

# Kinetics of Filtration and Clogging

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PRE **58**, R1203 (1998); PRL **84**, 6018 (2000)

What is filtration? What is clogging?

Basic Approach:

minimalist modeling; probabilistic description

Two Main Results:

density profiles of trapped & escaped particles

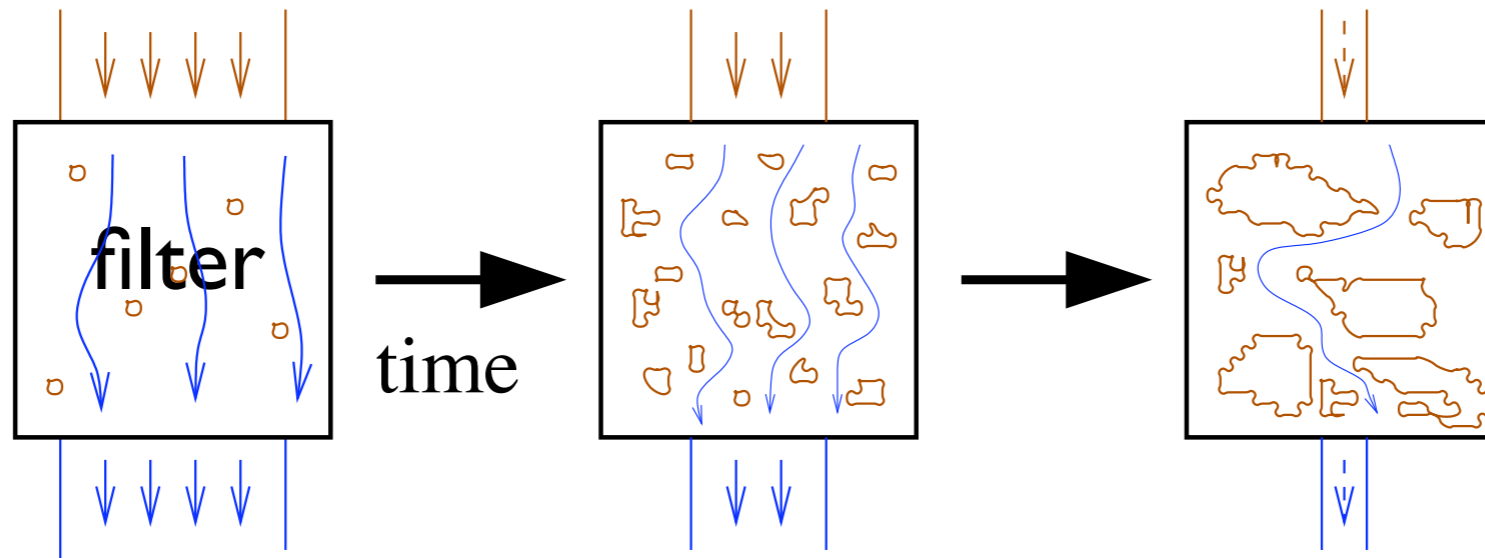
*gradient  
driven*

clogging time and its distribution

*extreme  
statistics*

Summary & Outlook

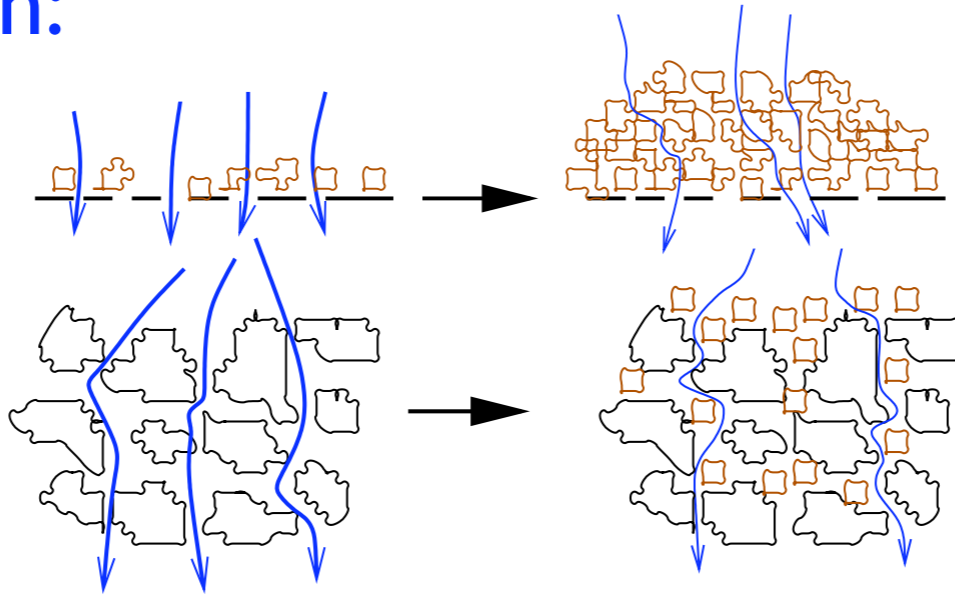
# What is filtration? What is clogging?



flow  $\Leftrightarrow$  trapping  
no steady state  
 $\rightarrow$  clogging

## Types of filtration:

cake



depth

## Mechanisms of depth filtration:

hydrodynamic, gravitation

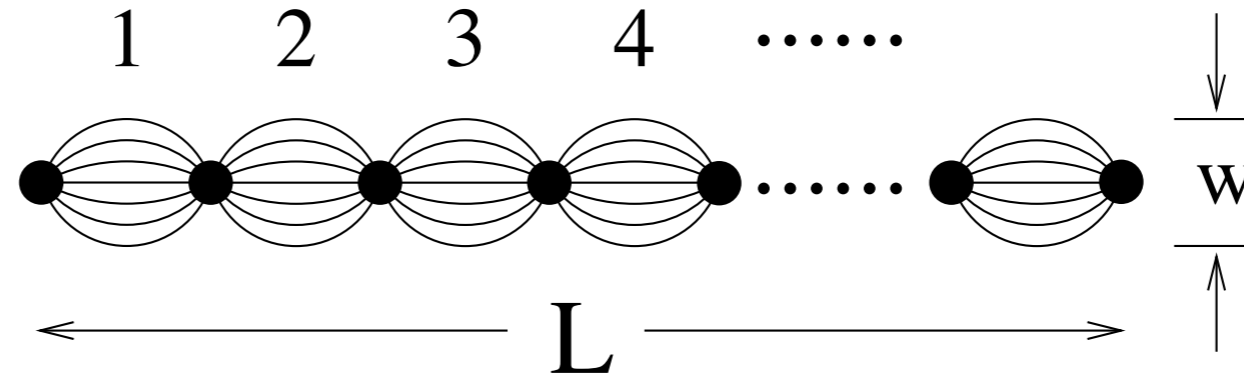
electrochemical



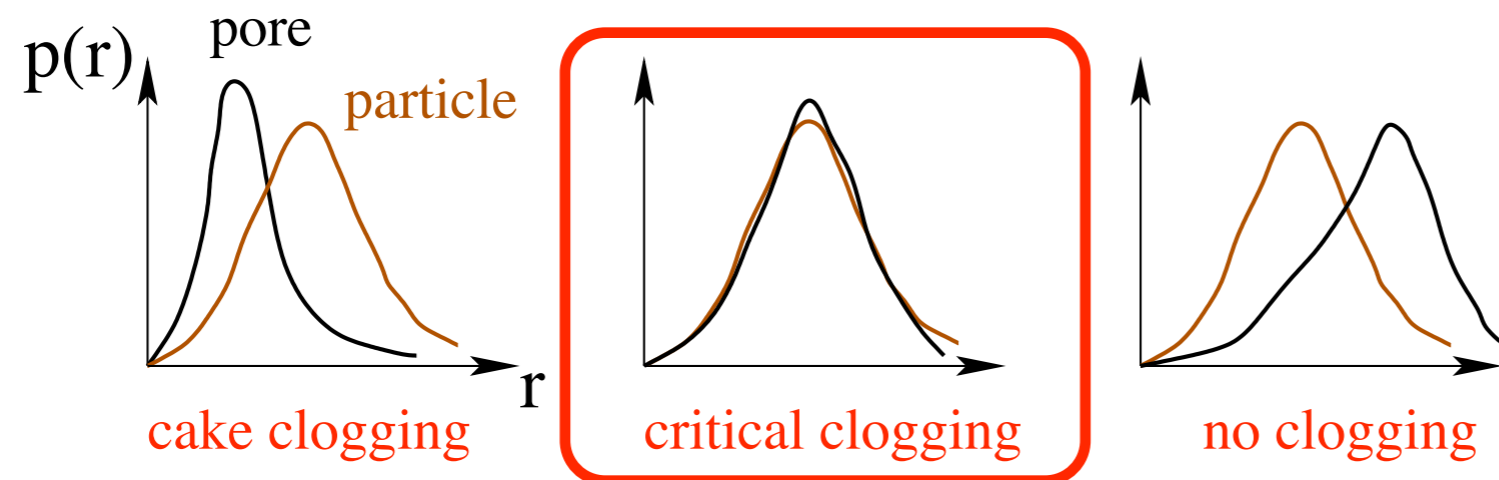
1–10  $\mu\text{m}$

# Microscopic Modeling

## 1. Bubble model



## 2. Particle & pore characteristics



## 3. Flow characteristics

Poiseuille flow

dynamically neutral particles

perfect mixing at junctions  $p_i = \phi_i / \sum \phi_i$ , with  $\phi_r \propto r_i^4$

→ *large pores entered preferentially*

## 4. Trapping mechanism:

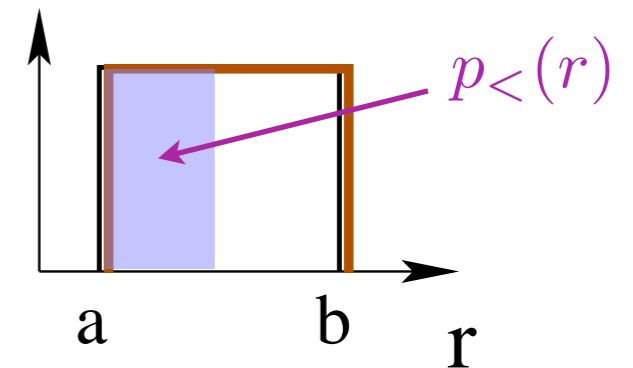
particle  $>$  pore → *permanent, complete blockage*

# I. Distribution of Trapped Particles

assumptions:

overlapping particle and pore sizes *critical clogging*  
 large  $w$  (*unperturbed*)

$b(r)$   $p(r)$   $(U[a,b])$



for particle of radius  $r$ :

$$p_{<} \equiv \text{prob. of getting stuck in a bubble}$$

$$= \frac{\int_a^r r'^4 dr'}{\int_a^b r'^4 dr'} = \frac{r^5 - a^5}{b^5 - a^5}$$

$$P_n \equiv \text{prob. of getting stuck in bubble } n$$

$$= (1 - p_{<})^{n-1} p_{<}$$

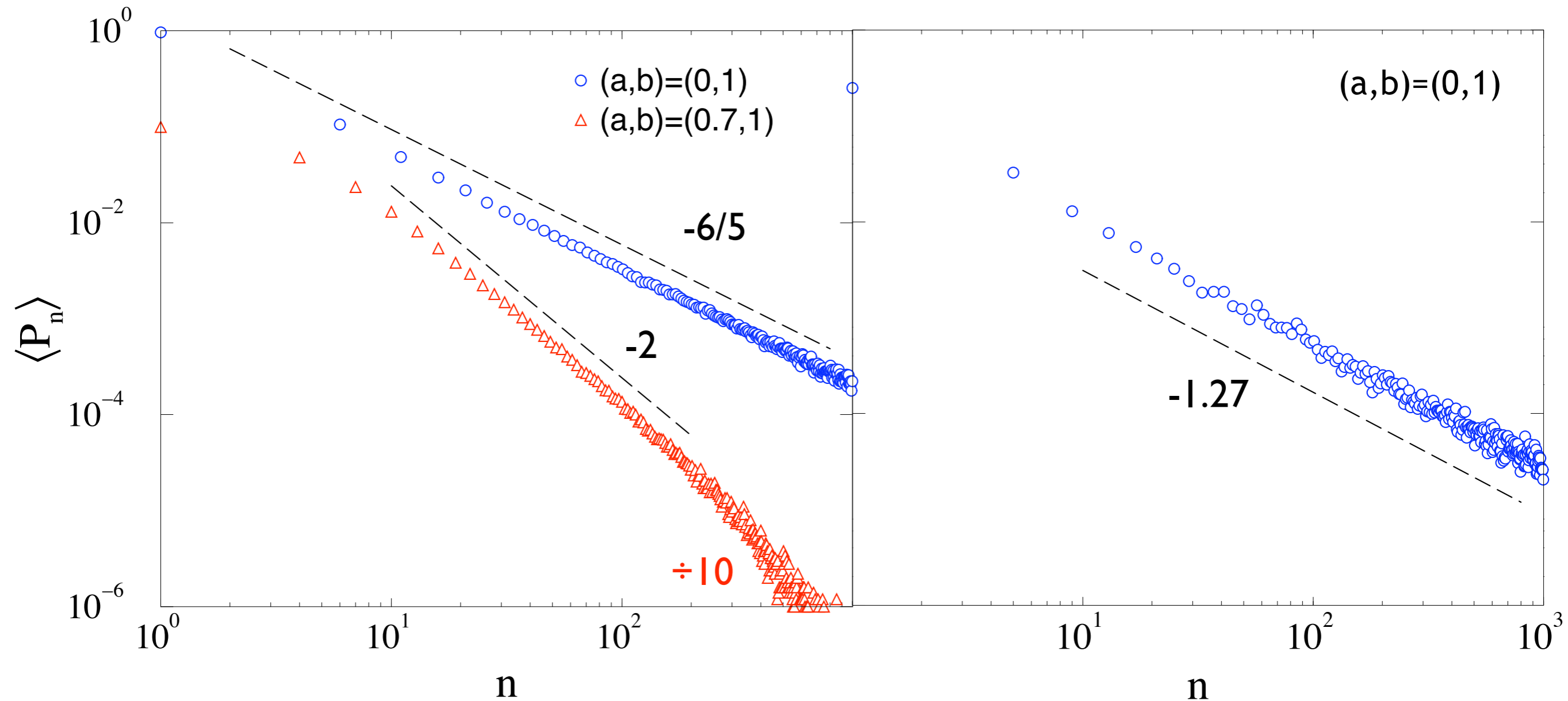
$$\langle P_n \rangle = \int_a^b \left(1 - \frac{r^5 - a^5}{b^5 - a^5}\right)^{n-1} \frac{r^5 - a^5}{b^5 - a^5} \frac{dr}{b - a} \quad u = r - a$$

$$\approx \begin{cases} \frac{b^5 - a^5}{5a^4(b-a)} n^{-2}, & a \neq 0; \quad \int e^{-nu} n u dnu \quad n^{-2} \\ 0.1836 \dots n^{-6/5} & a = 0. \quad \int e^{-nr^5} n r^5 d n^{1/5} r \quad n^{-6/5} \end{cases}$$

# Trapped Particle Distributions

bubble model  $w=50$

square lattice  $500 \times 1000$



# Large-w Approximation

prob. that particle of radius  $r$  is trapped  
in a bubble of  $w$  bonds:

$$\begin{aligned} p_{<} &= w \int_0^r dr_1 \int_0^1 dr_2 \cdots \int_0^1 \frac{r_1^4 dr_w}{r_1^4 + r_2^4 + \cdots + r_w^4} & u_i &= r_i/r_1 \\ &= w \int_0^r r_1^{w-1} dr_1 \int_0^{1/r_1} du_2 \cdots \int_0^{1/r_1} \frac{du_w}{1 + u_2^4 + \cdots + u_w^4} \\ &\sim w \int_0^r r_1^{w-1} dr_1 \int_{0^+}^{1/r_1} \frac{u^{w-2}}{u^4} du \end{aligned}$$

$$p_{<} \propto \begin{cases} r^w, & w < 5; \\ r^5 \ln r, & w = 5; \\ r^5, & w > 5. \end{cases}$$

## 2. Size Distribution of Escaping Particles

assume particle and pore sizes (U[0,1])  $p_{<} = r^5$

to escape nth bubble, get trapped at  $n' > n$

$$\begin{aligned} \sum_{n' > n}^{\infty} P_{n'} &= \sum_{n' > n}^{\infty} r^5 (1 - r^5)^{n' - 1} \\ &\sim \exp(-nr^5) \end{aligned}$$

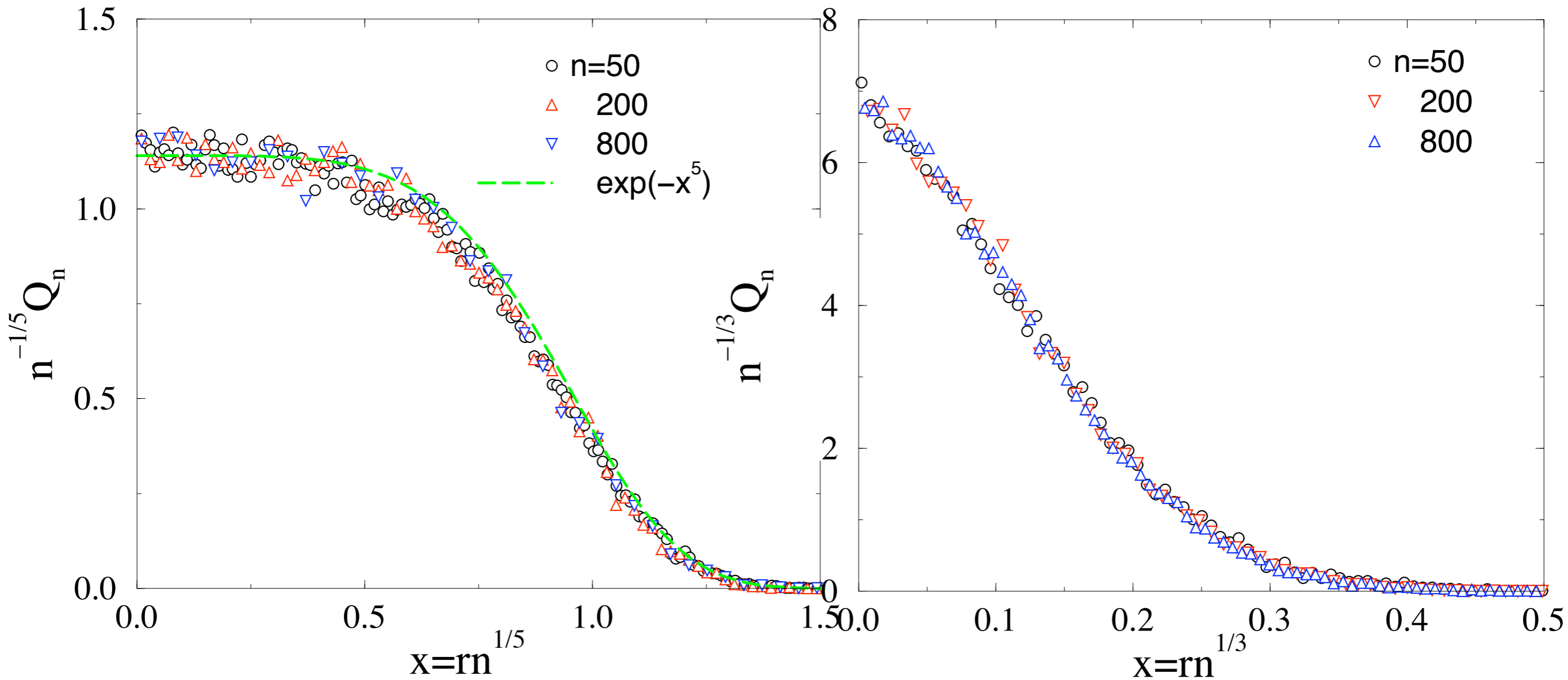
$Q_n \equiv$  size distribution of escapees at  $n$

$$\propto n^{1/5} \exp(-nr^5)$$

# Escapee Size Distribution

bubble model  $w=10$

square lattice  $50 \times 1000$   
“dry” flow, entrance prob.  $\propto r^2$

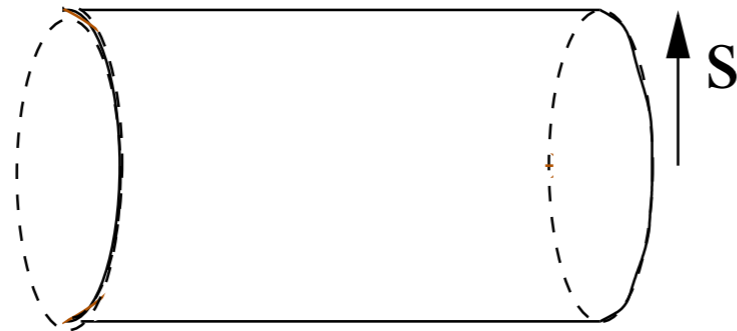




# Clogging Time and its Distribution

Single bond clogging:

assume  $b(r) = 2\alpha r e^{-\alpha r^2} \rightarrow s = 1/\sqrt{\alpha}$



clogged!

# Clogging Time and its Distribution

## Single bond clogging (cont):

prob. that bond of radius  $R$  is blocked by  $N$ th particle:

$$Q_N(R) = \left[ \int_0^R p(r) dr \right]^{N-1} \int_R^\infty p(r) dr \equiv p_{<}(r)^{N-1} p_{>}(r)$$

$$\langle Q_N(R) \rangle = \int_0^\infty Q_N(R) b(R) dR \quad p(r) = 2re^{-r^2}$$

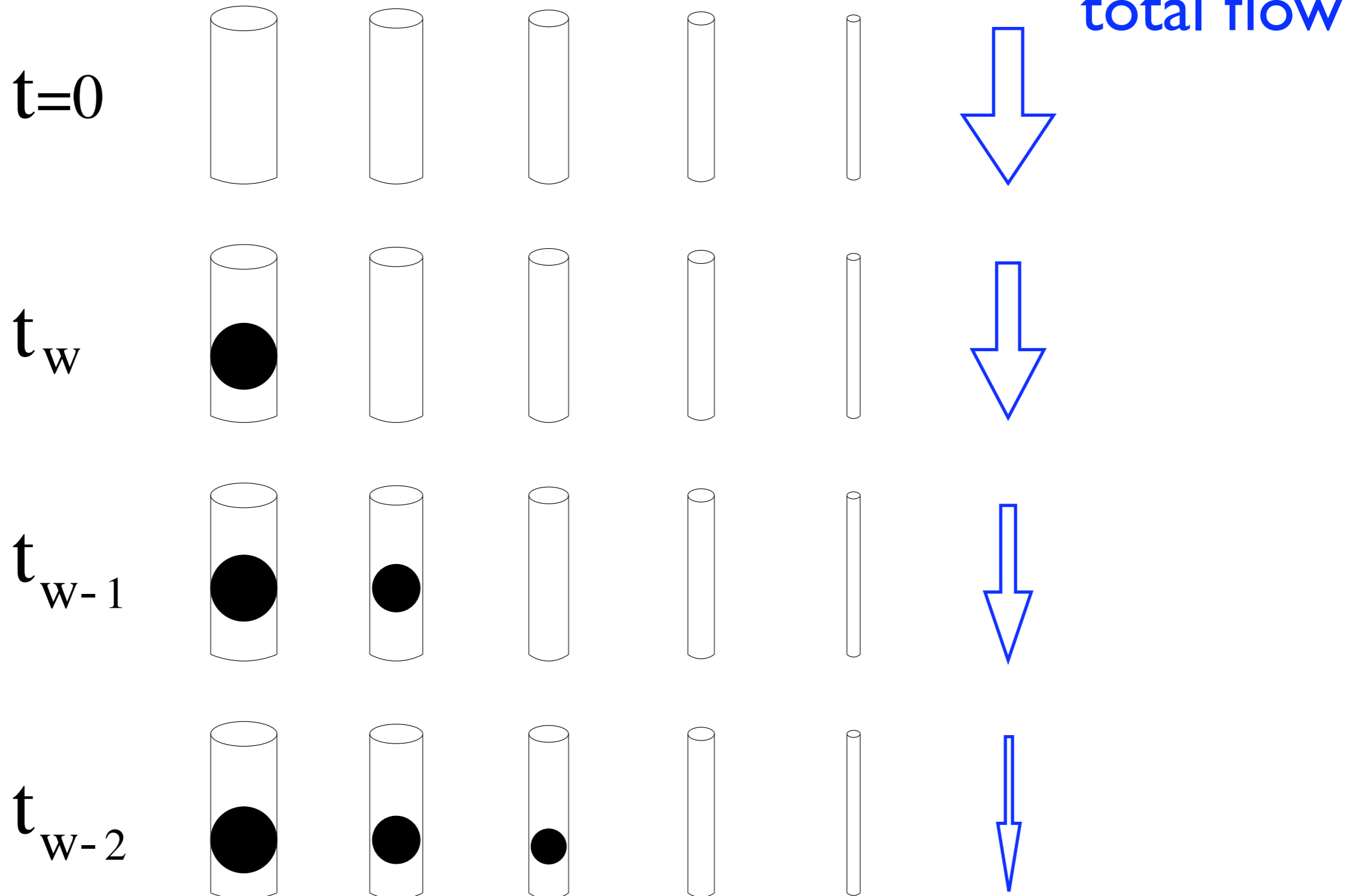
$\sim \int e^{-Nx} x^{1/\alpha} dx, \quad x = e^{-\alpha R^2}$   
 $\sim \alpha/N^{1+\alpha}$

$$\langle N \rangle \simeq \int_1^\infty \frac{\alpha N dN}{N^{1+\alpha}} \sim \frac{\alpha}{1-\alpha} \quad \langle N \rangle = \begin{cases} \infty & \text{for } s = \frac{1}{\sqrt{\alpha}} \geq 1 \\ \text{finite} & \text{for } s = \frac{1}{\sqrt{\alpha}} < 1 \end{cases}$$

# Cartoon for Network Clogging

size-ordered blocking

(replace entrance prob.  $\propto r^4$  with  $r^\infty$ )



# Estimate of the Clogging Time

size-ordered clogging: *biggest clogged first smallest clogged last*

radius of kth smallest bond:

$$\int_0^{r_k} 2\alpha r e^{-\alpha r^2} dr = \frac{k}{w}, \quad r_k = s \sqrt{\frac{k}{w}}$$

flow rate when k bonds still open:

$$\kappa(k) = \sum_{j=1}^k r_j^4 \approx s^4 \sum_{j=1}^k \left(\frac{j}{w}\right)^2 \sim \frac{s^4 k^3}{w^2}$$

$$\kappa(w) = s^4 w$$

and corresponding time scale:

$$\rightarrow t_k = \frac{\kappa(w)}{w\kappa(k)} \sim \frac{w^2}{k^3}$$

clogging time:

$$T = t_1 + t_2 + t_3 + \dots \approx w^2 \left[ 1 + \frac{1}{2^3} + \frac{1}{3^3} + \dots \right] \propto w^2$$

# Clogging Time Distribution

hypothesis: *clogging time determined by smallest bond*

smallest bond distribution:

$$\text{If } b(r) = 2\alpha r e^{-\alpha r^2} \rightarrow b_{>}(r) = e^{-\alpha r^2} \quad s \sim 1/\sqrt{\alpha}$$

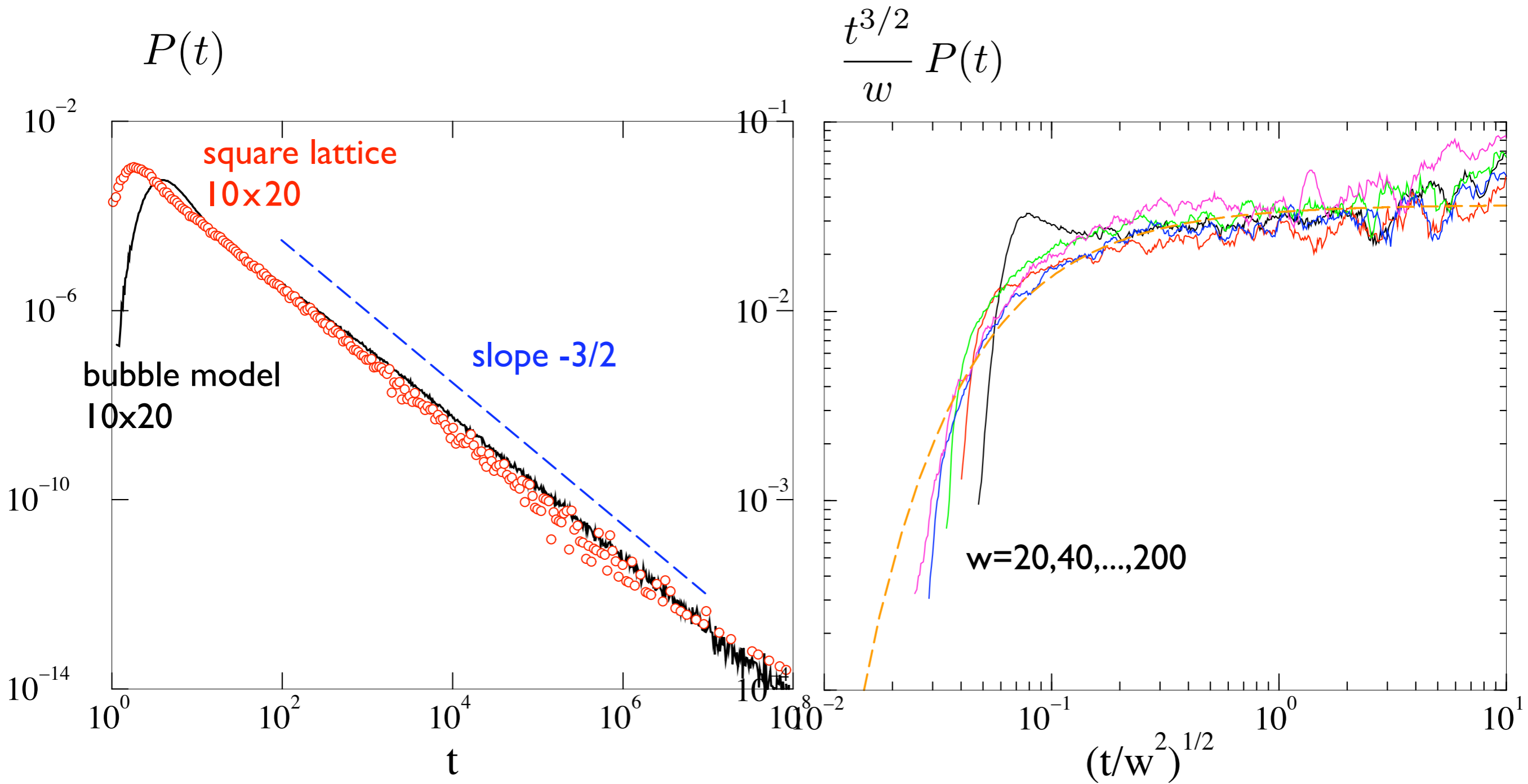
$$\begin{aligned} S_w(r) &\equiv \text{prob. smallest bond out of } w \text{ has radius } r \\ &= w b(r) [b_{>}(r)]^{w-1} \\ &= 2\alpha w r e^{-\alpha w r^2} \end{aligned}$$

connection between  $t$  and  $r$ :  $T \sim t_1 \approx \frac{1}{w} \frac{\kappa(w)}{\kappa(1)} = \frac{s^4}{r_1^4}$

clogging time distribution:  $P_w(t) dt = S_w(r) dr$

$$\rightarrow P_w(t) \sim \frac{w}{t^{3/2}} e^{-w/t^{1/2}} \quad w^2 < t < w^2 N^2$$

# Clogging Time Distribution $P_w(t) \sim \frac{w}{t^{3/2}} e^{-w/t^{1/2}}$



# Moments of the Clogging Time

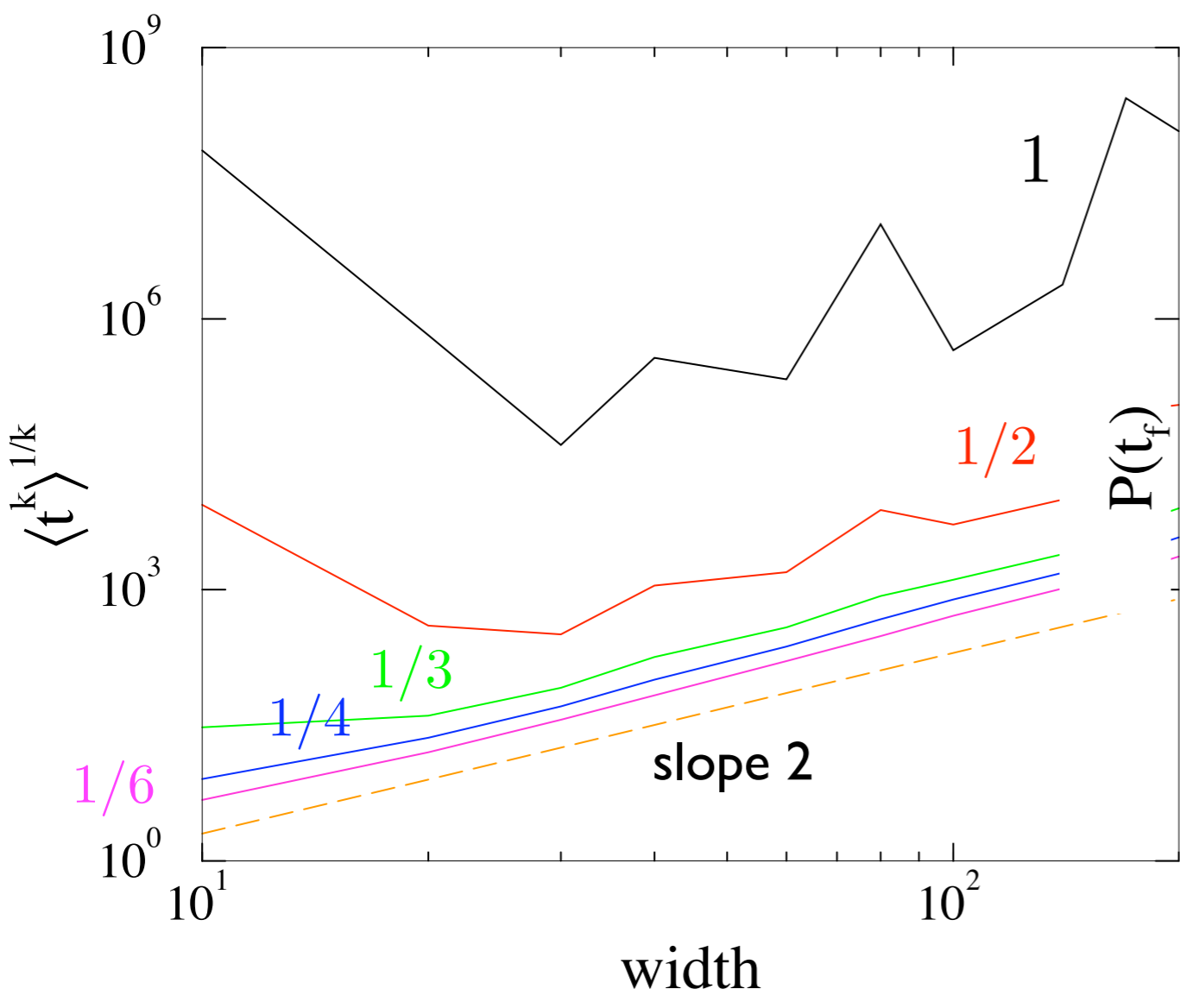
$$P_w(t) \sim \frac{w}{t^{3/2}} e^{-w/t^{1/2}} \quad w^2 < t < w^2 N^2$$

$$\langle t^k \rangle = \int_0^\infty t P_w(t) dt \approx \int_{w^2}^{N^2 w^2} w t^{k-3/2} dt$$

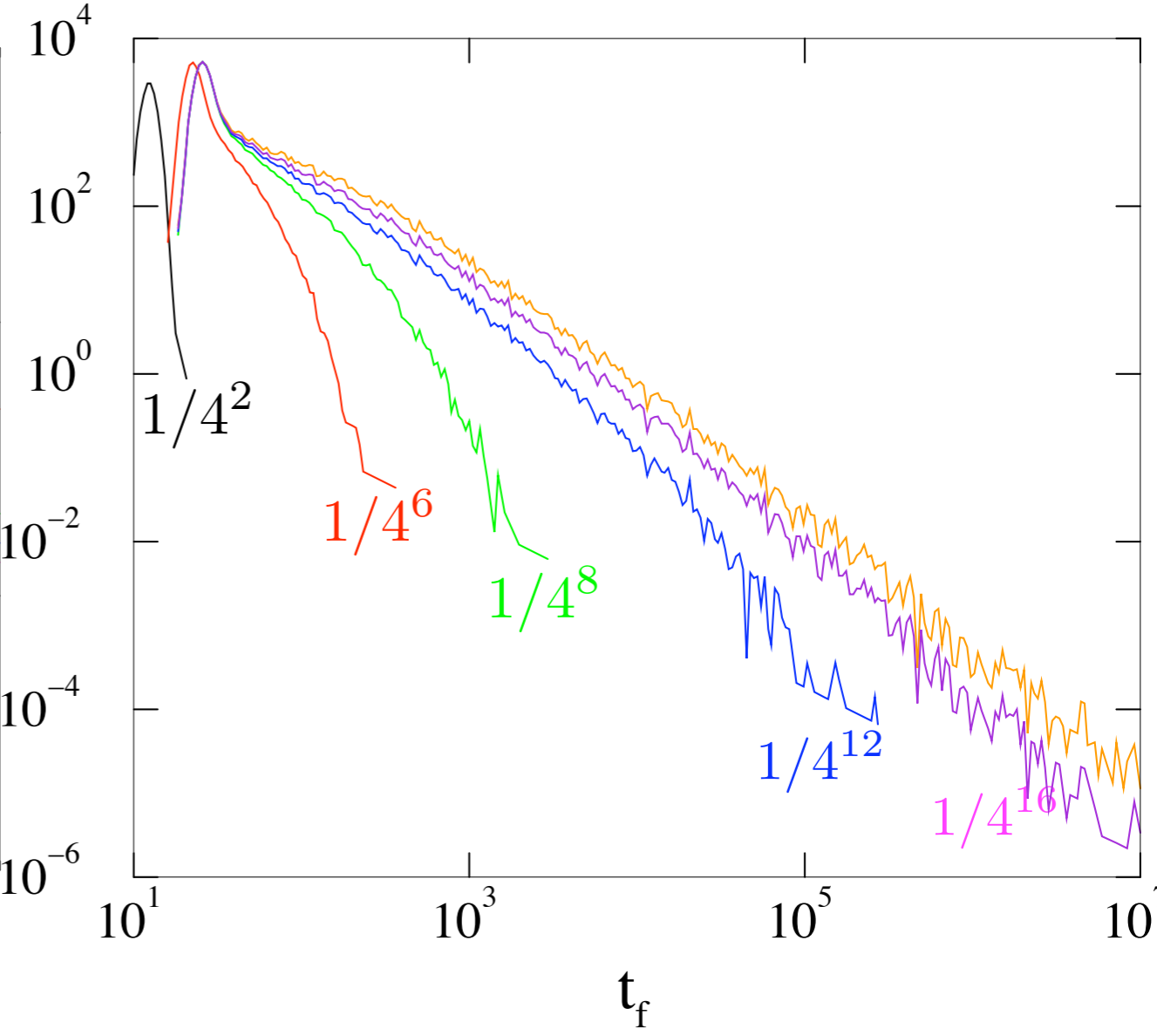
$$M_k(w) \equiv \langle t^k \rangle^{1/k} \sim \begin{cases} w^2 N^{2-1/k} & k > 1/2 \\ w^2 (\ln N)^2 & k = 1/2 \\ w^2 & k < 1/2 \end{cases}$$

# Measures of Clogging Time

moments of clogging time



partial clogging time distribution





# Summary & Outlook

Gradients drive depth filtration breakdown

Basic contradiction of filters:

*A filter should be long for good filtering  
short to be active*

Clogging time governed by extreme events

*→ power law clogging time distribution*

Open questions:

*When is a filter “dead”?*

*How to described gradient-driven percolation?*

*Sclerosis*