

ν Oscillation

Review of neutrino physics - historical trajectory

Quantum mechanics of neutrino oscillation

Positive results from four current experiments

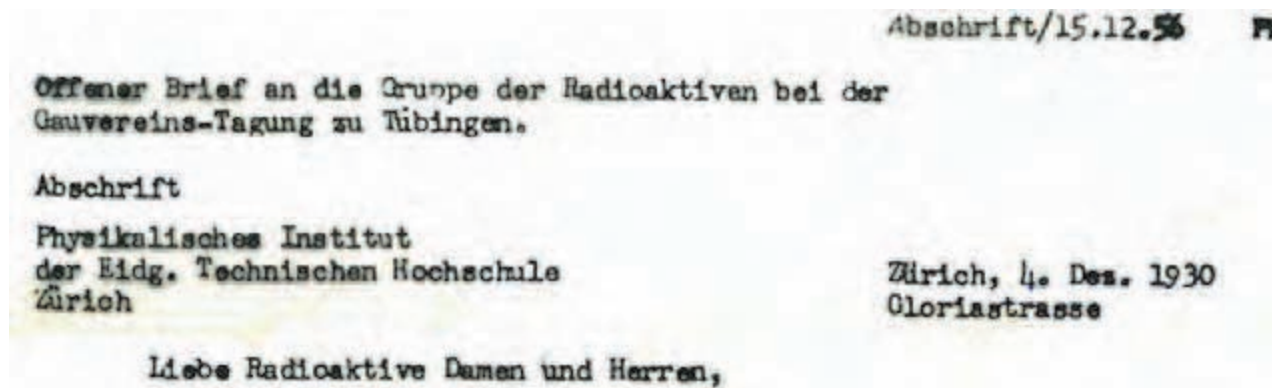
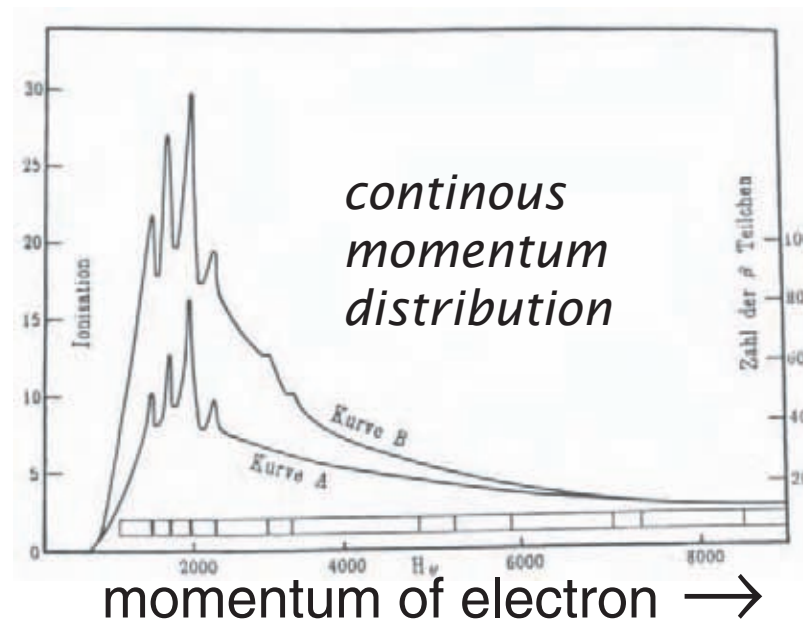
What we know and what we don't know

Your neutrino future

Pauli's Desperate Remedy



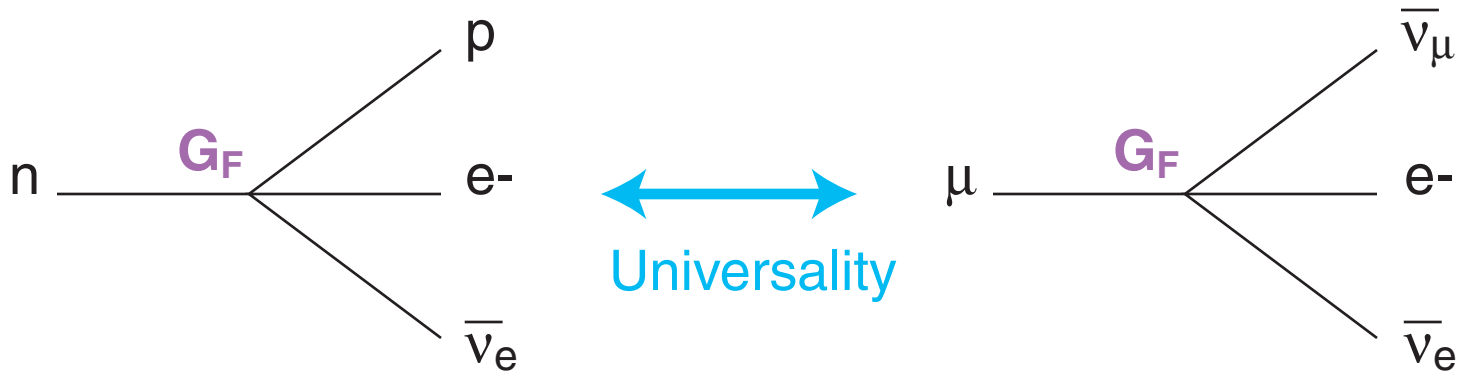
*a two-body decay:
should result in a single
fixed momentum of the electron*



*Pauli's lightweight neutrino can share energy with
the electron and explain the continuous distribution.*

Fermi Coupling Constant

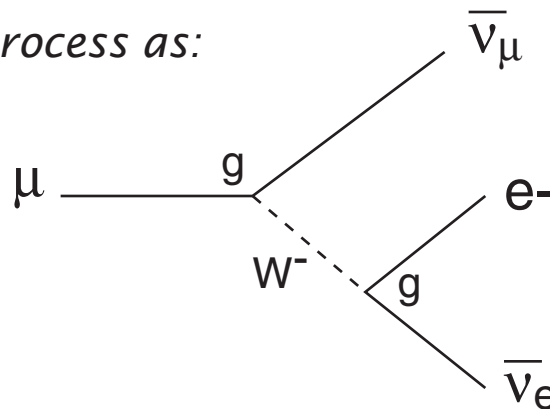
$$G_F = 1.167 \times 10^{-5} \text{ GeV}^{-2}$$



$$\sigma \propto (G_F)^2 [\text{energy}]^2 \quad \Rightarrow \quad \sigma \propto (G_F)^2 M E_\nu \sim 10^{-38} \text{ cm}^2$$

$s = \sqrt{2ME_\nu}$ very small!

today, we understand the process as:



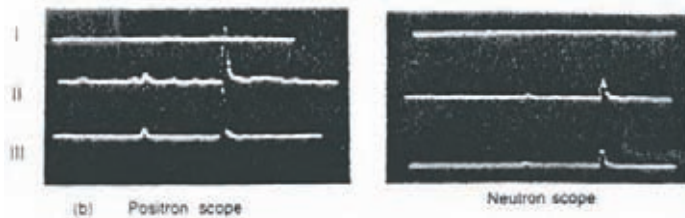
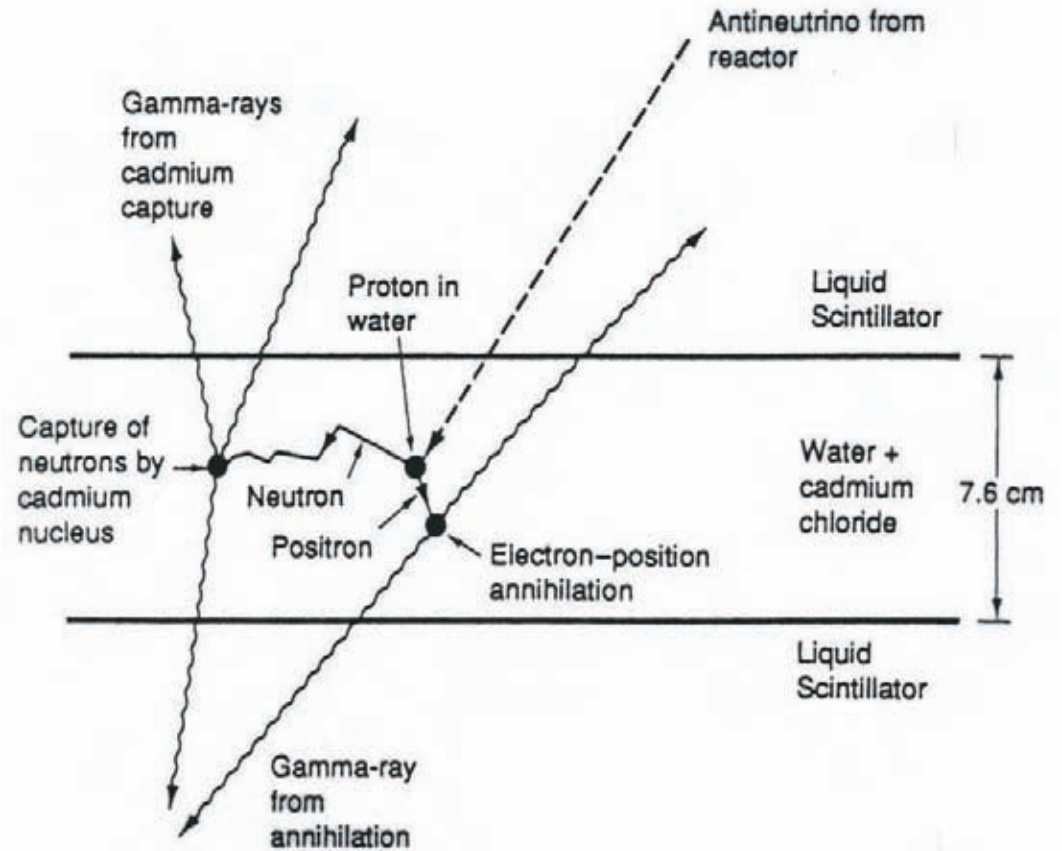
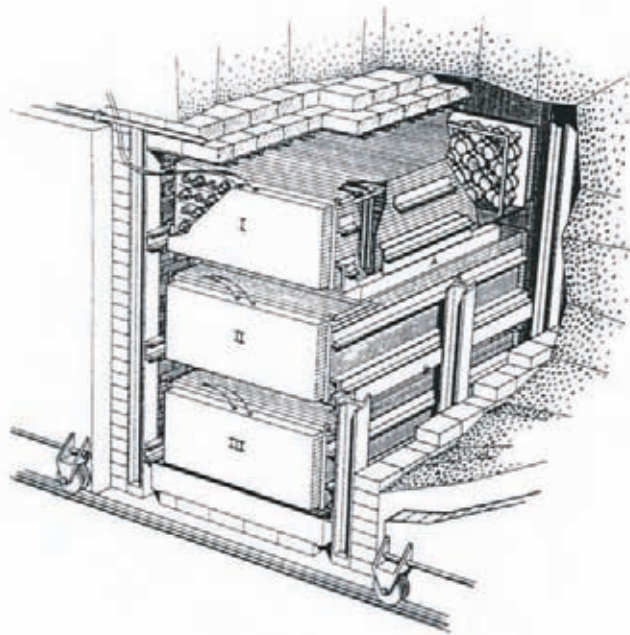
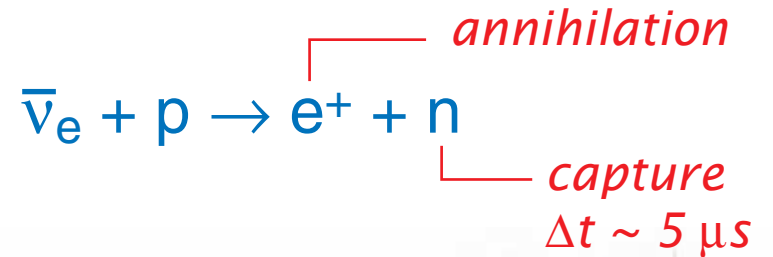
$$G_F = \frac{g^2}{M_W^2}$$

$$\alpha_{\text{weak}} = \frac{g^2}{4\pi}$$

$$\sim 1/29$$

First Detection of Neutrinos

Reines & Cowen 1956
Savannah River nuclear reactor

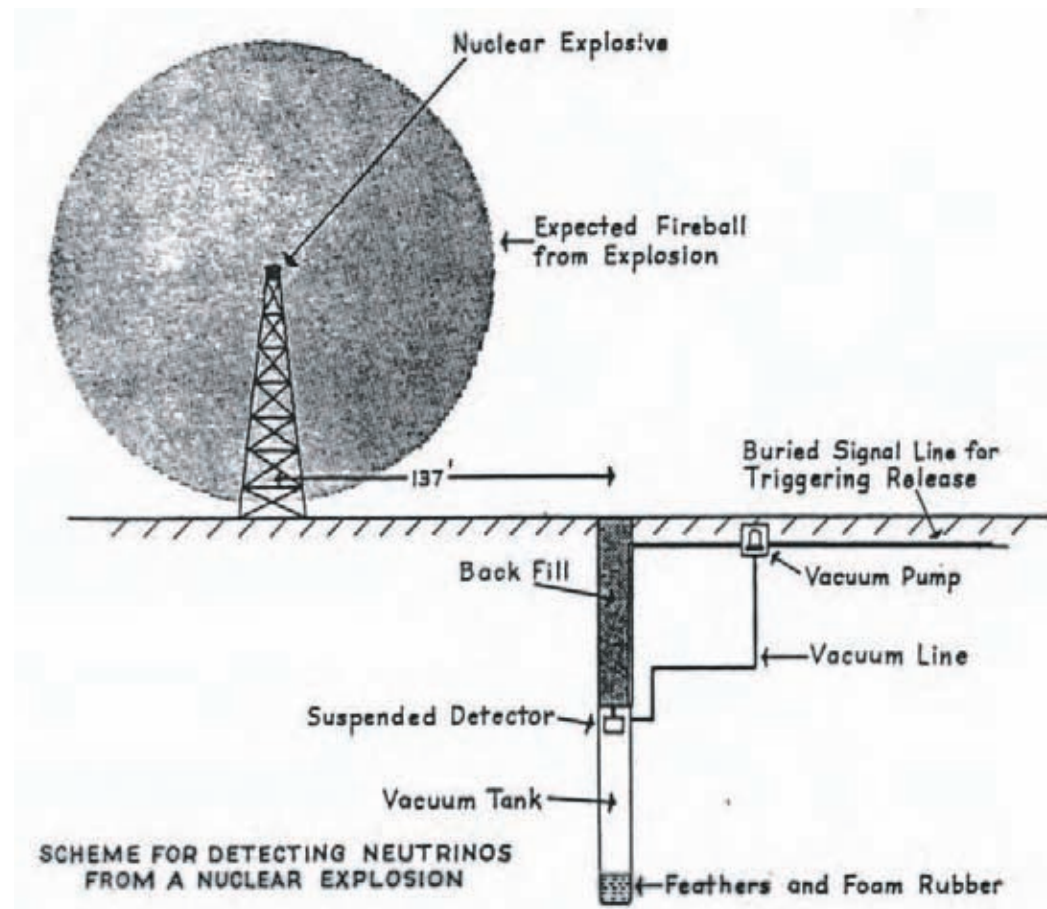


*data recorded by
oscilloscopes and counters*

*Fred Reines
1995 Nobel Prize in Physics*

First Detection of Neutrinos (Proposed)

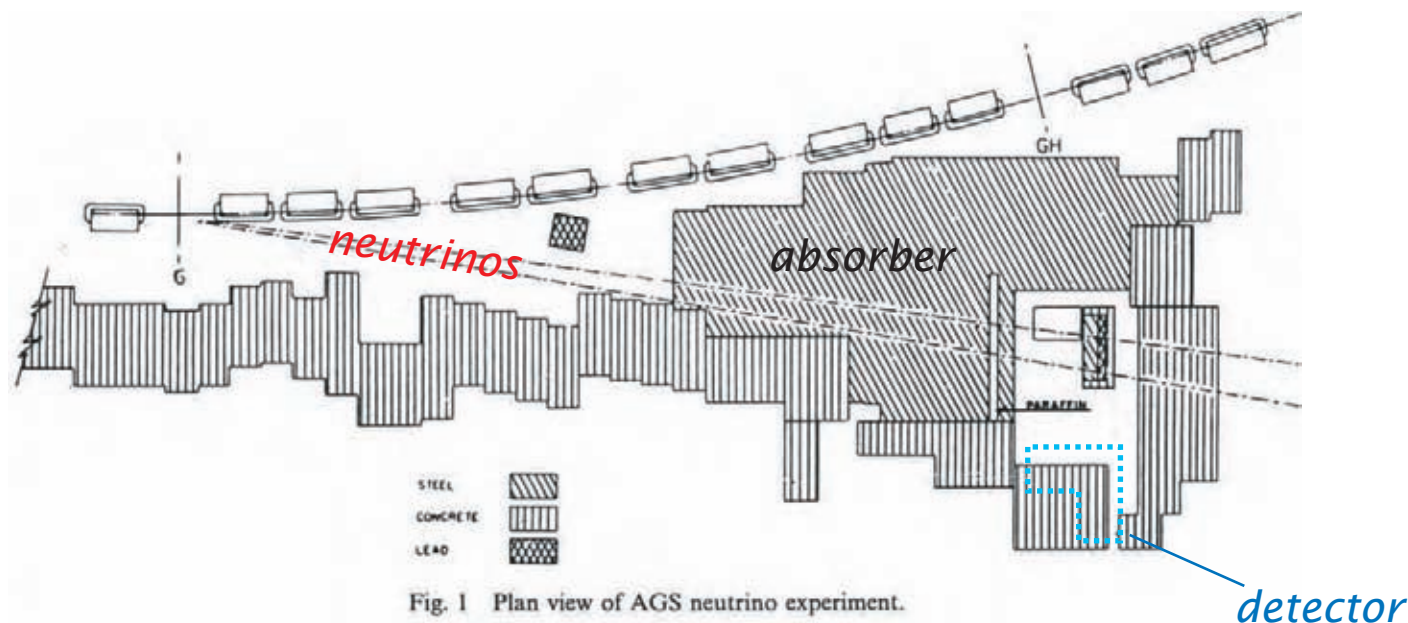
Reines & Cowen 1950



“Two Neutrino Experiment”

(mostly) muons detected in a beam of (mostly) muon neutrinos

5 GeV
protons
+
Beryllium
target



beam: *decay products of p , K*
(known to decay mostly to muons rather than electrons)

detected: *34 muons ($p > 300 \text{ MeV}/c$)*
6 EM showers (n , ne)

Lederman, Schwartz, Steinberger
1988 Nobel Prize in Physics

Homestake Mine Solar Neutrino Experiment

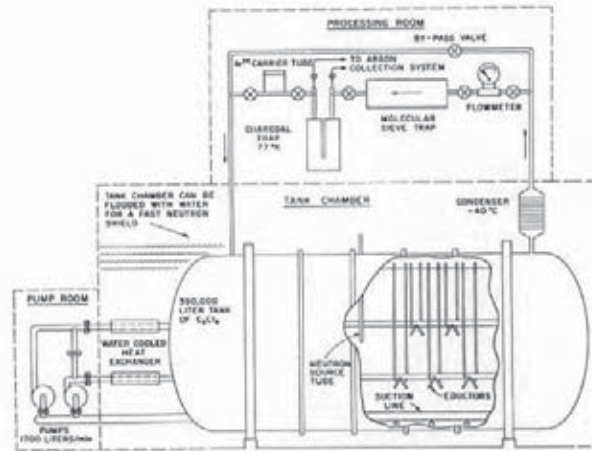


Figure 2.3. Schematic drawing of the argon recovery system. The pump-recirculator system forces helium gas through the tetrachloroethylene liquid and provides the helium gas flow through the argon collection system.

615 tons of C₂Cl₄
(dry cleaning fluid)

1500 meters depth

Individual Ar atoms
extracted and counted
by radioactive
decay ($\tau \sim 35$ days)

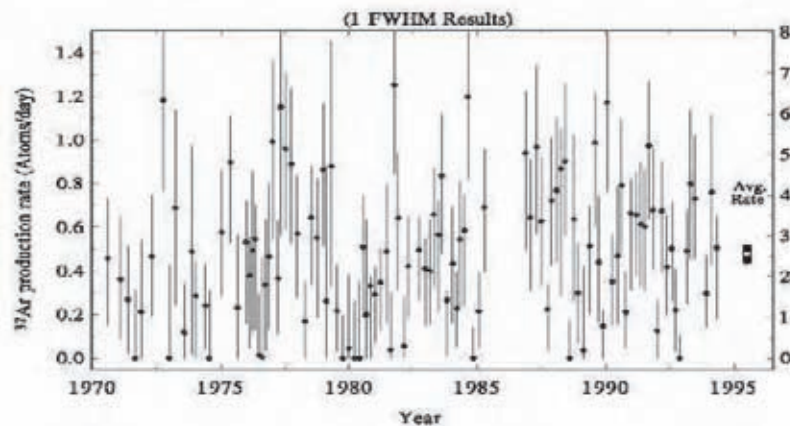
Result:

8.6 ± 1.2 SNU

← predicted

2.56 ± 0.23 SNU

← measured



← 25 years ~ 800 solar neutrino events

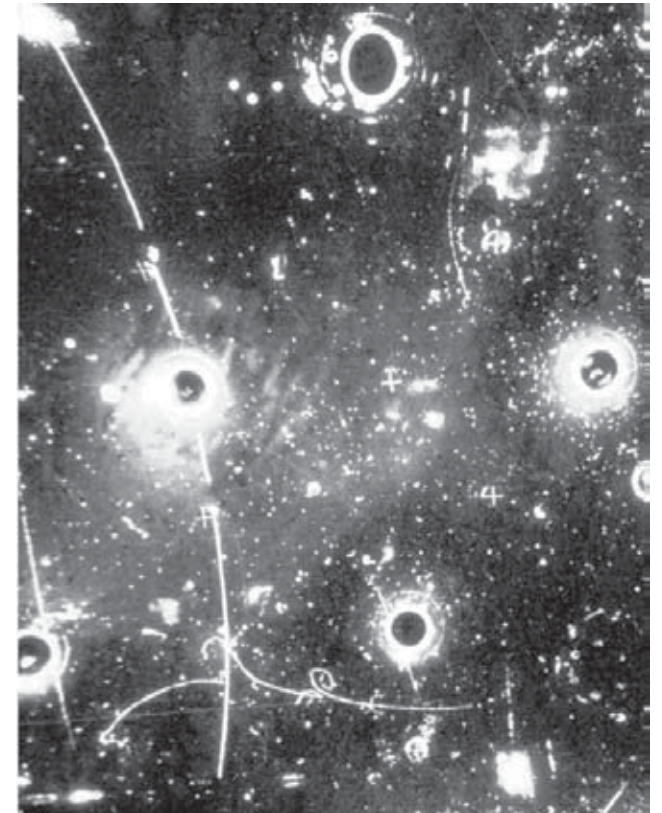
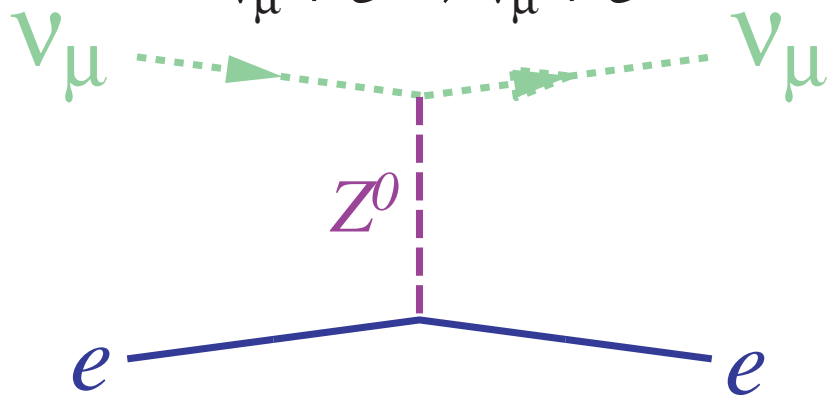
note:
extraction rate
less than
1 atom per day!



Ray Davis Jr.
2002 Nobel Prize
in Physics

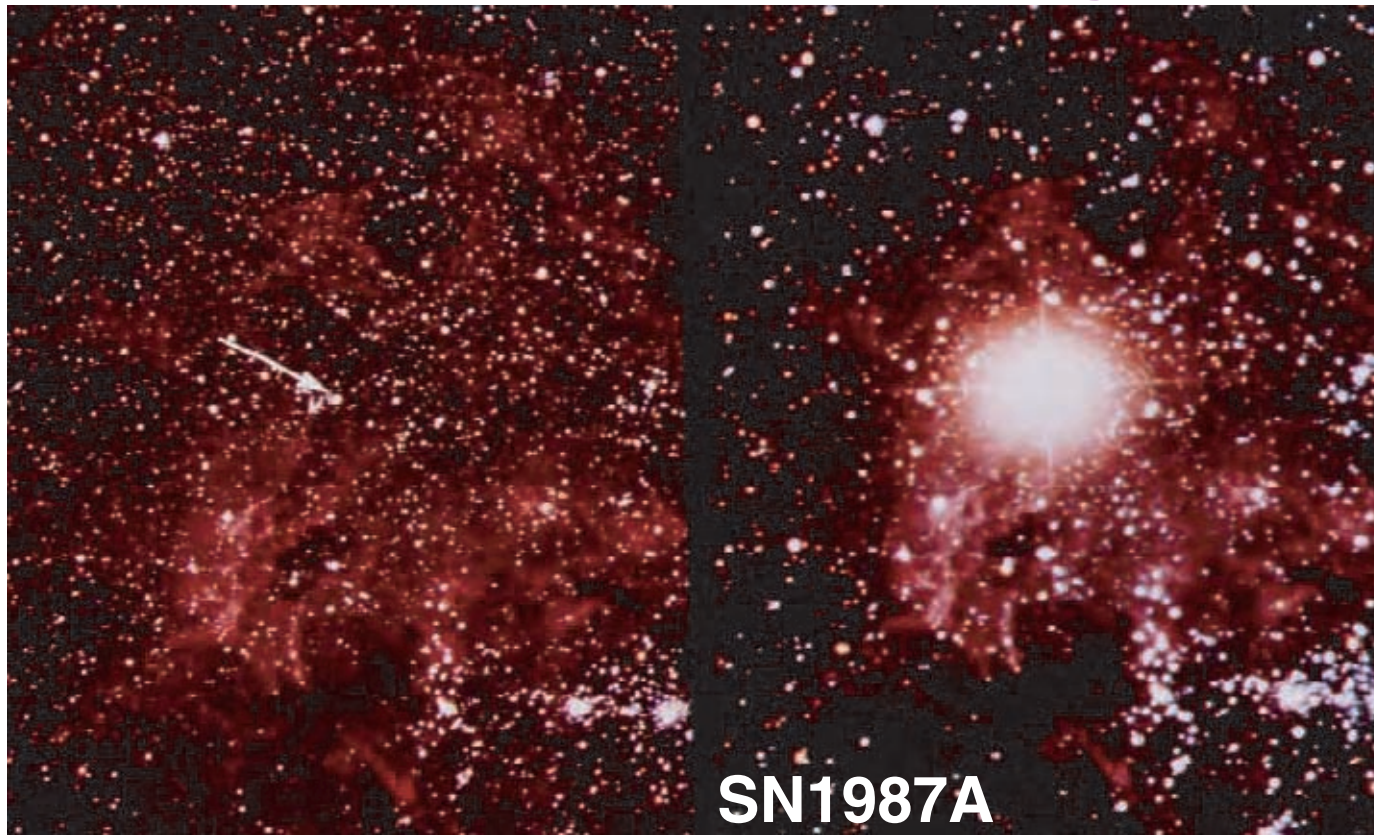
Neutral Currents and Neutrinos

discovered 1973

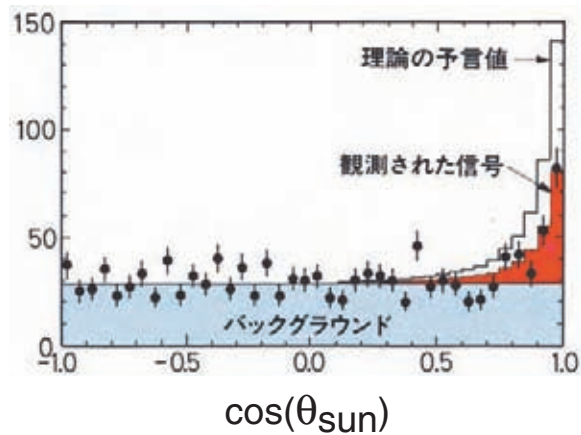




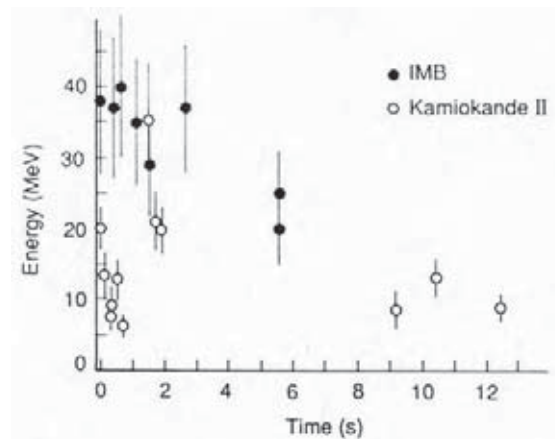
Neutrino Astronomy



Kamiokande Solar Neutrino Result

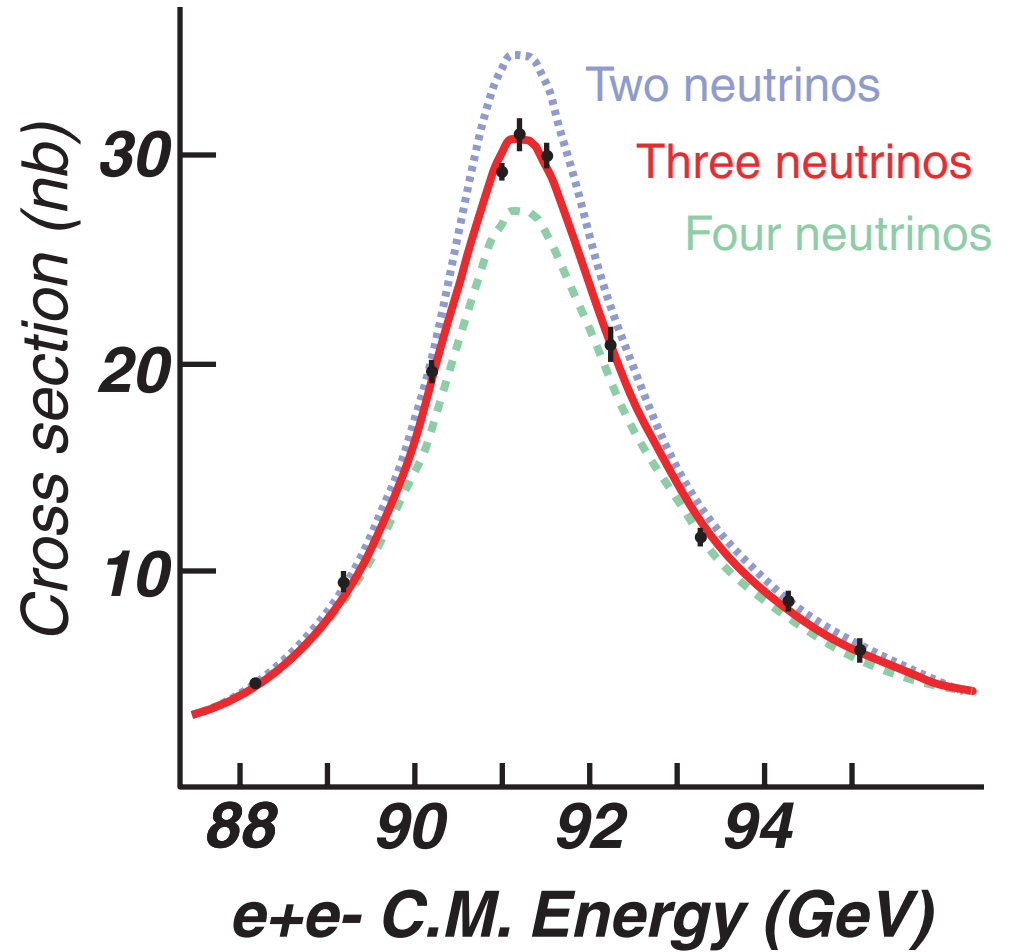
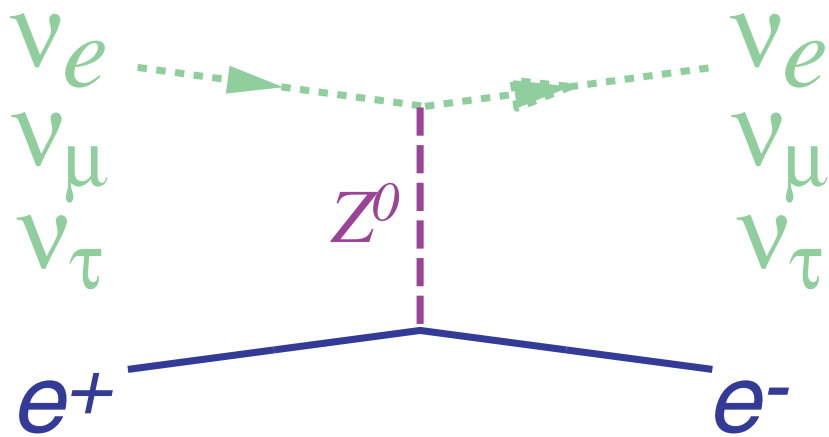


Result:
46% ± 15%
of predicted flux



*Masatoshi
Koshiya
2002 Nobel Prize
in Physics*

Three Neutrinos



*results from LEP experiments
at CERN (1990's)*

Neutrino Oscillations

First proposed by Bruno Pontecorvo in 1957 in analogy to K^0 - \bar{K}^0 mixing.

Recently the question was discussed whether there exist other mixed neutral particles beside the K^0 mesons, i.e. particles that differ from the corresponding antiparticles, with the transitions between particle and antiparticle states not being strictly forbidden. It was noted that neutrino might be such a mixed particle, and consequently there exists the possibility of real **neutrino-antineutrino** transitions in vacuum, provided that lepton (neutrino) charge is not conserved.

- before the two-neutrino experiment, later extended to ν_e - ν_μ
- a consequence of interference of the mass states of a propagating neutrino
- requires non-zero mass and finite mixing of neutrino flavors

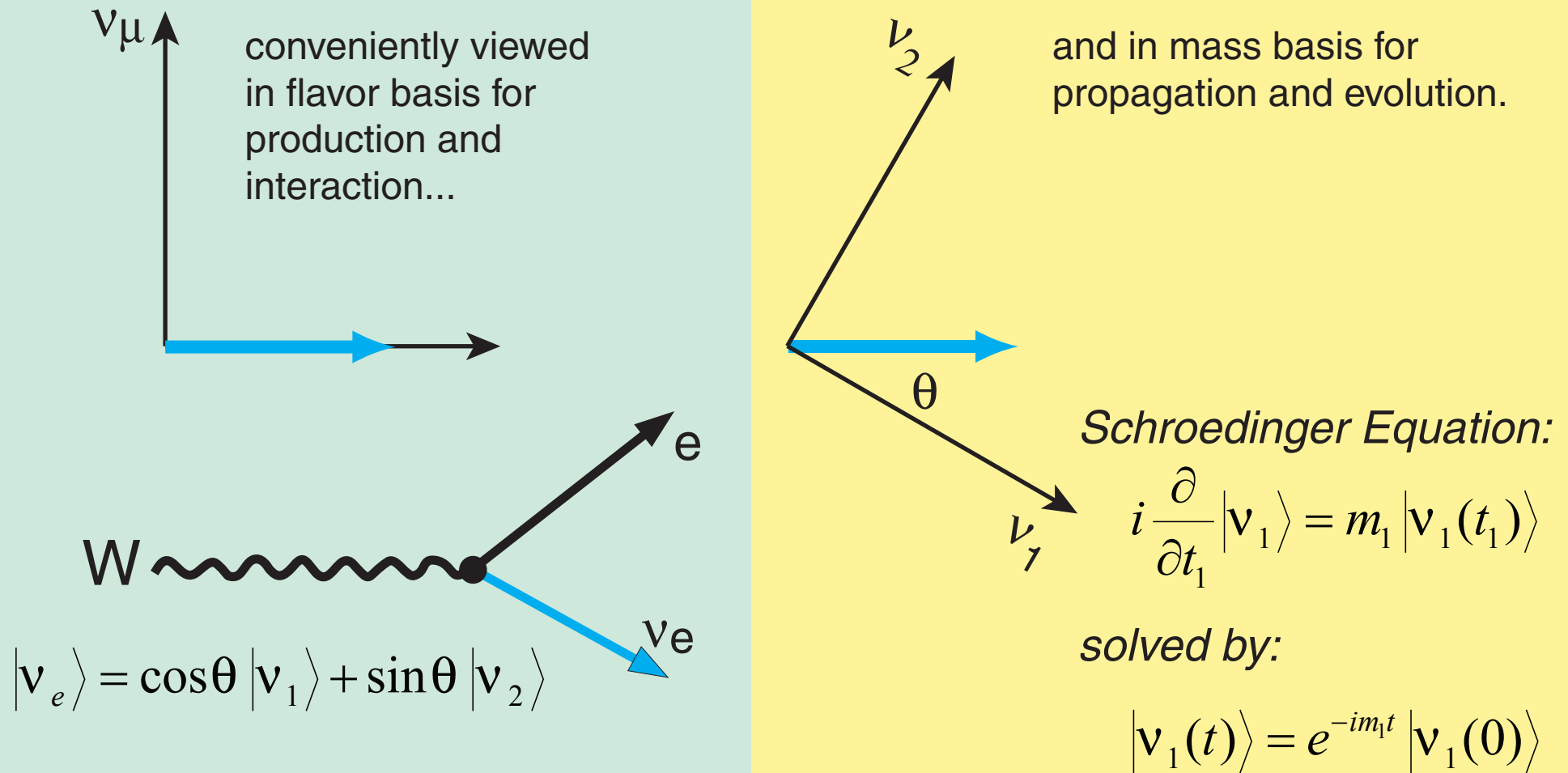
Neutrino Mixing

Consider the neutrino as a two-state system (we will extend to 3-states shortly),

flavor
 $\alpha = e, \mu, \tau$

$$\begin{pmatrix} \mathbf{v}_e \\ \mathbf{v}_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \end{pmatrix}$$

mass
 $i = 1, 2, 3$

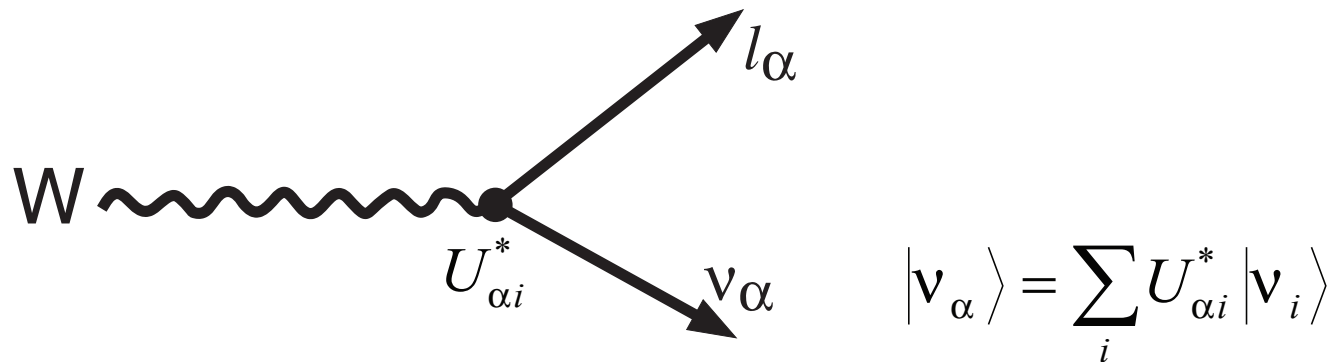


Mixing with Three Flavors

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

three angles plus
one complex phase

Pontecorvo-Maki-Nakagawa-Sakata Matrix (PMNS or MNS)



$$|\langle \nu_\alpha | \nu_i \rangle|^2 = |U_{\alpha i}|^2$$

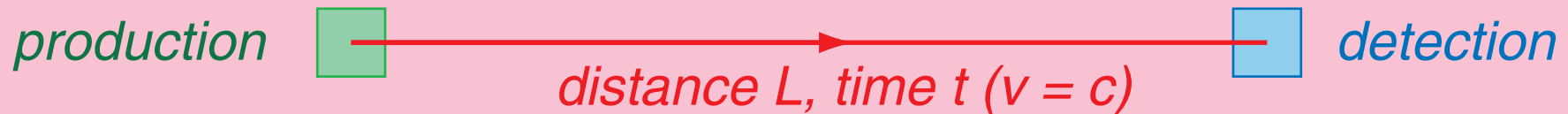
flavor fraction of α in of mass state i

Propagation in the Mass Basis

Amplitude for evolving for proper time τ :

$$\langle \mathbf{v}_i(0) | \mathbf{v}_i(\tau_i) \rangle = e^{im_i\tau_i}$$

In laboratory frame variables, requiring Lorentz invariance, and $v \sim c$:



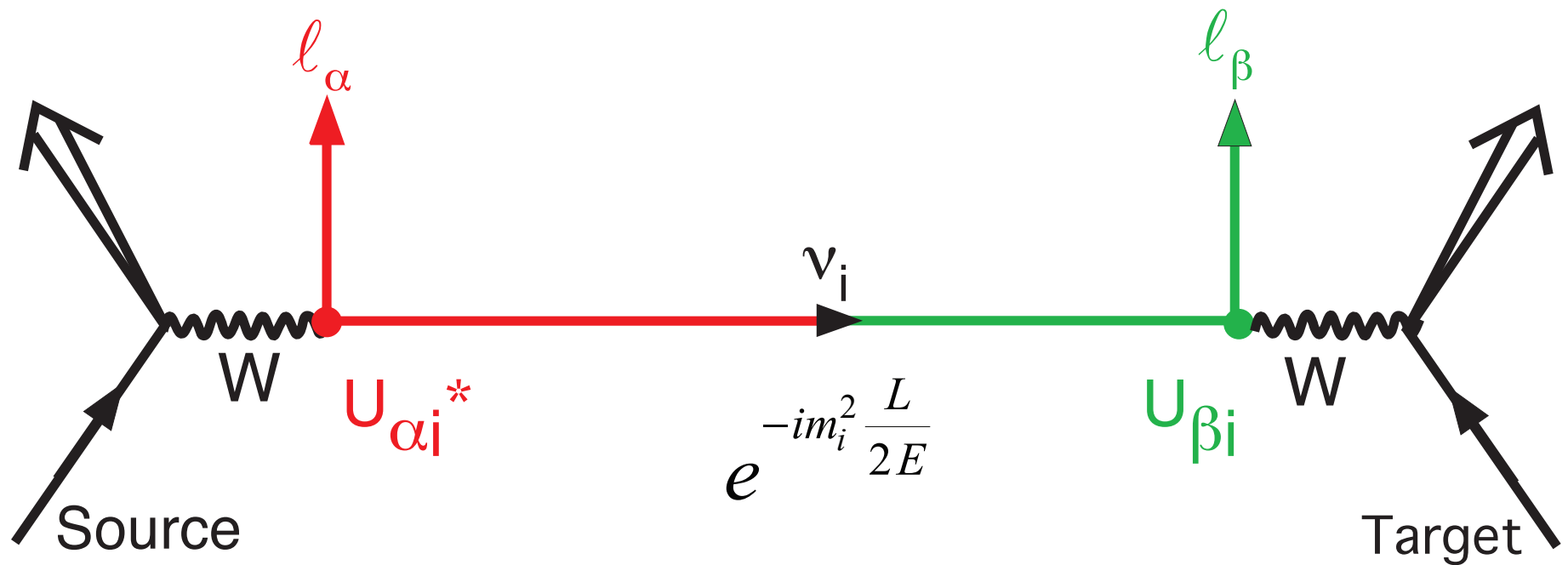
$$m_i\tau_i = E_i t - p_i L \qquad p_i = \sqrt{E^2 - m_i^2} \approx E - \frac{m_i^2}{2E}$$

For components with common energy E that coherently interfere:

$$m_i\tau_i \approx E(t - L) + \frac{m_i^2}{2E} L$$

$$\langle \mathbf{v}_i(0) | \mathbf{v}_i(\tau_i) \rangle = e^{-im_i^2 \frac{L}{2E}} \quad \dots \text{ignoring common phase } E(t-L)$$

Putting it all together...



$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left(\Delta m_{ij}^2 \frac{L}{4E} \right)$$

- sign for antineutrinos

$$\pm 2 \sum_{i>j} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin \left(\Delta m_{ij}^2 \frac{L}{4E} \right)$$

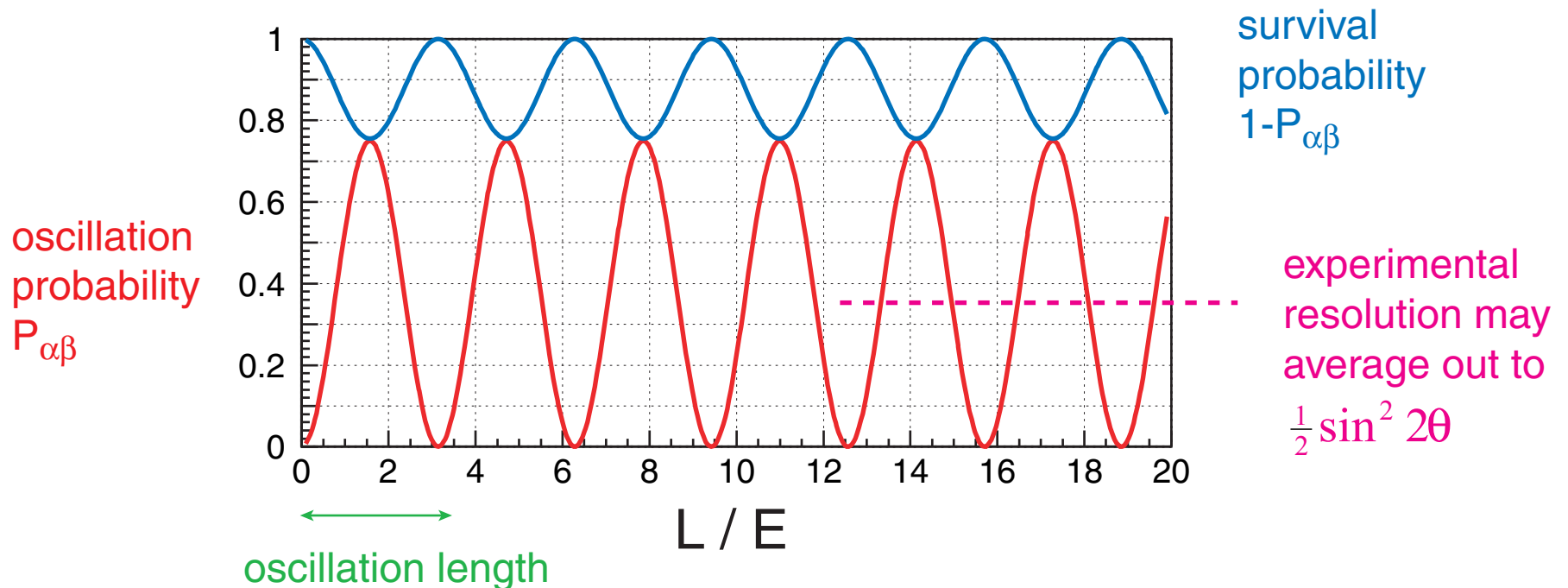
$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2 \quad \Delta m_{ij}^2 \frac{L}{4E} = 1.27 \Delta m_{ij}^2 (\text{eV}^2) \frac{L(\text{km})}{E(\text{GeV})}$$

with $\hbar, c \neq 1$

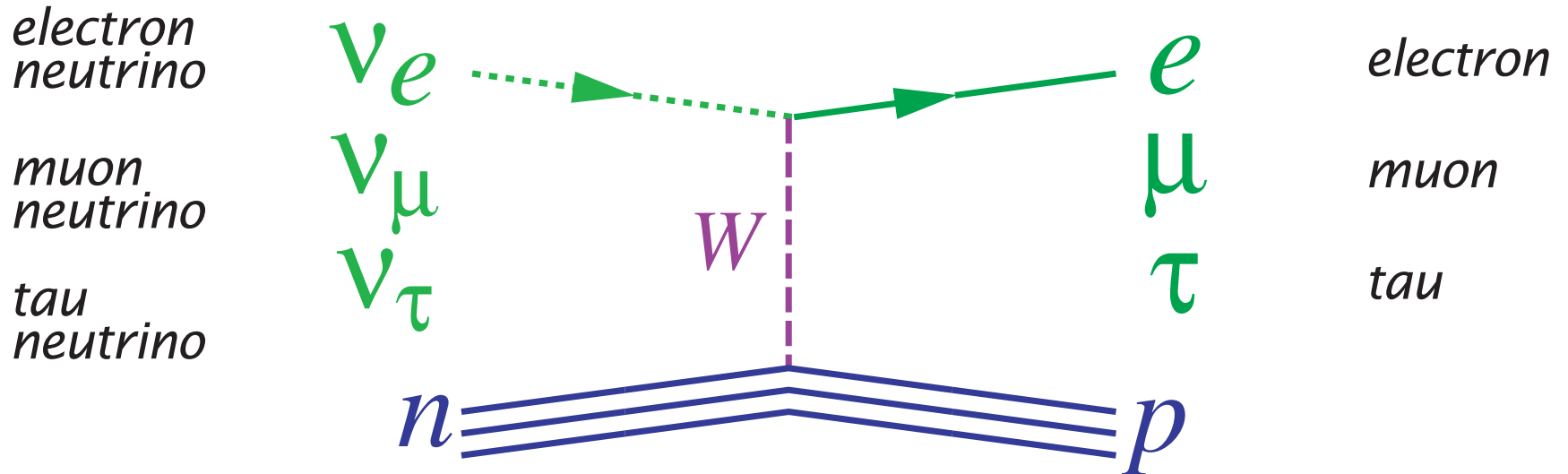
Back to Two Neutrinos

- often the case: one of the oscillatory terms remains zero under the experimental conditions (i.e. L too short, E too large etc.)

$$P(\nu_{\alpha} \rightarrow \nu_{\beta}) = \sin^2 2\theta \sin^2 \left(1.27 \Delta m_{ij}^2 \frac{L}{E} \right)$$



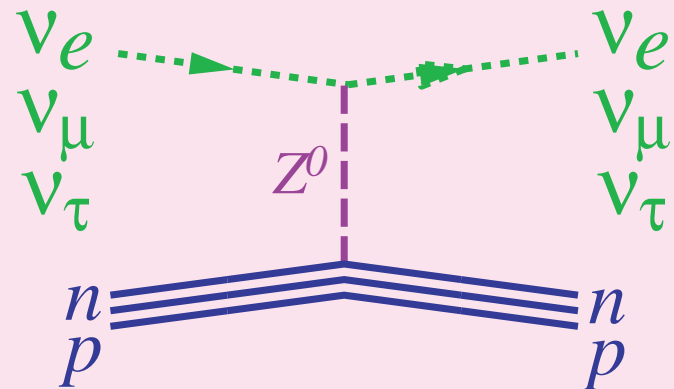
Appearance and Disappearance



Reaction Threshold

e	$E_\nu > 1.5 \text{ MeV}$
μ	$E_\nu > 110 \text{ MeV}$
τ	$E_\nu > 3500 \text{ MeV}$

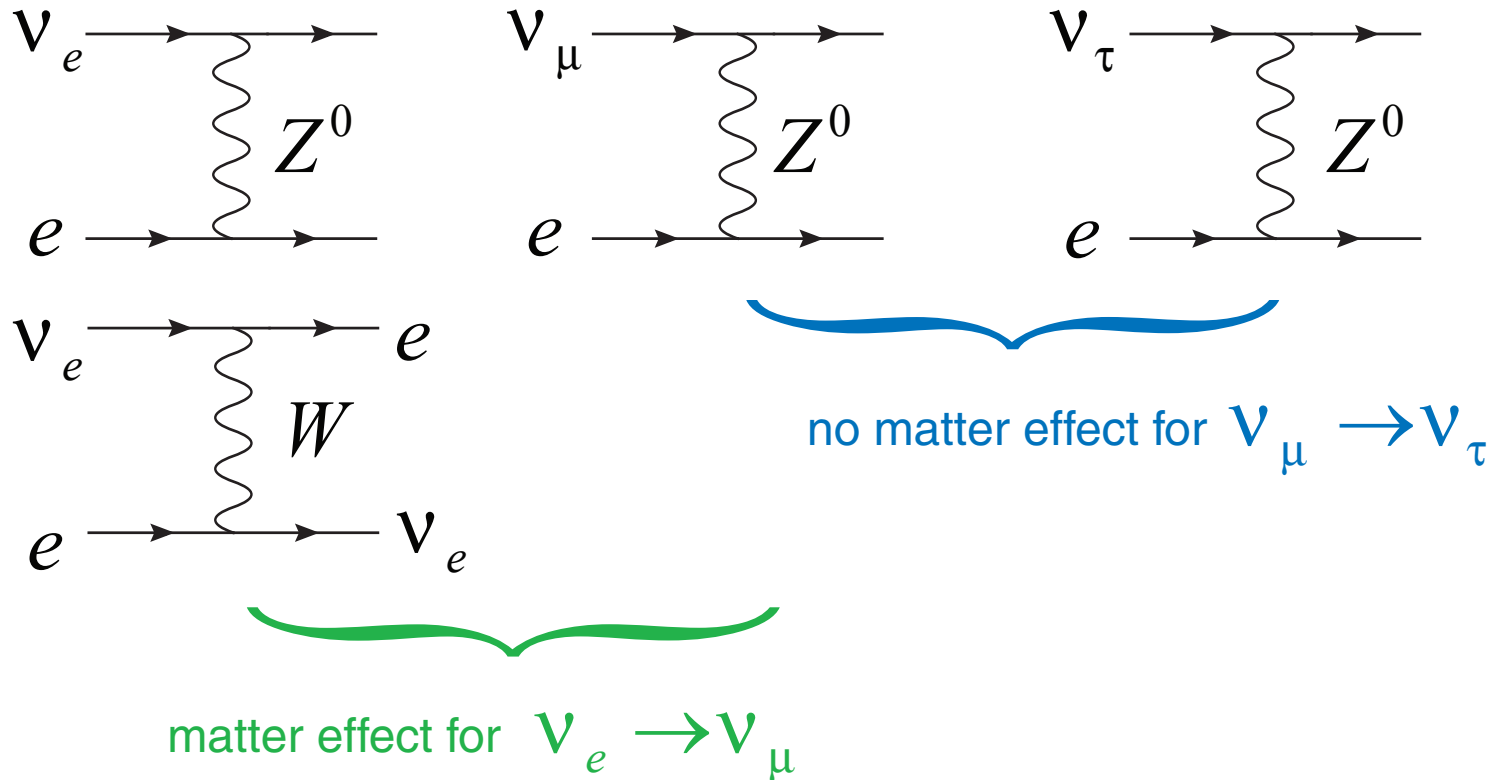
often, an experiment produces the beam neutrino below the threshold of for the production of a new flavor lepton



Neutral currents don't really oscillate!

Matter Effects

due to coherent forward scattering



extra interaction potential $V = \sqrt{2}G_F N_e$
 (- sign for anti- ν_e)

if $m_2^2 - m_1^2 < 0$ then V also changes sign

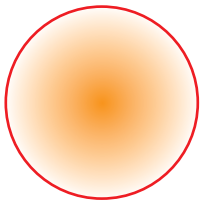
Matter Effects (continued)

$$\Delta m_M^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - x)^2}$$

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - x)^2}$$

$$x = \frac{V/2}{\Delta m^2 / 4E} = \frac{2\sqrt{2}G_F N_e E}{\Delta m^2} \sim \frac{E}{12\text{GeV}} \text{ (in earth's mantle)}$$

Mikheyev-Smirnov-Wolfenstein (MSW effect)



neutrinos produced in Sun's center would encounter critical density at some point on the way out regardless of how small the mixing angle

if $\sin^2 2\theta$ is small it can become large in matter under the right energy and density conditions

Neutrino Oscillation Experiments

solar neutrinos



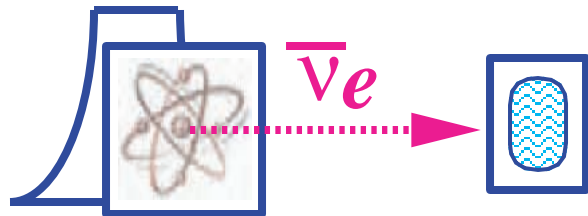
$L = 10^{11} \text{m}$
 $E = 0.1 \text{ to } 15 \text{ MeV}$
 $\Delta m^2 \sim 10^{-10} \text{ to } 10^{-12} \text{ eV}^2 \text{ (vacuum)}$
 $\Delta m^2 \sim 10^{-4} \text{ to } 10^{-10} \text{ eV}^2 \text{ (matter effects)}$

atmospheric neutrinos



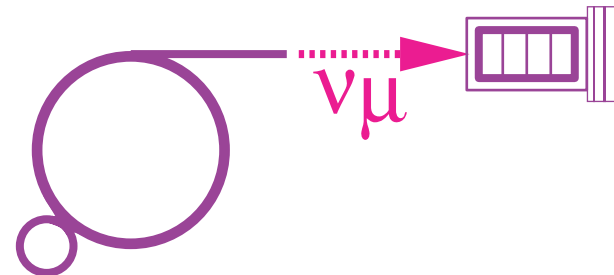
$L = 10 \text{ to } 10000 \text{ km}$
 $E = 0.1 \text{ to } 10 \text{ GeV}$
 $\Delta m^2 \sim 10^{-1} \text{ to } 10^{-5} \text{ eV}^2$

reactor neutrinos



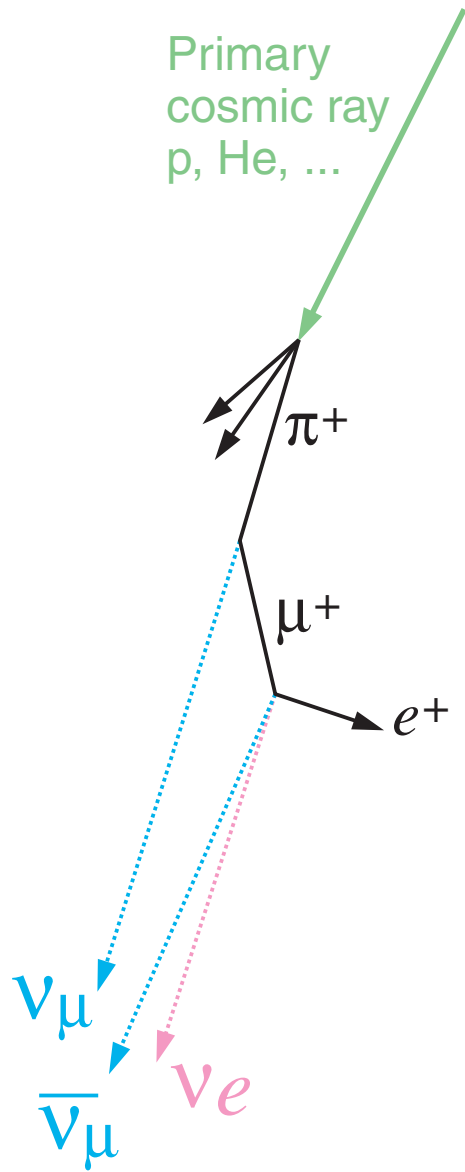
$L = 100 \text{ to } 10000 \text{ m}$
 $E = 3 \text{ MeV}$
 $\Delta m^2 \sim 10^{-2} \text{ to } 10^{-5} \text{ eV}^2$

accelerator neutrinos

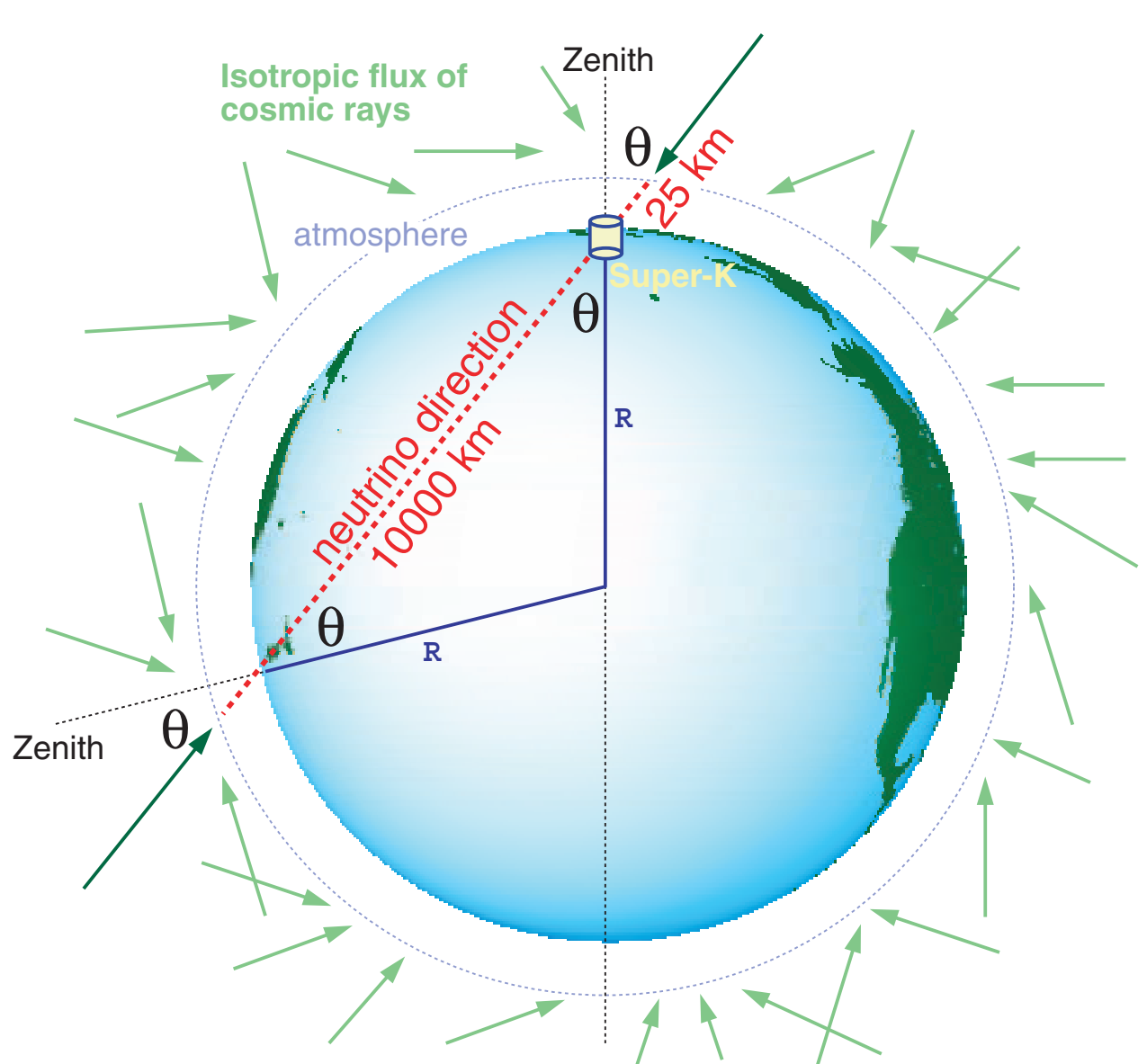


$L = 0.1 \text{ to } 1000 \text{ km}$
 $E = 1\text{-}10 \text{ GeV}$
 $\Delta m^2 \sim 10^0 \text{ to } 10^{-4} \text{ eV}^2$

Atmospheric Neutrinos



Ratio of $\nu_\mu/\nu_e \sim 2$
(for $E_\nu < \text{few GeV}$)



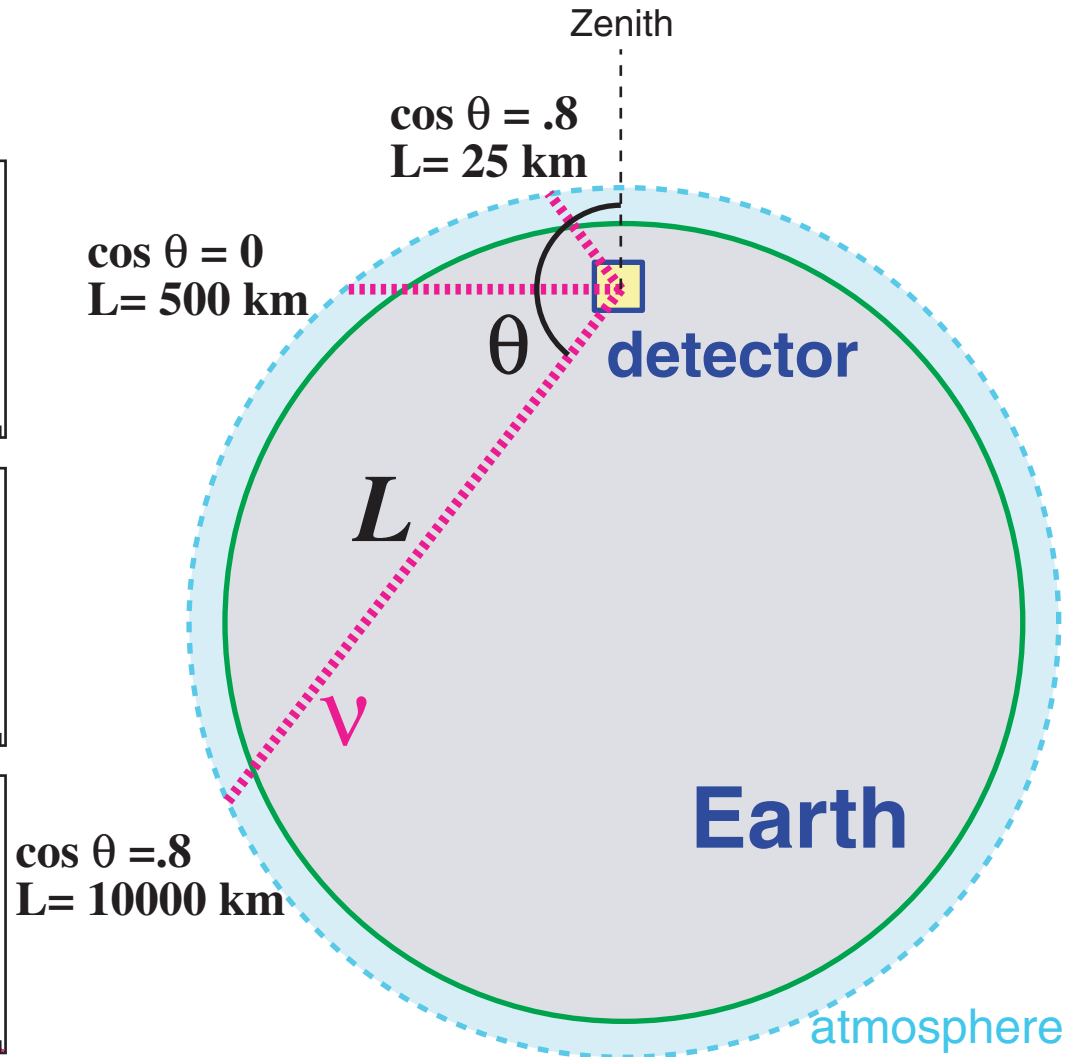
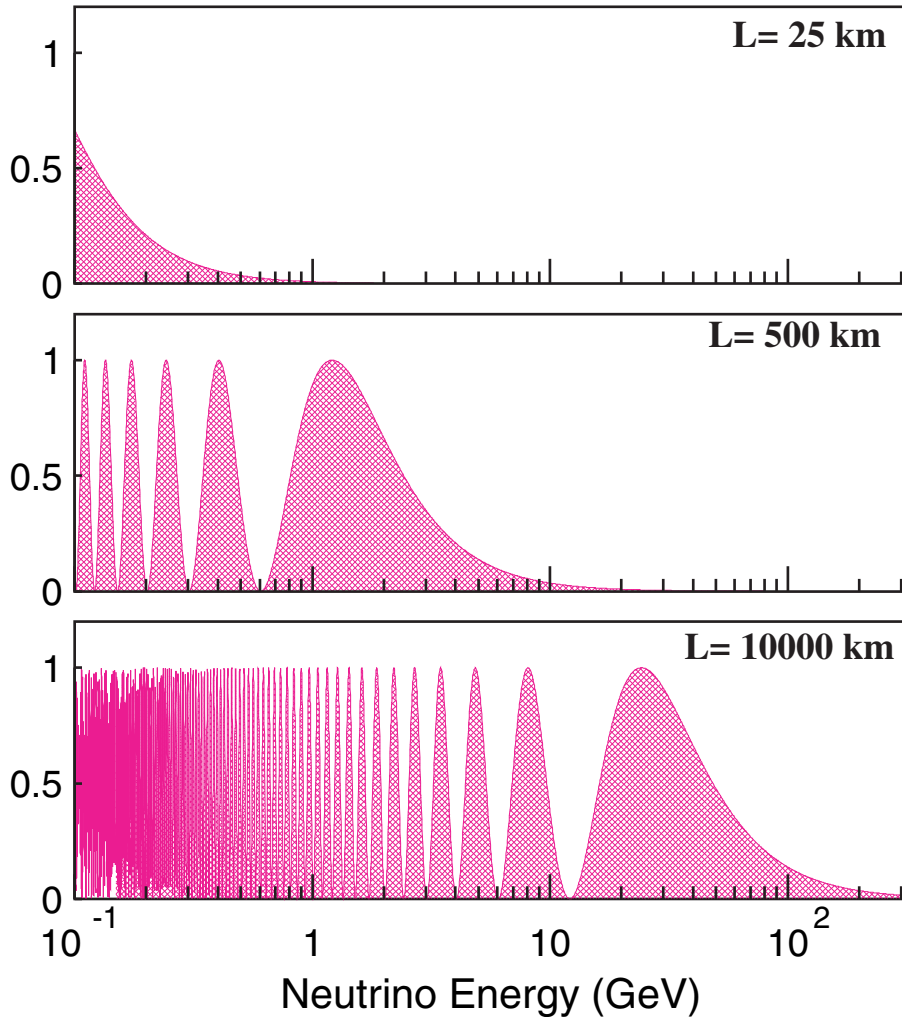
Up/Down Symmetric Flux
(for $E_\nu > \text{few GeV}$)

Atmospheric Neutrino Oscillation

$$P_{\nu\nu'} = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E}$$

$\sin 2\theta = 1$

$m^2 = .003 \text{ eV}^2$



Super-Kamiokande Experiment

Inner detector

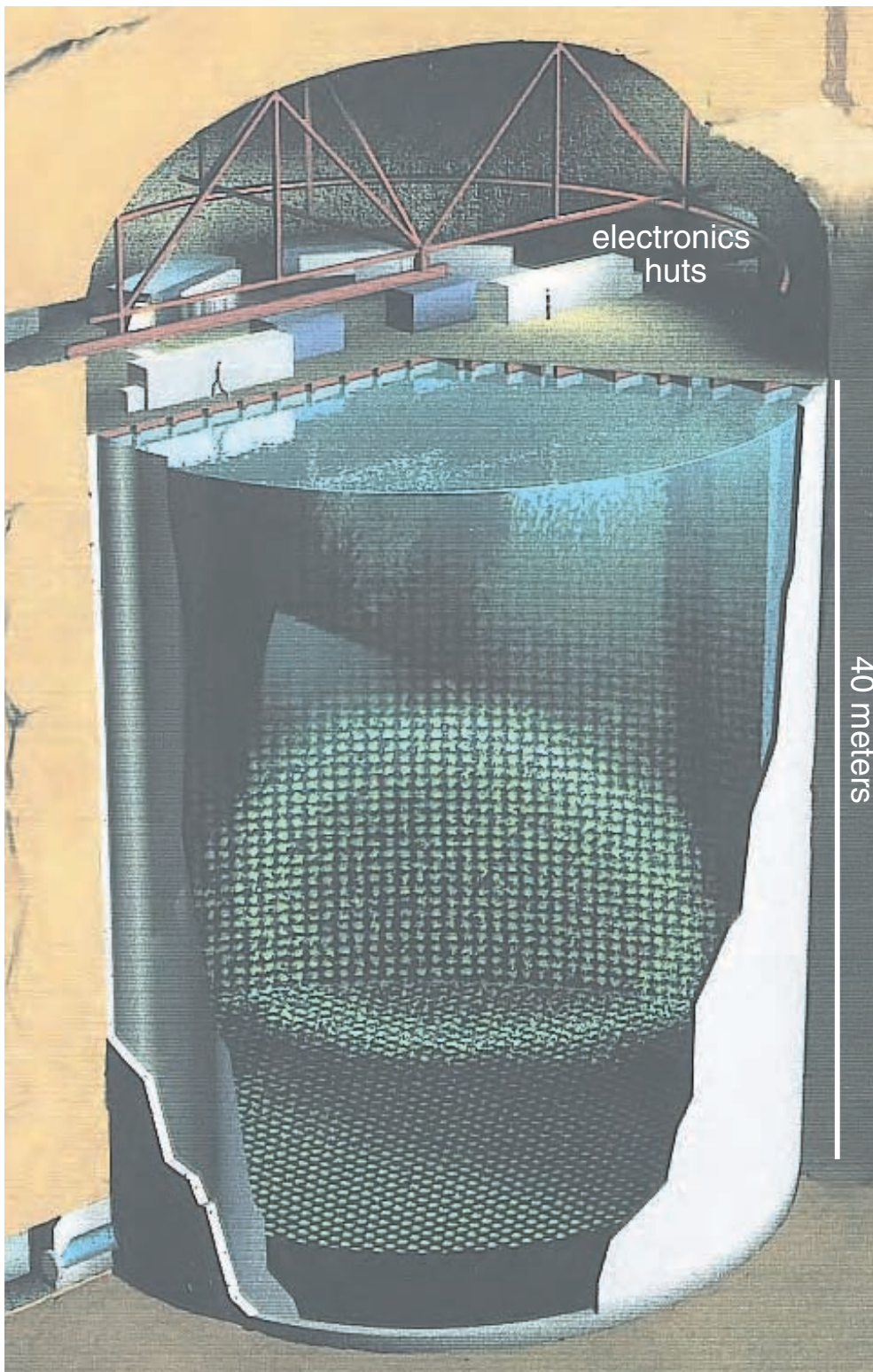
- 22.5 kton fiducial mass
- 11134 50cm photomultiplier tubes
- 40% photocathode coverage
- ~2 ns PMT timing resolution
- ~85 m water attenuation length

Outer detector

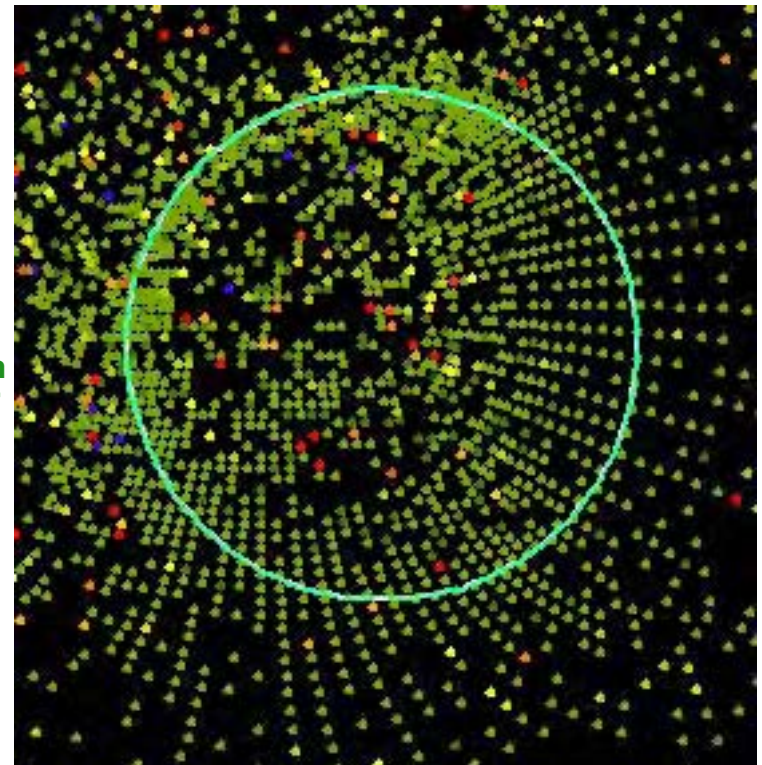
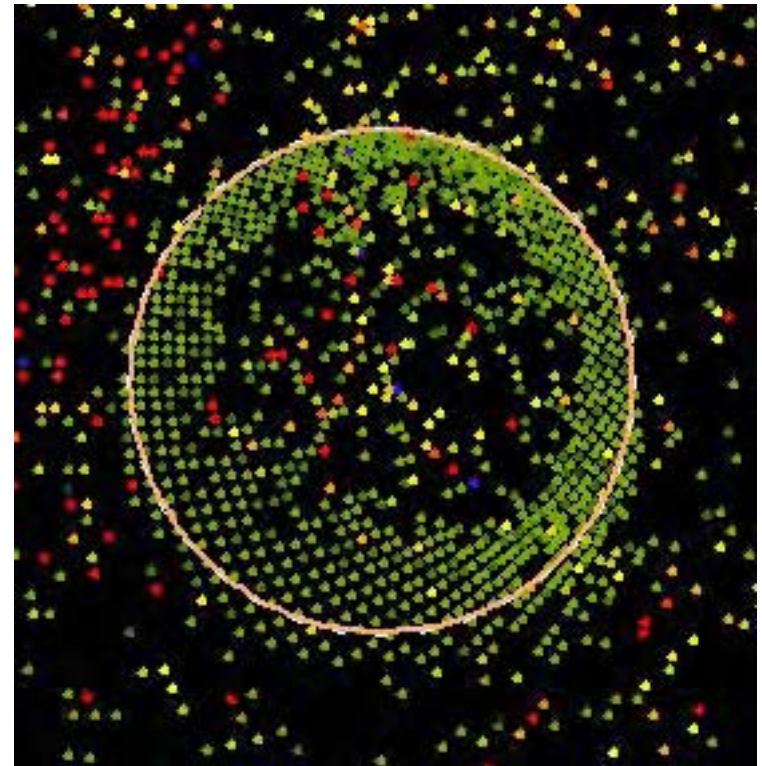
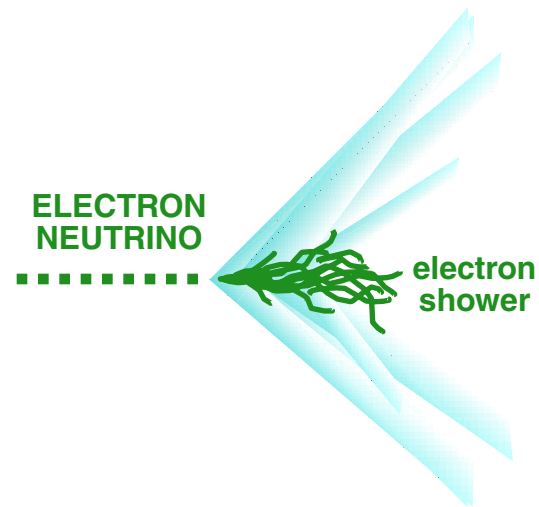
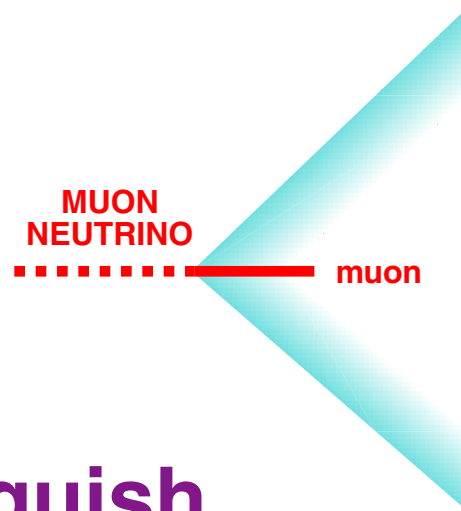
- optically isolated veto and shield
- 1800 20cm pmts recovered from IMB

Location

- Kamioka zinc mine, Japan
- 1 km under mountain (2700 m.w.e.)

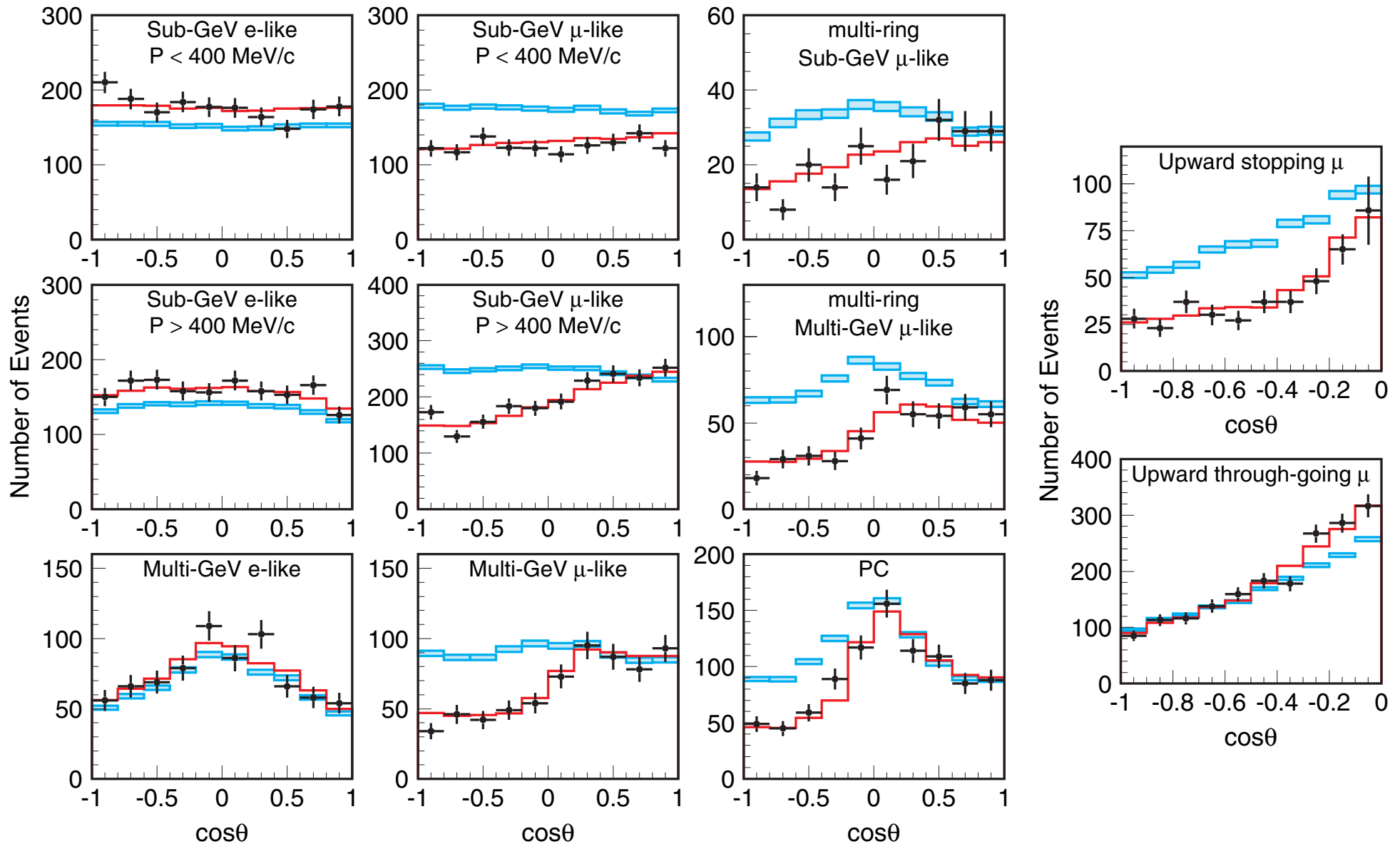


How to distinguish muon neutrinos from electron neutrinos



Super-K Atmospheric Neutrino Data

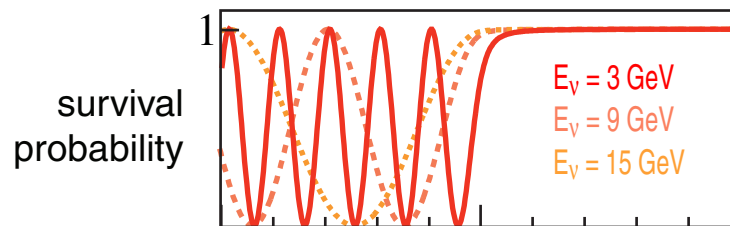
Zenith Angle Distributions



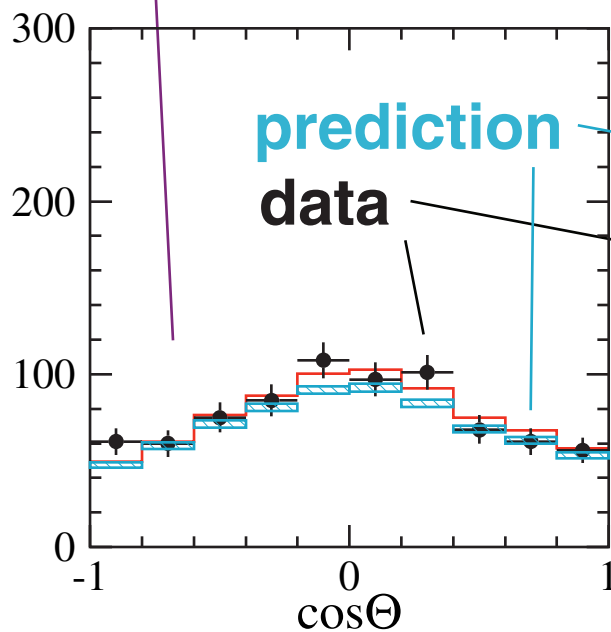
How is this Neutrino Oscillation?

no electron neutrinos appear here, so not $\nu_\mu \leftrightarrow \nu_e$

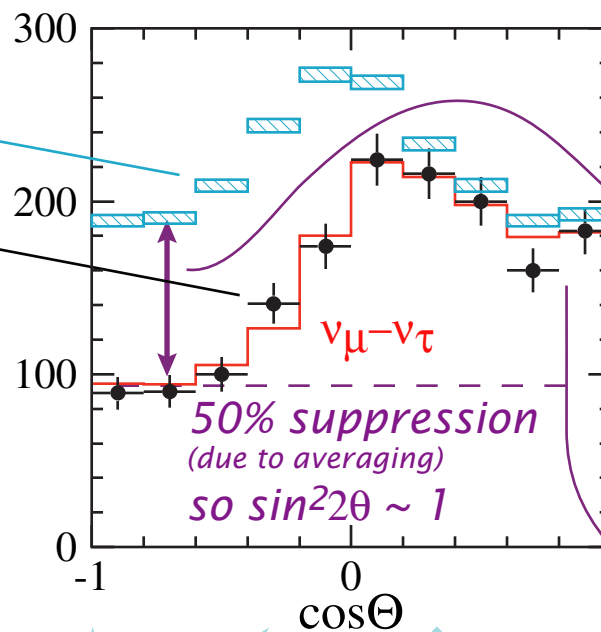
$$P_{\nu\nu'} = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E}$$



electron neutrinos



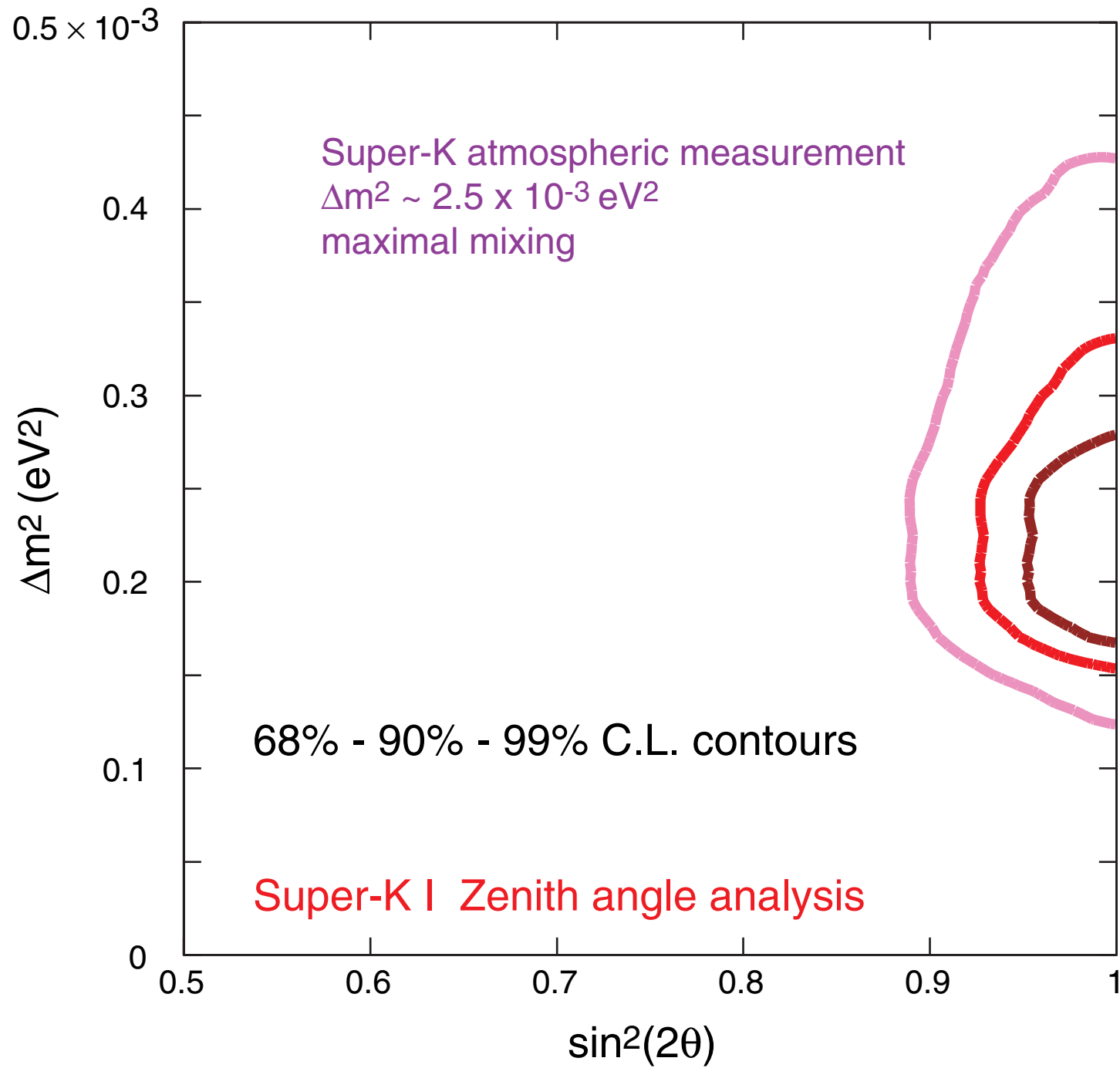
muon neutrinos



missing muon neutrinos have turned into tau neutrinos

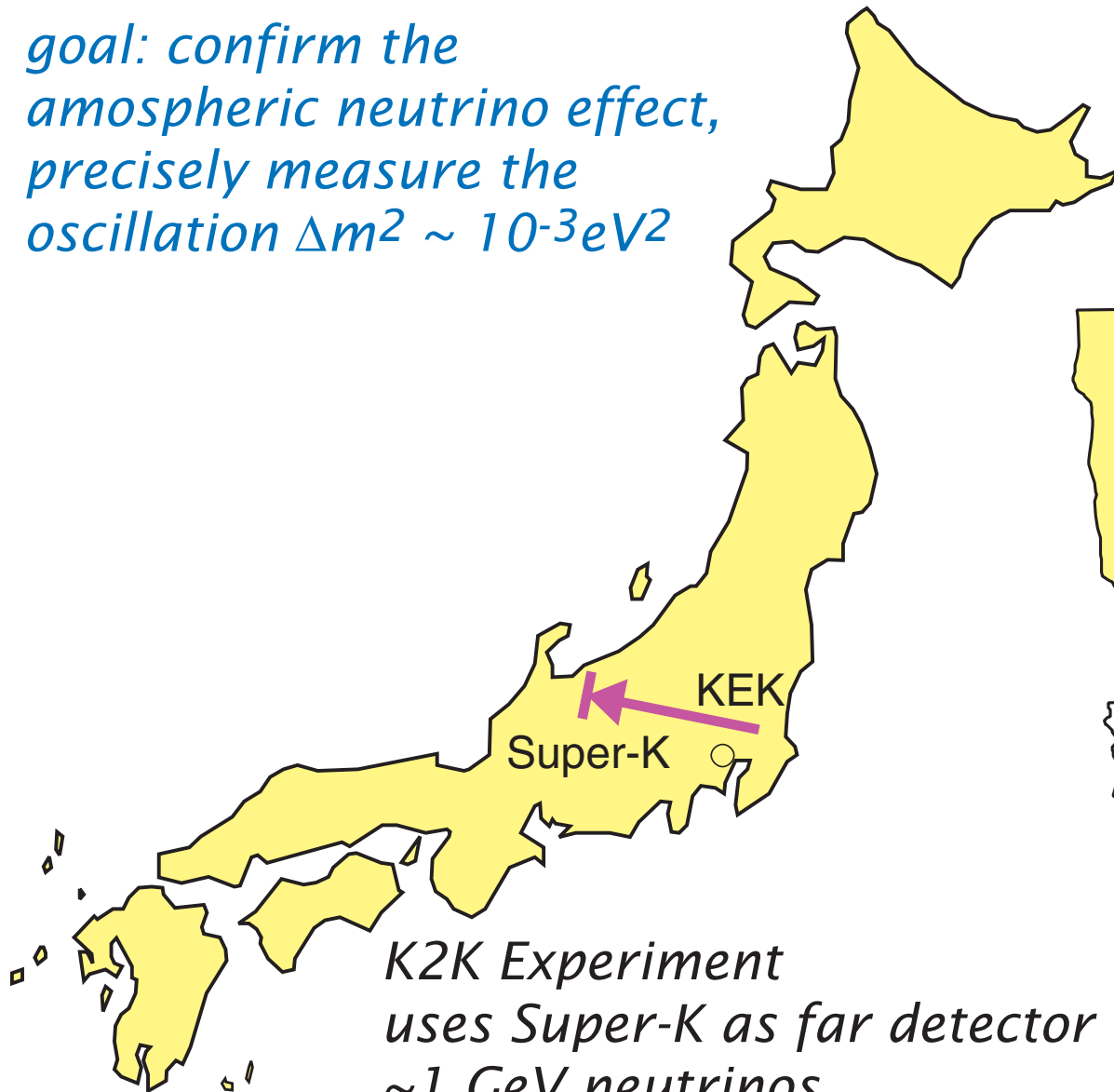
Neutrino travel distance(L): 12800 6200 700 40 15 km

no loss of downward neutrinos
 ($L \sim 10\text{-}100 \text{ km}$, $E > 1 \text{ GeV}$), so $\Delta m^2 \sim 10^{-3} \text{ eV}^2$



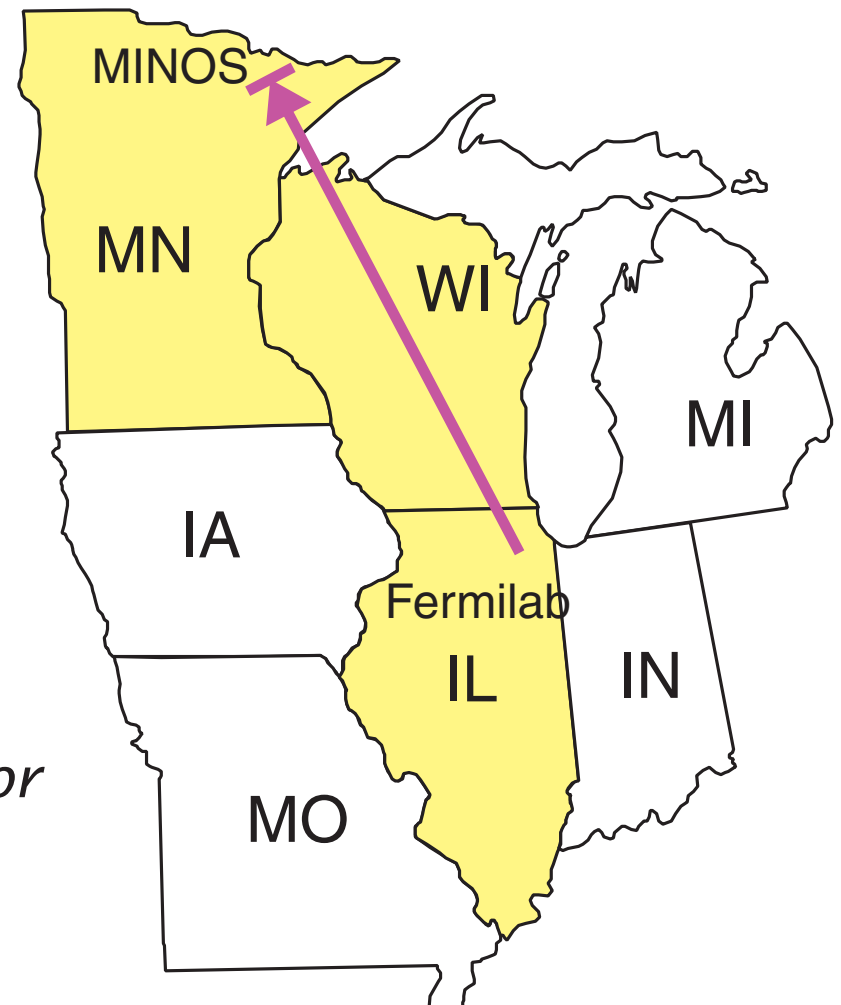
Long Baseline Neutrino Oscillation Experiments

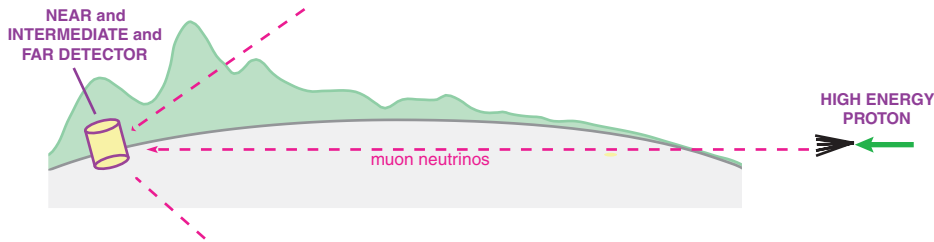
goal: confirm the atmospheric neutrino effect, precisely measure the oscillation $\Delta m^2 \sim 10^{-3} \text{eV}^2$



*K2K Experiment
uses Super-K as far detector
~1 GeV neutrinos
L=250 km
1999-2004*

*MINOS Experiment
Fermilab to Minnesota
~3 GeV neutrinos
L= 750 km
started in 2005*





Atmospheric Neutrinos

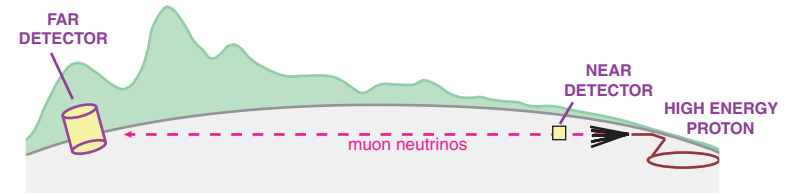
mixed beam of ν_μ $\bar{\nu}_\mu$ ν_e $\bar{\nu}_e$

wide energy band 200 MeV - 1 TeV

continuous flux - free

multiple baselines 10 km - 13000 km

neutrino direction unknown



Long Baseline Neutrinos

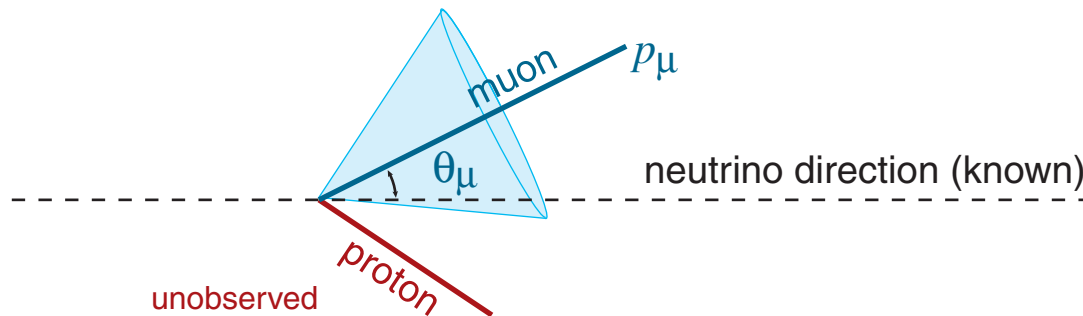
nearly pure beam of ν_μ

narrow energy band, adjustable

pulsed flux - expensive

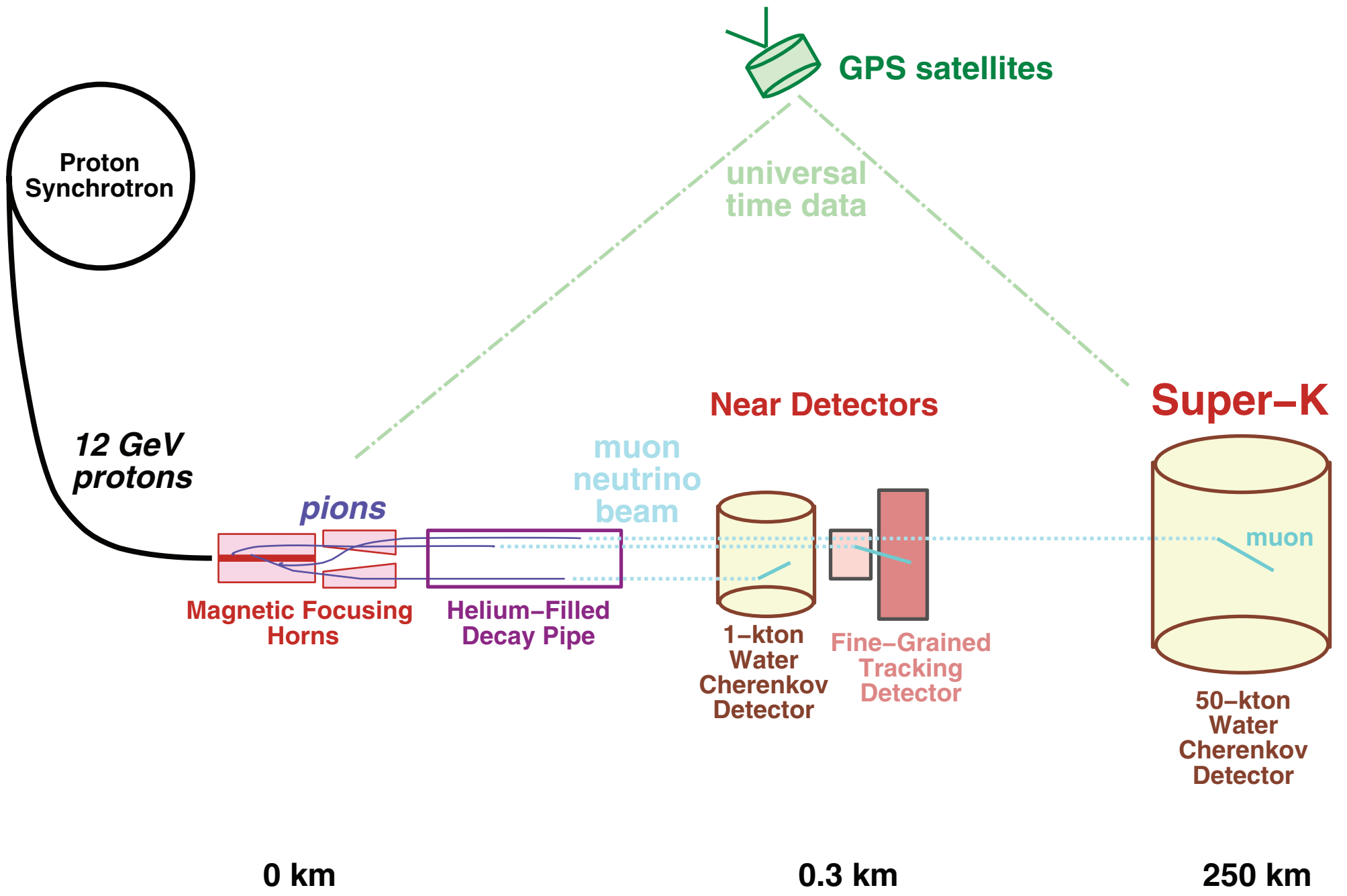
fixed baseline 250 / 750 km so far

neutrino direction known

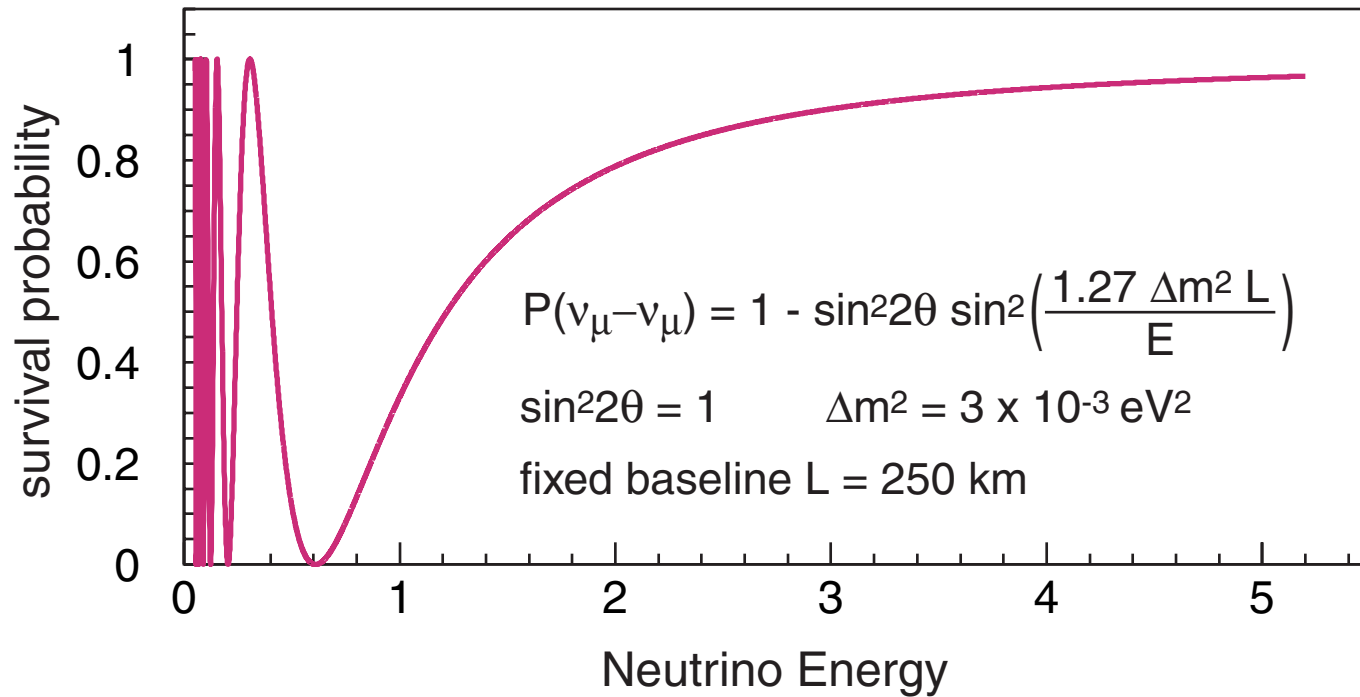


$$E_\nu = \frac{M_n E_\mu - m_\mu^2/2}{M_n - E_\mu + p_\mu \cos \theta_\mu}$$

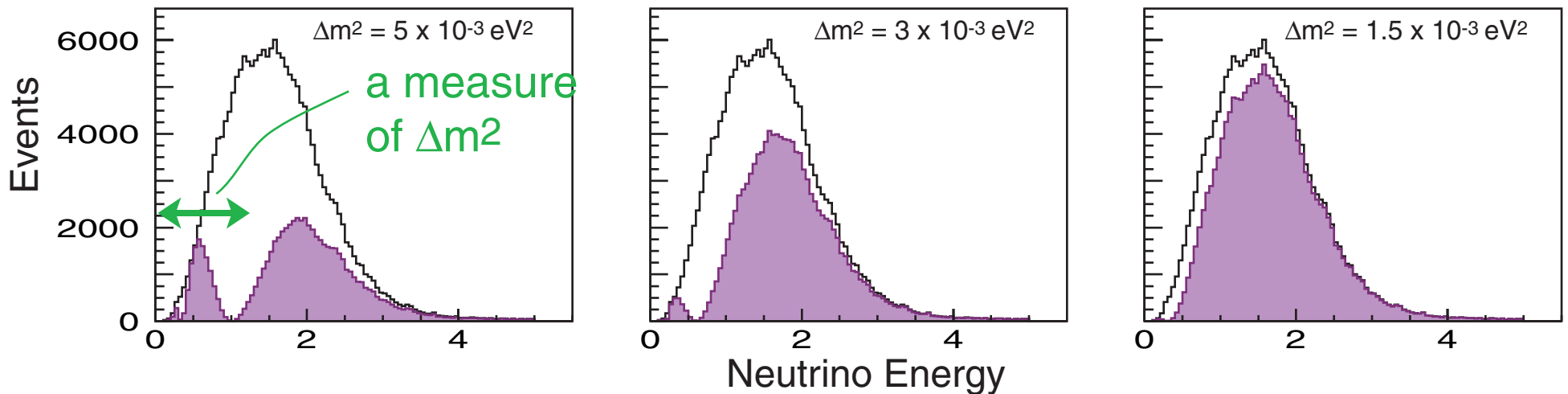
useful for quasi-elastic events (prevalent at low energies ~ 1 GeV)



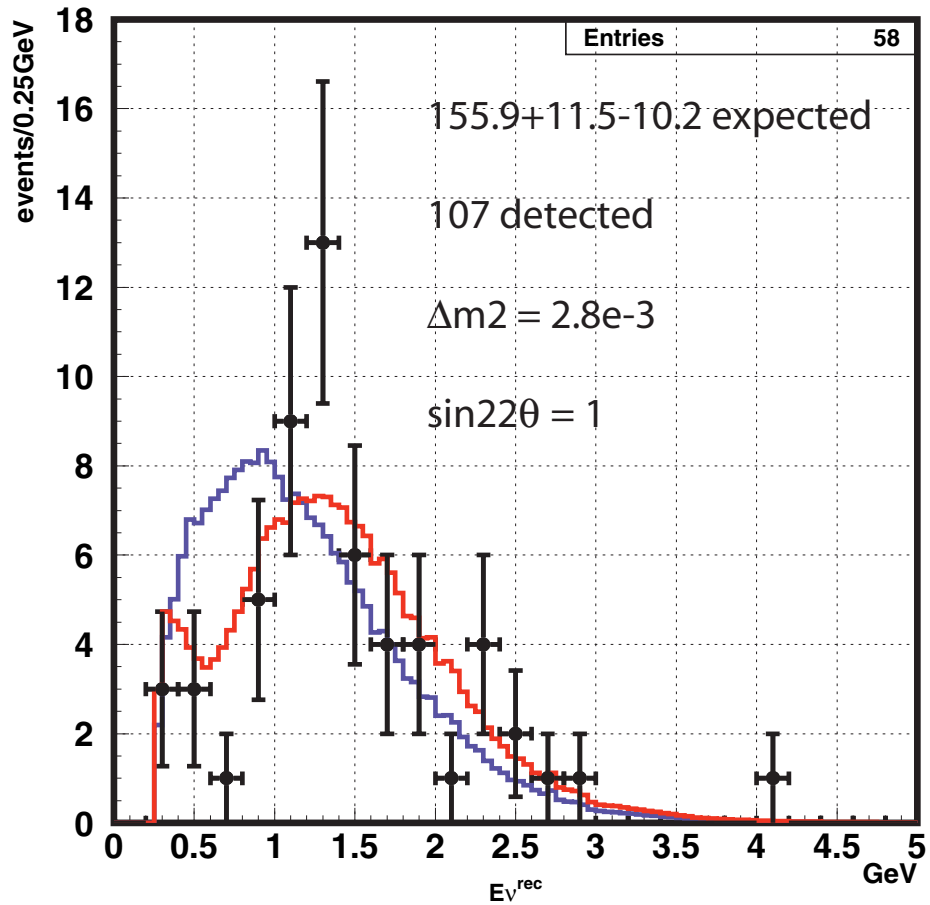
Principle of Long Baseline Experiments



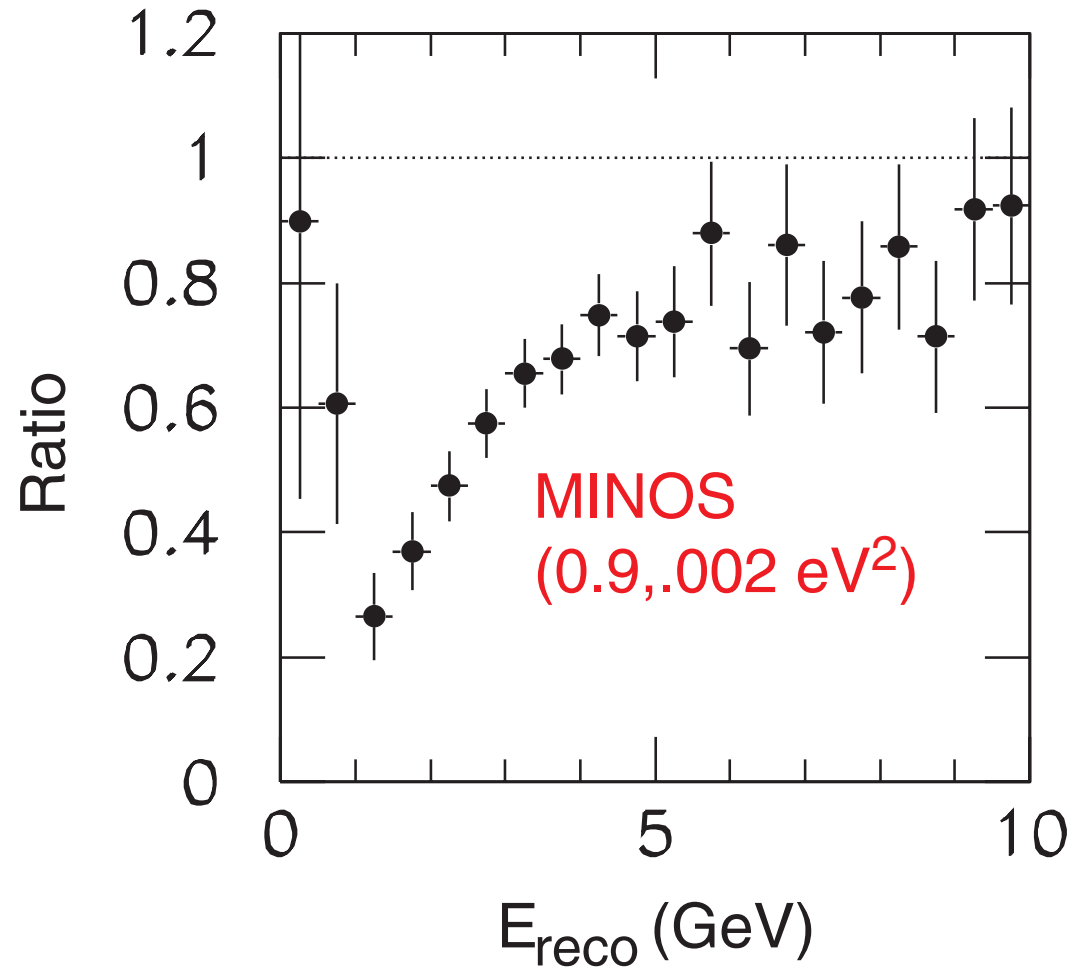
- distortion in the neutrino energy spectrum
- reduction in the number of events

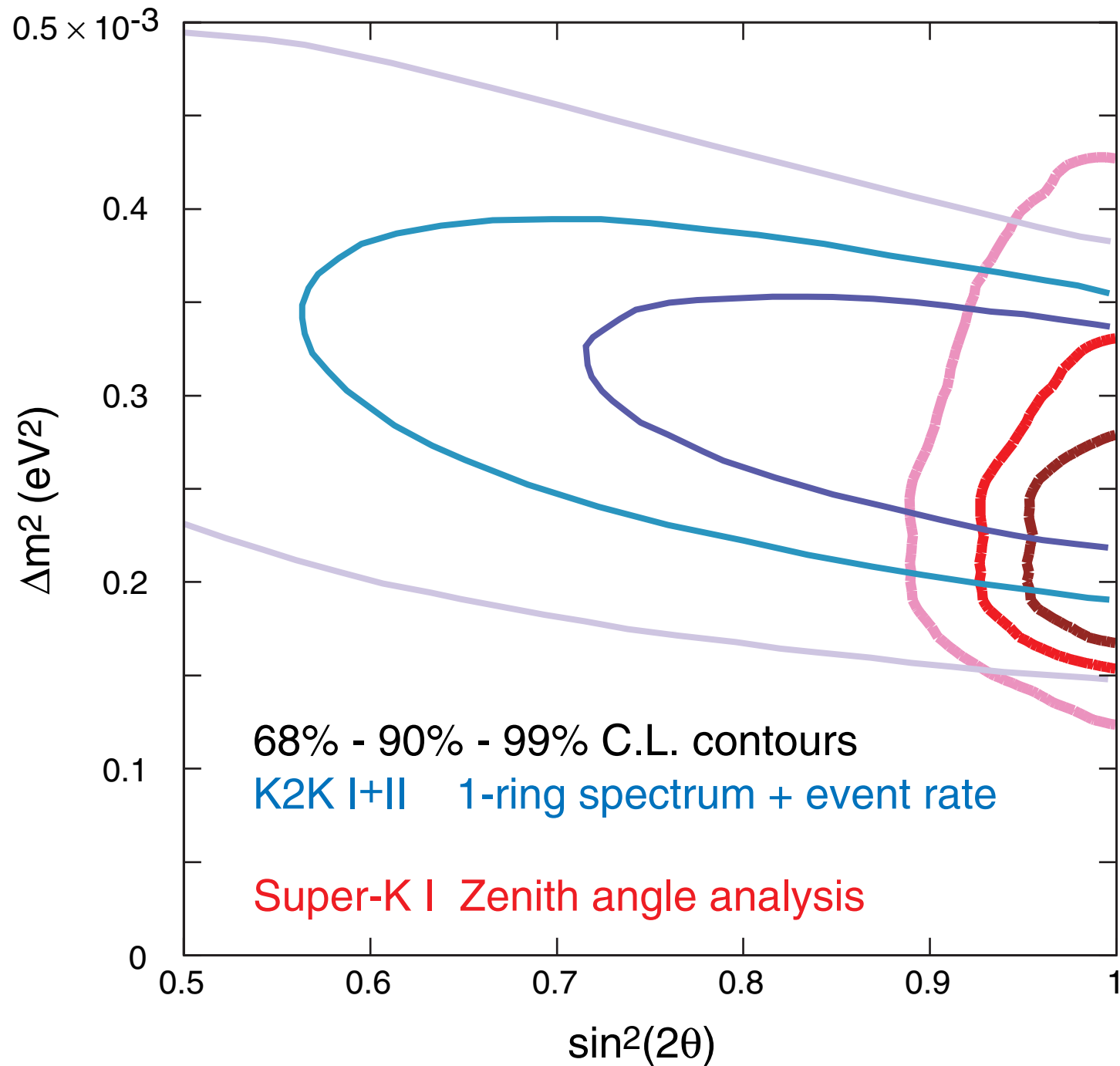


K2K results

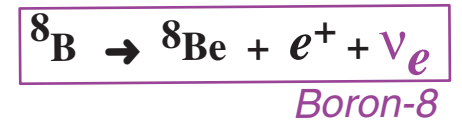
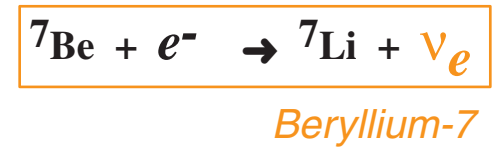
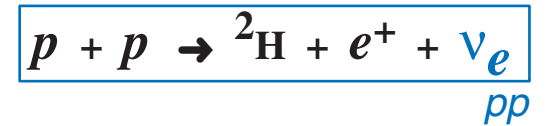
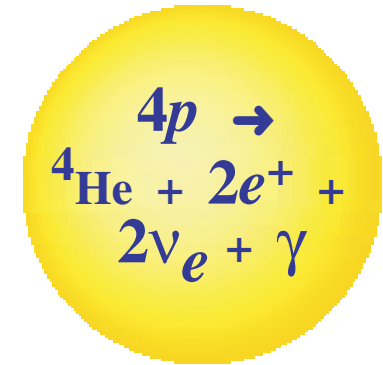
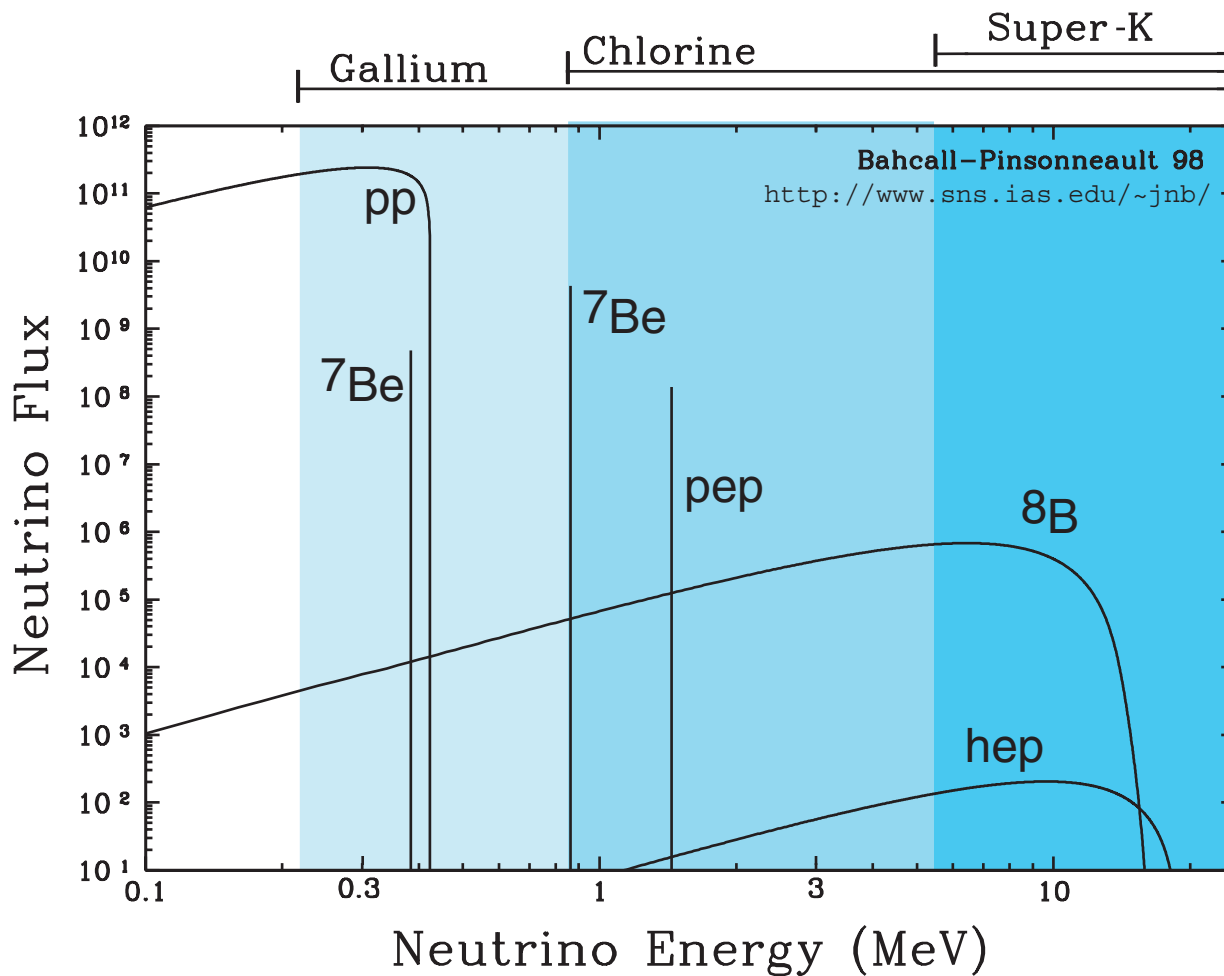


MINOS expectation



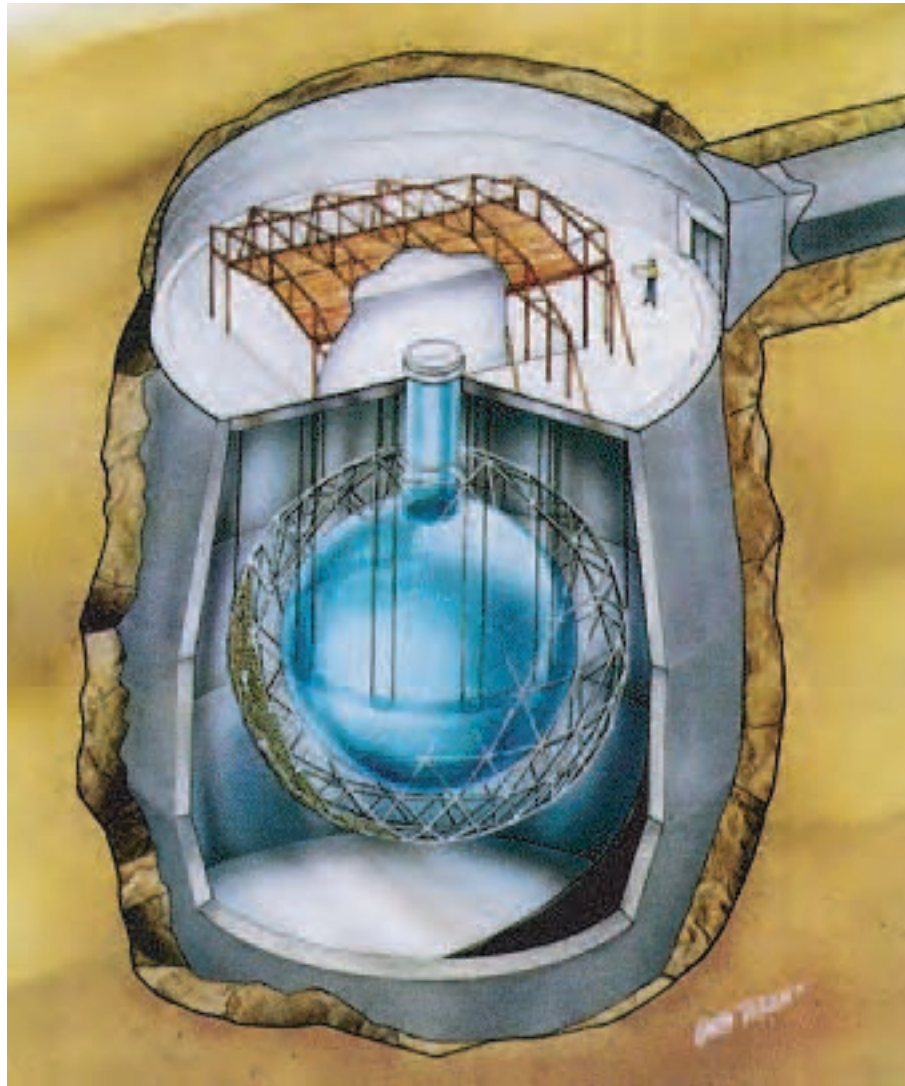


Solar Neutrinos



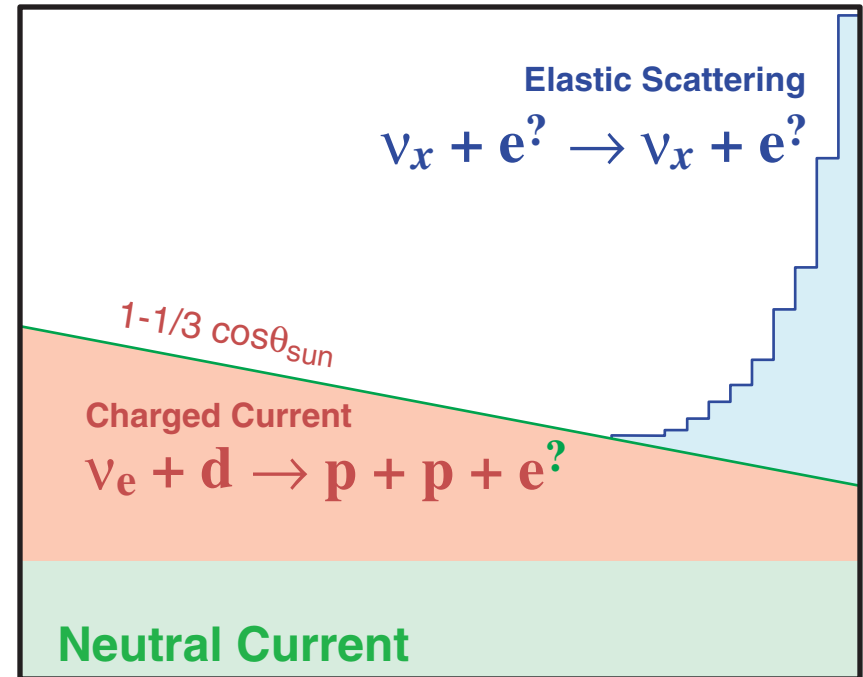
$$\frac{\text{Flux measured}}{\text{Flux predicted}} = 0.58 \pm 0.06 \quad \text{Gallium} = 0.33 \pm 0.09 \quad \text{Chlorine} = 0.47 \pm 0.01 \quad \text{Water}$$

SNO: Sudbury Neutrino Observatory



- 2073 m underground (~70 cosmic rays/day)
- 1 kton heavy water (D₂O)
- 9500 20-cm photomultiplier tubes
- separately measure ν_e from $\neq \nu_e$

Angle between ν and sun



n-capture on d

n-capture on Cl
 (add MgCl)

n-capture on ³He
 (add ³He counters)

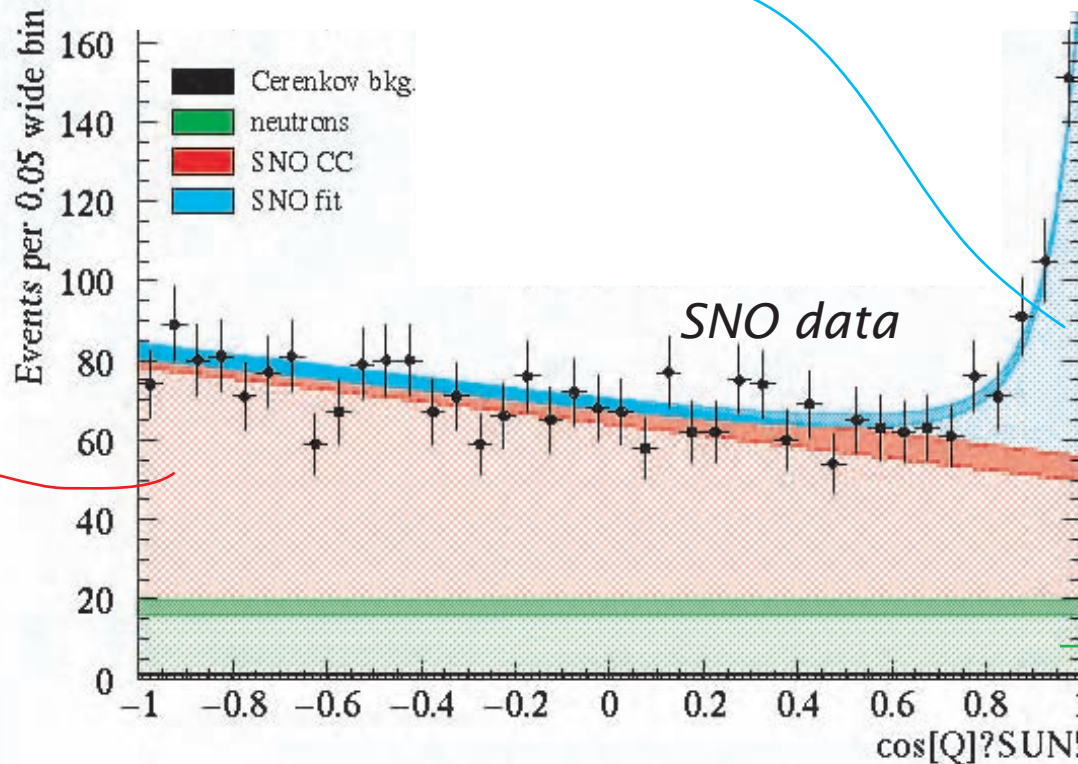
$x = e \text{ or } \mu \text{ or } \tau$

Note!
 so deep underground
 and clean
 that there is
 nearly no
 radioactive
 background

SNO Results

elastic scattering:
 mostly ν_e + some $\nu_\mu + \nu_\tau$

charged
 current:
 all ν_e



neutral current:
 $\nu_e + \nu_\mu + \nu_\tau$

ν_e only: $\Phi_{CC} = 1.68^{+0.06}_{-0.06} (stat.)^{+0.08}_{-0.09} (sys.) \times 10^6 cm^{-2} s^{-1}$

$\Phi_{ES} = 2.35^{+0.22}_{-0.22} (stat.)^{+0.15}_{-0.15} (sys.) \times 10^6 cm^{-2} s^{-1}$

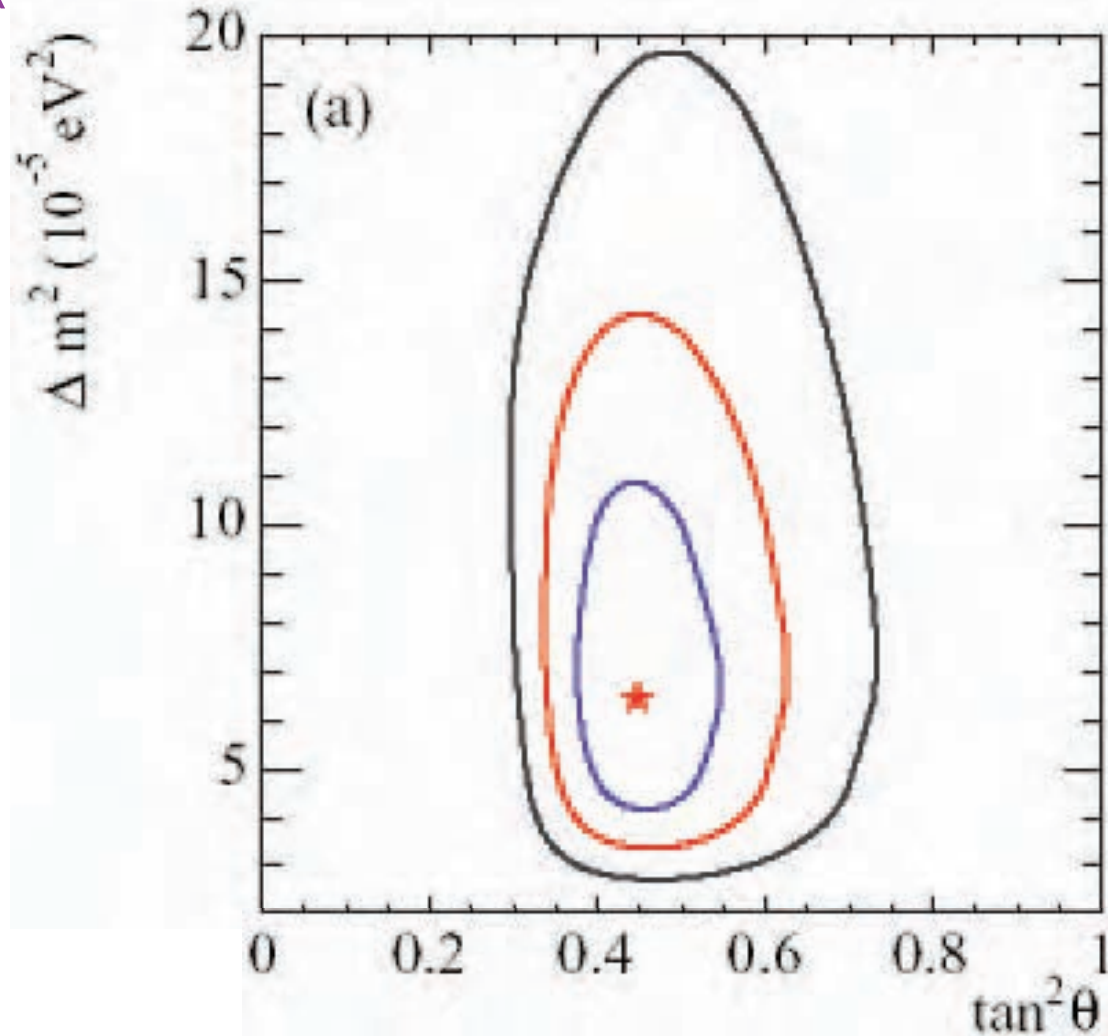
$\nu_e + \nu_\mu + \nu_\tau$: $\Phi_{NC} = 4.94^{+0.21}_{-0.21} (stat.)^{+0.38}_{-0.34} (sys.) \times 10^6 cm^{-2} s^{-1}$

$\Phi_{BP04} = 5.82 \pm 1.34 \times 10^6 cm^{-2} s^{-1}$

} \neq

Solar Result

(SNO+SK+Homestake+GNO+GALLEX+SAGE)



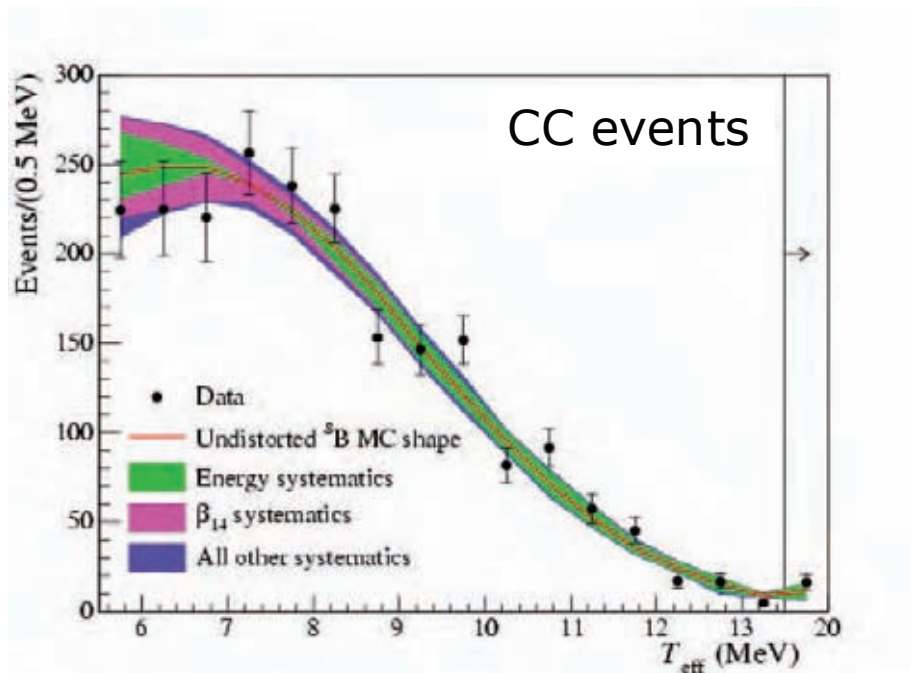
$$\Delta m_{12}^2 = 6.5_{-2.3}^{+4.4} \times 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta_{12} = 0.45_{-0.08}^{+0.09}$$

large mixing
(but not maximal)

Where's the Smoking MSW Gun?

(besides SNO's NC/CC of course)

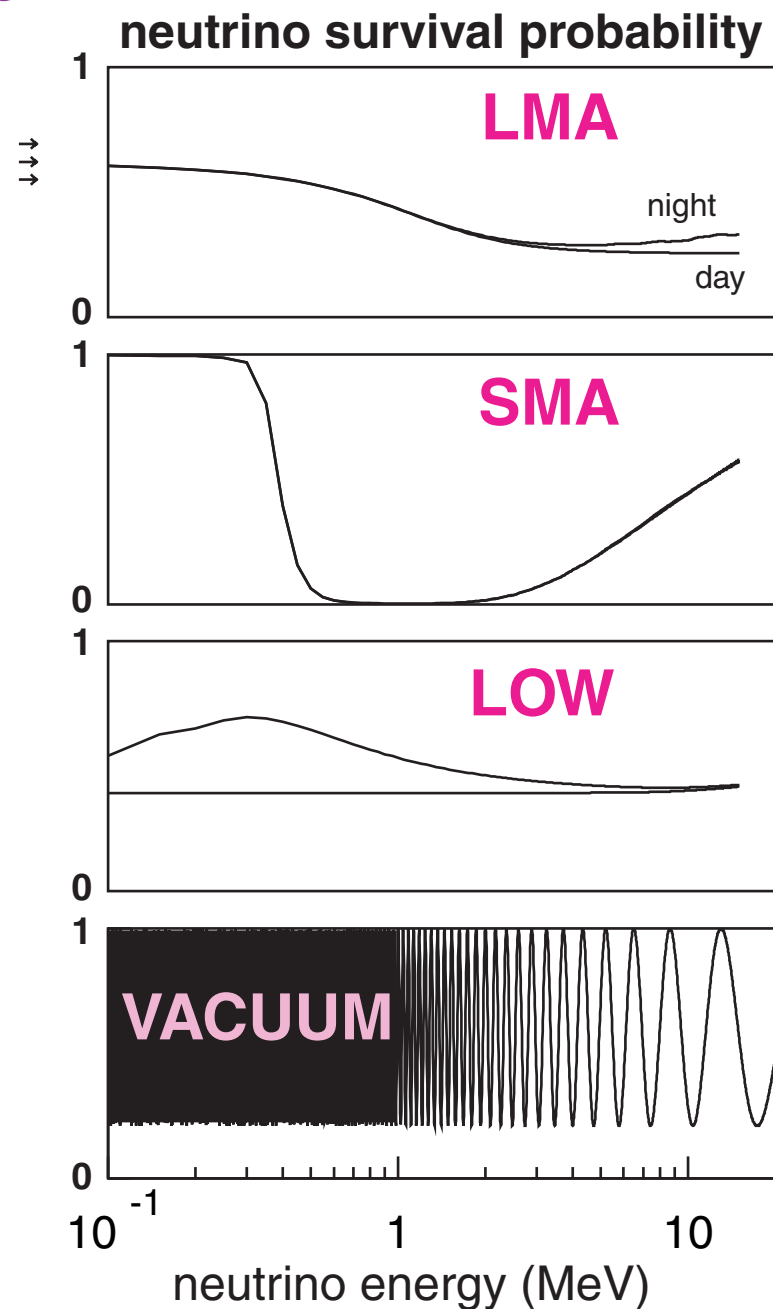


SK also sees no significant spectral distortion

$$\text{SK day/night asymmetry} = 0.021 \pm 0.020$$

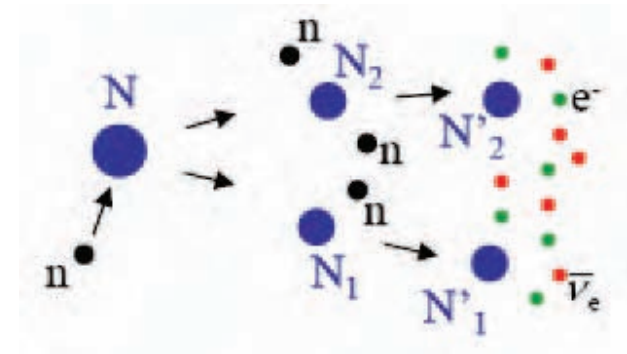
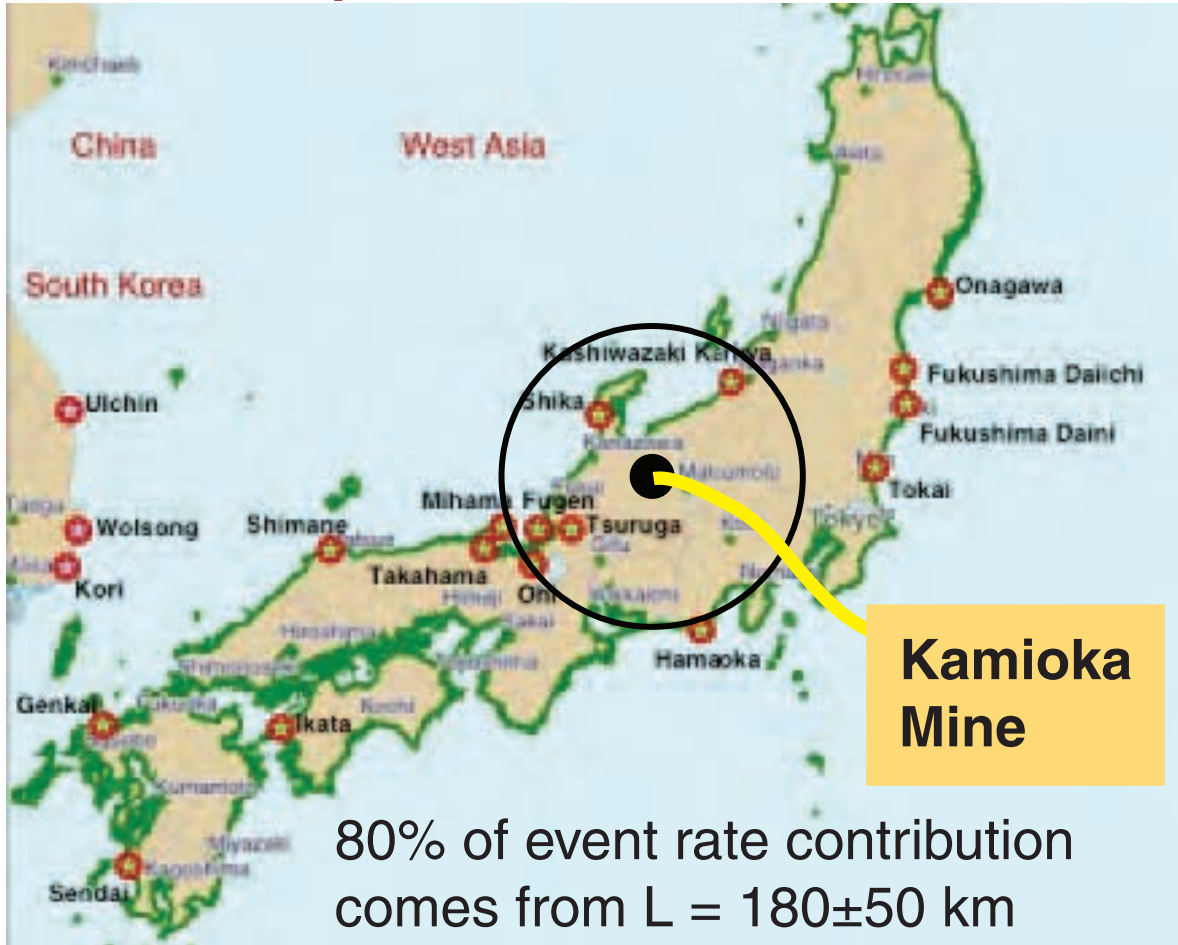
$$\text{SNO day/night asymmetry} = 0.037 \pm 0.040$$

no seasonal variation except $1/r^2$

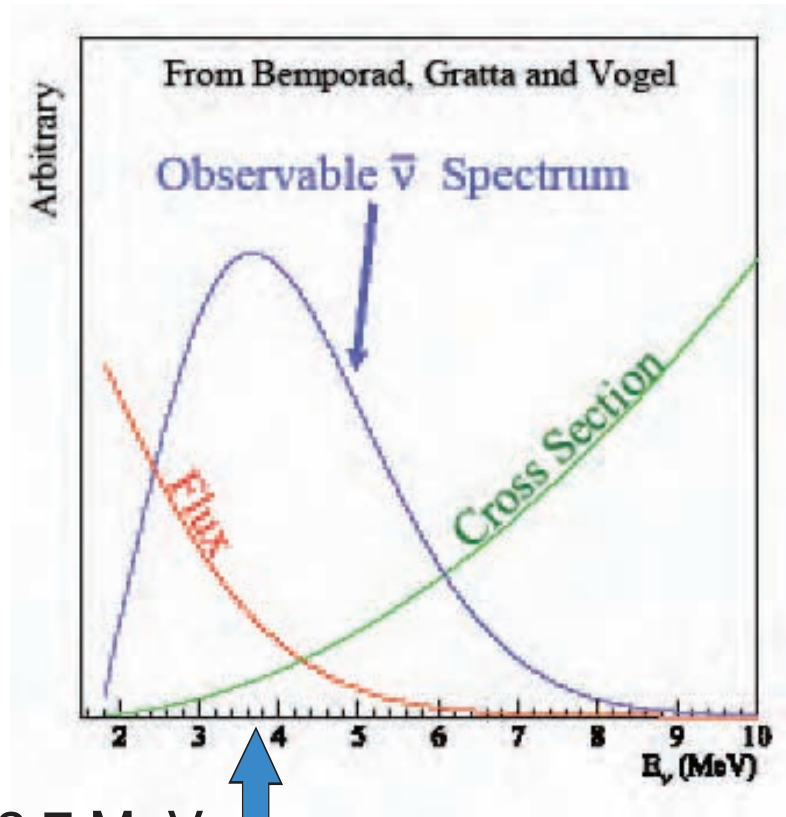


KamLAND Reactor Experiment

Japanese Power Reactors



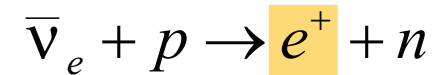
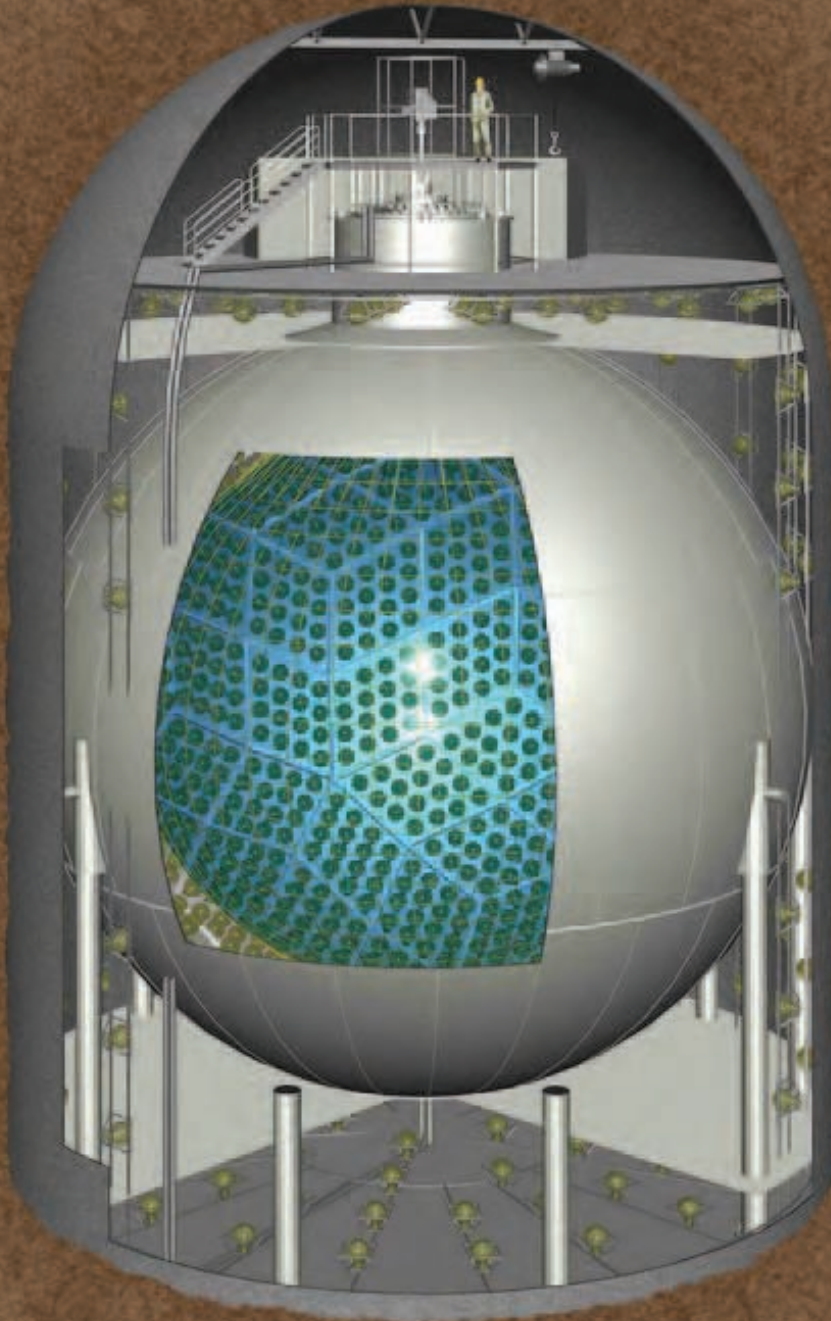
$\sim 6 \bar{\nu}_e$ /fission



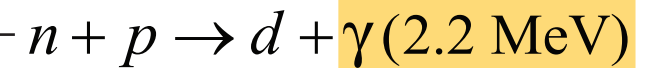
$E \sim 3.7$ MeV

KamLAND Detector

1000 ton liquid scintillator
in plastic balloon
surrounded by mineral oil
viewed by 1879 PMTs
in stainless steel sphere
shielded by active water Cherenkov



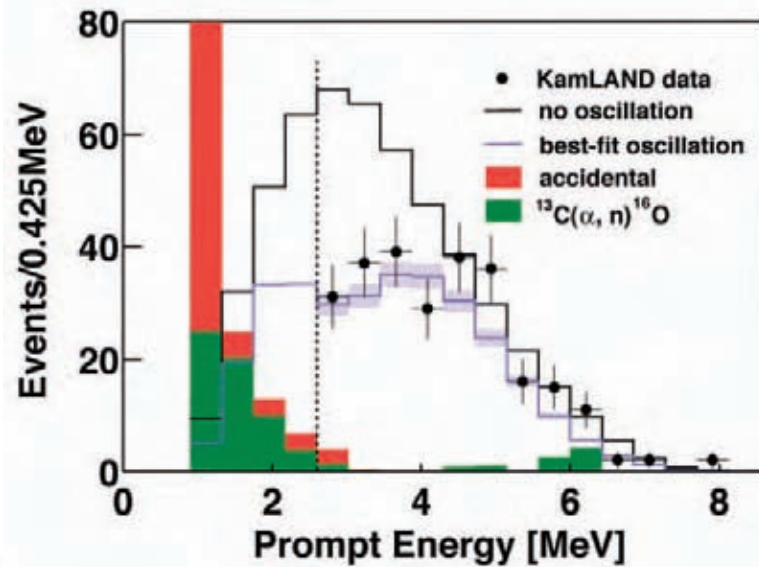
$$E_{\text{thresh}} = 1.8 \text{ MeV}$$



$$\tau \sim 210 \text{ } \mu\text{sec}$$

~ 1000 events/yr

KamLAND Results

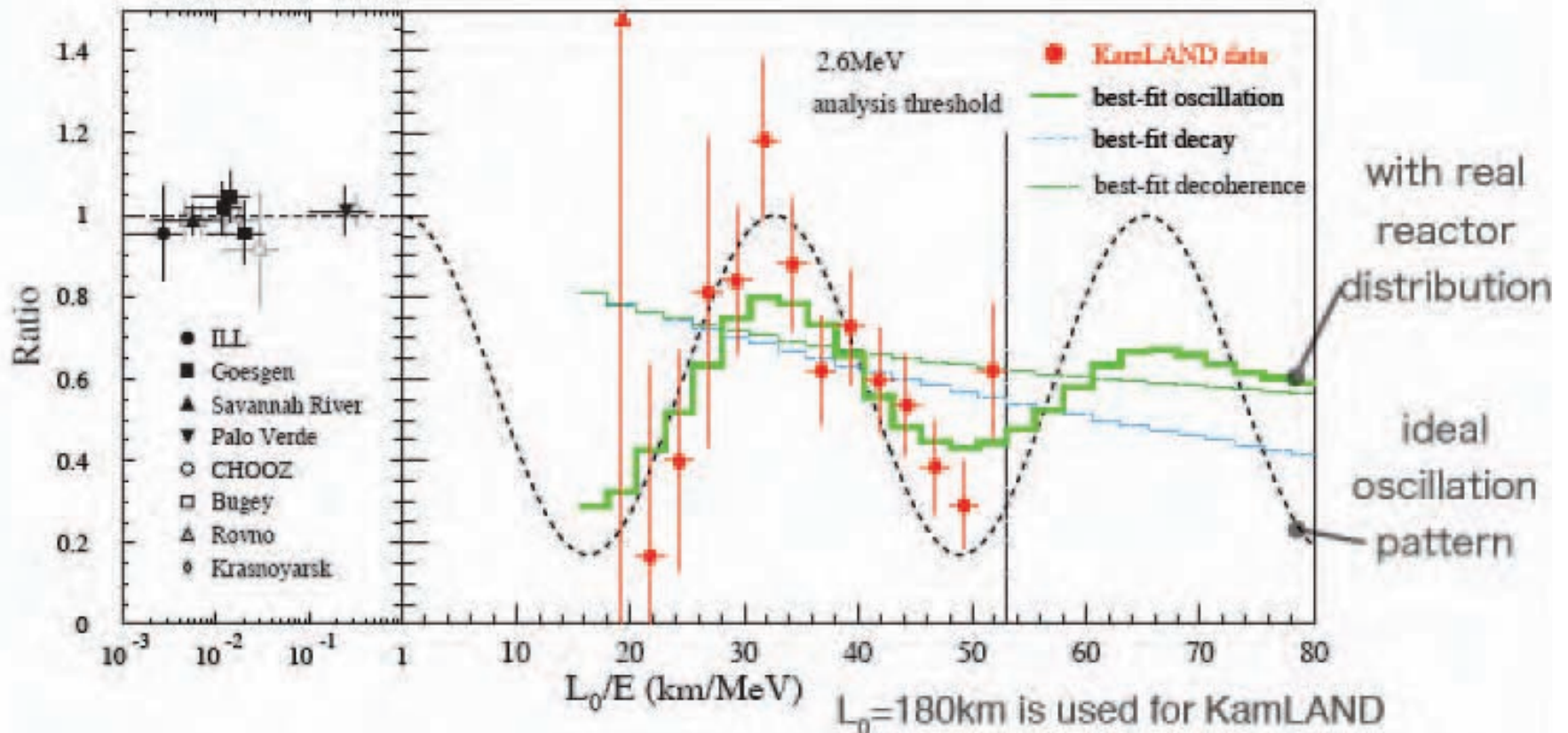


expected = 365.2 ± 23.7 events
 observed = 258 events
 background = 17.8 ± 7.3 events

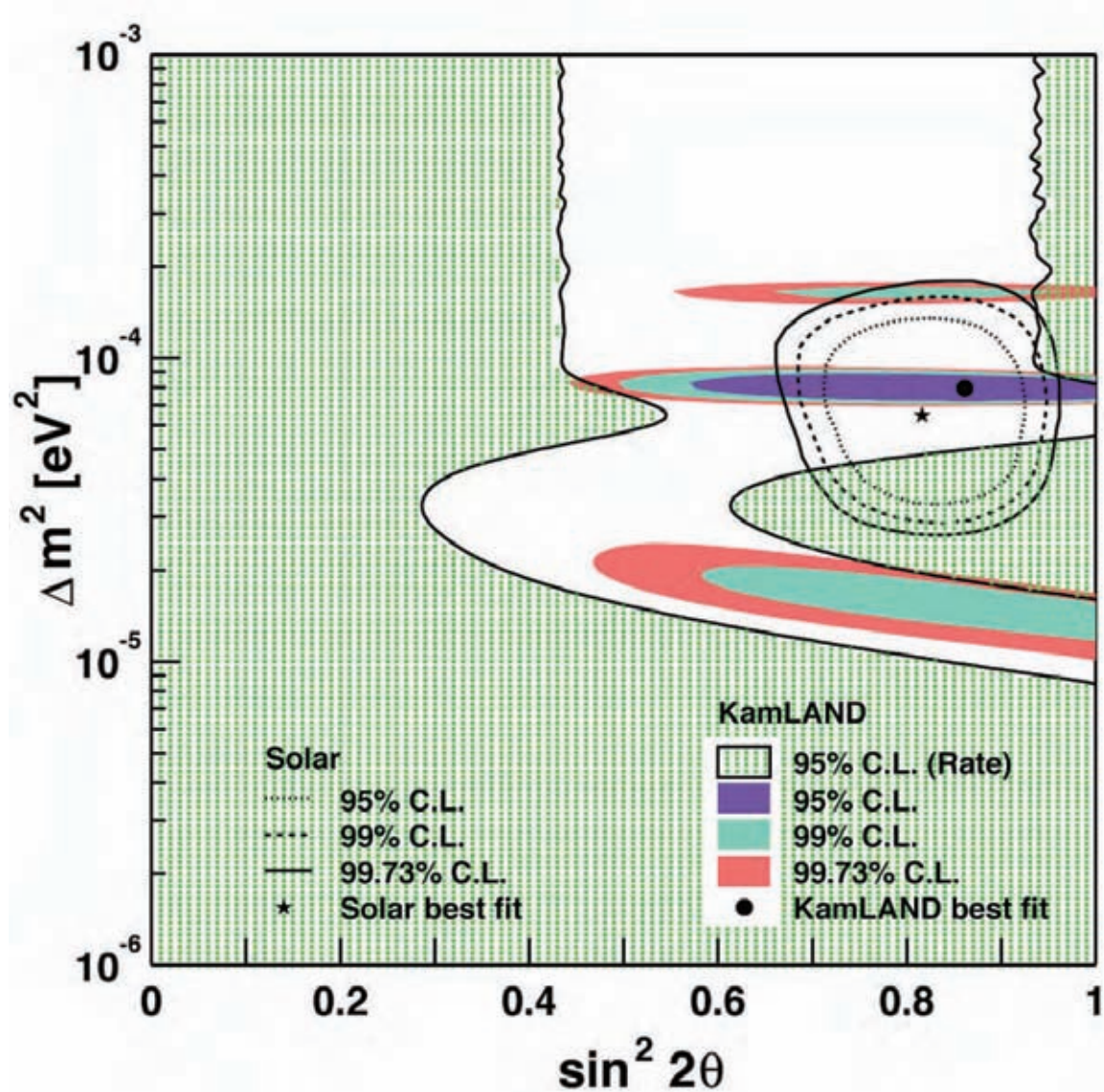
best fit:

$$\Delta m^2 = 7.9 \times 10^{-5} \text{ eV}^2$$

$$\sin^2 2\theta = 0.863$$

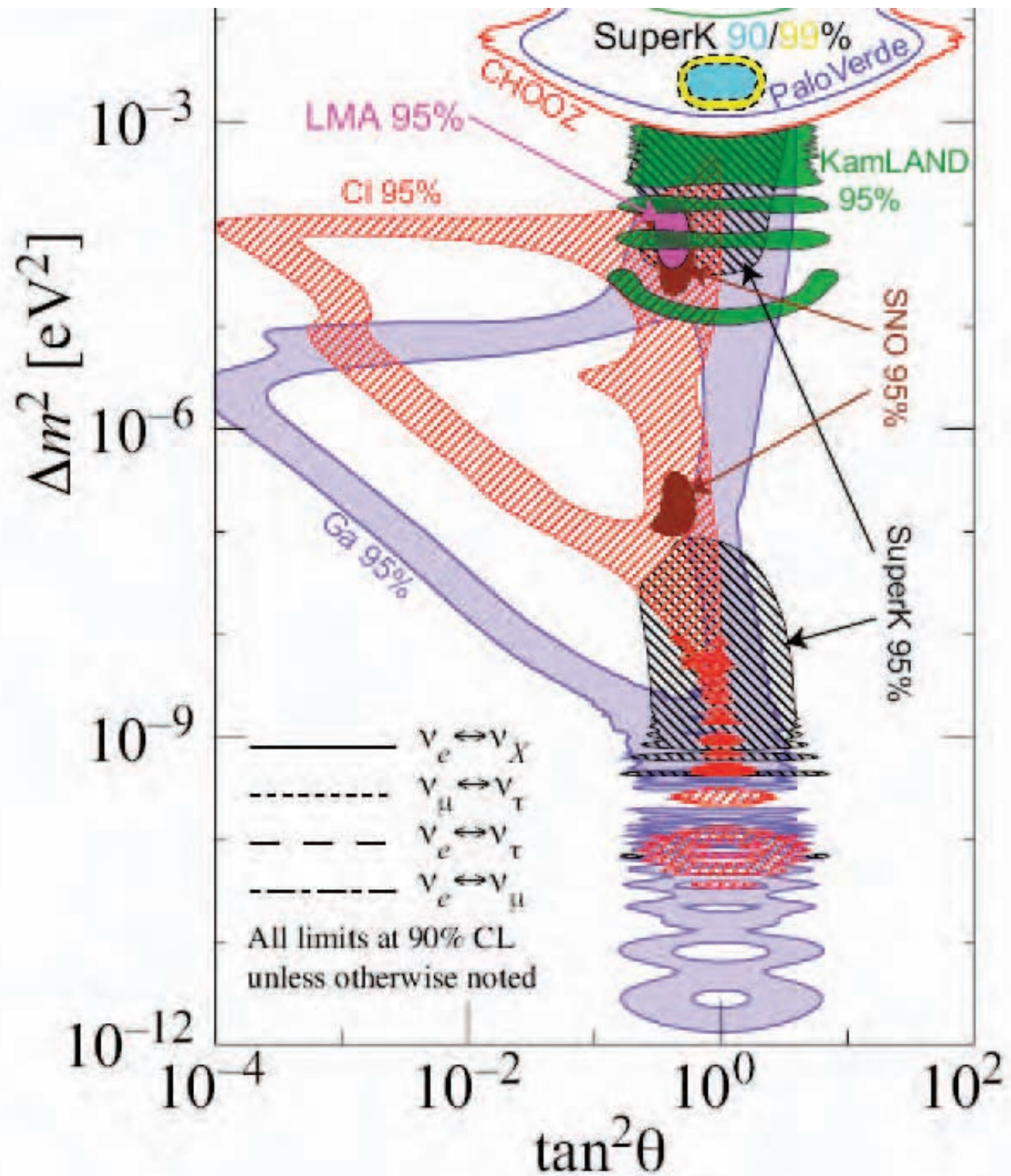


KamLAND compared with Solar

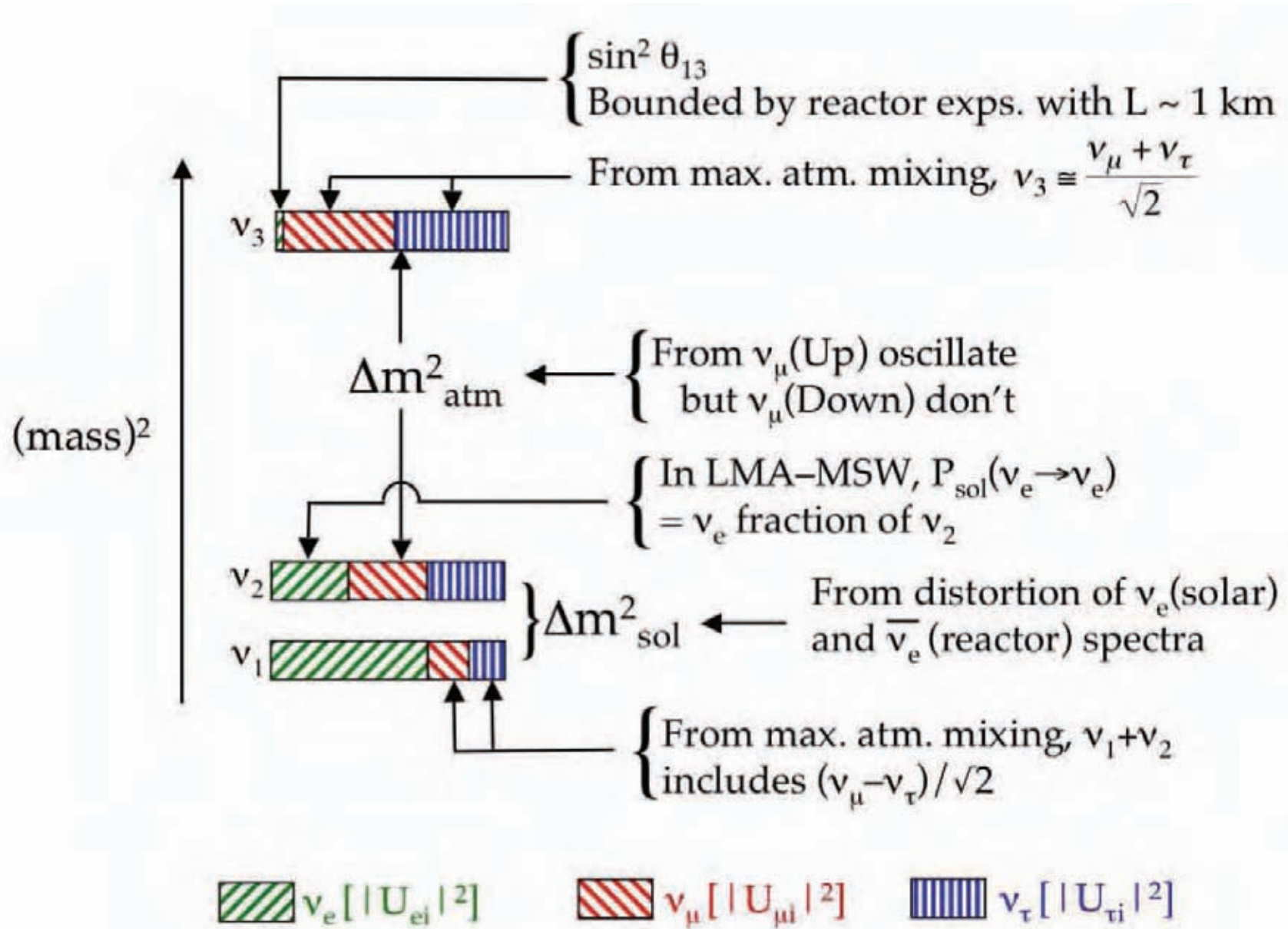


KamLAND measurement is based on vacuum oscillation; solar survival probability relies on matter effect. Important comparison!

IF YOU
CAN
UNDERSTAND
THIS PLOT
YOU ARE
STANDING
TOO
CLOSE



Current Picture of Neutrino Mass and Mixing



A Useful Parametrization of the PMNS Matrix

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\theta_{23} \sim \theta_{atm.} \approx 45^\circ$$

$$\theta_{13} < 12^\circ$$

$$\theta_{12} \sim \theta_{solar} \approx 32^\circ$$

δ is totally unknown

why is it like this ???

$$U_{CKM} = \begin{pmatrix} 1 & 0.2 & 0.005 \\ 0.2 & 1 & 0.04 \\ 0.005 & 0.04 & 1 \end{pmatrix}$$

quarks

$$U_{PMNS} = \begin{pmatrix} 0.8 & 0.5 & ? \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

neutrinos

What We Do Not Yet Know

- Are there only three neutrino states? (LSND)
- Can we really make an appearance experiment?
- What is the absolute mass scale?
- Are neutrinos their own antiparticle? (Majorana)
- What is the sign of the large Δm^2 ? (hierarchy $\overline{\overline{=}}$ or $\overline{=}$)
- What is the value of θ_{23} ? Is it truly maximal?
- What is the value of θ_{13} ? Is it really zero?
- What is the value of δ ?

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- What is the sign of the large Δm^2 ? (heirarchy $\overline{\overline{\quad}}$ or $\overline{\overline{\quad}}$)
 - GUTs like this!
 - $0\nu\beta\beta$ expts wish for this.
- What is the value of θ_{23} ? Is it truly maximal?
- What is the value of θ_{13} ? Is it really zero? must be > 0 to see CP violation
- What is the value of δ ? CP violation!

GUTs
want to
know!

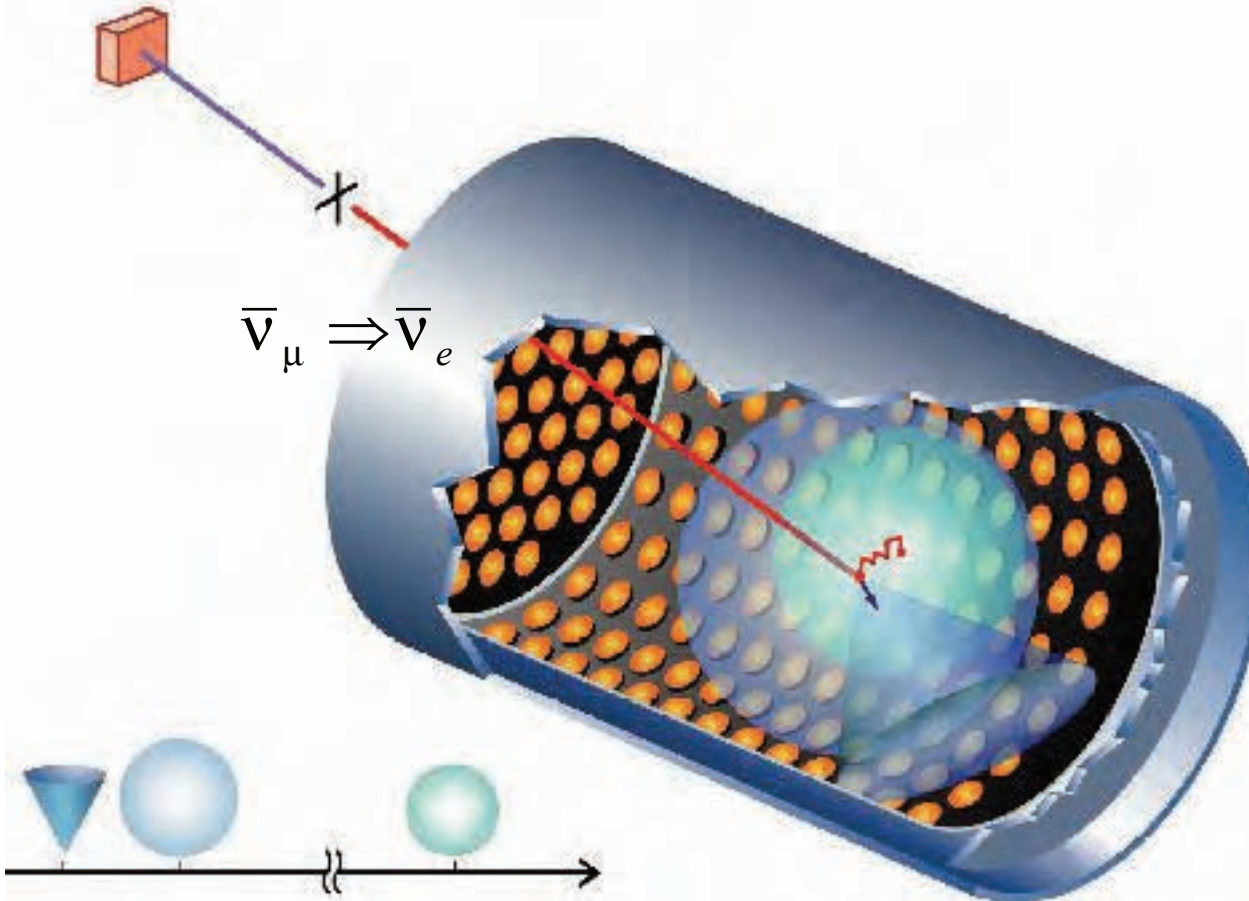
$$P(\nu_{\mu} \rightarrow \nu_e) \neq P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e)$$

LSND Experiment

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

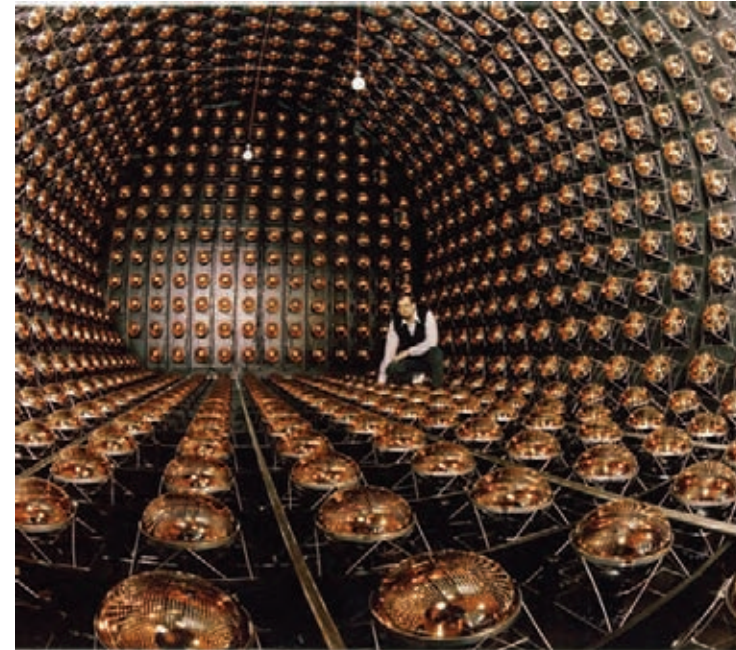
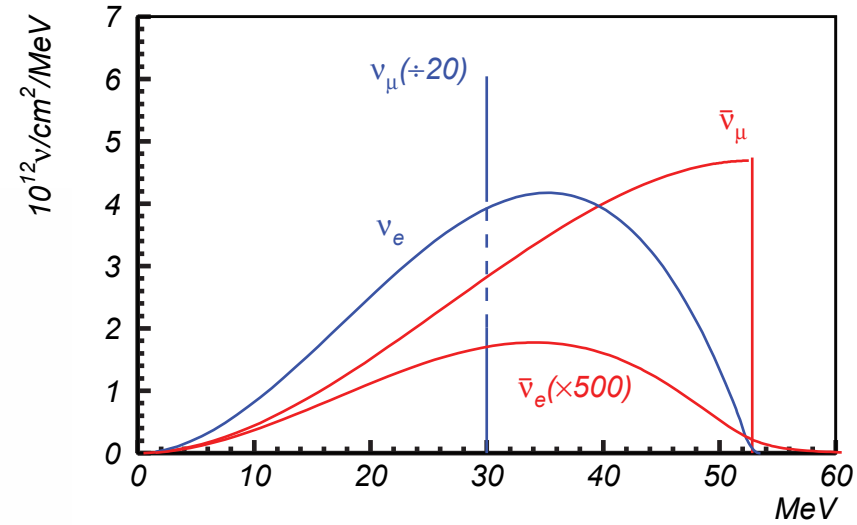
$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

stopped pion beam \Rightarrow
decay-at-rest spectra:



$$\bar{\nu}_e + p \rightarrow e^+ + n$$

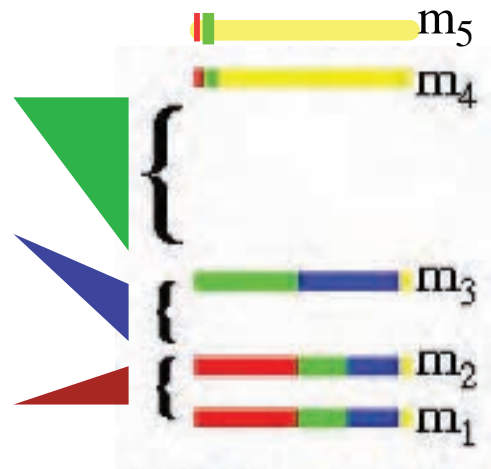
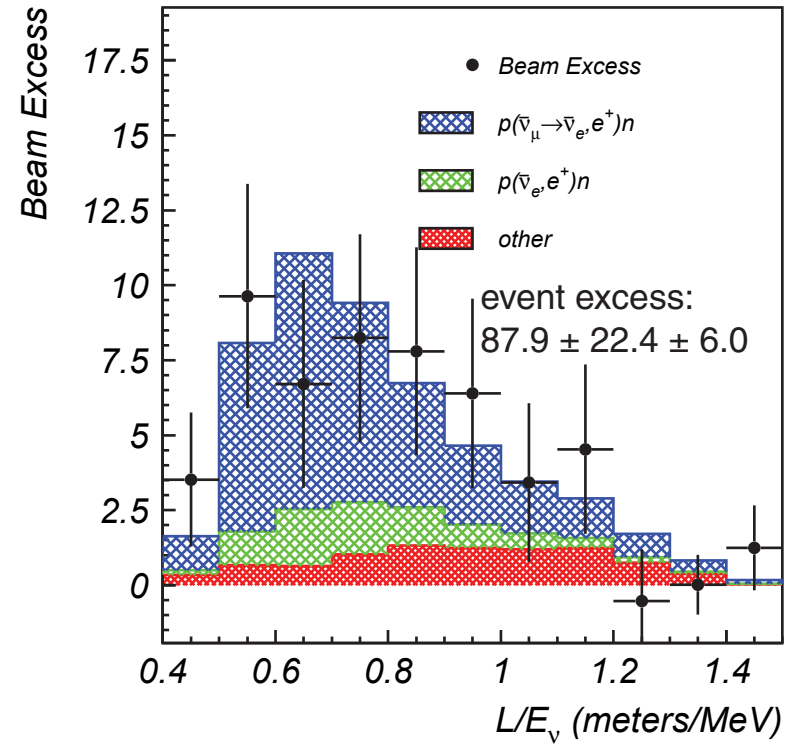
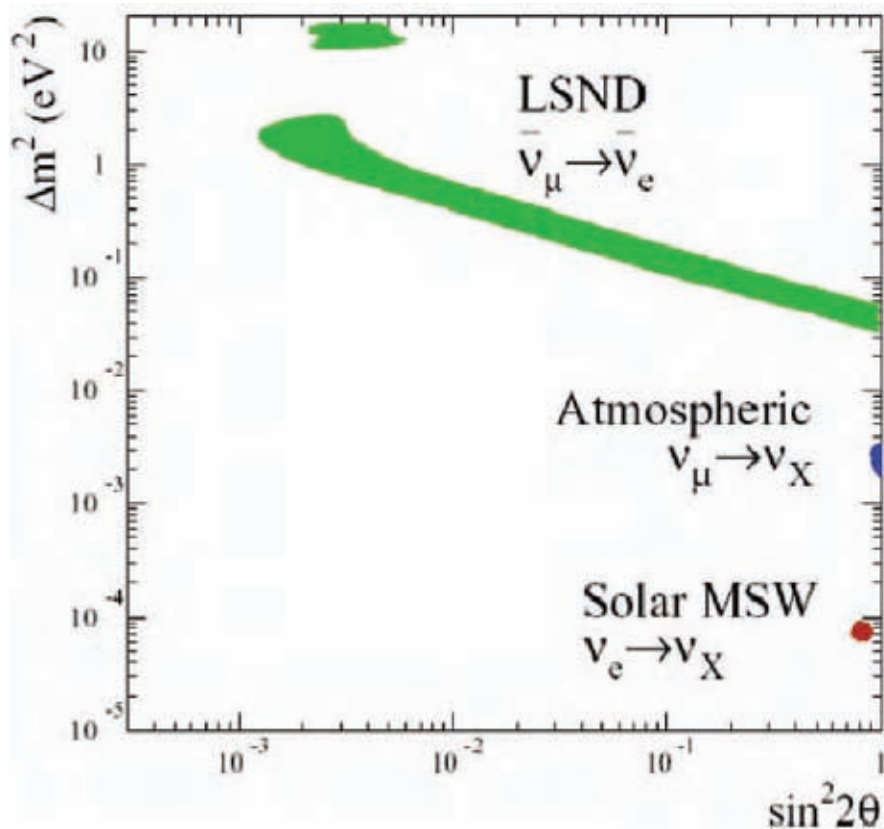
$$n + p \rightarrow d + \gamma(2.2 \text{ MeV})$$



LSND Result

a fourth oscillation signature (with active neutrinos!) is a problem

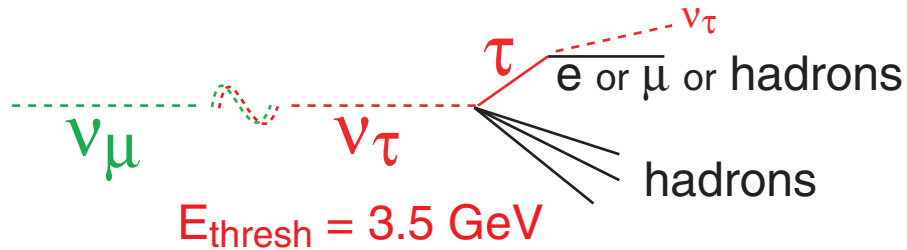
will be tested by mini-BooNE this year



$$\Delta m_{ij}^2 \equiv m_j^2 - m_i^2$$

$$\Delta m_{13}^2 = m_{12}^2 + m_{23}^2$$

Tau Appearance



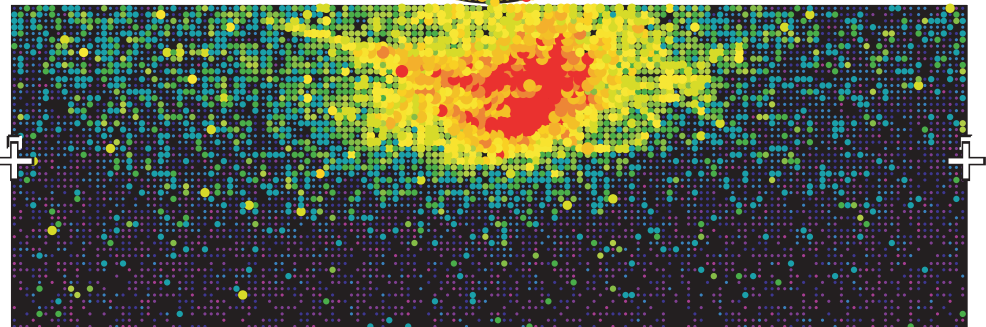
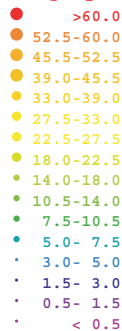
expect ~100 events

events have large visible energy ($> 2 \text{ GeV}$)

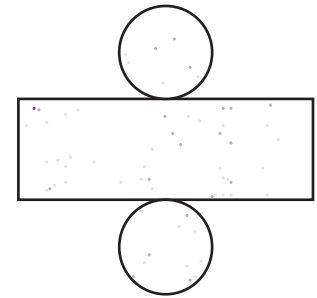
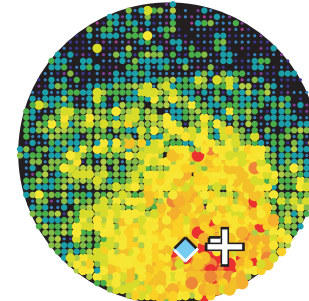
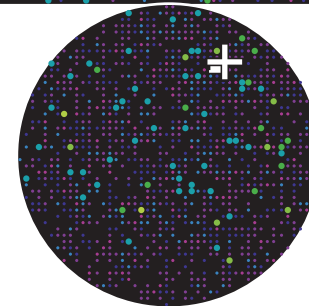
multiple rings
(not all may be reconstructed)

over threshold,
only upward-going neutrinos
have sufficient oscillation length

Charge (pe)



try it
at SK

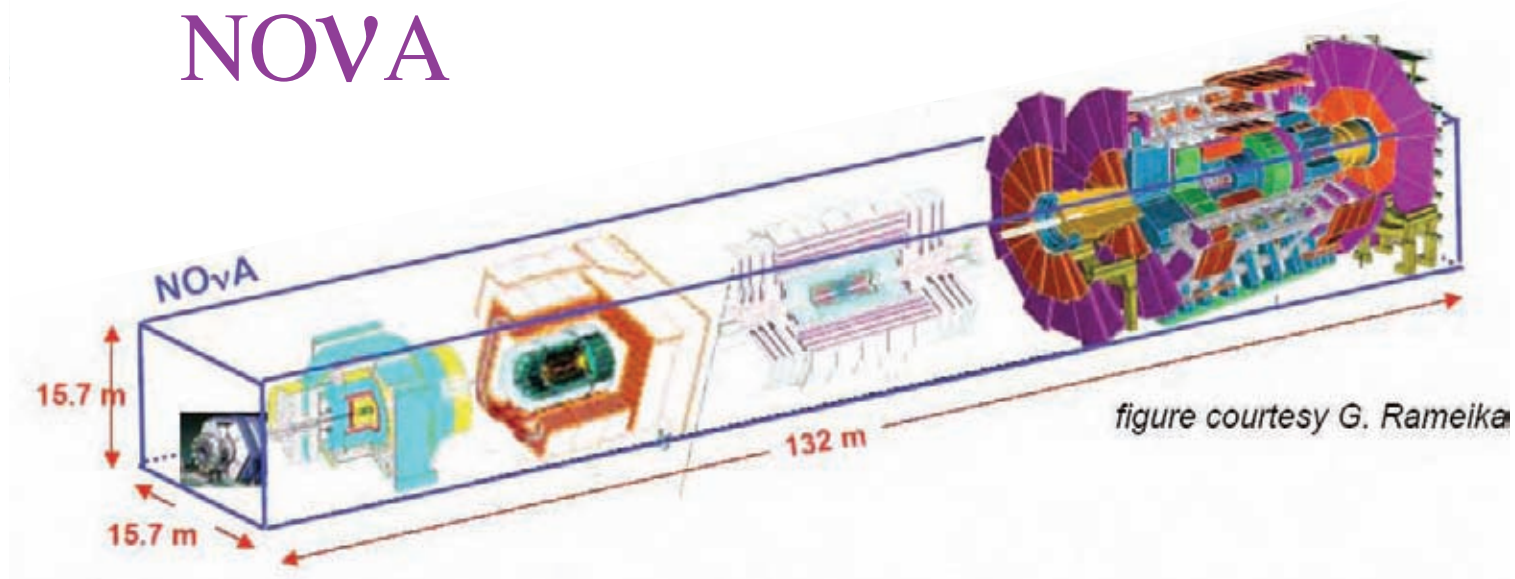


Tau appearance is special emphasis for CNGS (CERN-Gran Sasso) experiment OPERA
~ only a handful of events events, but with emulsion trackder to identify kink of tau decay

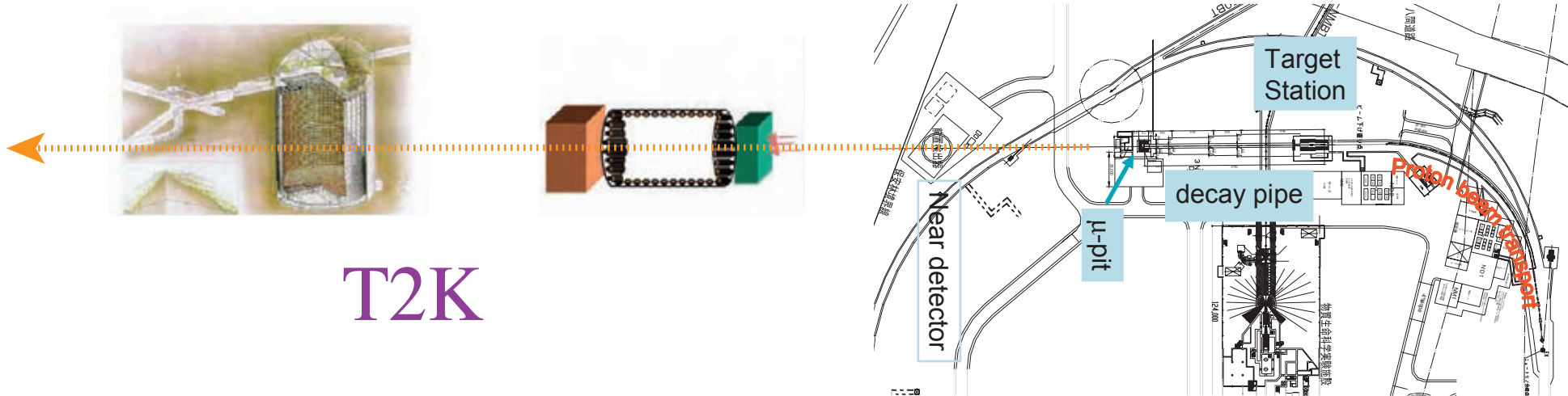
Off-Axis Experiments

Let's build a new detector off-axis from the existing NuMI beam!

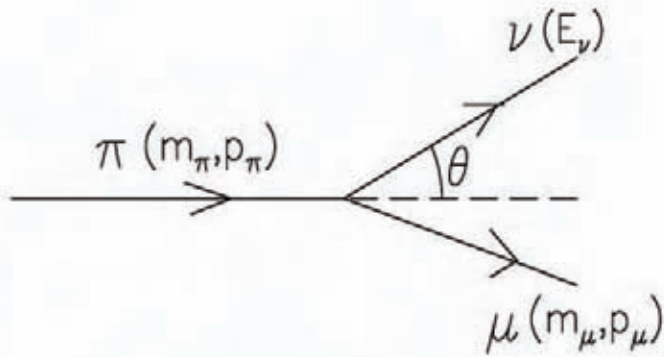
NOvA



Let's build a new beam off-axis to the existing Super-K detector!

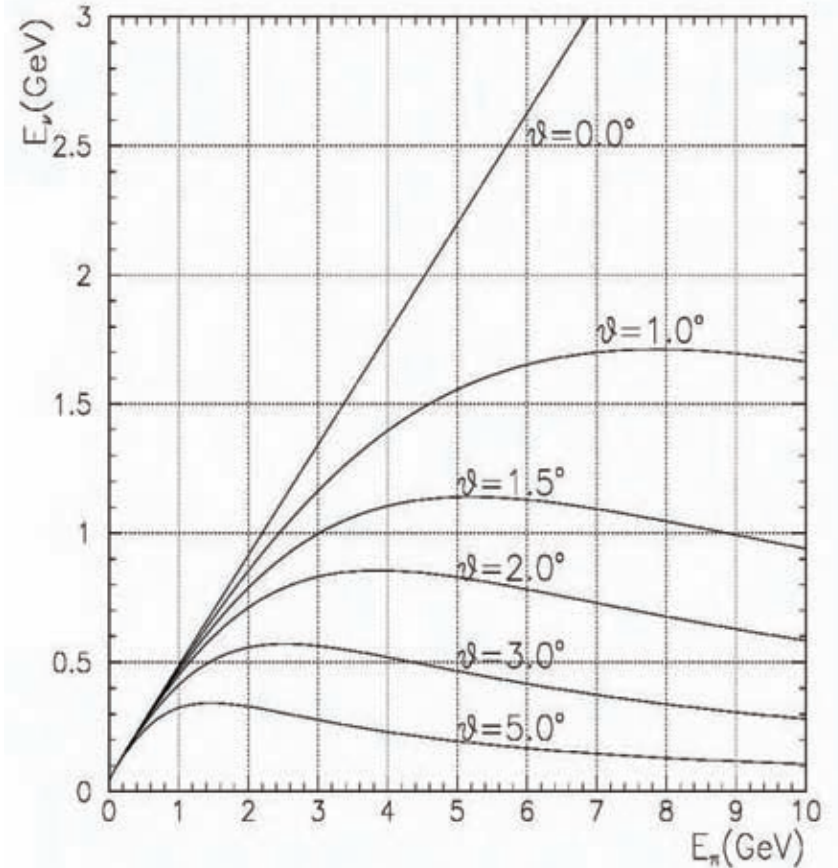
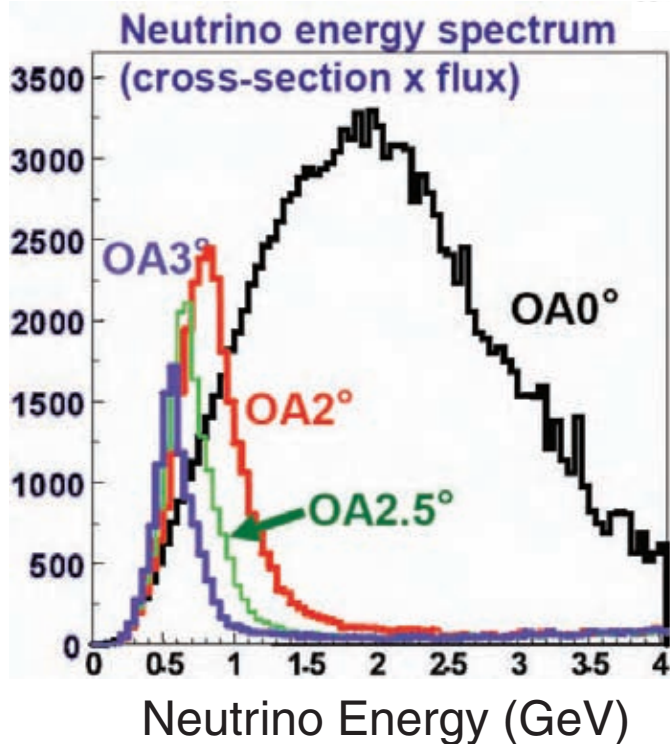


Off-axis kinematics



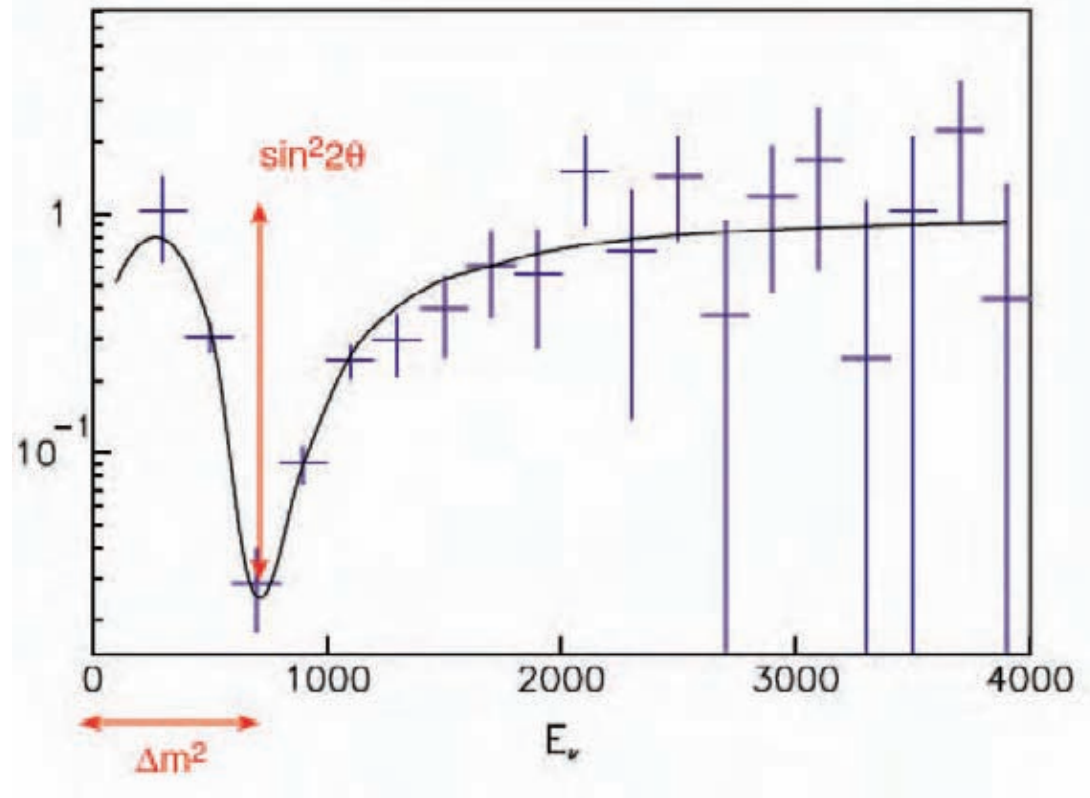
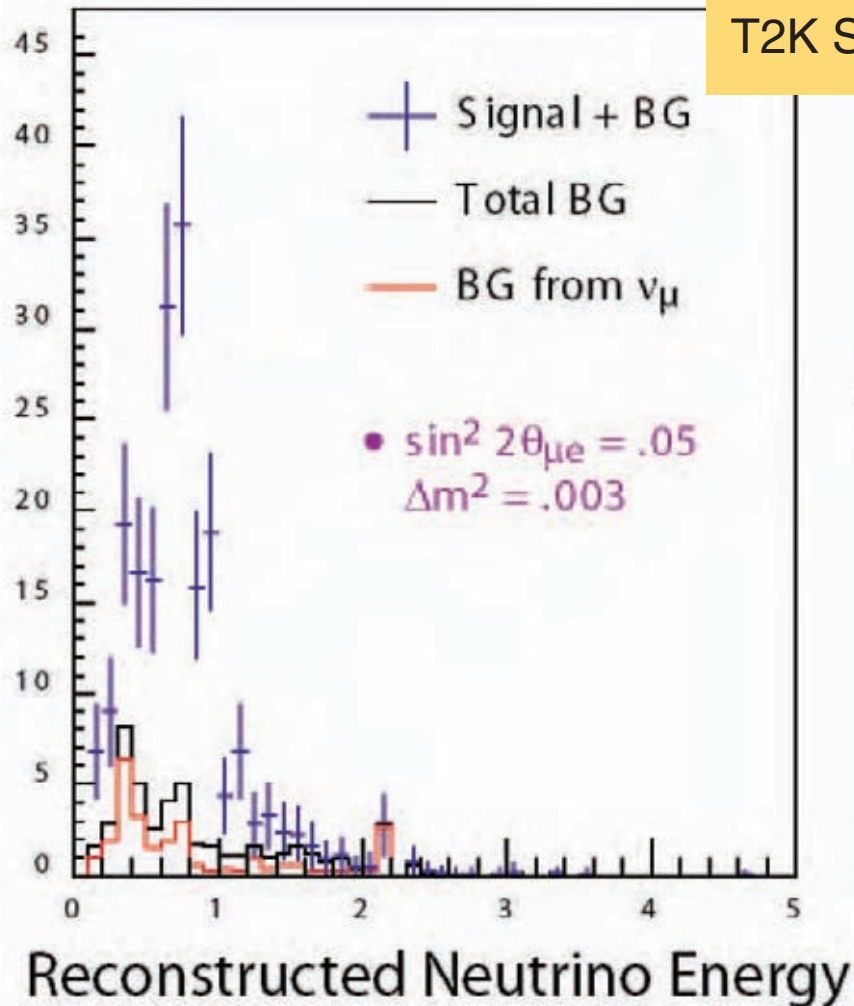
$$E_\nu = \frac{m_\pi^2 - m_\mu^2}{2(E_\pi - p_\pi \cos\theta)}$$

Angle chooses peak energy



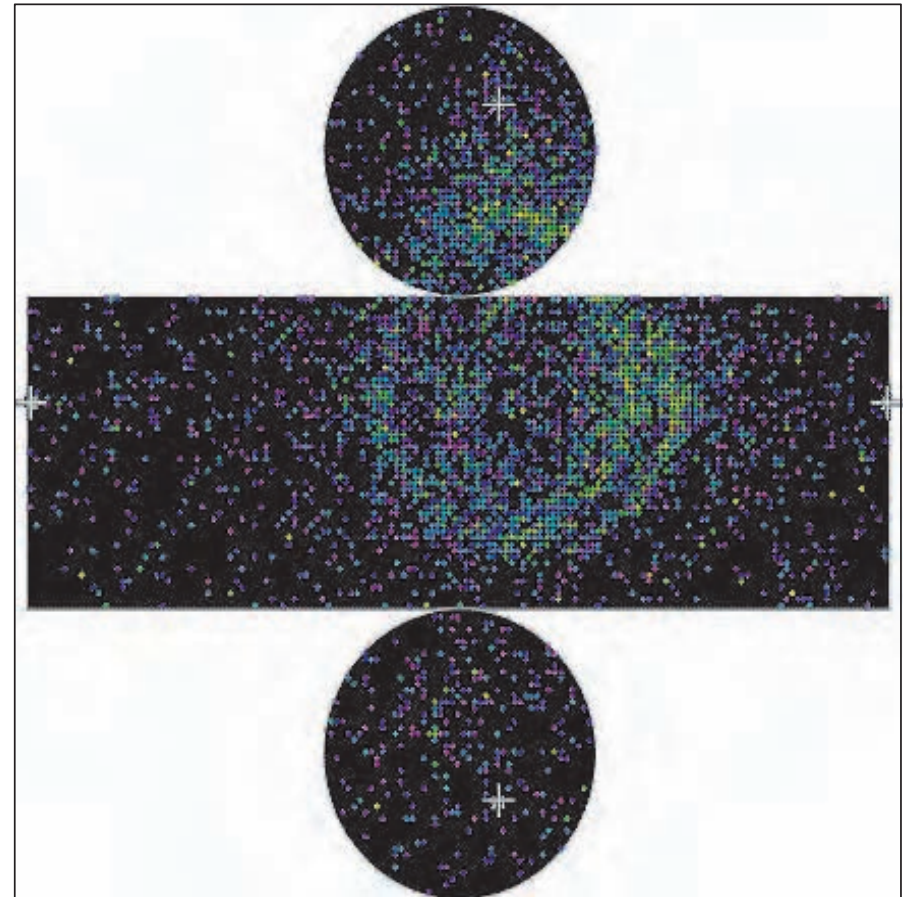
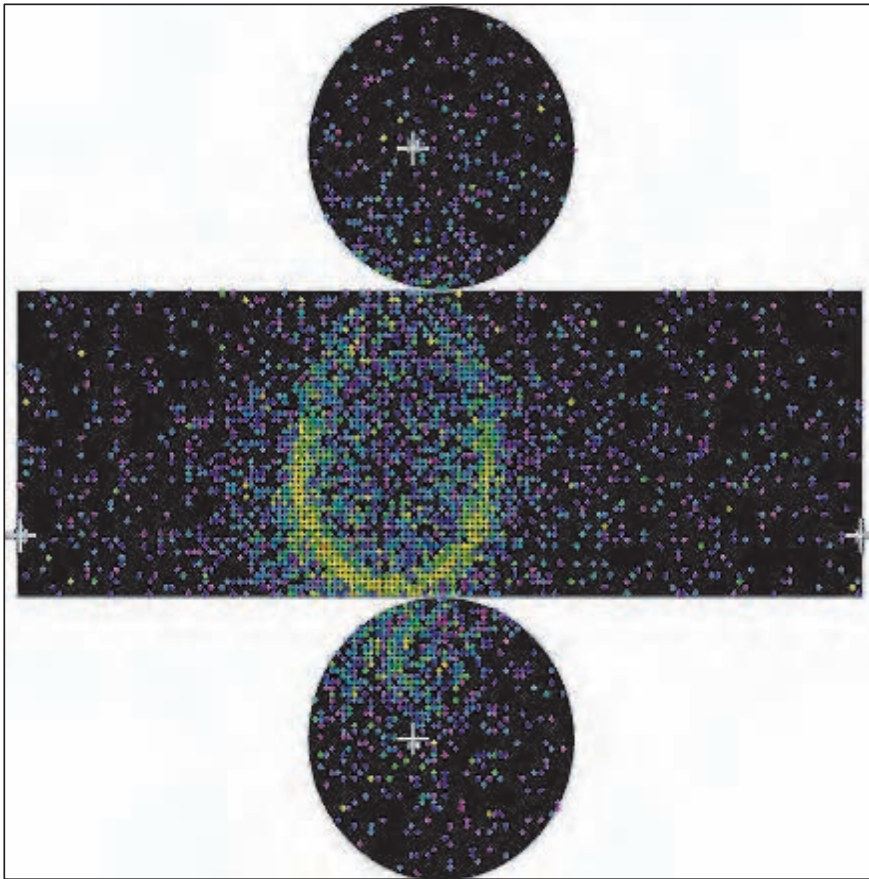
Electron Neutrino Appearance and Muon Neutrino Disappearance

T2K Simulated Results

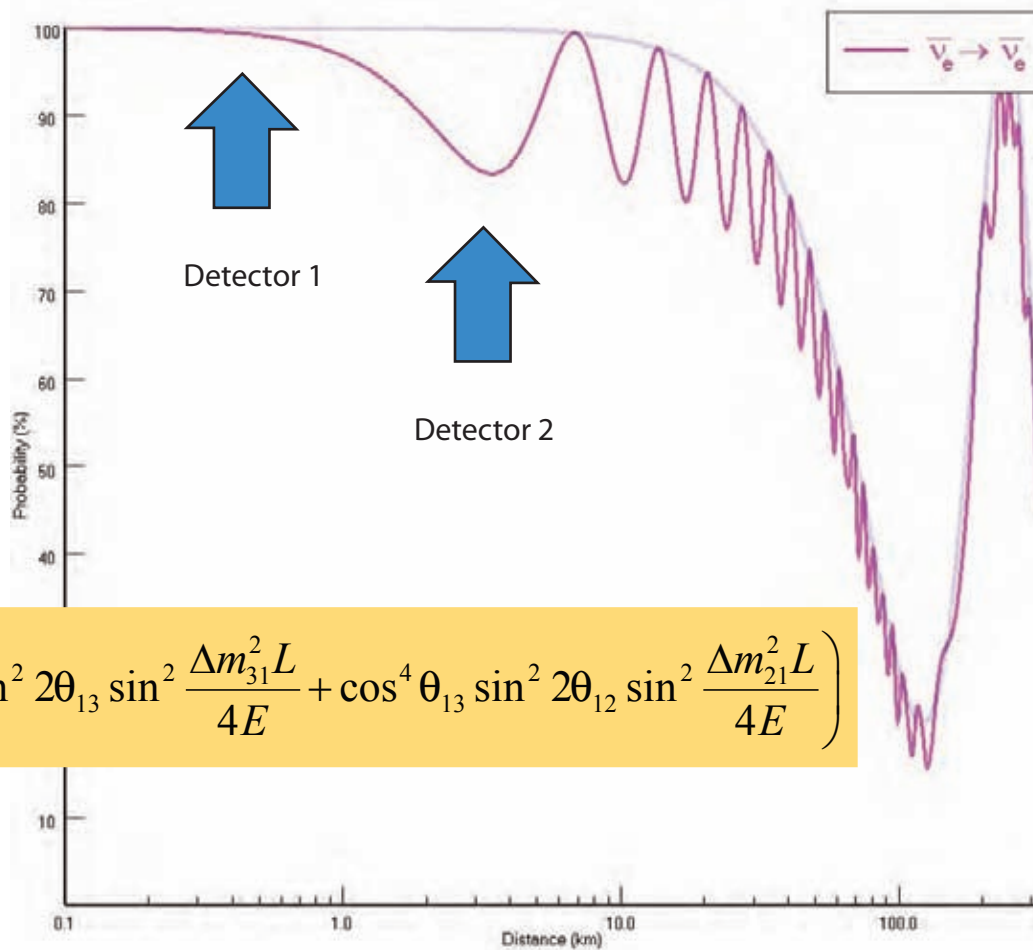
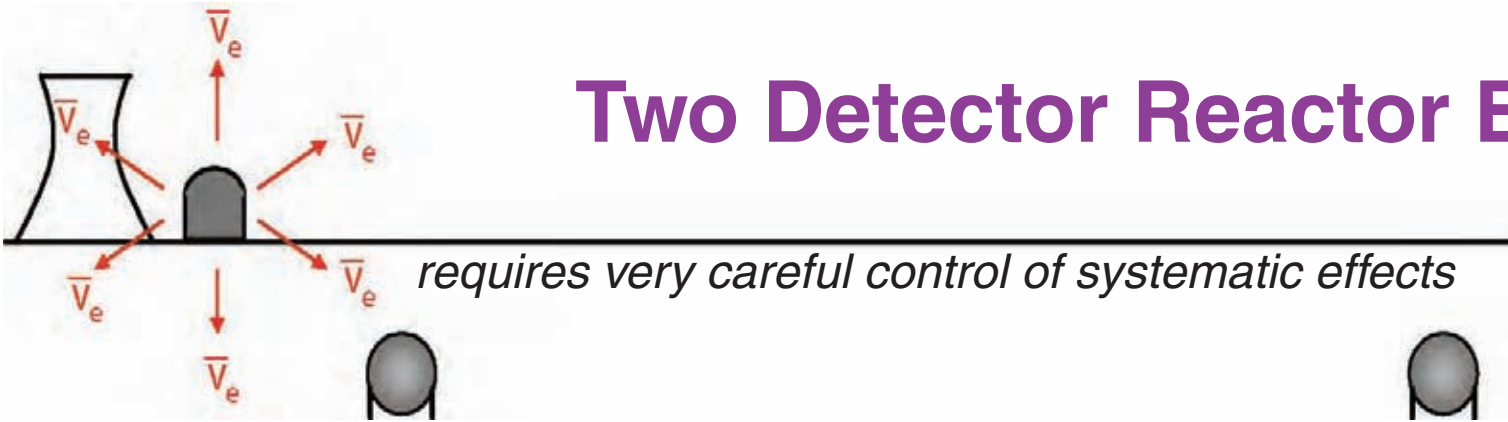


NOVA has comparable goals

Background is Single Pizero Events



Two Detector Reactor Experiment



$$P_{ee} \rightarrow 1 - \left(\sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \right)$$

Best case scenario:
small wiggles assume θ_{13} as large as allowed by CHOOZ limit

smaller values θ_{13} :
 P_{surv} recedes to the faint blue curve

must believably measure small difference in event rates

Several sites being considered:

Braidwood (IL)
Daya Bay (HK)
Chooz (France)

How We Might Know What We Do Not Yet Know

- Are there only three neutrino states? (LSND)
 - mini-BooNE (2005)
- Can we really make an appearance experiment?
 - CNGS, SK? (τ); T2K, NO ν A (e)
- What is the absolute mass scale?
 - KATRIN, $0\nu\beta\beta$, precision cosmology?
- Are neutrinos their own antiparticle? (Majorana)
 - Numerous $0\nu\beta\beta$ experiments being proposed
- What is the sign of the large Δm^2 ? (hierarchy $\overline{\overline{=}}$ or $\overline{=}$)
 - NO ν A + T2K
- What is the value of θ_{23} ? Is it truly maximal?
 - NO ν A, T2K
- What is the value of θ_{13} ? Is it really zero?
 - NO ν A, T2K, new reactor experiment
- What is the value of δ ?
 - upgraded off-axis experiments (eg. Hyper-K+4MW beam)

plus challenging proposed accelerators like β -beams and muon storage rings