

# Top Quark Physics – 10 years later

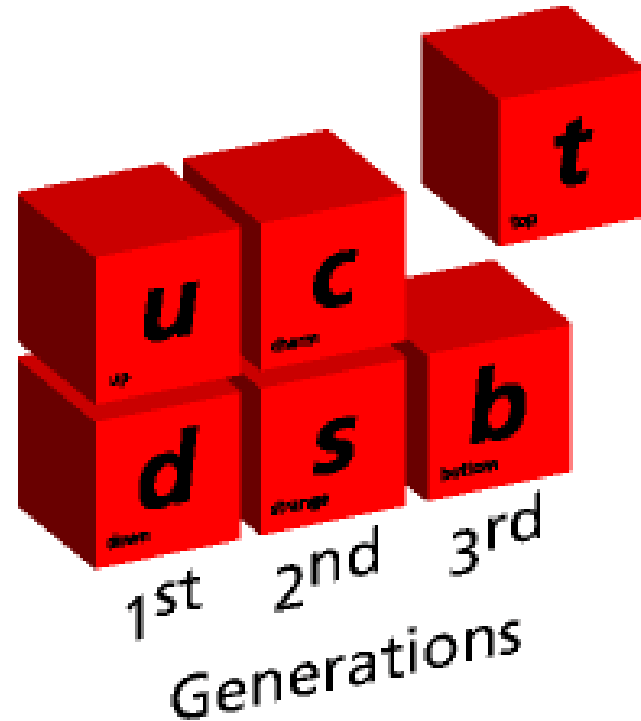
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New England Particle Physics Student Retreat  
Craigville, MA  
23 Aug, 2005

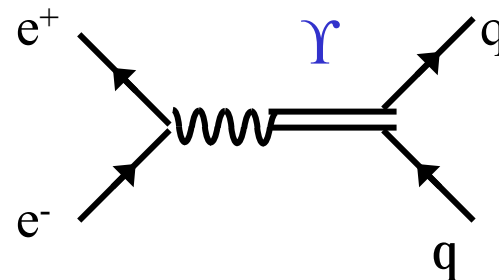
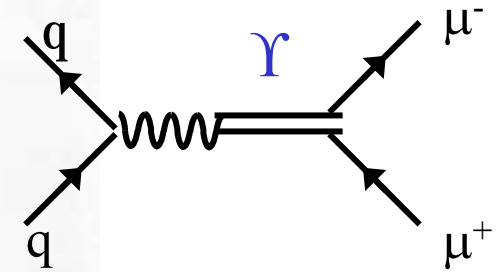
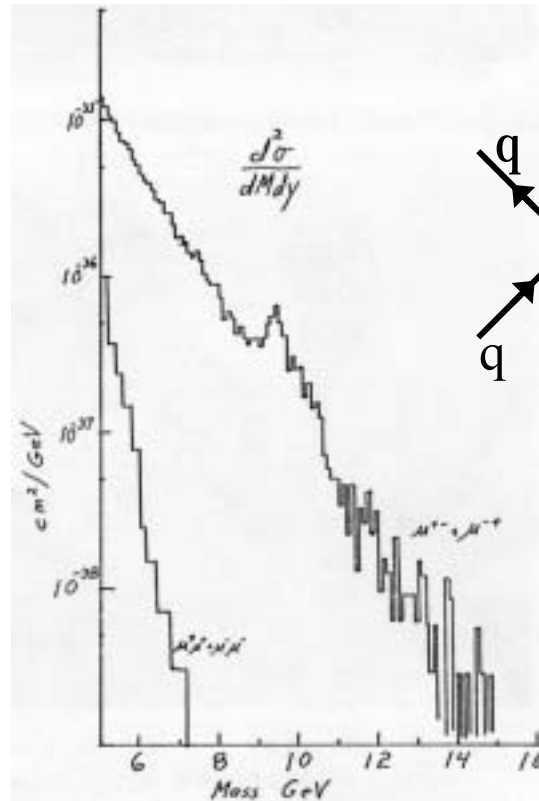
# The top quark in the Standard Model

- The 6<sup>th</sup> quark
  - Last of 6 to be discovered
- The isospin partner of the b-quark
  - Important in loop cancellations
- The heaviest known fundamental particle
  - Mass  $\sim 175$  GeV
- The last quark?
  - Z decays prove no 4-generation of light neutrinos with SM couplings
- Mass is not a parameter predicted by the SM



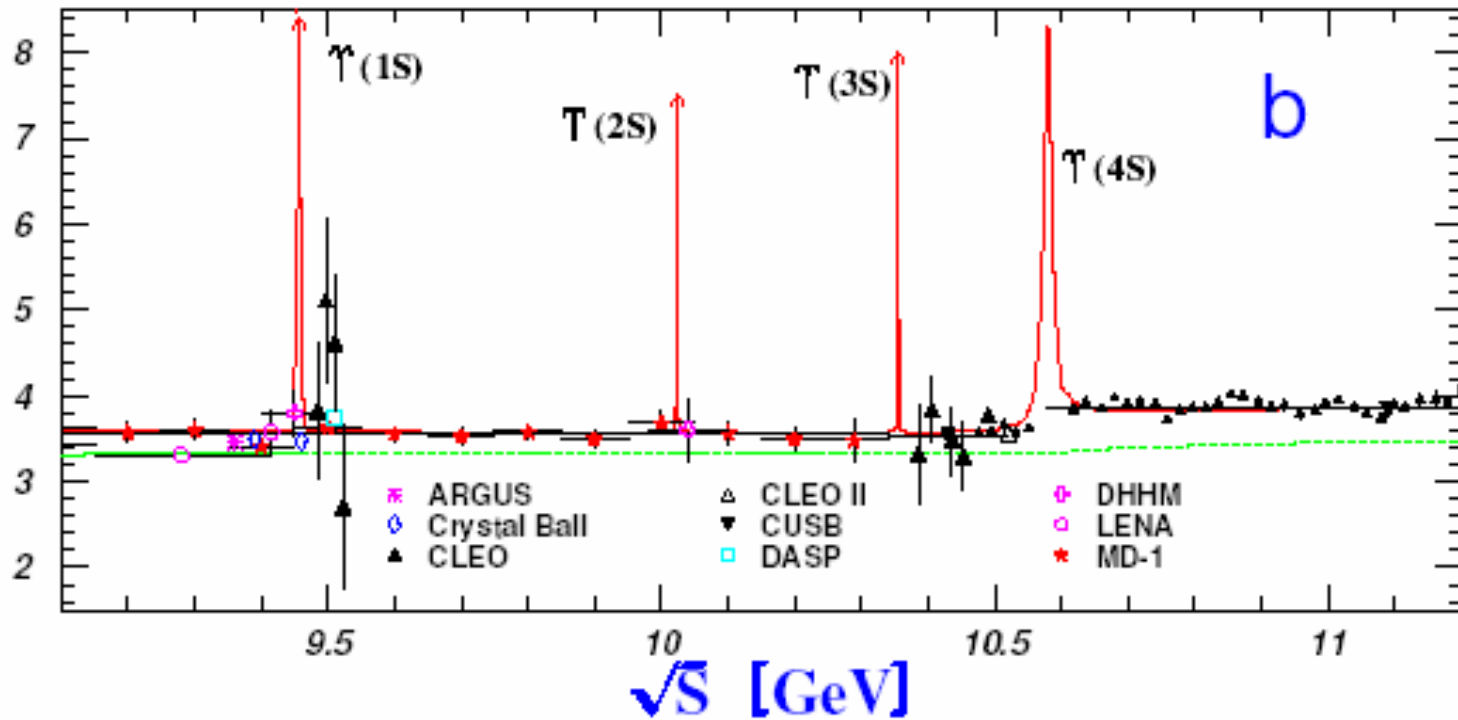
# 29 Years ago – discovery of the b-quark

- Like the charm quark, the bottom quark was first discovered in its  $QQ$  “onium state”
  - $bb$ ,  $J=1$  state = Upsilon ( $\Upsilon$ )
- Fermilab experiment E288 (Leon Lederman)
  - $pp \rightarrow \Upsilon + X \rightarrow \mu^+ \mu^-$
  - peak in  $\mu^+ \mu^-$  invariant mass
- Further study at DORIS (DESY) and CESR (Cornell)
  - $e^+e^- \rightarrow \Upsilon \rightarrow ff$
  - signature #1: resonant peak at Upsilon mass
  - signature #2: step in the ratio  $R=(\text{had}/\mu\text{on})$



# R in the b-threshold region

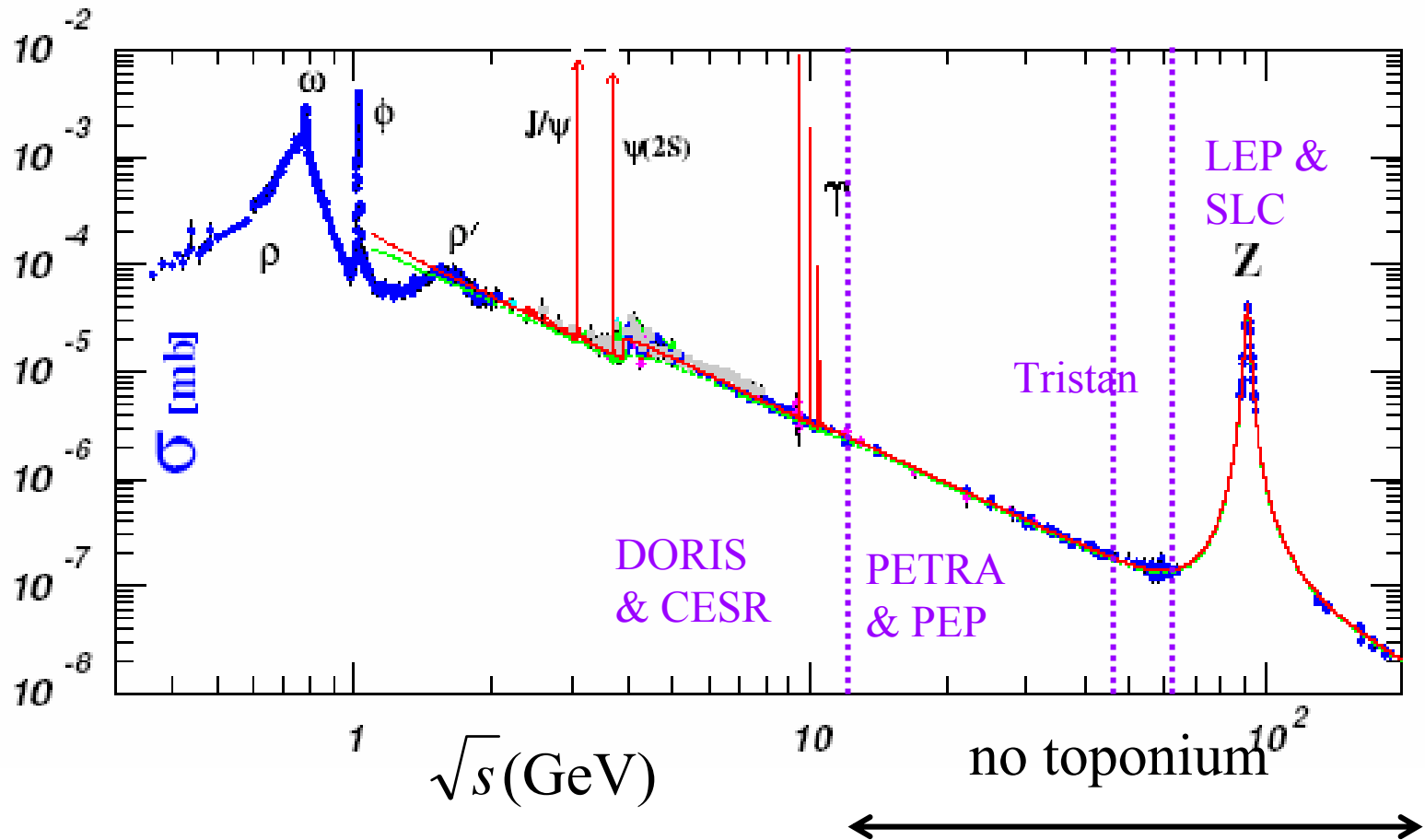
$$R = \sigma(e^+e^- \rightarrow \text{hadrons}) / \sigma(e^+e^- \rightarrow \mu^+\mu^-)$$



# Search for the top quark begins

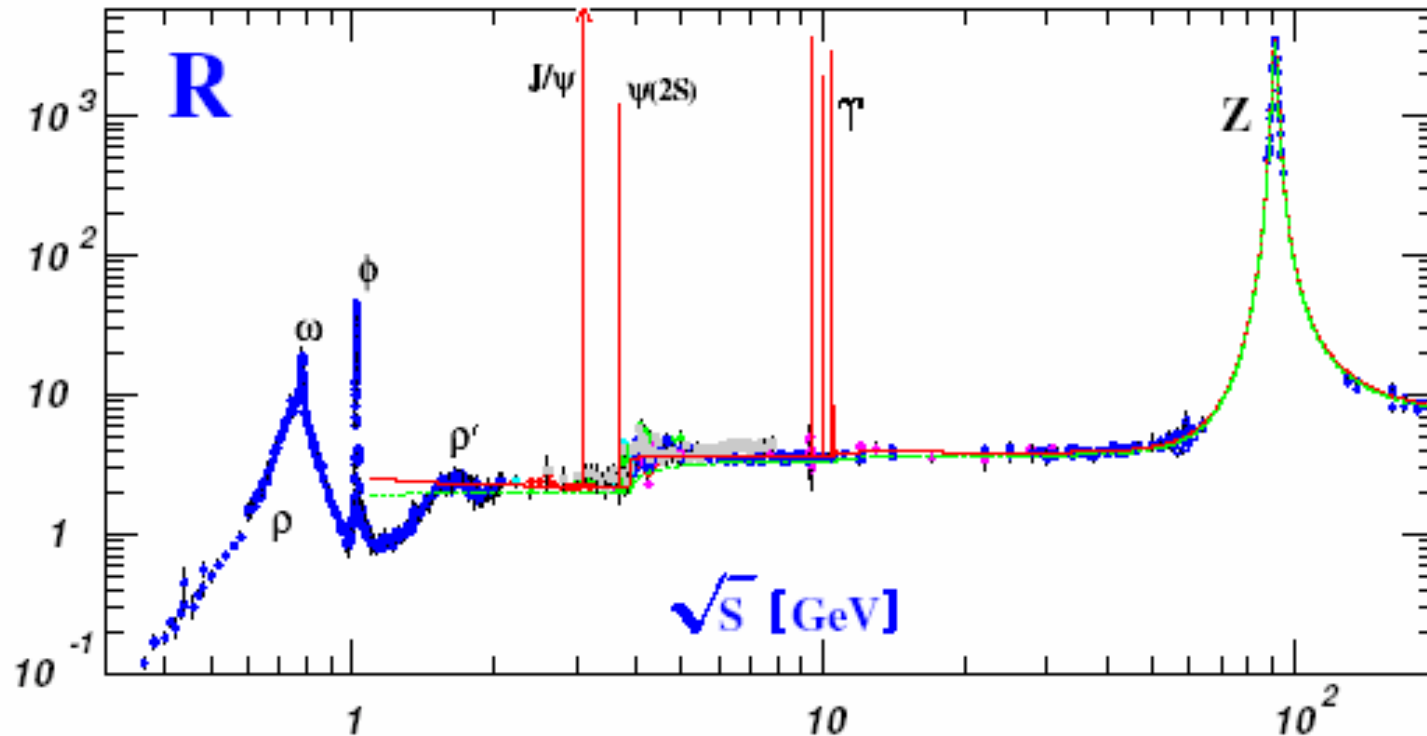
- naive predictions (based on quark mass ratios) implied  $m_t \approx 10-20$  GeV
- $e^+e^- \rightarrow t \bar{t}$  searches
  - PETRA (DESY) scanned up to  $\sqrt{s}=46.4$  GeV ( $m_t < 23$  GeV)
  - TRISTAN (KEK) scanned up to  $\sqrt{s}=64$  GeV ( $m_t < 32$  GeV)
  - SLC/LEP at the Z pole,  $m_t < 46$  GeV

# $e^+e^-$ collider energy scans



# R

$$R = \sigma(e^+e^- \rightarrow \text{hadrons}) / \sigma(e^+e^- \rightarrow \mu^+\mu^-)$$

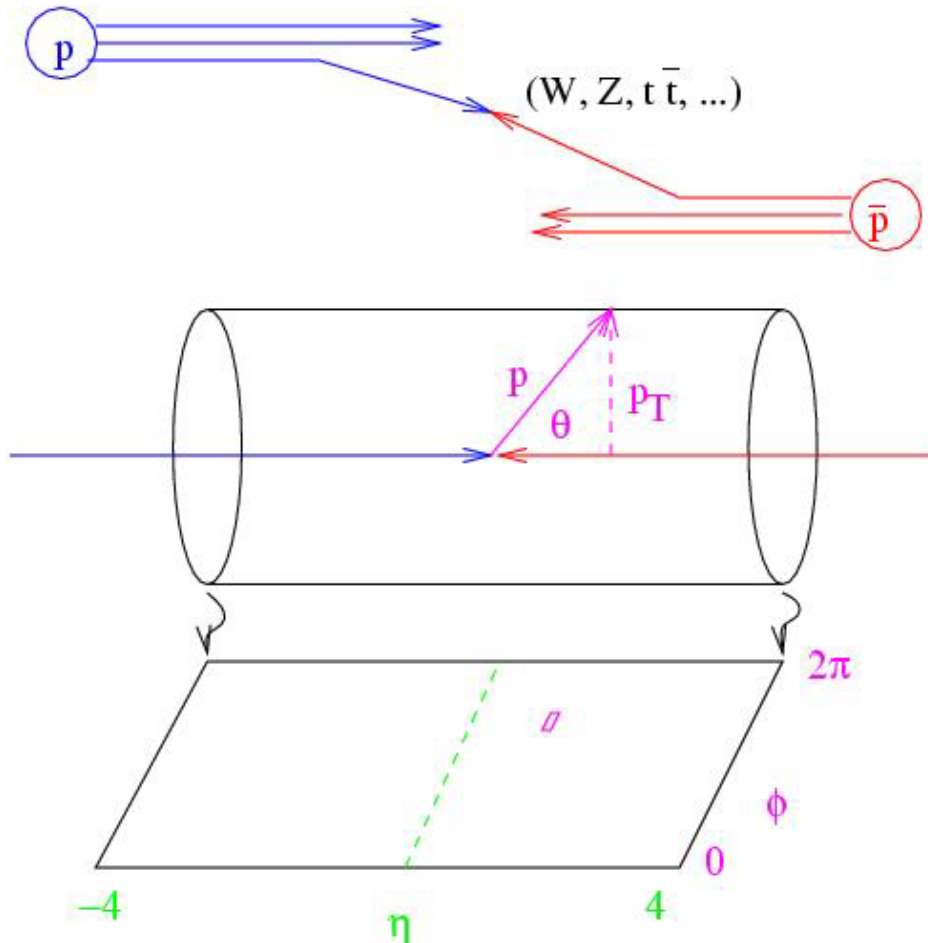


# Searches for top at hadron colliders

- We know now that  $m_t \approx 175$  GeV
  - Question: Why wasn't top discovered at the SppS collider, where  $\sqrt{s} = 630$  GeV?
- We know that top was eventually discovered in 1995 at the Tevatron with  $\sqrt{s} = 1800$  GeV. The Tevatron began running at this energy in 1987.
  - Question: Why did it take so long to find top at the Tevatron?
- The answers have to do with
  - parton distributions
  - backgrounds
  - statistics



# Coordinate system



Beam axis :  $z$

Azimuth:  $\phi$

Rapidity:

$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right)$$

pseudorapidity:

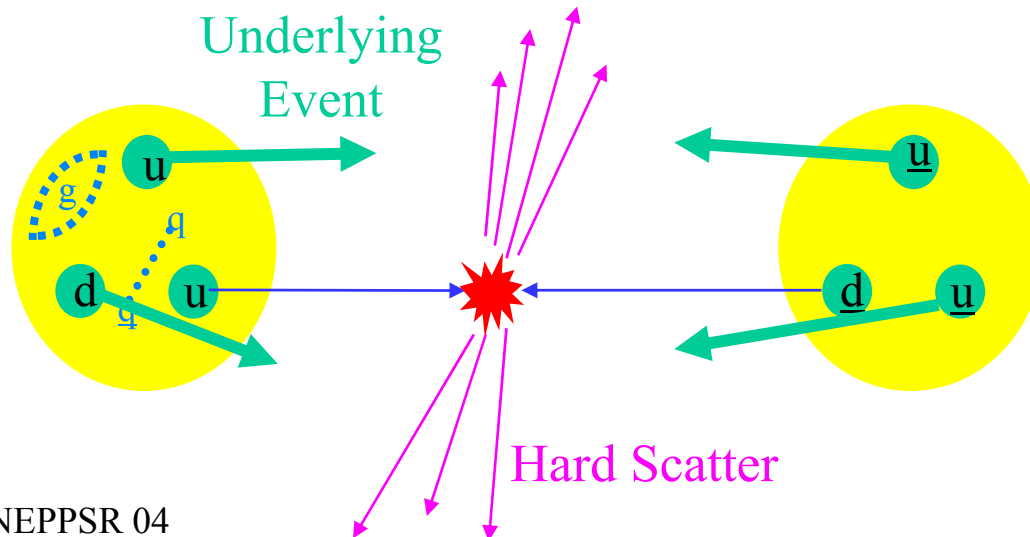
$$\eta = -\ln(\tan(\theta/2)) \approx y$$

Transverse momentum:

$$p_T = p \sin \theta = \sqrt{p_x^2 + p_y^2}$$

# Hadron collisions: experimental consequences

- Energy involved in “hard scatter” is less (typically <10%) than the full proton-antiproton center-of-mass energy
- “hard scatter” system is generally
  - Not at rest along the beam direction  $\sum p_z \neq 0$
  - Nearly at rest transverse to the beam direction  $\sum \vec{p}_T \approx 0$
- Additional particles & energy are present from the “underlying event”

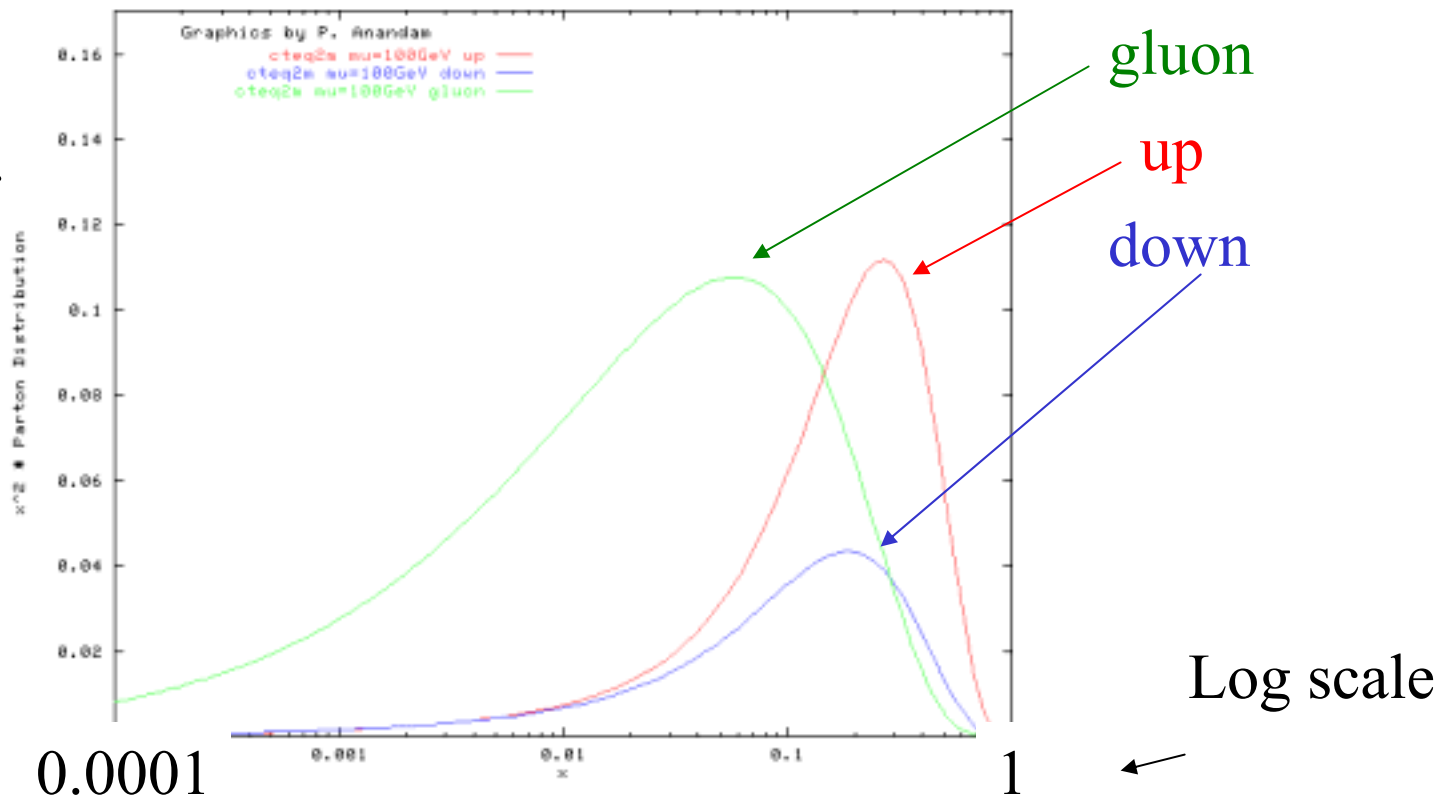


# Parton distribution functions

- Probability of finding a parton (quark or gluon) with a fraction  $x$  of the (anti)proton's momentum is given by the pdf,  $f(x, Q^2)$  for a momentum scale  $Q$

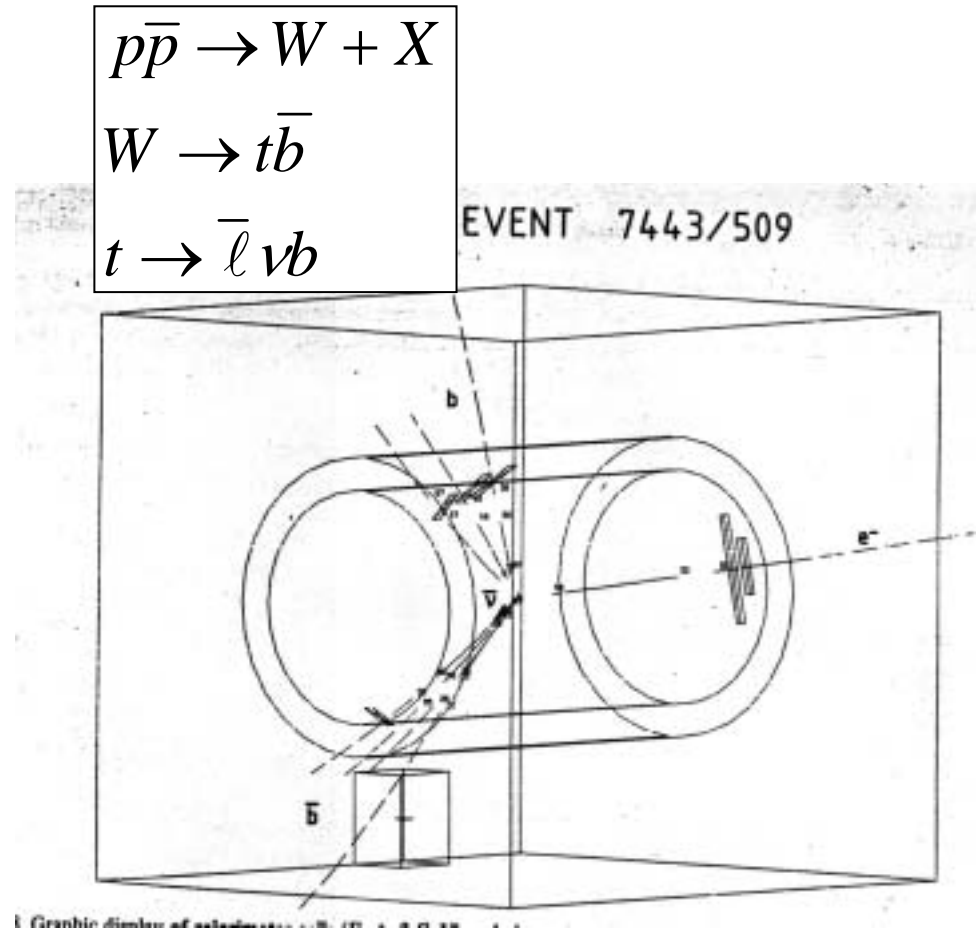
$x^2 * \text{pdf}$

(on a log scale area under  $x^2 * \text{pdf}$  shows momentum fraction )



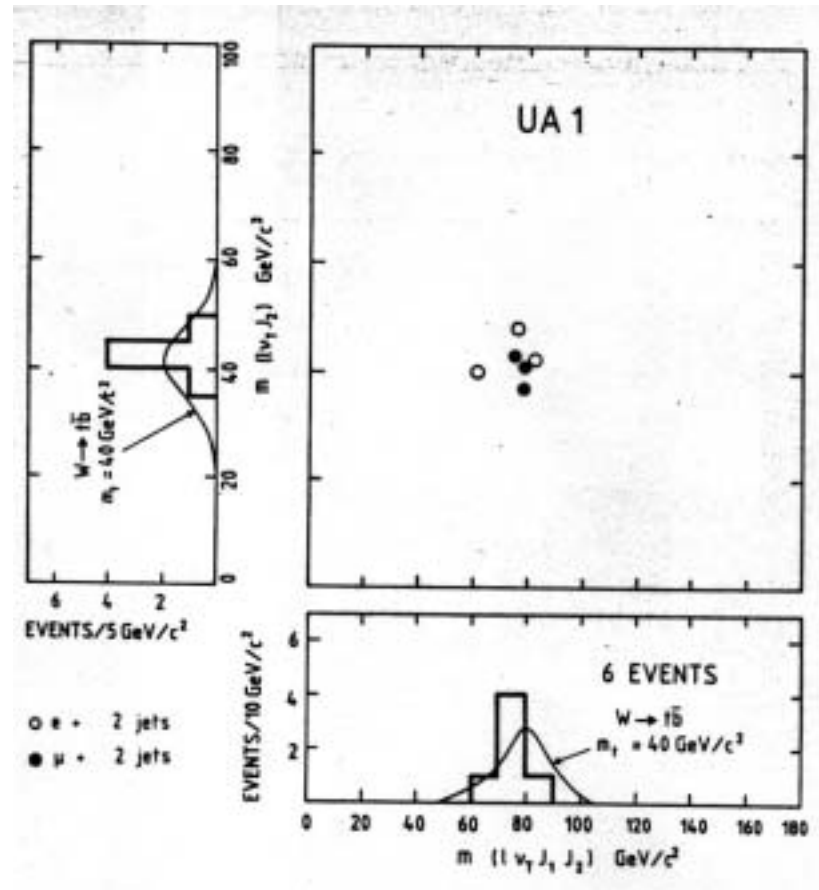
# UA1 & UA2 top searches

- SppS, proton-antiproton collider,  $\sqrt{s}=630$  GeV
- Searches concentrated on top lighter than  $M_w - M_b$ :
- Final state signature
  - one isolated lepton of moderately-high transverse momentum
  - missing transverse energy (from the neutrino)
  - two jets (from b and  $\bar{b}$ )



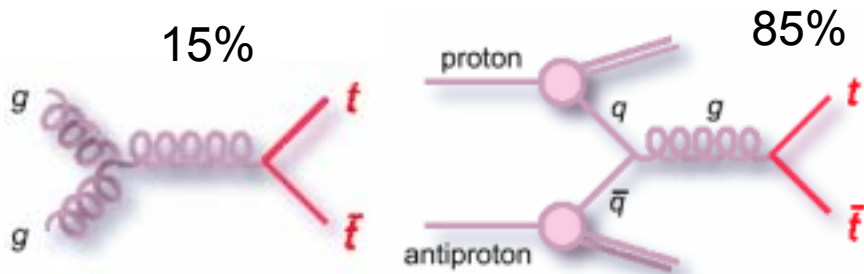
# UA1 & UA2 top searches (cont.)

- 1984: Publication by UA1 of excess of events attributable to a top quark with a mass in the range 30-50 GeV (PL 147B, 493)
- UA1 claim later retracted with analysis of more data and better understanding of backgrounds (J/ $\psi$ , Y, bb and cc)
- Final limits from the SppS:
  - UA1:  $m_t > 60$  GeV
  - UA2:  $m_t > 69$  GeV



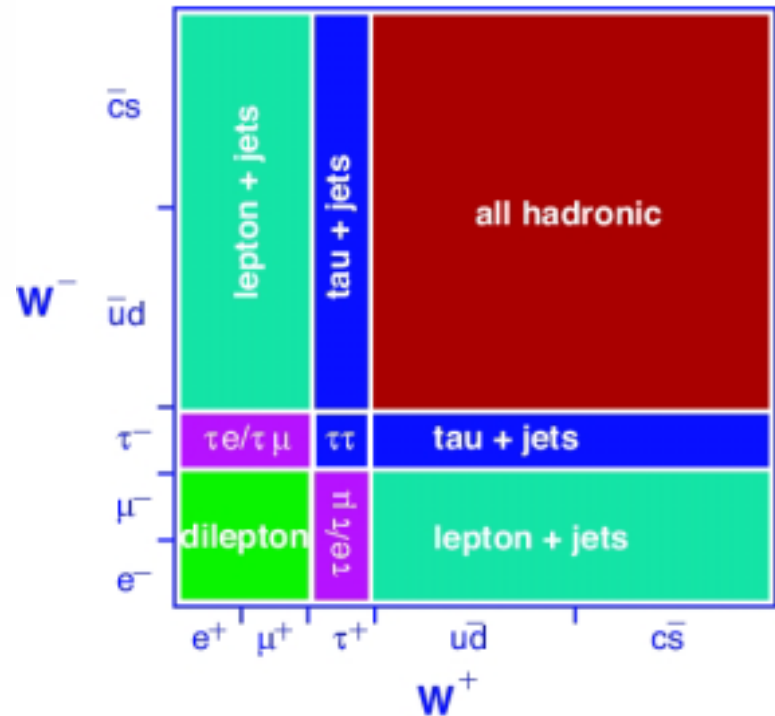
# top production at the Tevatron

- In proton anti-proton collisions at Tevatron energies, top quarks are primarily **produced in pairs via strong interactions**

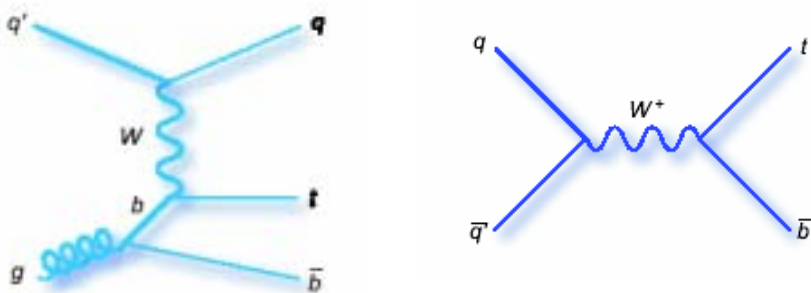


- $\text{Br}(t \rightarrow Wb) = 100\%$

$t\bar{t}$  decay modes



- **EW single top production:** not yet observed



t-channel, s-channel

# Typical production rates for p-p at 2 TeV

Final state	Cross section (pb)	Rate at $L=10^{32} \text{ cm}^{-2}\text{s}^{-1}$
“minimum bias”	$4 \times 10^{10}$	4 MHz
2 jets	$4 \times 10^6$	400 Hz
4 jets	$1.6 \times 10^5$	16 Hz
6 jets	6000	0.6 Hz
W	30000	3 Hz
Z	9000	0.9 Hz
WZ	3.5	$3.5 \times 10^{-4}$ Hz (1.3/hour)
<b>t tbar</b>	<b>7.5</b>	<b><math>7.5 \times 10^{-4}</math> Hz (3/hour)</b>

# Hadron Collider Detectors

- Important features to keep in mind
  - Collisions take place at the (approximate) center of the detectors
  - Detectors must have apertures in the forward and backward directions for the beams to enter and exit
  - Detectors try to measure momentum and or energy of particles produced in collision



CDF

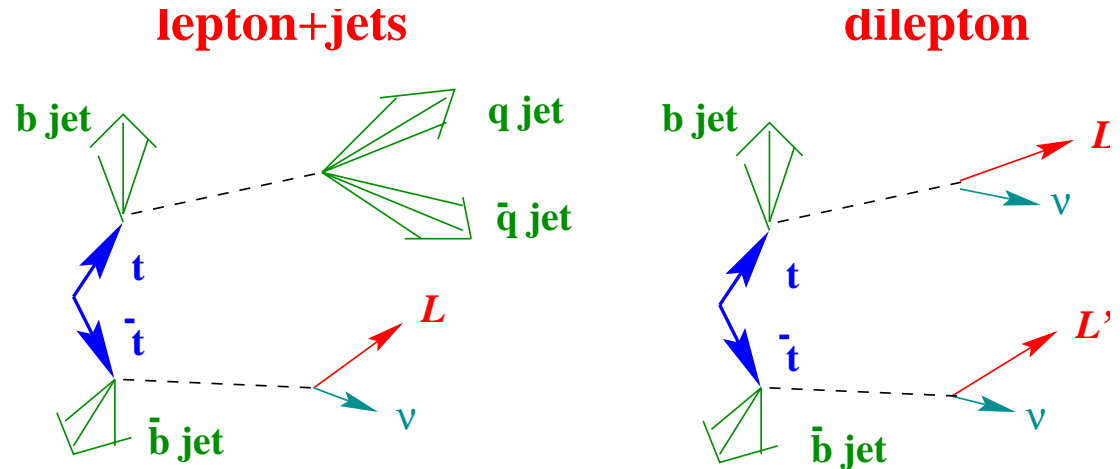


DØ

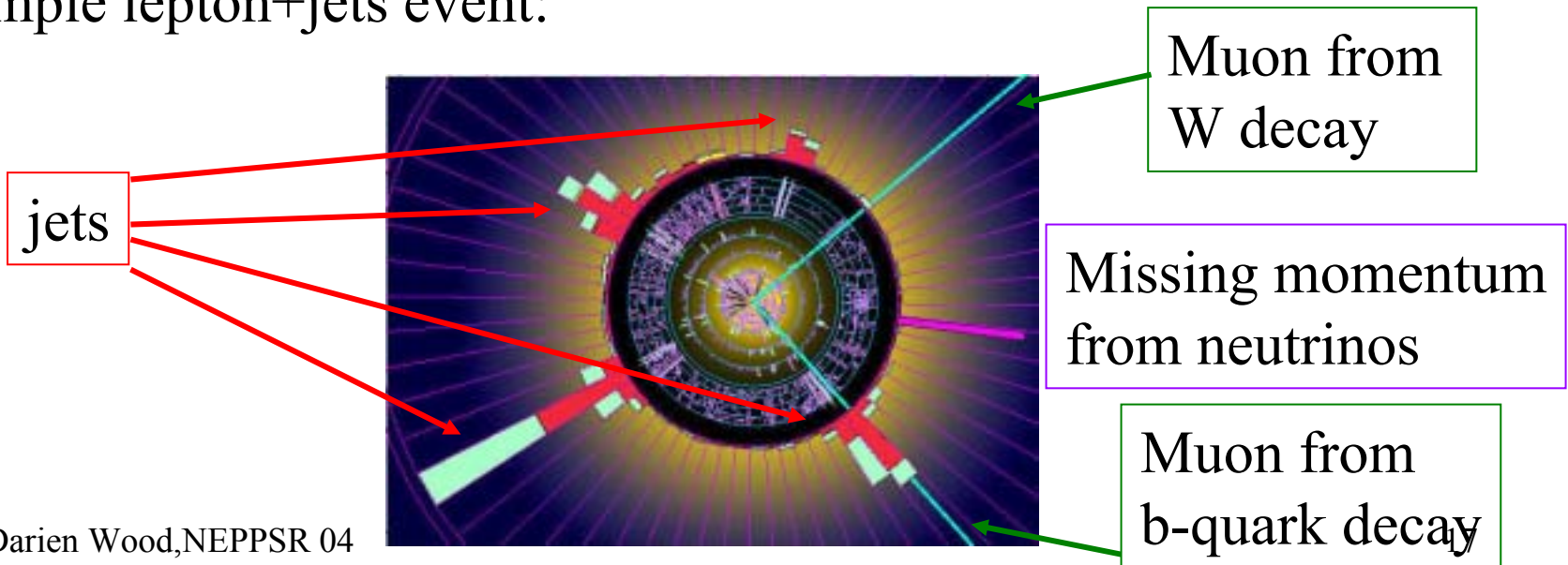


# Top quark event signatures

Two most used channels:

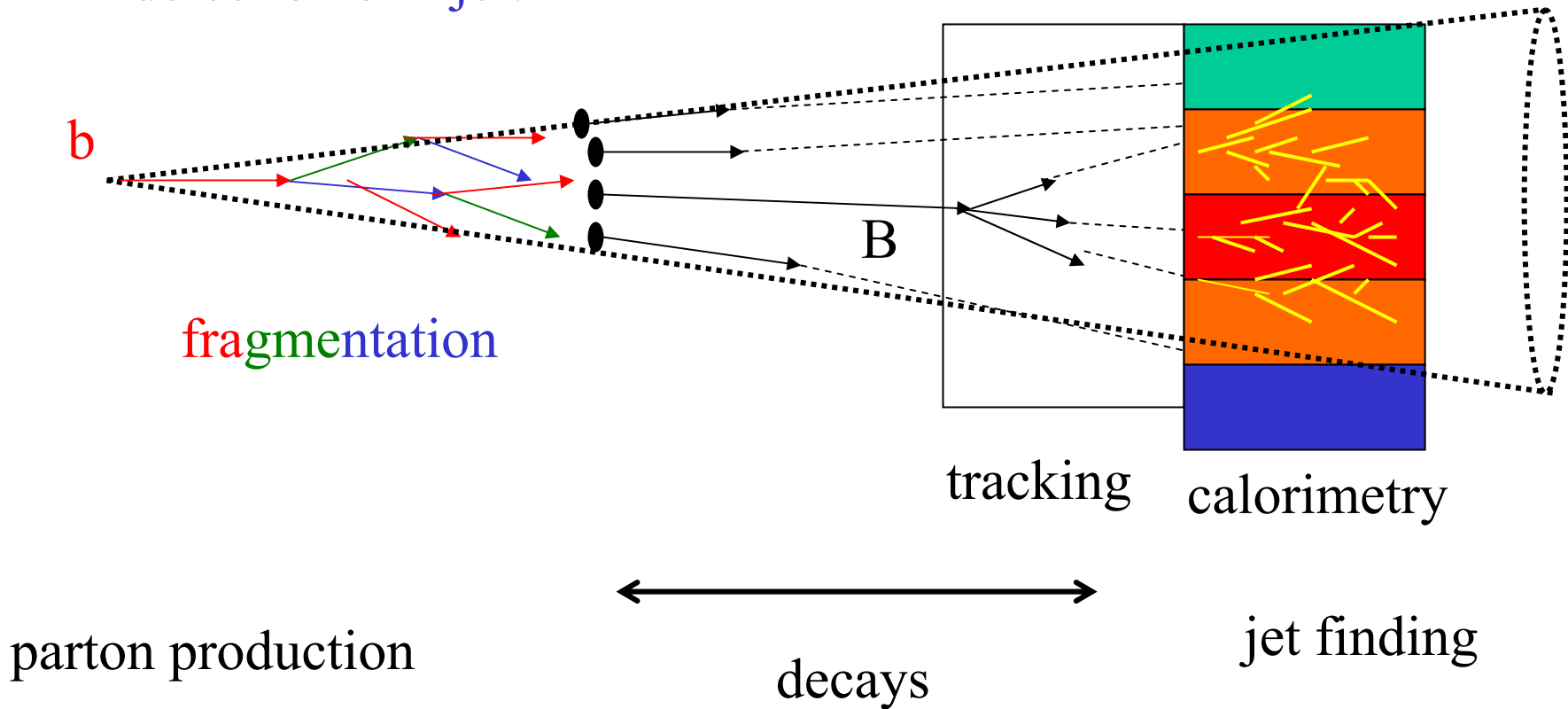


Example lepton+jets event:



# b-quark jets

- Recall the steps between production of a quark and detection of a jet:



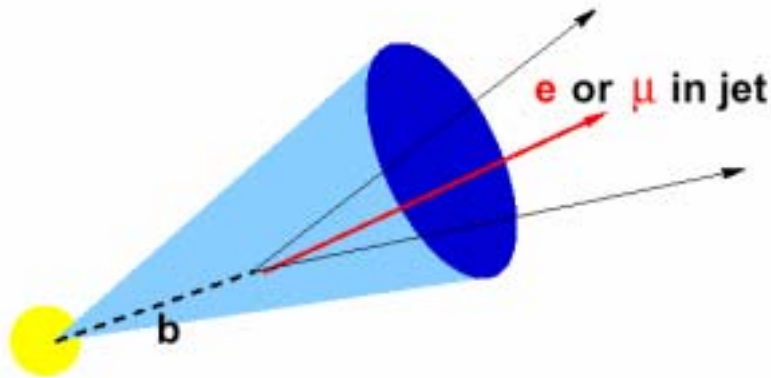
# Identifying b-jets (“tagging”)

**Tagged analyses:** higher purity, loss in efficiency

## Soft Lepton tagging

Exploits the  $b$ -quarks semi-leptonic decays:

- These leptons have a softer  $p_T$  spectrum than leptons from  $W/Z$
- are not isolated

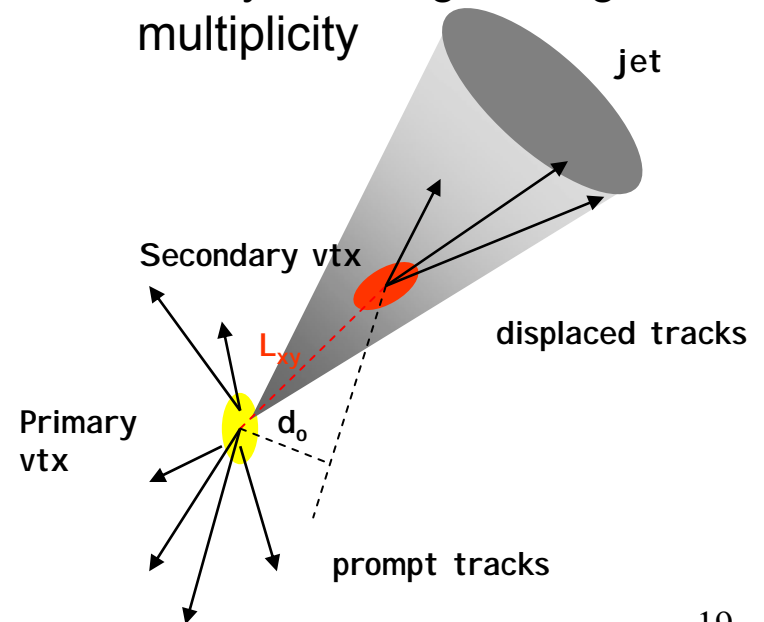


- $b \rightarrow l\nu c$  (BR  $\sim 20\%$ )
- $b \rightarrow c \rightarrow l\nu s$  (BR  $\sim 20\%$ )

## Lifetime tagging

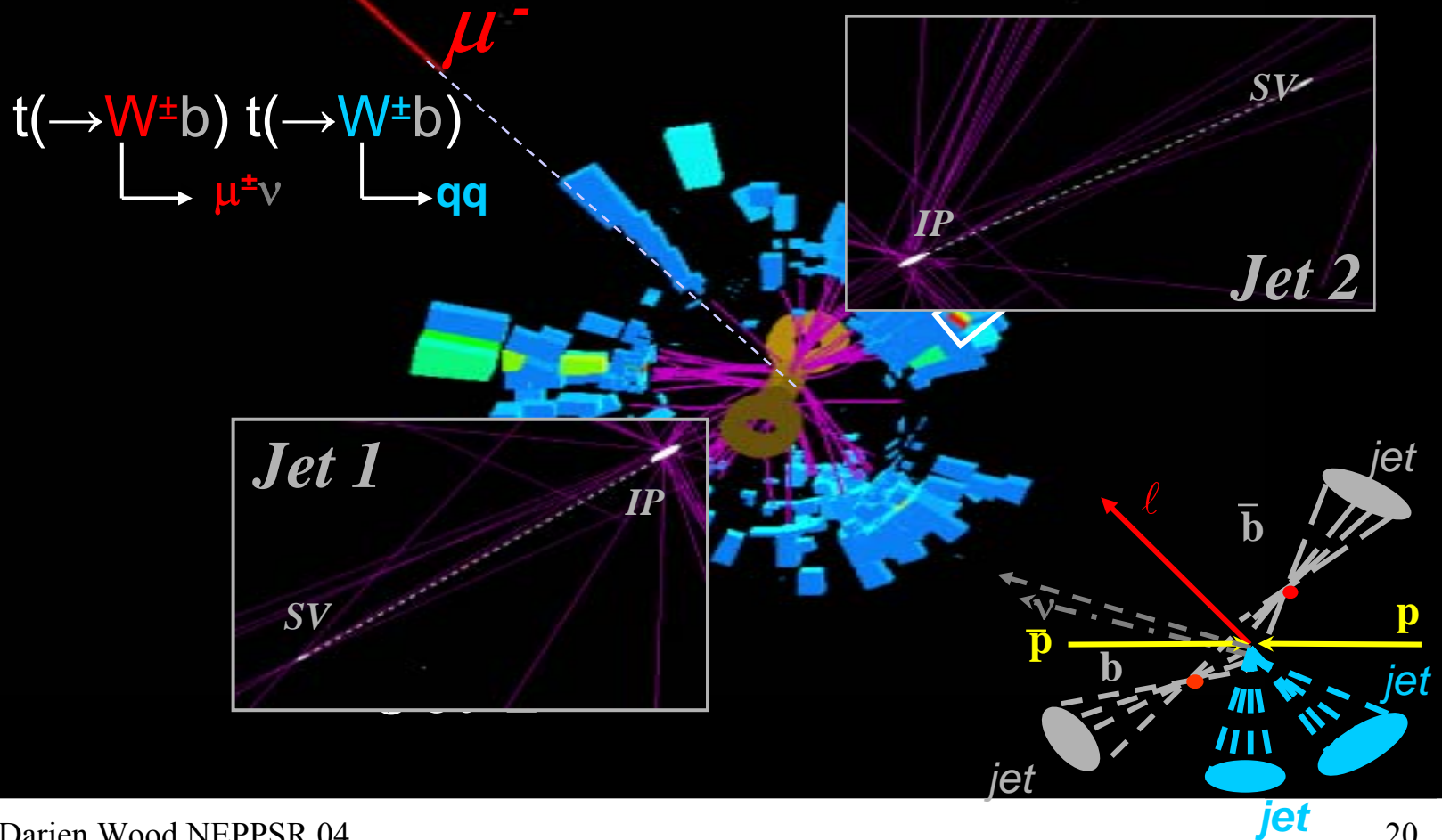
Signature of a  $b$ -decay is a displaced vertex:

- Long lifetime of b-hadrons ( $c\tau \sim 450\mu\text{m}$ )+boost
- B hadrons travel  $L_{xy} \sim 3\text{mm}$  before decay with large charge track multiplicity



# t-tbar candidate event with b-tags

Other processes will also mimic this topology  $\Rightarrow$  backgrounds



# Decaying particles: examples

Particles	Lifetime	$c\tau$	Lifetime signature
W,Z,top	$<10^{-23}$ s	$\sim 0$	Decay immediately
$\pi^0(\rightarrow\gamma\gamma)$	$8 \times 10^{-16}$ s	25 nm	Decay length undetectable
$\tau$	$2.9 \times 10^{-13}$ s	87 $\mu\text{m}$	Inside beam pipe; hard even with SMT
$D^0/D^\pm/D_s$	$0.4\text{-}1.0 \times 10^{-12}$ s	150-350 $\mu\text{m}$	Inside beam pipe; possible w/ SMT
$B^0/B^\pm/B_s/b$ -baryon	$\sim 1.5 \times 10^{-12}$ s	450 $\mu\text{m}$	Inside beam pipe; possible w/ SMT
$K_s^0(\rightarrow\pi\pi)$	$0.8 \times 10^{-10}$ s	2.7 cm	decays in outer tracking chamber
$K^\pm, \pi^\pm, \mu^\pm$	$>10^{-8}$ s	$>3$ m	reach cal without decaying

# Tevatron top physics: pre-discovery

- Mass limits from Tevatron:

Year	Experiment	limit on $m_t$
1990	CDF	77 GeV
1992	CDF	91 GeV
1994	CDF	118 GeV
1995	DØ	131 GeV

- 1994 statistical excesses (CDF evidence publication)

Experiment	Observed events	expected (non-top) background	stat. significance
CDF	12	6.0	$2.8\sigma$
DØ	9	3.8	$1.9\sigma$

# 1995 – Observation of the top quark

- March 2, 2005 – presentations at Fermilab
- Articles from DØ and CDF published in same issue of Physical Review Letters:
  - PRL 74, 2632 (1995).
  - PRL 75, 2662 (1995).
- Headlines around the world
- Social hour activity: ask faculty when and how they were first convinced that the top quark had been found
  - many people here at NEPPSR who were in the middle of it all in 1995



# March '95: top counting

- Statistical excess over non-top background:

– CDF:

prob of bkg fluctuation:  
 $1 \times 10^{-6}$

channel	SVX-tagged	SLT-tagged	Dilepton
observed	27 tags	23 tags	6 events
expected bkg	$6.7 \pm 2.1$	$15.4 \pm 2.0$	$1.3 \pm 0.3$

– DØ

prob of bkg fluctuation:  
 $2 \times 10^{-6}$

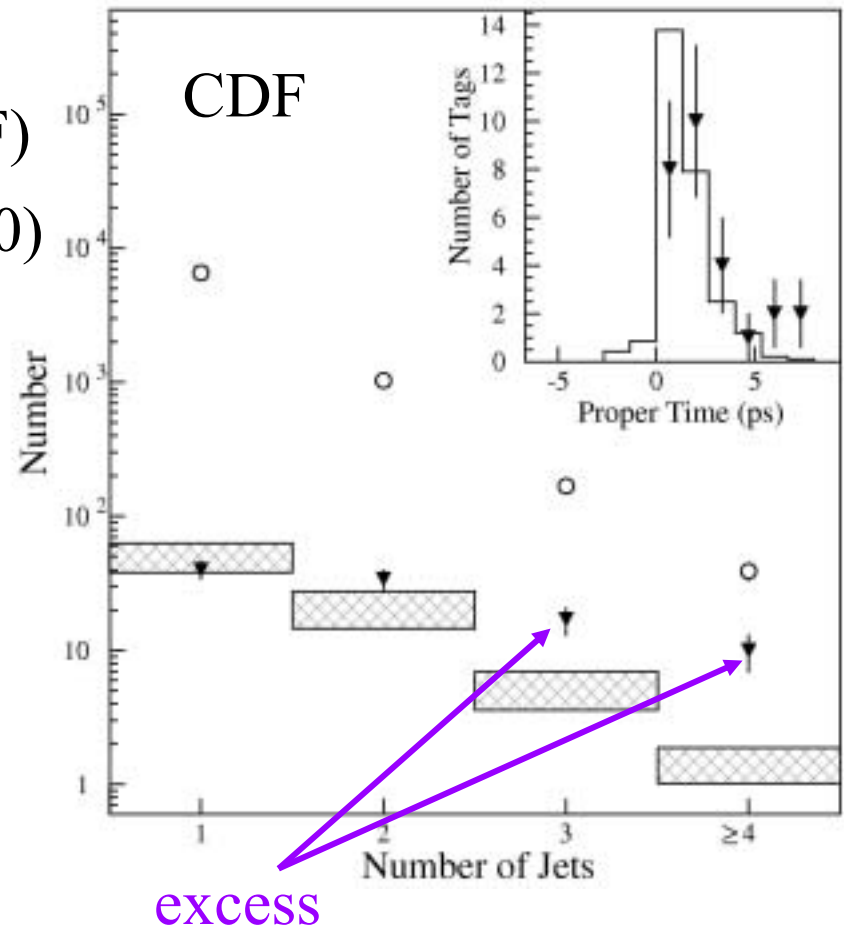
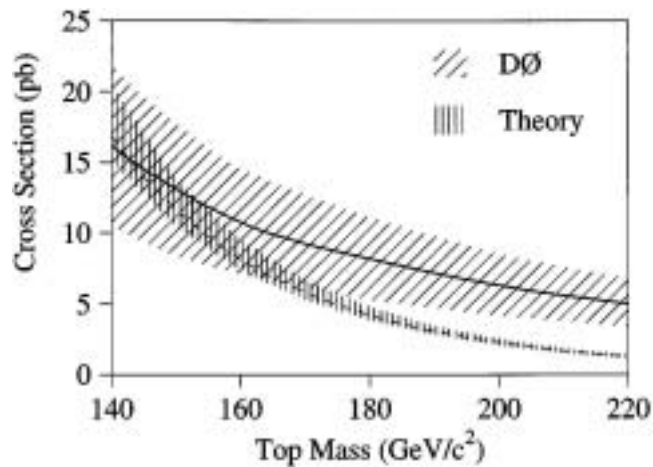
channel (exclus.)	untagged l+jets	SLT-tagged	Dilepton	Total
observed	8 events	6 events	3 events	17 events
expected bkg	0.65	1.2	1.9	$3.8 \pm 0.6$



# March '95: top cross section

$$\sigma(p\bar{p} \rightarrow t\bar{t} + X) = 6.8^{+3.6}_{-2.4} \text{pb(CDF)}$$

$$\sigma(p\bar{p} \rightarrow t\bar{t} + X) = 6.4 \pm 2.2 \text{pb(D0)}$$

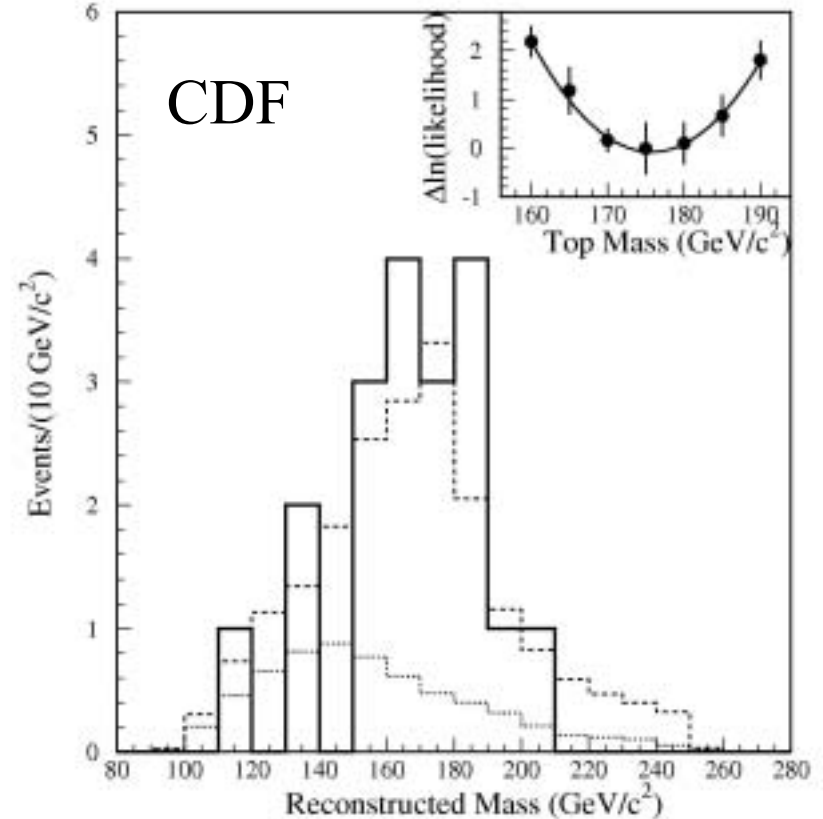
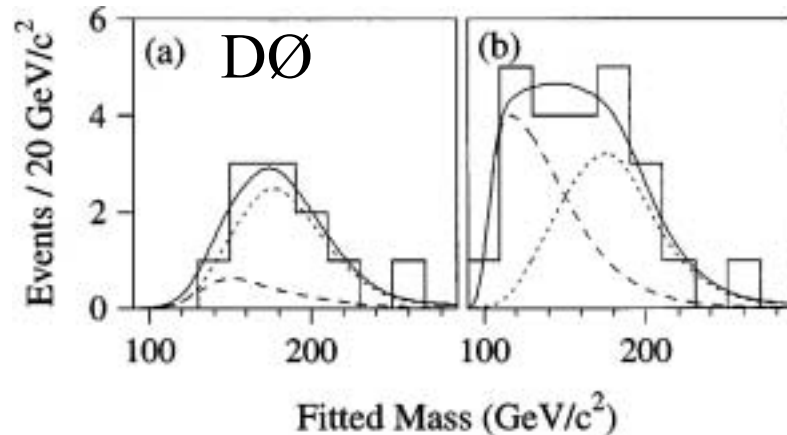


# March '95: top mass

Lepton+jets channel only used in mass

$$m_t = 176 \pm 8(\text{stat.}) \pm 10(\text{sys.}) \text{ GeV [CDF]}$$

$$m_t = 199_{-21}^{+19}(\text{stat.}) \pm 22(\text{sys.}) \text{ GeV [D0]}$$



# Top Quark Physics: beyond discovery

**Discovery:**  $\sim 50 \text{ pb}^{-1}$

- cross section
- mass

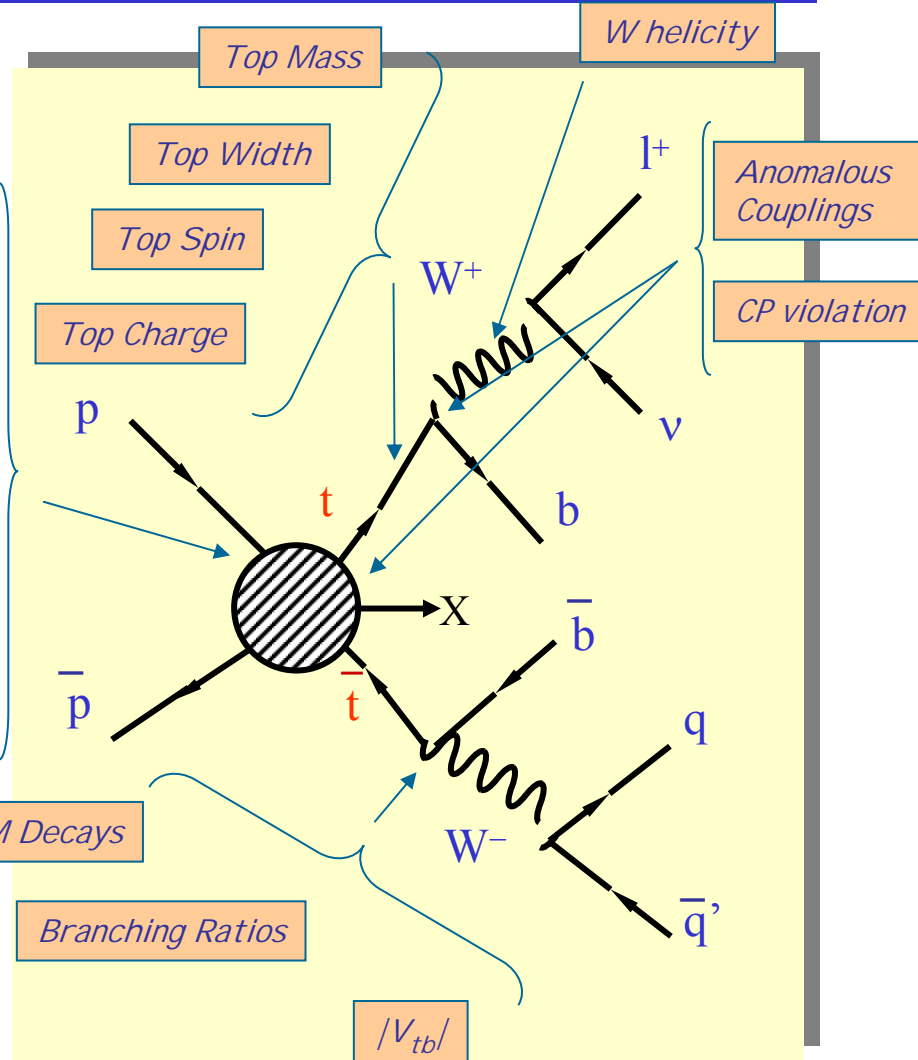
Production cross-section

Resonance production

Production kinematics

Top Spin Polarization

Rare/non SM Decays

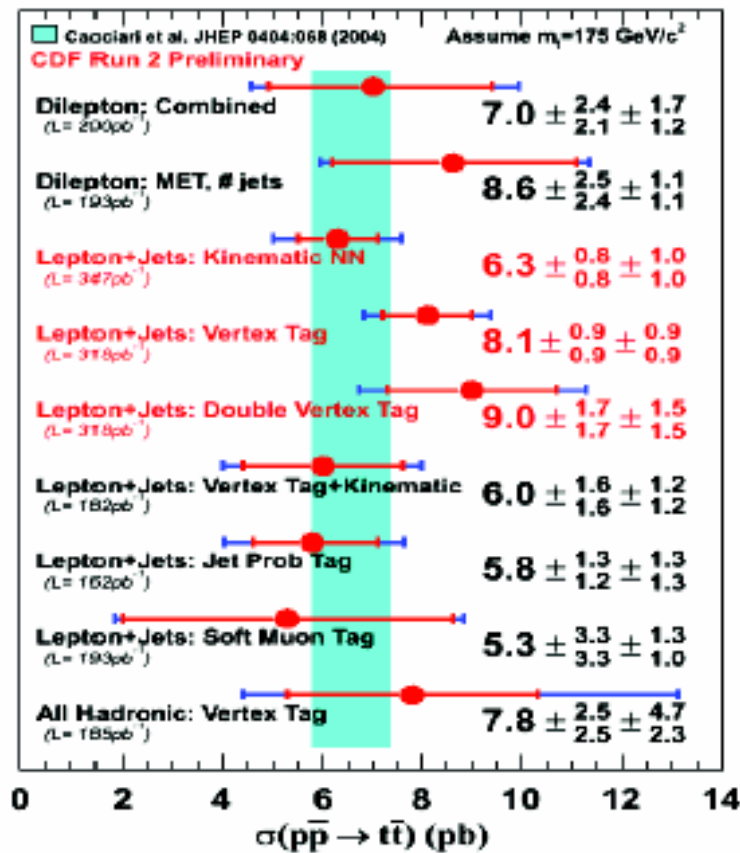


**Run I + Run II:**  $\sim 1 \text{ fb}^{-1}$  so far

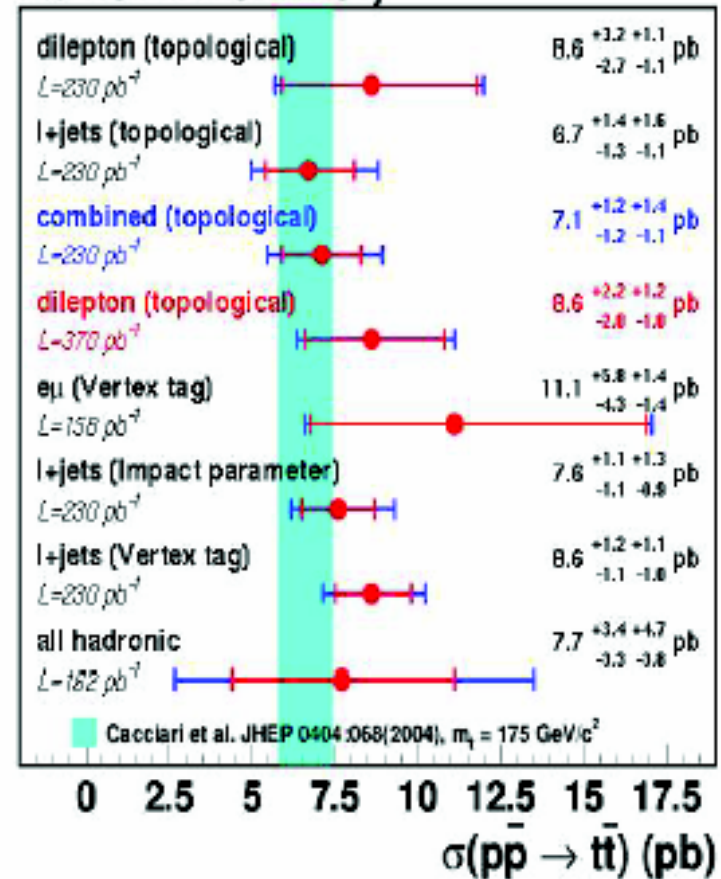
- higher precision
- greater sensitivity to rare processes

- Why is top so heavy ?
- Is it or the third generation special ?
- Is top involved with EWSB ?
- Is it connected to **new physics** ?

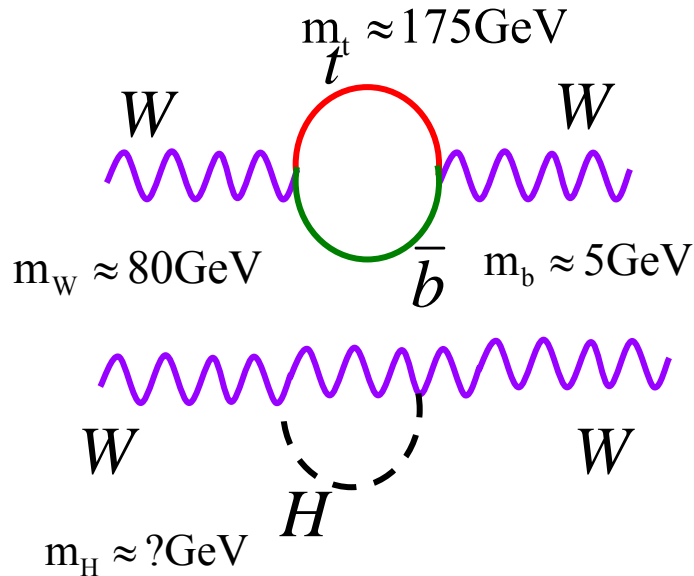
# Cross section



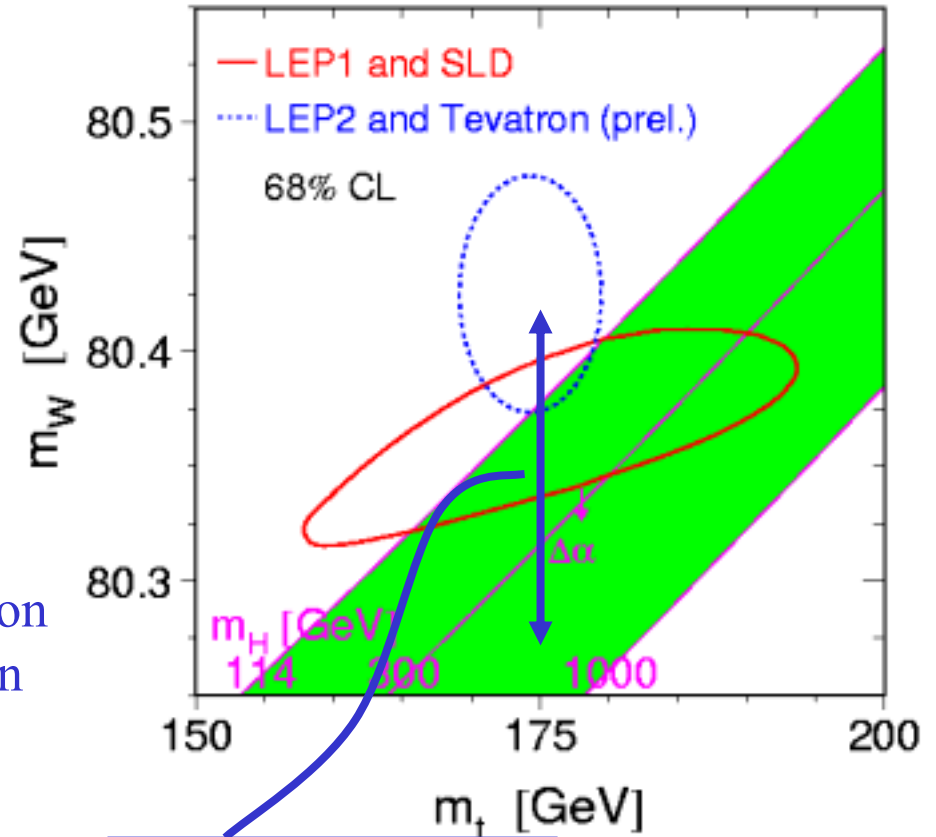
DØ Run II Preliminary



# Virtual effects: one reason why $m_t$ is so interesting



- W mass has quadratic dependence on top mass, logarithmic dependence on Higgs mass
- top mass is essential in
  - predicting Higgs mass
  - tests SM if Higgs is found

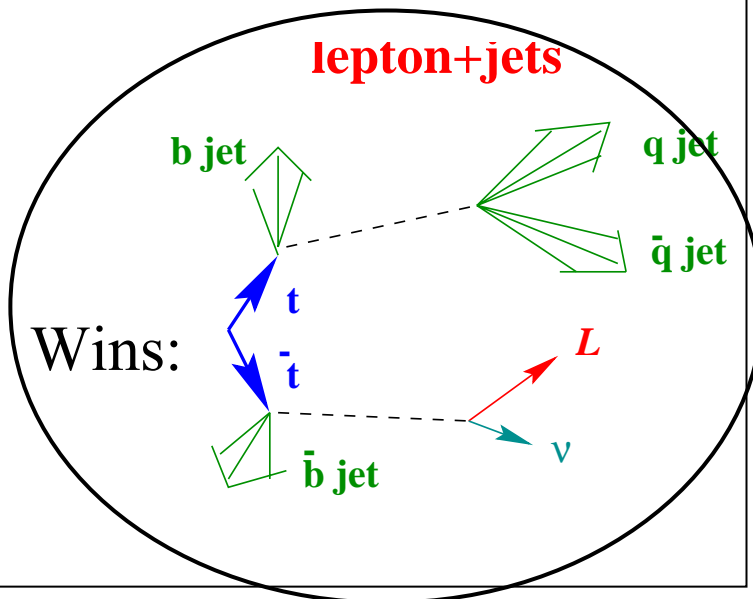


Mass shift from virtual Higgs effects (?)

# Top quark mass measurement

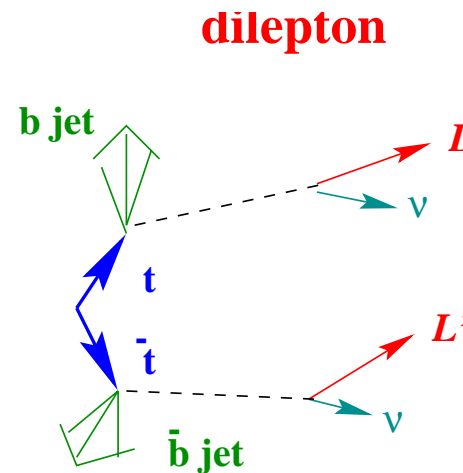
- advantages

- 2<sup>nd</sup> largest branching ratio
- only one neutrino



- advantages

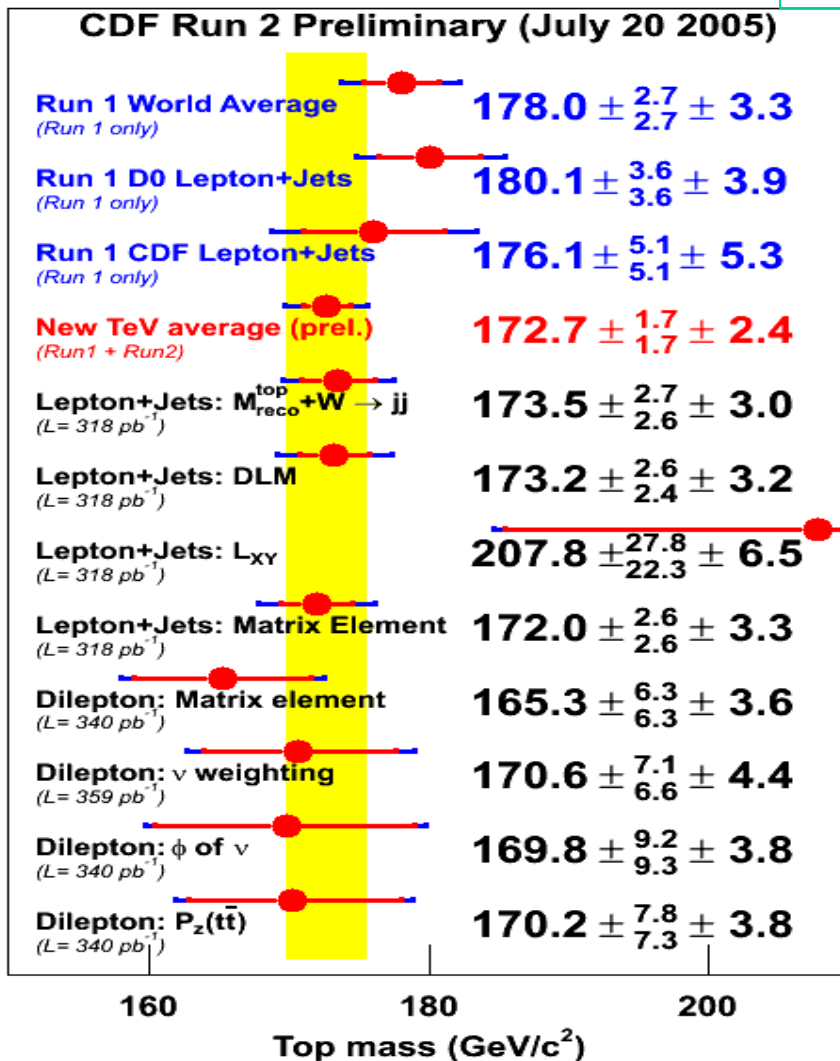
- low background
- better energy resolution for leptons than for jets



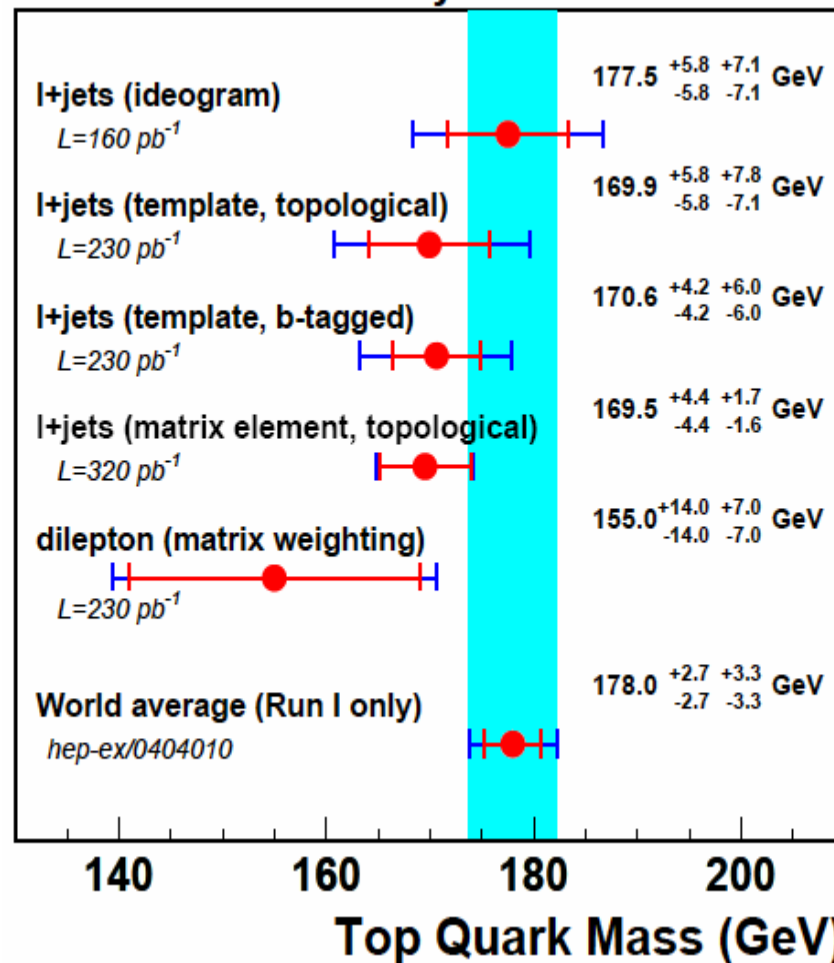
# Summary of $m_t$ Measurements

1995 world ave.

$180 \pm 12$



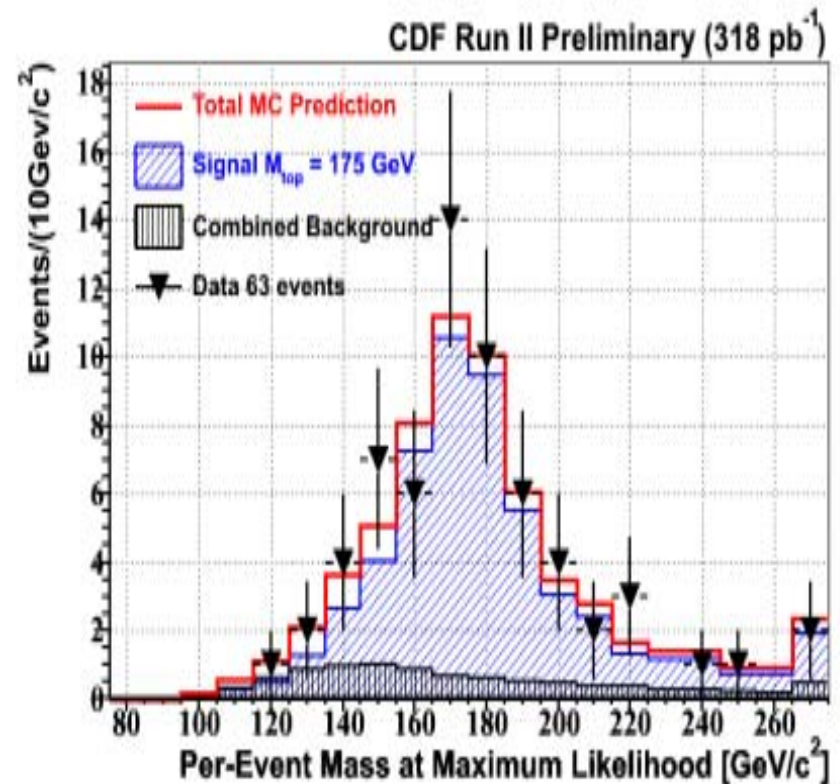
## DØ Run II Preliminary





# Improvements in top mass since discovery

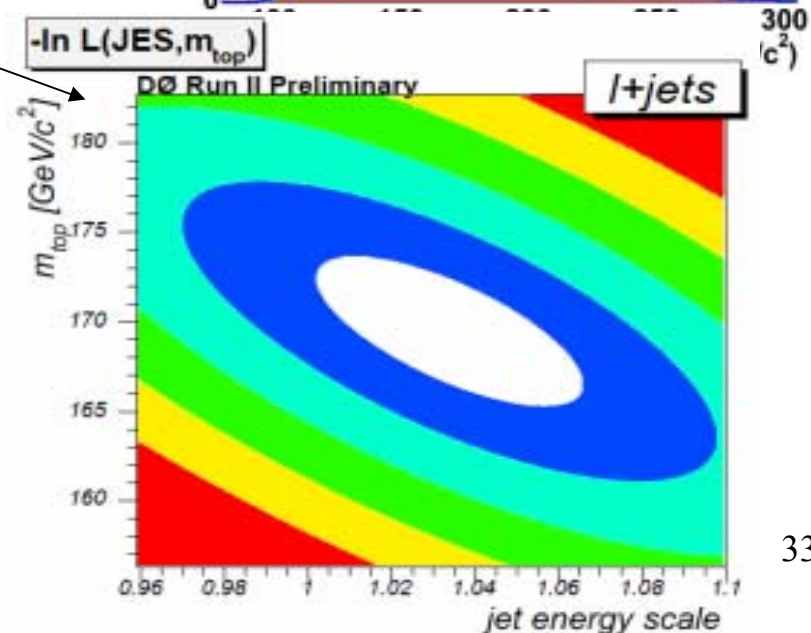
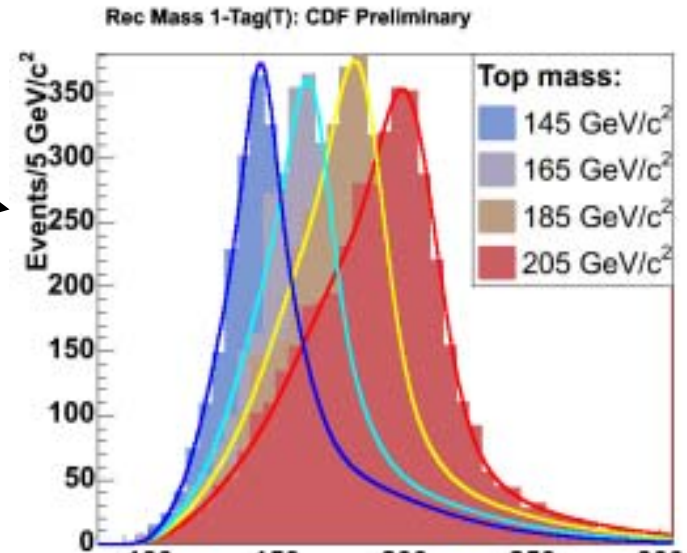
- statistics:
  - $\sim 50\text{-}70$  pb at discovery,  $\sim 300$   $\text{pb}^{-1}$  used in latest results
  - samples up to  $\sim 200$  events used in mass fits
- reduced backgrounds (in some cases)
- more channels
  - dilepton (need special treatment because of two neutrinos)
  - all jets
- more advanced methods to extract mass





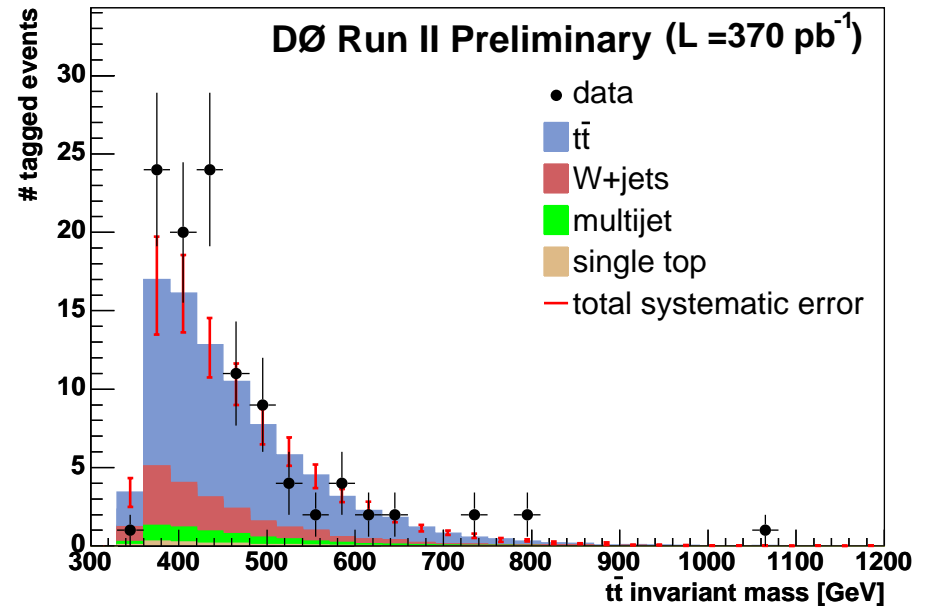
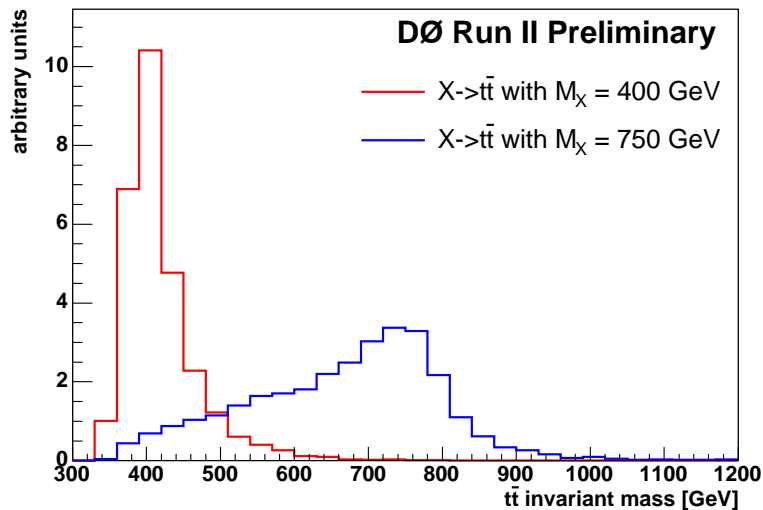
# top mass extraction methods (partial list)

- “template” fits (used in 1995)
  - one mass per event determined by kinematic fit
- “neutrino weighting” in dileptons
- matrix element, dynamical likelihood
  - events get different weights at different top masses according to quality of agreement with SM top production/decay matrix element
  - concurrent fit to jet energy scale calibration from  $W \rightarrow qq$
- ideogram
  - each event gives a distribution of top masses
- $L_{xy}$ 
  - lifetime measurement of b is used to infer boost which



# Invariant mass of tt system

- calculate after constraining both t and t systems to 175 GeV each
- test of QCD prediction of tt production
- search for new physics:  $X \rightarrow t\bar{t}$  would produce at peak at  $m_X$

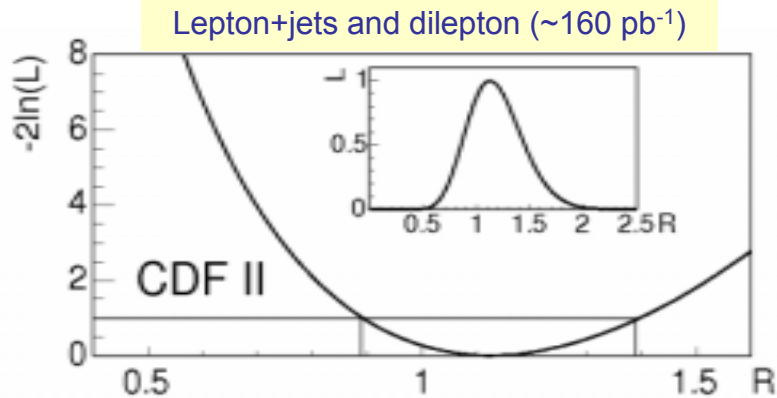


$m_X > 680$  GeV for Z-like couplings

# Measurement of $B(t \rightarrow Wb)/B(t \rightarrow Wq)$

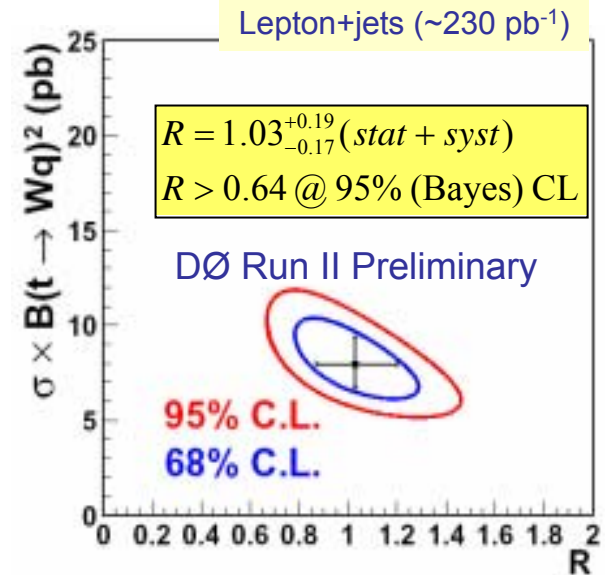
$$R = \frac{B(t \rightarrow Wb)}{B(t \rightarrow Wq)} = \frac{|V_{tb}|^2}{|V_{ts}|^2 + |V_{td}|^2 + |V_{tb}|^2} = |V_{tb}|^2 \sim 0.998 \quad \leftarrow \text{In the SM}$$

Basic method: compare the number of  $t\bar{t}$  events with 0, 1, and 2 b-tags to extract R



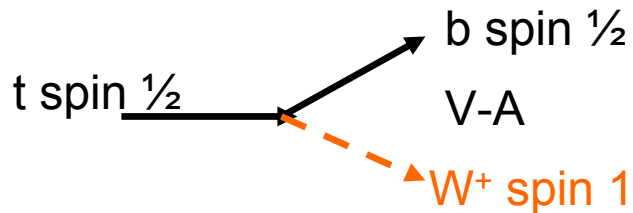
$$R = 1.12^{+0.27}_{-0.23} (\text{stat} + \text{syst})$$

$$R > 0.61 @ 95\% (\text{F \& C}) \text{CL}$$



# Electroweak interactions of top (1): W helicity

➤ Within the SM, Top has a V-A charge current weak decay:



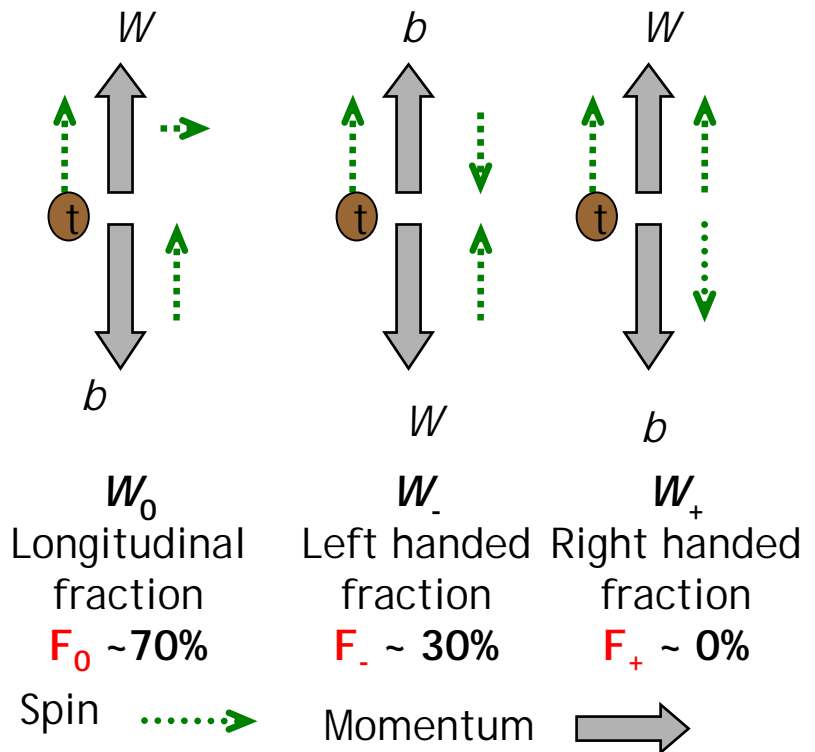
$$F_0 = \frac{1}{1+2\omega} \approx 0.7$$

$$F_- = \frac{2\omega}{1+2\omega} \approx 0.3$$

$$F_+ = 0$$

where  $\omega = M_W^2/M_{\text{top}}^2$

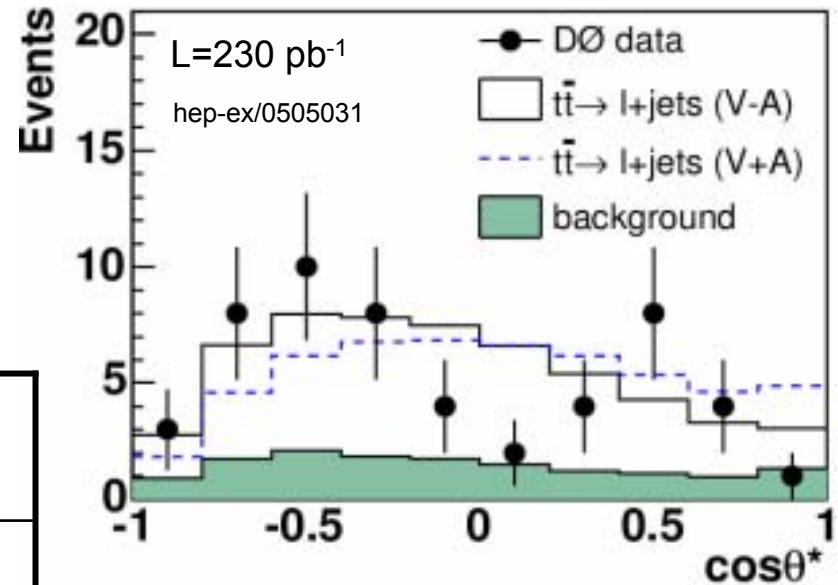
## W helicity



# W helicity extraction

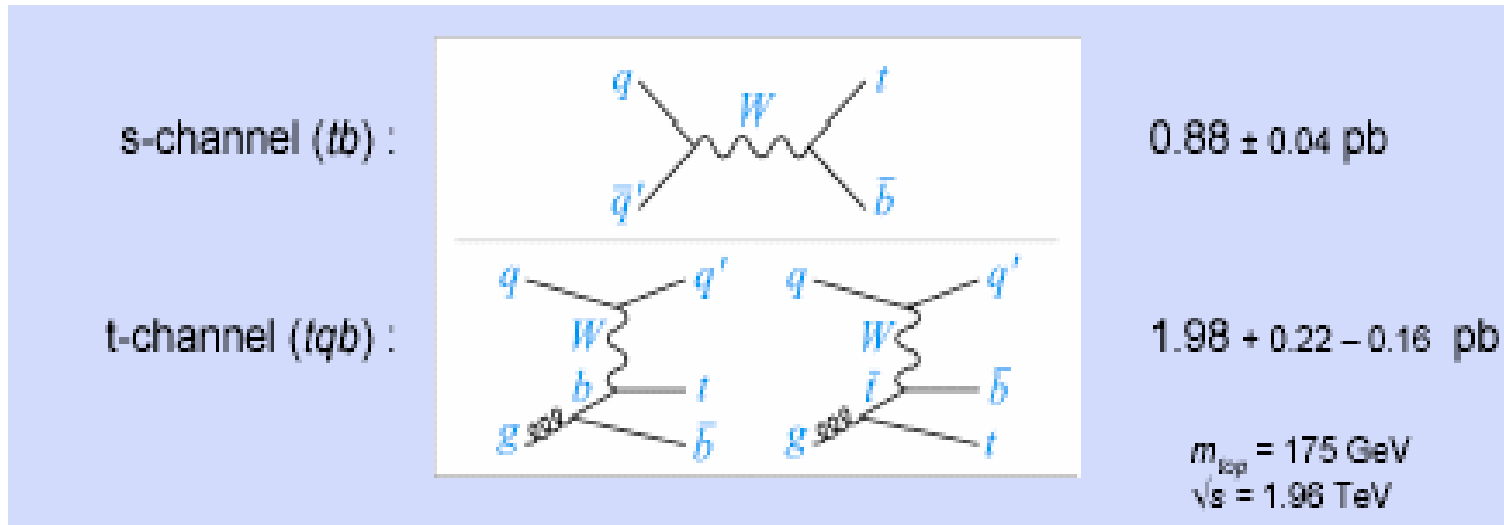
- Fit to distributions of
  - lepton  $p_T$  (softer for left-handed W's)
  - angle between lepton and top directions in W rest frame
- Samples
  - lepton + jets
  - dileptons

example:



result / prediction	$F_0$	$F_+$
SM	0.7	0
$D\emptyset$	$0.56 \pm 0.31$	$< 0.25$
CDF	$0.27^{+0.31}_{-0.21}$	$< 0.18$

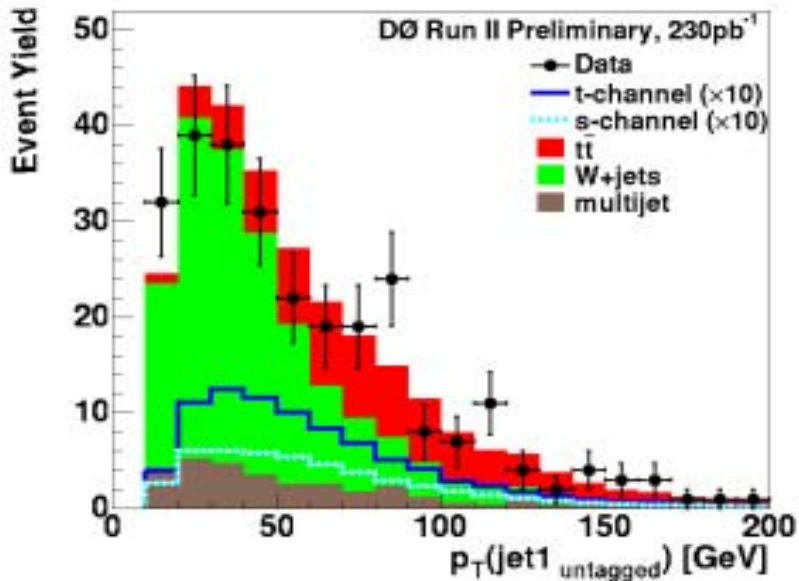
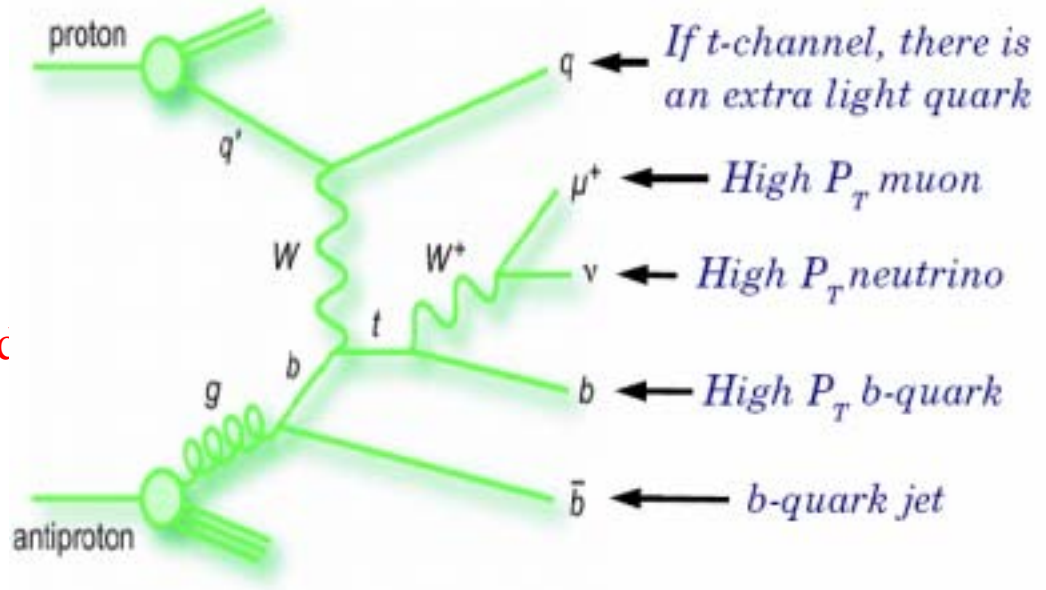
# Electroweak interactions (2): single top production



- rate measurement gives direct measurement of  $|V_{tb}|$  CKM element
  - $\sigma \propto |V_{tb}|^2$
- cross sections a few times smaller than for  $t\bar{t}$
- signals much harder to distinguish from backgrounds
  - $W + \geq 2 \text{ jets}$
  - $t\bar{t}$
  - $b\bar{b}$

# extracting single top

- signature
  - lepton
  - neutrino (missing  $E_T$ )
  - 2 b-jets (tagged)
  - characteristic kinematic and angular distributions



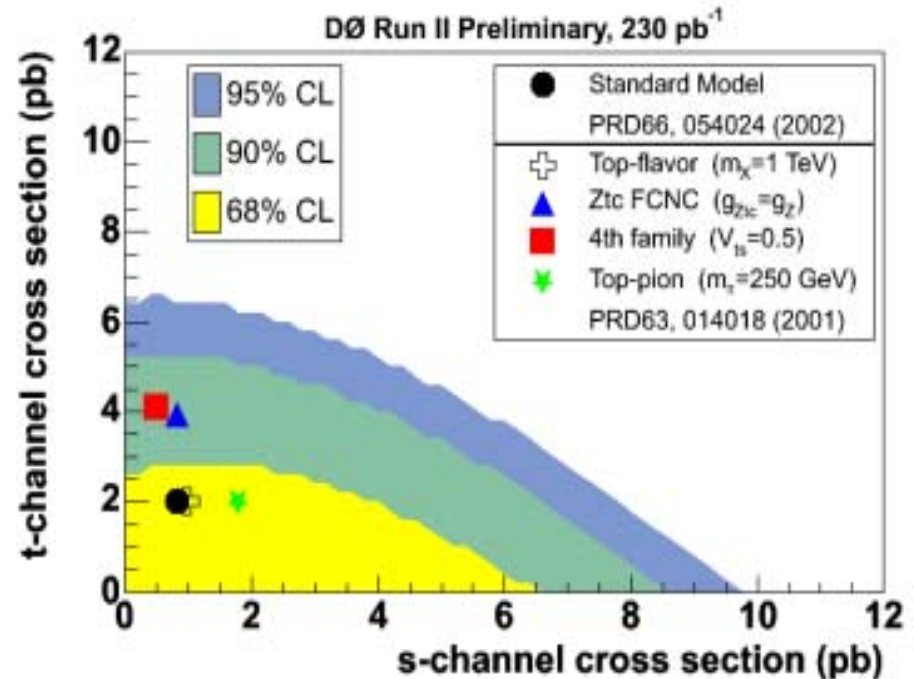
typically get weak separation for each variable  $\Rightarrow$  need to combine many variables

- cuts
- likelihoods
- neural nets
- decision trees

# single top limits

- no significant signal found yet for single top – limits set

analysis / prediction	s-channel xsec (pb)	t-channel xsec (pb)
CDF (RunII)	<14	<10 pb
DØ cuts	<11	<11
DØ decision tree	<8.3	<8.1
DØ Neural Net	<6.4	<5.0
DØ Likelihood	<5.0	<4.4
Standard Model	0.88	1.98



SM within reach in RunII



# top: the next 10 years

- Tevatron:
  - 4-8 fb<sup>-1</sup> expected in final data sample
    - more than 10 times the statistics of the analyses completed so far (~thousand events per sample)
  - expected top mass precision: ~2 GeV
  - expect to observe single top
  - look for surprises in top properties
- LHC
  - enormous rate of top production – top factory
  - assuming Higgs is discovered, look at top Yukawa coupling from ttH events
  - ...

# beyond 10 years

- International Linear Collider
  - for  $\sqrt{s} \approx 350$  GeV  $e^+e^-$  collider, could scan over top production threshold
    - just like the 70's
    - no toponium (why not?)
    - precise mass measurement from threshold scan
      - avoids theoretical uncertainties that limit the top mass precision at hadron colliders
    - ...

## A few review articles

- B. Carithers and P. Grannis, “Discovery of the Top Quark,” *SLAC Beam Line* **25**, No. 3, p. 4 (Fall 1995).
- C. Campagnari and M. Franklin, “The discovery of the top quark,” hep-ex/9608003 and *Rev. Mod. Phys.* **69**, 137-212 (1997).
- S.J.Wimpenny and B.L.Winer, “The Top Quark,” *Ann. Rev. Nucl. Part. Sci.* **46**, 149-195 (1996).
- P. Bhat, H. Prosper and S. Snyder, “Top Quark Physics at the Tevatron,” hep-ex/9809011 and *Int. J. Mod. Phys* **13**, 5113 (1998).
- M. Mangano and T. Trippe, “The Top Quark,” Particle Data book

# backups

# Phase space variables: eta, phi, and $p_T$

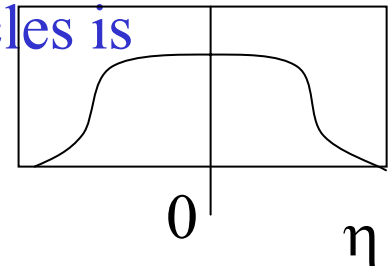
- Recall that the hard scatter system is generally in motion in the z-direction with respect to the laboratory frame
- Under a boost in the z-direction:
  - $p_T$  is invariant
  - $\phi$  is invariant
  - Rapidity itself is not invariant, but all differences in rapidity are invariant

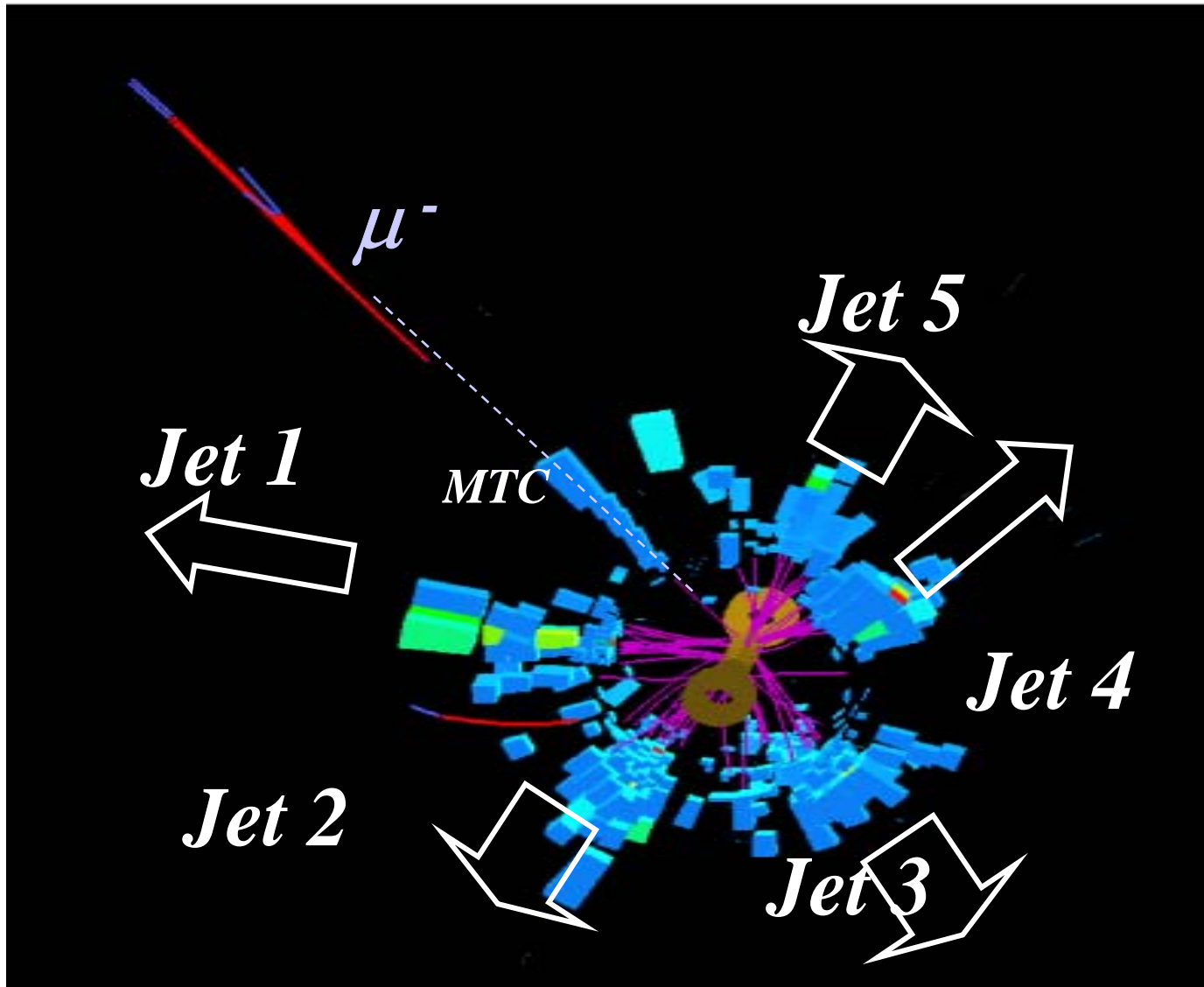
$$y \rightarrow y - \tanh^{-1} \beta$$

$$y_1 - y_2 \rightarrow y_1 - y_2$$

- At a given  $p_T$ , the expected density of particles is (approximately) uniform in eta and phi.

- In contrast to  $e^+e^-$ , where  $\cos\theta$  is flat





*$D\bar{D}$  top to  $\mu$ +jets Candidate Event*

# Technique #2: optimized matrix element weighting

Likelihood method using most available information

Measured  $\rightarrow$

$$P(x; \alpha) = \frac{1}{\sigma} \int d^n \sigma(y; \alpha) dq_1 dq_2 f(q_1) f(q_2) W(x, y)$$

to be estimated  $\rightarrow$

resolutions, reconstruction effects  $\rightarrow$

Matrix Element  $\rightarrow$

PDF's  $\rightarrow$

LO ME used, 4 jets required exclusively, additional cut on background probability (to improve purity)  $\rightarrow$  **22 events**

$$-\ln L(\alpha) = -\sum_{i=1}^N \left\{ \ln \left[ c_1 P_{\text{tt}}(x_i; \alpha) + c_2 P_{\text{bkg}}(x_i) \right] \right\}$$

$$+ N \int A(x) \left[ c_1 P_{\text{tt}}(x; \alpha) + c_2 P_{\text{bkg}}(x) \right] dx$$

Acceptance  $\rightarrow$

Likelihood definition:  
estimate signal and  
background fractions  
and  $m_{\text{top}}$