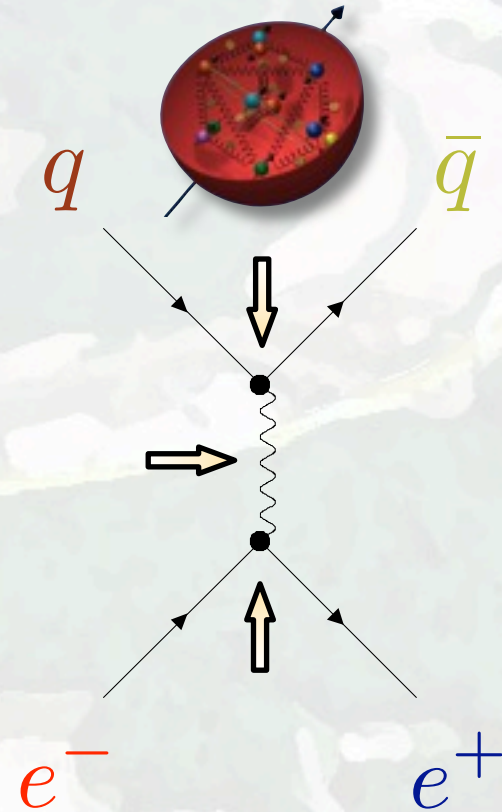
## □ Overview

### ○ Collider programs:

- Hadron-Hadron: Tevatron/ RHIC
- Electron-Hadron: HERA
- Electron/Positron: LEP

### ○ QCD topics

- QCD factorization
- Parton-distribution functions / Fragmentation functions
- Strong-coupling constant
- Jet algorithms
- QCD matrix elements in LO, NLO, NNLO
- Multi-leg final states
- Low-x physics
- Soft processes: Underlying event / Hadronization / Diffraction

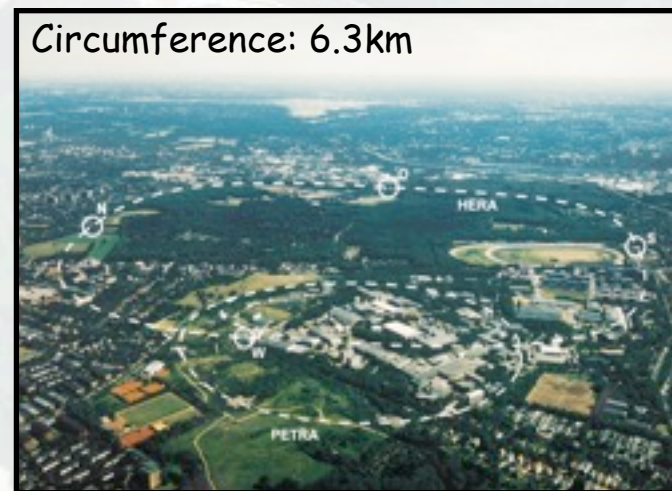


# Recent results in high-energy QCD physics

- Experimental QCD tests in ep
  - Measurement of  $\alpha_s$
  - Fragmentation functions
  - Extraction of parton distribution functions
  - Color/spin dynamics
  - Quark-Gluon jet properties
  - Event shape variables (Sphericity, thrust, ...)
  - Diffraction

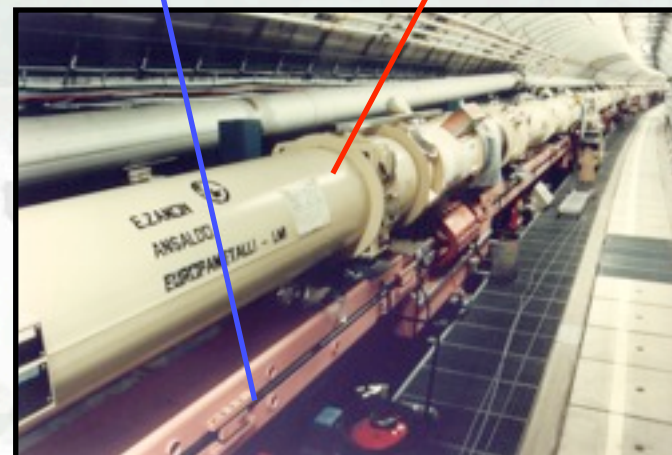
DESY

Circumference: 6.3km



$E_e = 27.5 \text{ GeV}$

$E_p = 920 \text{ GeV}$



# Recent results in high-energy QCD physics

- Experimental QCD tests in  $ee$ 
  - Measurement of  $\alpha_s$
  - Fragmentation functions
  - Color/spin dynamics
  - Quark-Gluon jet properties
  - Event shape variables (Sphericity, thrust, ...)

CERN



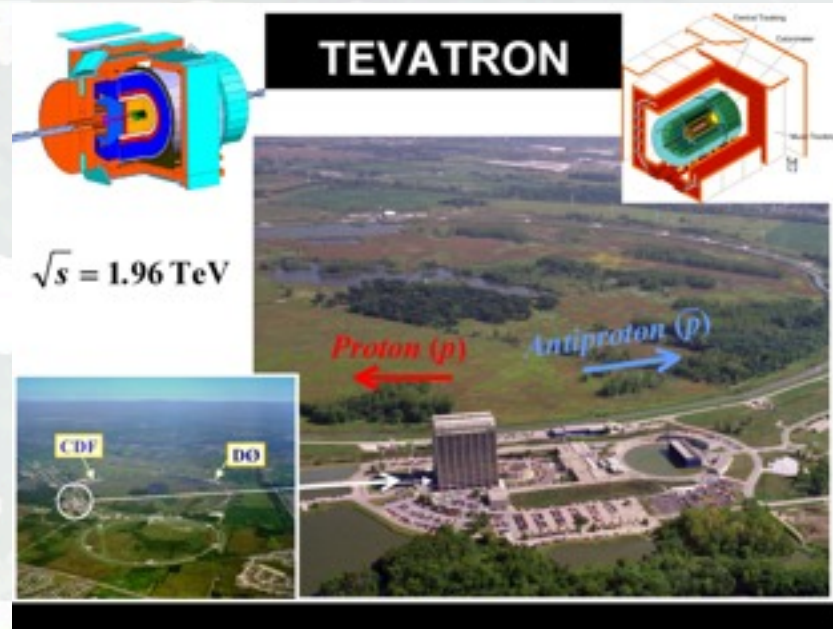
LEP: Centre-of-mass energy ( $e^+e^-$ ) up to 205GeV



# Recent results in high-energy QCD physics

- Experimental QCD tests in pp
  - Measurement of  $\alpha_s$
  - Fragmentation functions
  - Extraction of parton distribution functions
  - Color/spin dynamics
  - Quark-Gluon jet properties
  - Event shape variables (Sphericity, thrust, ...)
  - Diffraction

FNAL

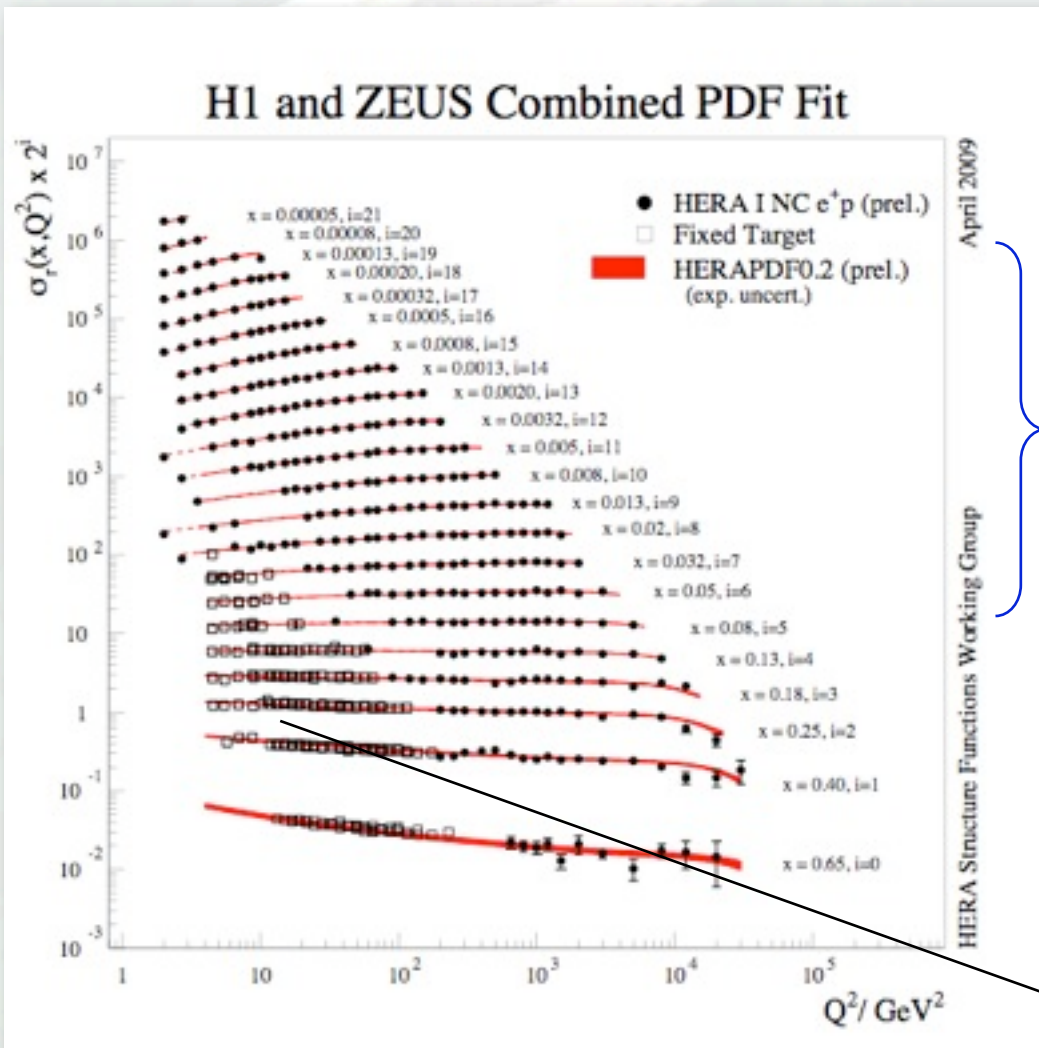


BNL



# Recent results in high-energy QCD physics

- Precision measurements (e.g.  $F_2$ )  $\Rightarrow$  Precision on quark/gluon structure

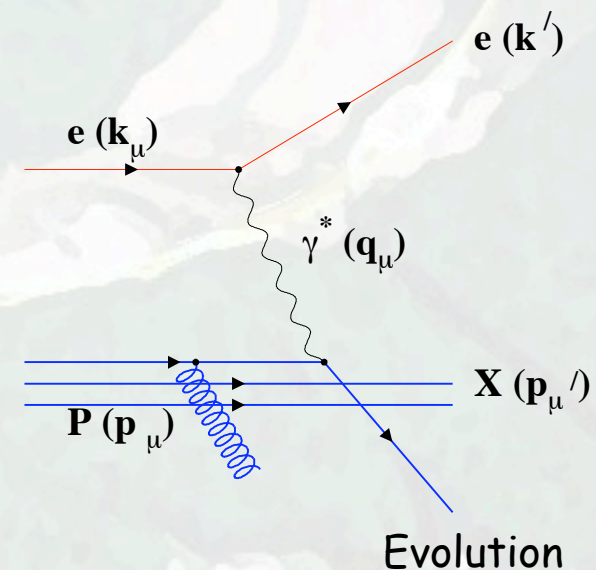


Strong violation of scaling at low  $x$  and high  $Q^2$

$$xg \propto \left( \frac{dF_2}{d \ln Q^2} \right)$$

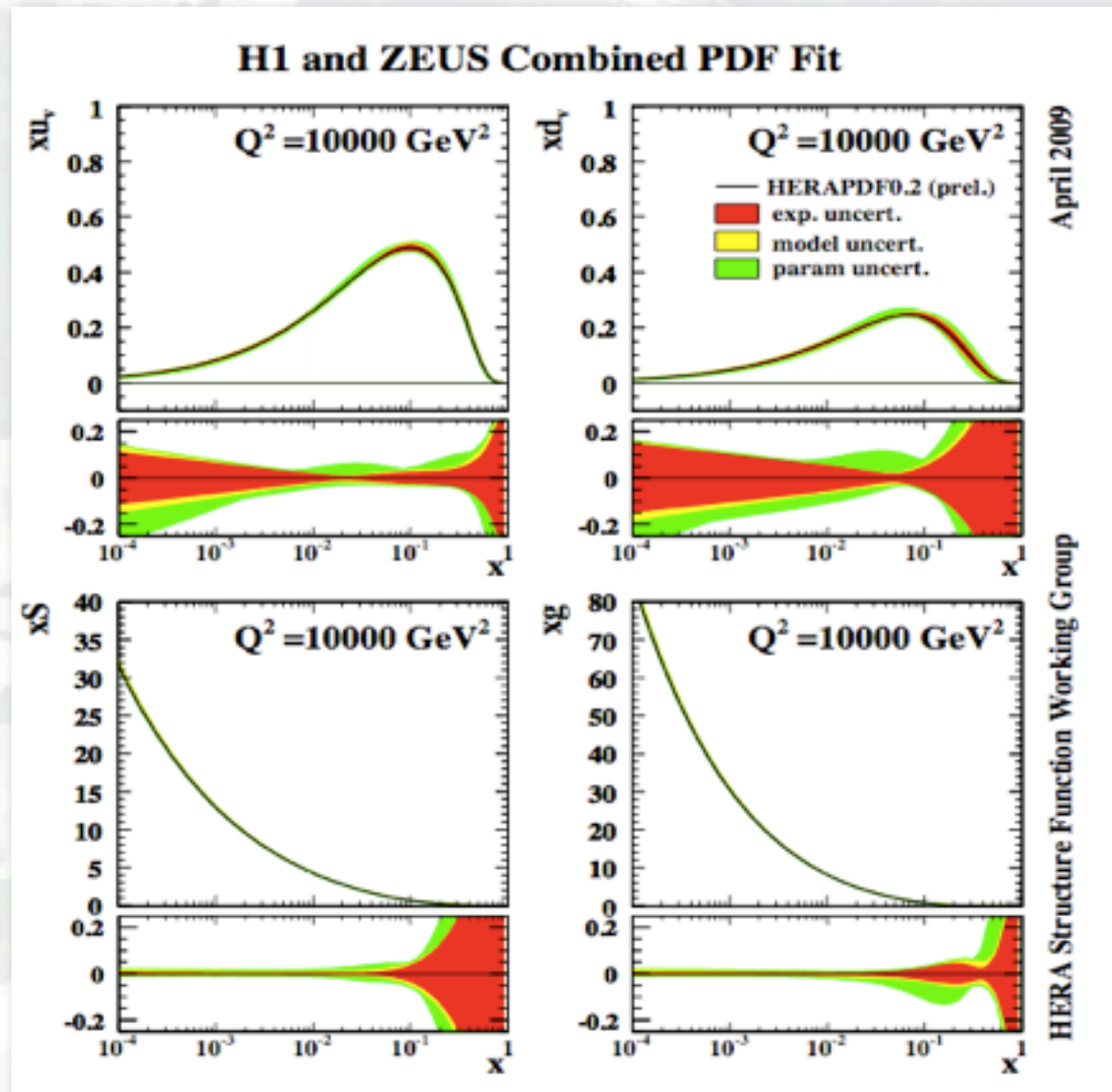
In contrast to:

Low  $Q^2$   
high  $x$ !



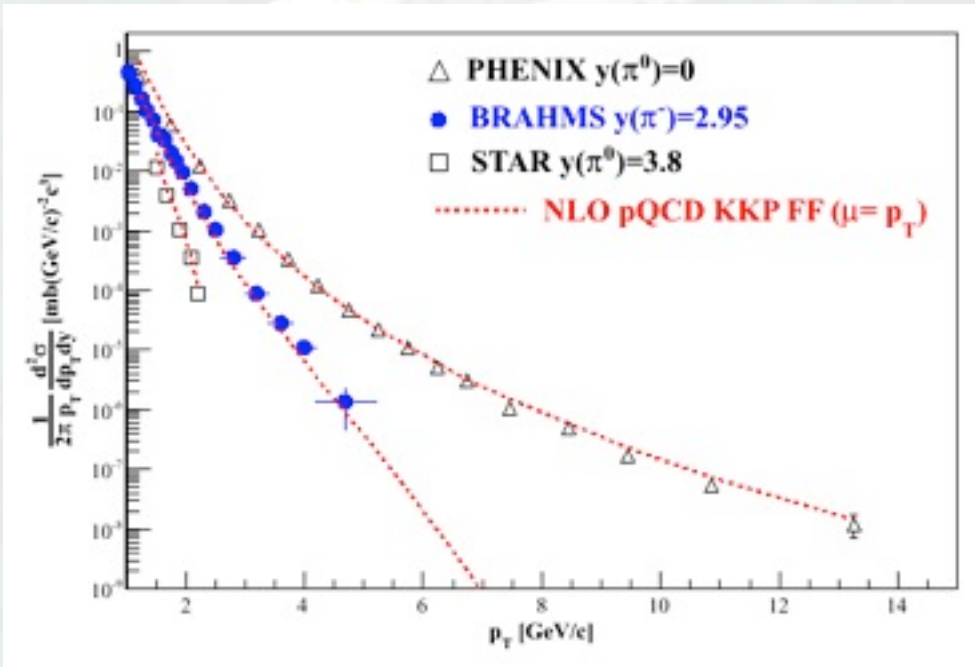
# Recent results in high-energy QCD physics

- Precision on quark/gluon structure
  - Enormous precision reached over a wide kinematic region
  - Large uncertainties for all distribution functions at large momentum fractions:
    - Impact of W/Z program at LHC
    - Impact of high- $E_T$  jet production

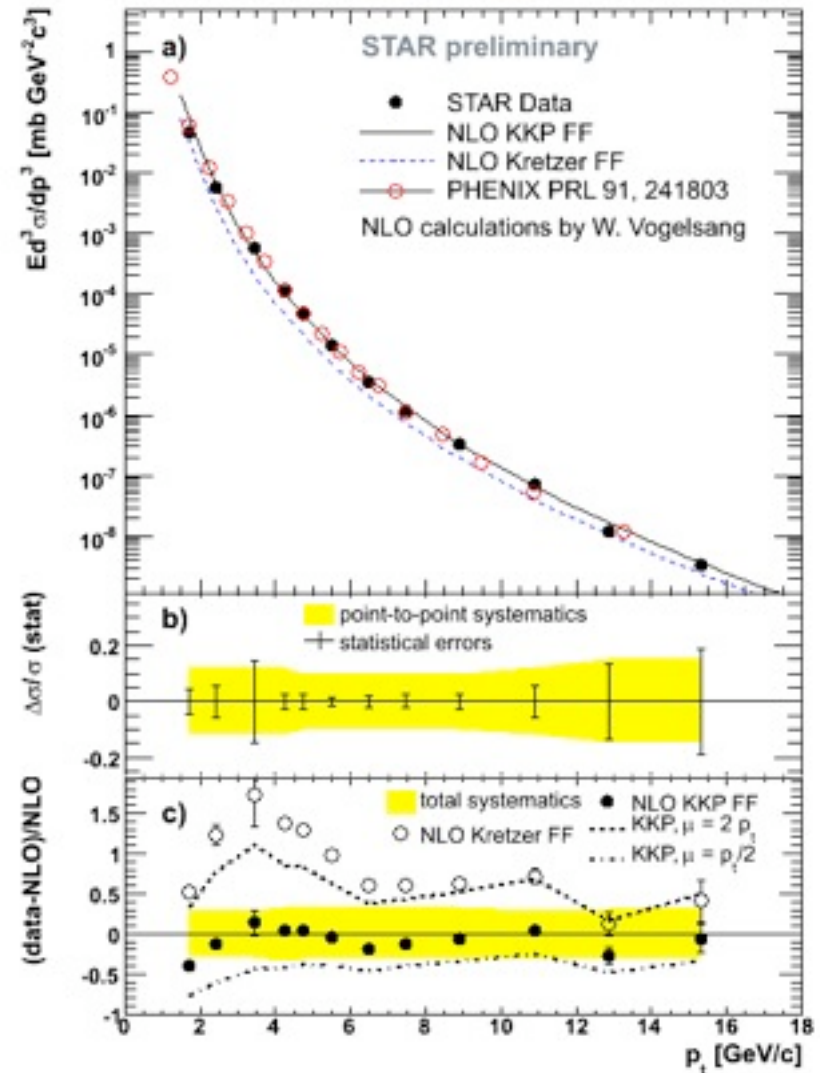


# Recent results in high-energy QCD physics

## □ Cross Section Results - RHIC (Hadrons)



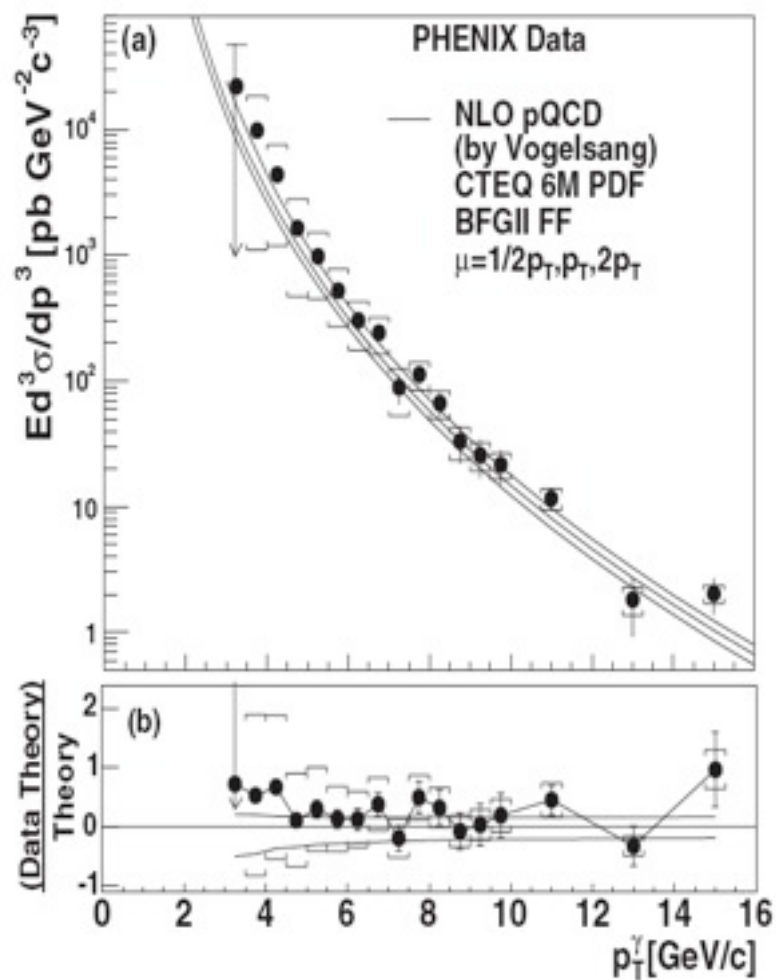
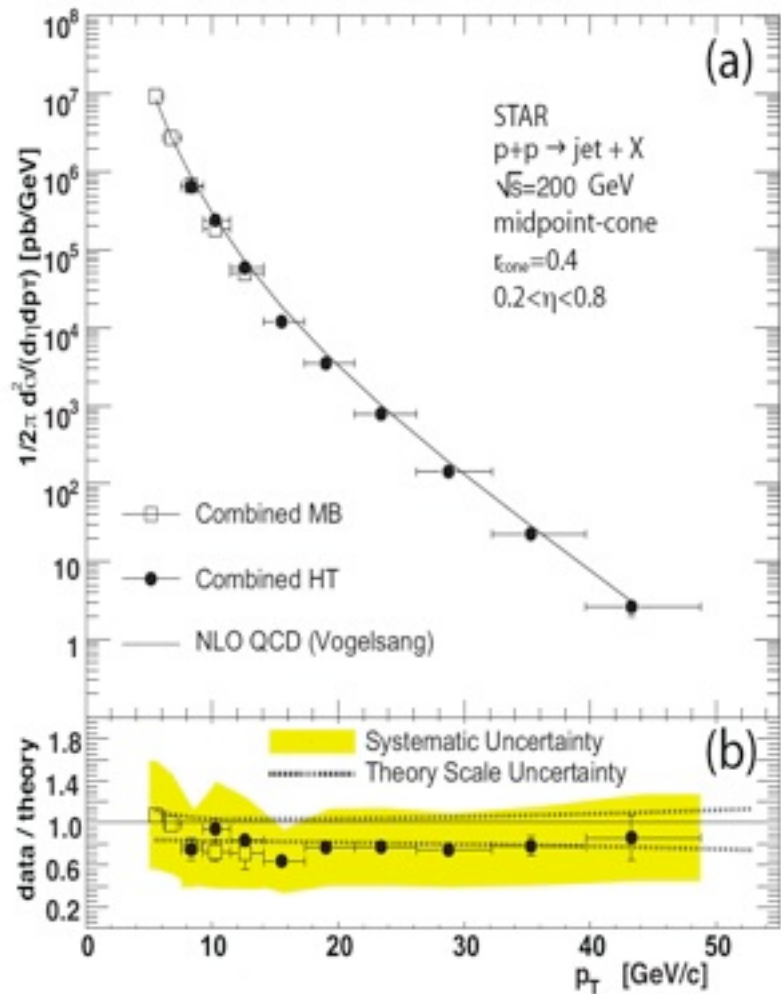
- Good agreement between data and NLO calculations for neutral pion production at forward and central rapidity





# Recent results in high-energy QCD physics

## □ Cross Section Results - RHIC (Jets / Photons)



- Good agreement between data and NLO calculations for jet production and prompt photon production at central rapidity



# Recent results in high-energy QCD physics

- What do we know about the polarized quark and gluon distributions?

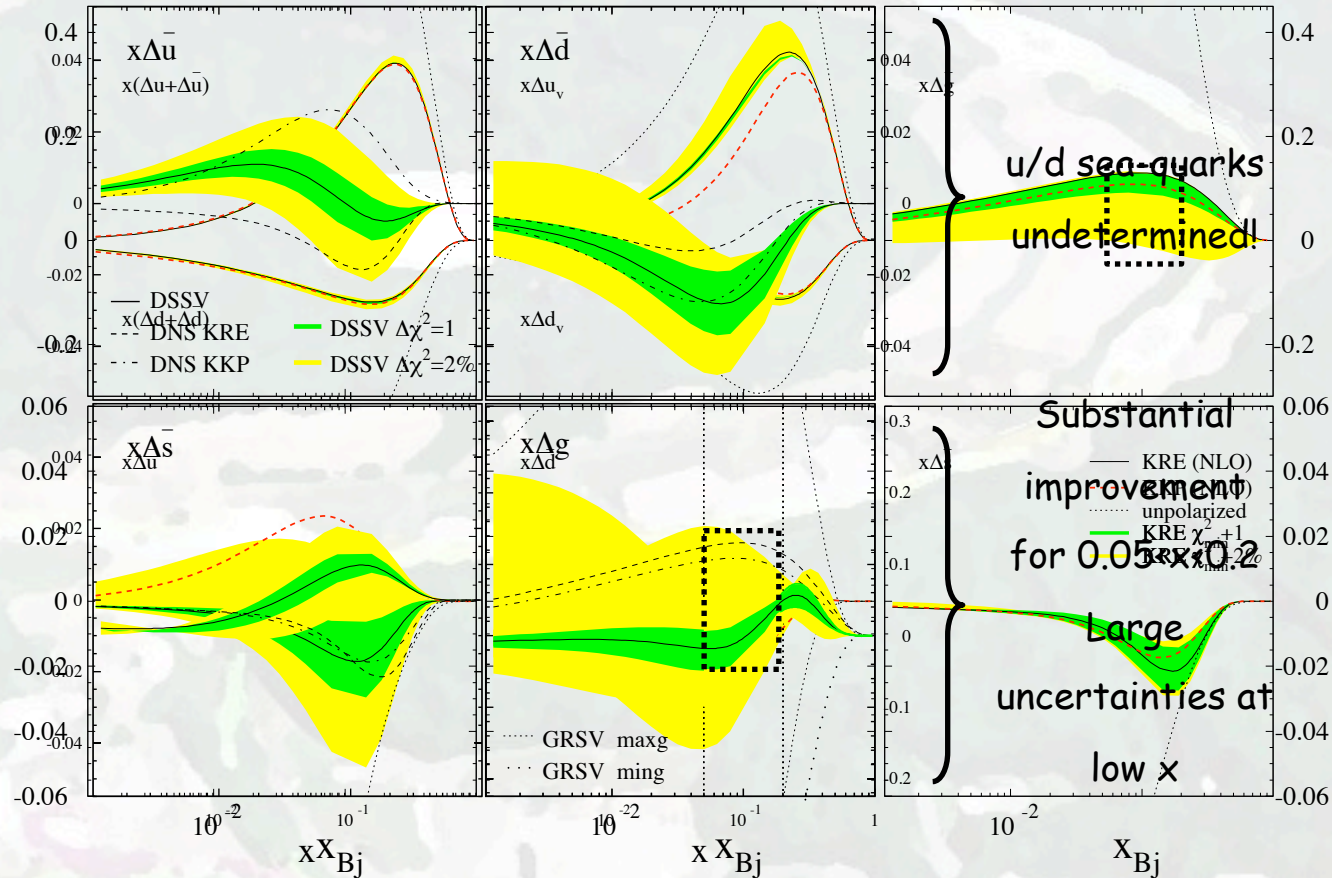
- Spin carried by quarks is very small ( $\Delta\Sigma \sim 0.4$ )!

$$\frac{1}{2}\Delta\Sigma$$

$$\frac{1}{2} = \langle S_q \rangle + \langle S_g \rangle + \langle L_q \rangle + \langle L_g \rangle$$

$$\underbrace{\hspace{10em}}_{\Delta G}$$

$$\Delta\Sigma = \Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s}$$



D. de Florian et al., <https://arxiv.org/abs/1007.4209> (2010)

$$\Delta q_i(Q^2) = \int_0^1 \Delta q_i(x, Q^2) dx$$

$$\Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$



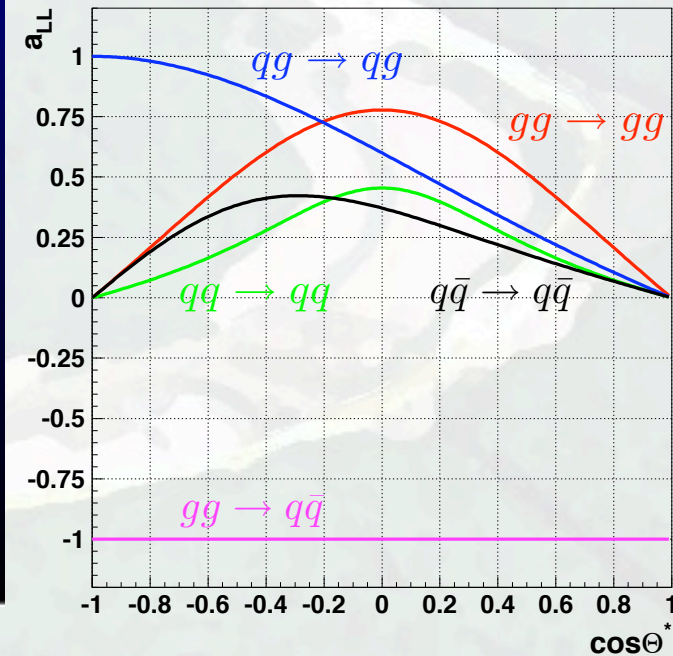
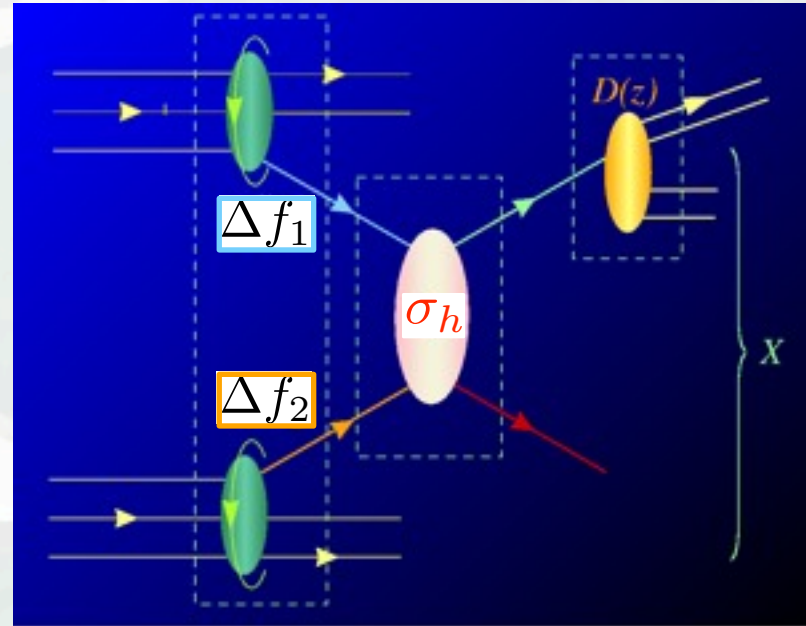
# Recent results in high-energy QCD physics

## □ Gluon polarization - Extraction

$$\Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$



Extract  $\Delta g(x, Q^2)$  through  
Global Fit (Higher Order  
QCD analysis)!



long-range    short-range    long-range

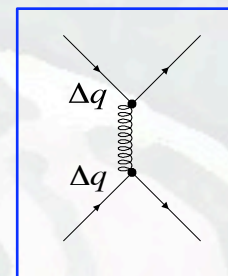
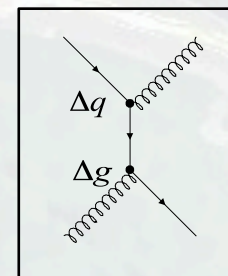
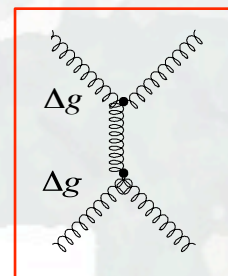
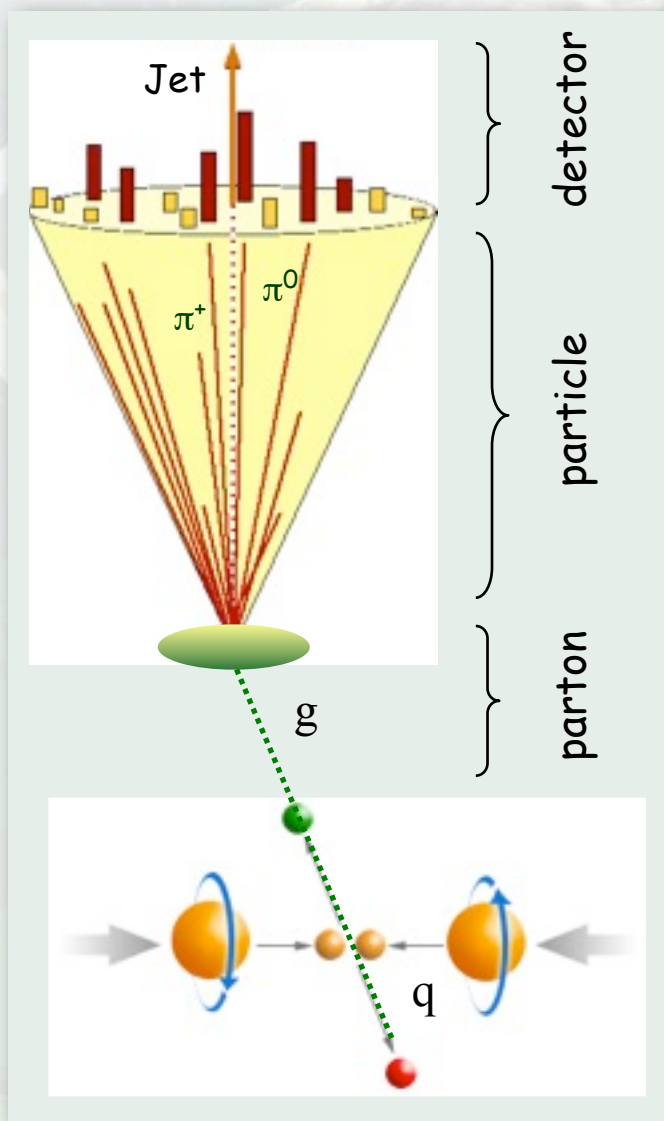
$$A_{LL} = \frac{d\Delta\sigma}{d\sigma}$$

$$\propto \frac{\Delta f_1 \otimes \Delta f_2 \otimes \sigma_h \cdot a_{LL} \otimes D_f^h}{f_1 \otimes f_2 \otimes \sigma_h \otimes D_f^h}$$

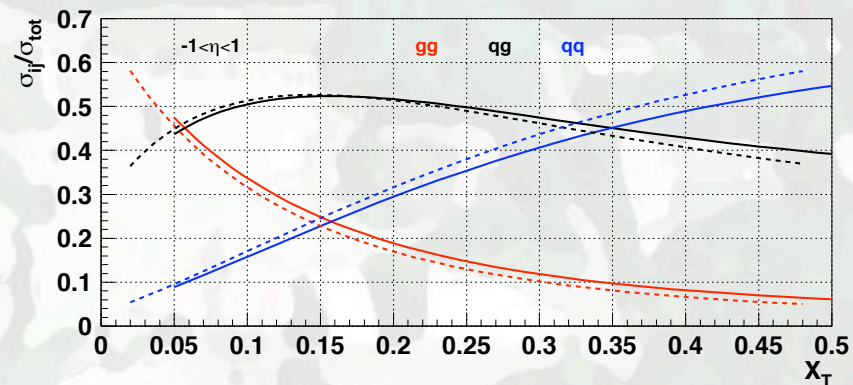
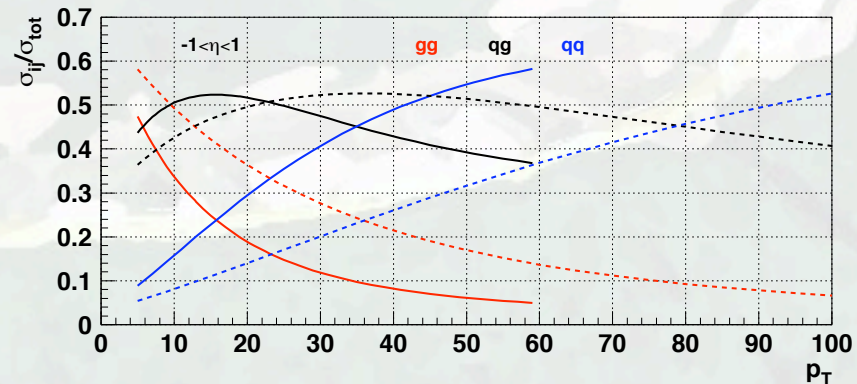
} Input

# Recent results in high-energy QCD physics

## □ Gluon polarization - Inclusive Measurements



Inclusive Jet production (200GeV: Solid line / 500GeV: Dashed line)



$$x_T = 2p_T/\sqrt{s}$$

# Recent results in high-energy QCD physics

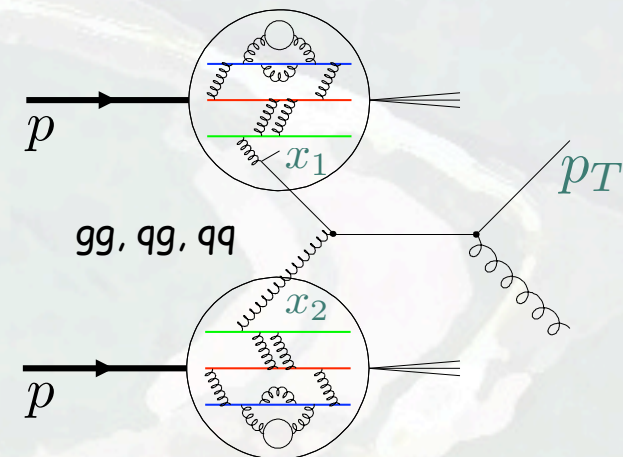
## □ Gluon polarization - Correlation Measurements

- Correlation measurements provide access to partonic kinematics through **Di-Jet/Hadron production** and **Photon-Jet production**

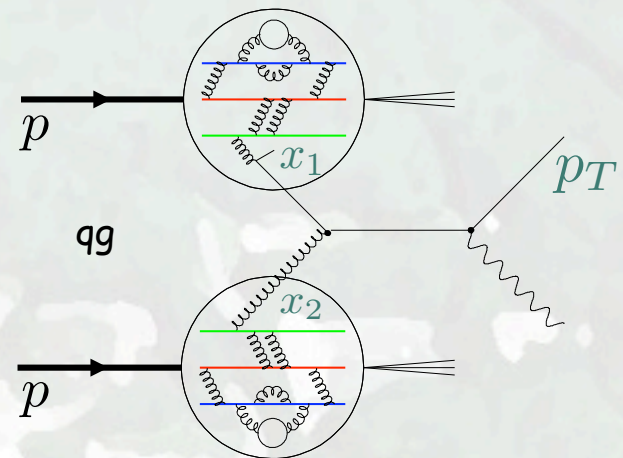
$$x_{1(2)} = \frac{1}{\sqrt{s}} \left( p_{T_3} e^{\eta_3(-\eta_3)} + p_{T_4} e^{\eta_4(-\eta_4)} \right)$$

- **Di-Jet production** / **Photon-Jet production**

- **Di-Jets:** All three (LO) QCD-type processes contribute:  $gg$ ,  $qg$  and  $qq$  with relative contribution dependent on topological coverage
- **Photon-Jet:** One dominant underlying (LO) process with large partonic  $a_{LL}$  at forward rapidity
- Larger cross-section for di-jet production compared to photon related measurements
- Photon reconstruction more challenging than jet reconstruction
- Full NLO framework exists  $\Rightarrow$  Input to Global analysis



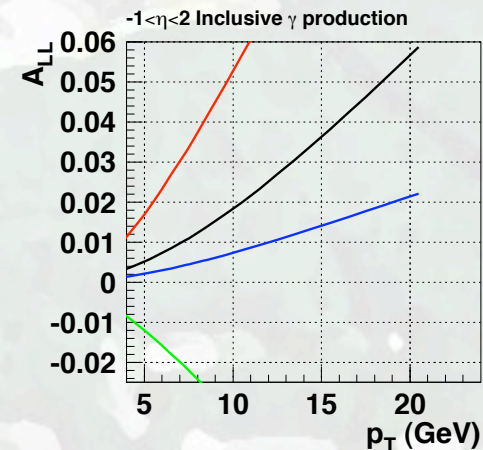
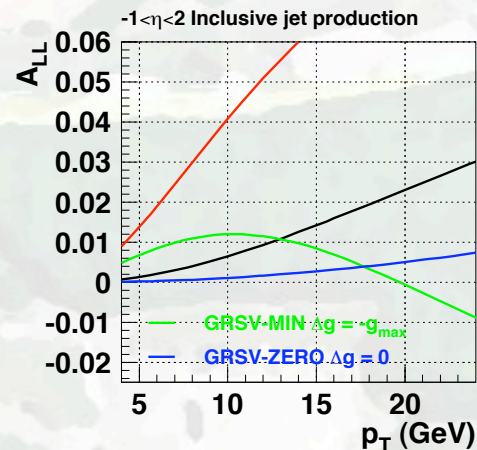
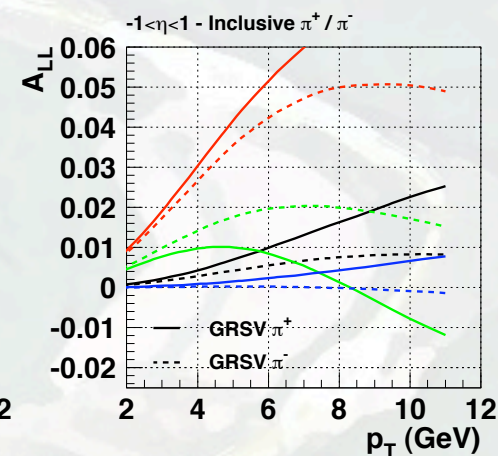
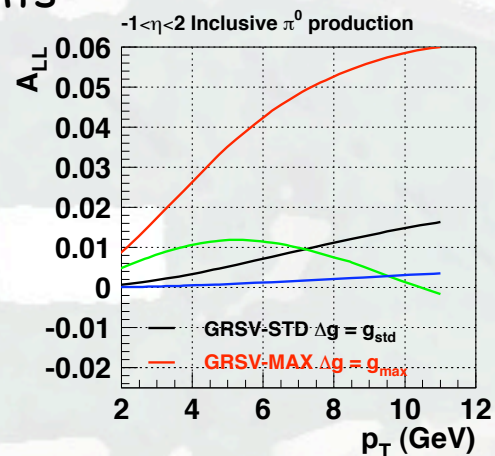
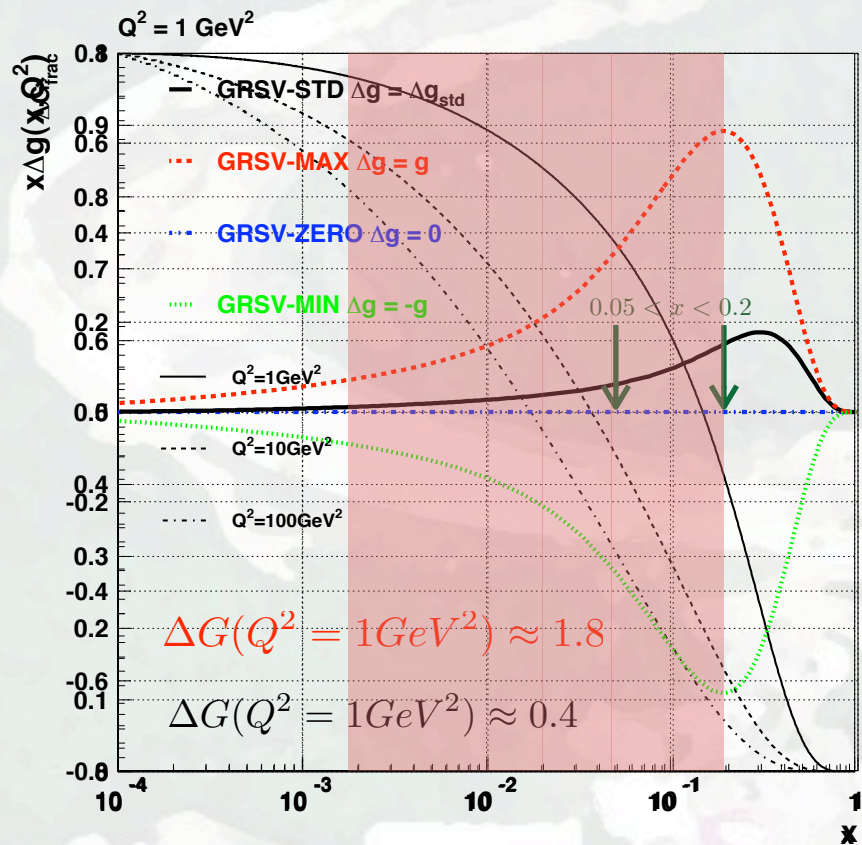
Di-Jet production



Photon-Jet production

# Recent results in high-energy QCD physics

## □ Gluon polarization - Inclusive Measurements



○ Examine wide range in  $\Delta g$ :  $-g < \Delta g < +g$

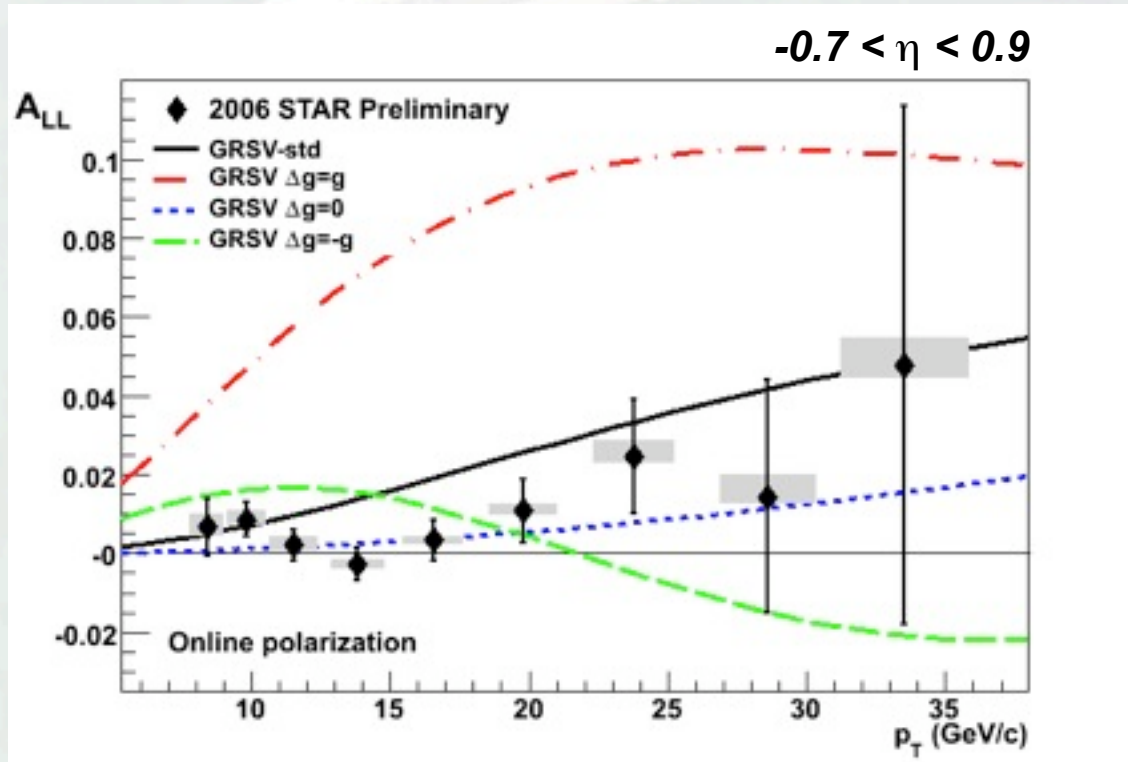
○ GRSV-STD: Higher order QCD analysis of polarized DIS experiments!

$$\Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$

$$x_{\text{parton}} \simeq 2p_T / \sqrt{s}$$

# Recent results in high-energy QCD physics

- STAR Inclusive Jet production - RUN 6:  $A_{LL} \Rightarrow$  Gluon spin contribution

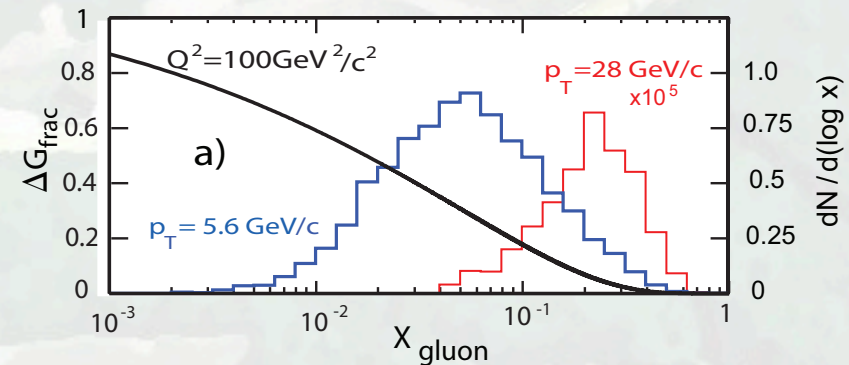


$$\Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$

$$\Delta G(Q^2 = 1 \text{ GeV}^2) \approx 1.8$$

$$\Delta G(Q^2 = 1 \text{ GeV}^2) \approx 0.4$$

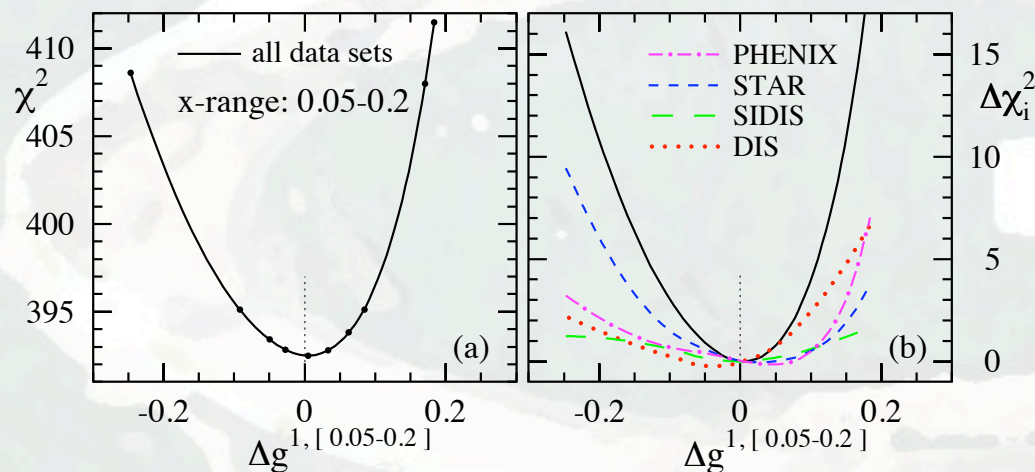
$$x_{\text{parton}} \simeq 2p_T / \sqrt{s}$$



- RUN 6 results: GRSV-MAX / GRSV-MIN ruled out -  $A_{LL}$  result favor a gluon polarization in the measured  $x$ -region which falls in-between GRSV-STD and GRSV-ZERO
- Consistent with RUN 5 result (Factor 3-4 improved statistical precision for  $p_T > 13 \text{ GeV}/c$ )

# Recent results in high-energy QCD physics

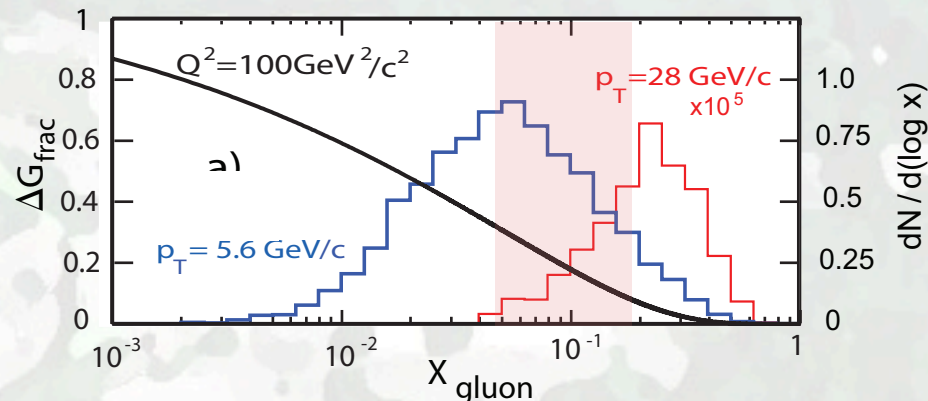
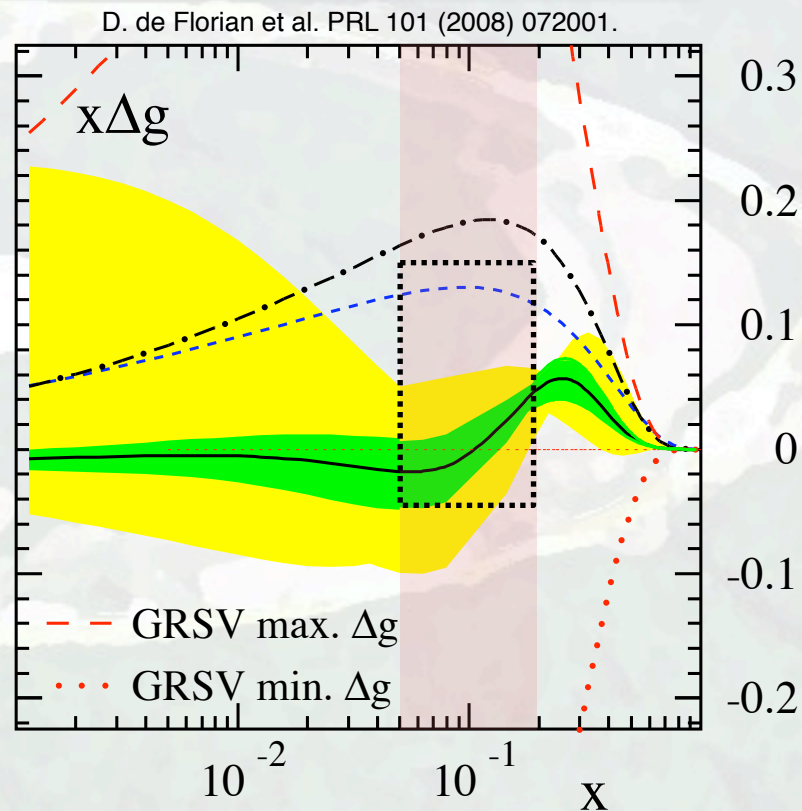
## Global analysis incl. RHIC pp data



Strong constraint on the size of  $\Delta g$  from RHIC data for  $0.05 < x < 0.2$

Evidence for a small gluon polarization over a limited region of momentum fraction

**Important:** Mapping of  $x$ -dependence and extension of  $x$ -coverage needed!



STAR Collaboration, PRL 100 (2008) 232003.





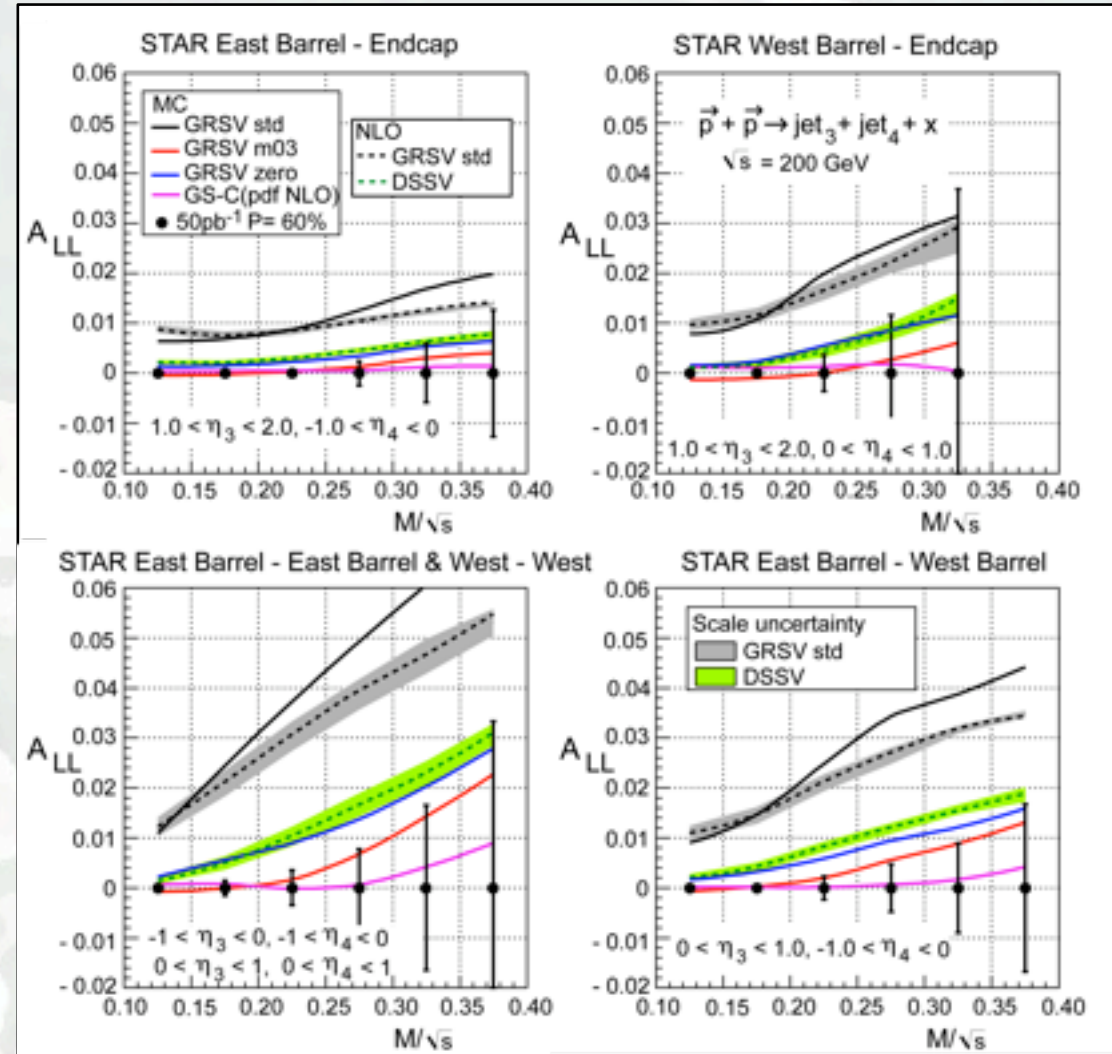
# Recent results in high-energy QCD physics

## □ Run 9 STAR Beam-Use Request: Di-Jet projections

- Substantial improvement in from Di-Jet production:  
50pb<sup>-1</sup> and 60% beam polarization
- Good agreement between LO MC evaluation and full NLO calculations

$$M = \sqrt{x_1 x_2 s} \quad \eta_3 + \eta_4 = \ln \frac{x_1}{x_2}$$

$$x_{1(2)} = \frac{1}{\sqrt{s}} \left( p_{T_3} e^{\eta_3(-\eta_3)} + p_{T_4} e^{\eta_4(-\eta_4)} \right)$$

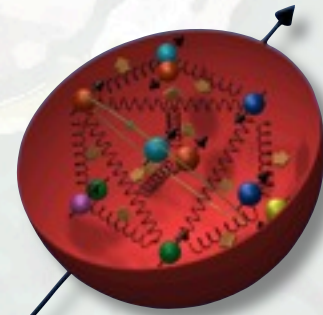




# Summary and Outlook

## □ Summary

- Enormous precision reached for unpolarized distribution functions - Large uncertainties remain at larger momentum fractions - Impact for LHC program
- Higher-order QCD calculations needed (Beyond NLO) for precision pdf extraction and  $\alpha_s$
- Generally large uncertainties and model dependence on soft processes such as underlying event and hadronization
- Evidence for a small gluon polarization  $\Rightarrow$  Renaissance of constituent quark model!



## □ Outlook

- Electron-Ion Collider: Precision measurement of polarized ep and eA scattering